

**STATE OF ILLINOIS  
SECRETARY OF STATE  
SECURITIES DEPARTMENT**

\_\_\_\_\_) )  
IN THE MATTER OF: DENNIS R. WEDDLE II ) ) FILE NO. 0600625  
\_\_\_\_\_) )

**CONSENT ORDER OF WITHDRAWAL**

TO THE RESPONDENT: Dennis R. Weddle II (CRD #: 2671849)  
728 Viola Circle  
Henderson, Nevada 89015

C/o Mark J. Astarita  
Beam & Astarita, LLC.  
Attorneys At Law  
Park 80 West, Plaza II, Suite 200,  
Saddle Brook, New Jersey, 07663

WHEREAS, Respondent on the 27<sup>th</sup> day of November, 2007 executed a certain Stipulation to Enter Consent Order of Withdrawal (the "Stipulation"), which hereby is incorporated by reference herein.

WHEREAS, by means of the Stipulation, Respondent has admitted to the jurisdiction of the Secretary of State and service of the Notice of Hearing of the Secretary of State, Securities Department, dated March 19, 2007 in this proceeding (the "Notice") and Respondent has consented to the entry of this Consent Order of Withdrawal ("Consent Order").

WHEREAS, by means of the Stipulation, the Respondent acknowledged, without admitting or denying the truth thereof, that the following allegations contained in the Notice of Hearing shall be adopted as the Secretary of State's Findings of Fact:

1. That at all relevant times, the Respondent was registered with the Secretary of State as a salesperson and investment adviser representative in the State of Illinois pursuant to Section 8 of the Act.
2. That on September 19, 2006, NASD entered a Letter Of Acceptance, Waiver And Consent (AWC) submitted by the Respondent regarding File No. 20050012479 which sanctioned the Respondent as follows:

- a. fined \$7,500; and
  - b. suspension from association with any NASD member in any capacity for a period of 60 calendar days. After consideration of a 51-day suspension sanction imposed by AEFA (Respondent's then employing dealer) upon him for the same conduct described above, NASD has determined to credit the Respondent with 20 calendar days of the foregoing suspension. Accordingly, the Respondent is required to serve 40 calendar days of the foregoing suspension.
3. That the AWC found:
- Between December 11, 1998 and January 18, 2004, while registered with AEFA, the Respondent affixed customer signatures of eleven individuals to twelve separate documents concerning financial planning services. The documents included Financial Advisory Service Agreements (3); Financial Planning Services Delivery Extension Forms (5); Asset Allocation Delivery Assurance Form (1); and Western Union Money Orders (3). The aforementioned conduct constitutes separate and distinct violations of NASD Conduct Rule 2110 by the Respondent.
4. That Section 8.E(1)(j) of the Act provides, *inter alia*, that the registration of a salesperson and investment adviser representative may be revoked if the Secretary of State finds that such Salesperson or investment adviser representative has been suspended by any self-regulatory organization registered under the Federal 1934 Act or the Federal 1974 Act arising from any fraudulent or deceptive act or a practice in violation of any rule, regulation or standard duly promulgated by the self-regulatory organization.
5. That NASD is a self-regulatory organization as specified in Section 8.E(1)(j) of the Act.
6. That the Respondent's registrations as a salesperson and investment adviser representative in the State of Illinois are subject to revocation pursuant to Section 8.E(1)(j) of the Act.

WHEREAS, by means of the Stipulation Respondent has acknowledged that the Secretary of State made the following additional Finding of Fact: That the Respondent's employment by Brookstreet Securities Corporation was terminated on July 23, 2007.

Consent Order of Withdrawal

WHEREAS, by means of the Stipulation Respondent has acknowledged, without admitting or denying the averments, that the following shall be adopted as the Secretary of State's Conclusion of Law:

The Respondent's registrations as a salesperson and as an investment adviser representative in the State of Illinois are subject to revocation pursuant to Section 8.E(1)(j) of the Act.

WHEREAS, by means of the Stipulation Respondent has acknowledged and agreed that he shall cause to have his registration as a salesperson and as an investment adviser representative in the State of Illinois withdrawn within three (3) days from the entry of this Consent Order and will not re-apply for registration for a period of eighteen (18) months from the entry of this Consent Order, with credit given from July 23, 2007.

WHEREAS, by means of the Stipulation Respondent has acknowledged and agreed that he shall be levied costs incurred during the investigation of this matter in the amount of One Thousand dollars (\$1,000.00). Said amount is to be paid by certified or cashier's check, made payable to the Office of the Secretary of State, Securities Audit and Enforcement Fund.

WHEREAS, by means of the Stipulation Respondent has acknowledged and agreed that he has submitted with the Stipulation a certified or cashier's check in the amount of One Thousand dollars (\$1,000.00) to cover costs incurred during the investigation of this matter. Said check has been made payable to the Office of the Secretary of State, Securities Audit and Enforcement Fund.

WHEREAS, the Secretary of State, by and through his duly authorized representative, has determined that the matter related to the aforesaid formal hearing may be dismissed without further proceedings.

NOW THEREFORE IT SHALL BE AND IS HEREBY ORDERED THAT:

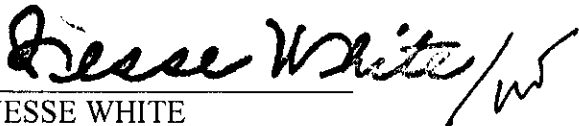
1. The Respondent shall cause to have his registration as a salesperson and as an investment adviser representative in the State of Illinois withdrawn within three (3) days from the entry of this Consent Order and will not re-apply for registration for a period of eighteen (18) months from the entry of this Consent Order, with credit given from July 23, 2007.

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2. The Respondent is levied costs of investigation in this matter in the amount of One Thousand dollars (\$1,000.00), payable to the Office of the Secretary of State, Securities Audit and Enforcement Fund, and on January 8, 2008 has submitted One Thousand dollars (\$1,000.00) in payment thereof.
3. The formal hearing scheduled on this matter is hereby dismissed without further proceedings.

ENTERED This 8<sup>th</sup> day of January 2008.

  
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JESSE WHITE  
Secretary of State  
State of Illinois