

**STATE OF ILLINOIS
SECRETARY OF STATE
SECURITIES DEPARTMENT**

IN THE MATTER OF: NUSHEEN R. JAVADIZADEH)
_____)

FILE NO. 0800066

CONSENT ORDER OF FINE

TO THE RESPONDENT: NUSHEEN R. JAVADIZADEH (CRD#: 2923770)
525 E. Seaside Way No. 1507
Long Beach, California 98082

WHEREAS, Respondent on the 9th day of May, 2008 executed a certain Stipulation to Enter Consent Order of Fine (the "Stipulation"), which hereby is incorporated by reference herein.

WHEREAS, by means of the Stipulation, Respondent has admitted to the jurisdiction of the Secretary of State and service of the Notice of Hearing of the Secretary of State, Securities Department dated April 15, 2008 in this proceeding (the "Notice") and Respondent has consented to the entry of this Consent Order of Fine ("Consent Order").

WHEREAS, by means of the Stipulation, the Respondent acknowledged, without admitting or denying the truth thereof, that the following allegations contained in the Notice of Hearing shall be adopted as the Secretary of State's Findings of Fact:

1. That on November 13, 2007, RJJ Pasadena Securities, Inc. ("RJJ") filed a Form U-4 application for registration of the Respondent as a salesperson in the State of Illinois.
2. That between 1999 and 2007 the Respondent while employed by RJJ effected numerous purchase transactions in the accounts of three (3) Illinois residents.
3. That Section 8.A of the Act provides, in pertinent part, except as otherwise provided, every salesperson shall be registered as such with the Secretary of State.

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4. That the activity described in paragraph two (2) above constitutes the activity of a salesperson as defined in Section 2.9 of the Act.
5. That during all relevant times, the Respondent was not registered with the Secretary of State as a salesperson pursuant to Section 8 of the Act.
6. That Section 12.A of the Act provides, inter alia, that it shall be a violation of the provisions of the Act for any person to offer or sell any security except in accordance with the provisions of the Act.
7. That Section 12.C of the Act provides, inter alia, that it is a violation of the provisions of the Act for any person to act as a salesperson, unless registered as such where such registration is required under the provisions of the Act.
8. That by virtue of the foregoing, the Respondent has committed a violation of Sections 12.A and 12.C of the Act.
9. That Section 8.E(1)(g) of the Act provides that the registration of a salesperson may be denied if such salesperson has violated any of the provisions of this Act.

WHEREAS, by means of the Stipulation, the Respondent acknowledged, without admitting or denying the truth thereof, that the Secretary of State has adopted the following additional Finding of Fact: Section 11.E (4) of the Act provides that in addition to any other sanction or remedy contained in this subsection E, the Secretary of State, after finding that any provision of this Act has been violated, may impose a fine as provided by rule, regulation or order not to exceed \$10,000, for each violation of this Act, may issue an order of public censure against the violator, and may charge as costs of investigation all reasonable expenses, including attorney's fees and witness fees.

WHEREAS, by means of the Stipulation Respondent has acknowledged, without admitting or denying the averments, that the following shall be adopted as the Secretary of State's Conclusions of Law:

- (1) The Respondent has committed a violation of Sections 12.A and 12.C of the Act;
- (2) The Respondent's application for registration as a salesperson in the State of Illinois is subject to denial pursuant to Section 8.E (1)(g) of the Act; and (3) That by virtue of the foregoing, the Respondent is subject to a fine pursuant to Sections 12.A, 12.C and Section 11.(e) (4) of the Act.

WHEREAS, by means of the Stipulation Respondent has acknowledged and agreed that she shall be FINED One Thousand five Hundred dollars (\$1,500.00), to be paid by certified or cashier's check, made payable to the Secretary of State, Securities Audit and Enforcement Fund.

WHEREAS, by means of the Stipulation Respondent has acknowledged and agreed that she shall be levied costs incurred during the investigation of this matter in the amount of Seven Hundred Fifty Dollars (\$750.00), to be paid by certified or cashier's check made payable to the Office of the Secretary of State, Securities Audit and Enforcement Fund.


WHEREAS, by means of the Stipulation Respondent has acknowledged and agreed that she has submitted with the Stipulation a certified or cashier's check in the amount of Two Thousand Two Hundred Fifty dollars (\$2,250.00). Said sum is allocated as follows: One Thousand Five Hundred dollars (\$1,500.00) as FINE for violations of the Act; and Seven Hundred Fifty dollars (\$750.00) to cover the costs incurred during the investigation of this matter. Said check has been made payable to the Office of the Secretary of State, Securities Audit and Enforcement Fund.

WHEREAS, the Secretary of State, by and through his duly authorized representative, has determined that the matter related to the aforesaid formal hearing may be dismissed without further proceedings.

NOW THEREFORE IT SHALL BE AND IS HEREBY ORDERED THAT:

1. Respondent is FINED in the amount of One Thousand Five Hundred dollars (\$1,500.00), payable to the Office of the Secretary of State, Securities Audit and Enforcement Fund, and on May 13, 2008 has submitted One Thousand Five Hundred dollars (\$1,500.00) in payment thereof.
2. Respondent is levied costs of investigation in this matter in the amount of Seven Hundred Fifty dollars (\$750.00), payable to the Office of the Secretary of State, Securities Audit and Enforcement Fund, and on May 13, 2008 has submitted Seven Hundred Fifty dollars (\$750.00) in payment thereof.
3. The formal hearing scheduled on this matter is hereby dismissed without further proceedings.

ENTERED: This 13th day of May 2008.


JESSE WHITE
Secretary of State
State of Illinois