

2003

ILLINOIS

REGISTER

RULES
OF GOVERNMENTAL
AGENCIES



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Editor’s Note 1: The Cumulative Index and Sections Affected Index will be printed on a quarterly basis. The printing schedule for the quarterly and annual indexes are (End of March, June, Sept, Dec) as follows:

Issue 15 - April	11, 2003: Data through	March	31, 2003 (1 st Quarter)
Issue 28 - July	11, 2003: Data through	June	30, 2003 (2nd Quarter)
Issue 41 - October	10, 2003: Data through	September	29, 2003 (3rd Quarter)
Issue 2 - January	9, 2004: Data through	December	29, 2003 (Annual)

Editor’s Note 2: Submit all rulemaking documentation to the following address:

Secretary of State
Department of Index
Administrative Code Division
111 East Monroe Street
Springfield, Illinois 62756

Editor’s Note 3: It has become necessary to add file pages to the documents in the electronic copy that is being forwarded to the Code Division. This is effective immediately. This can also be found in the Style Manual.

http://www.sos.state.il.us/publications/pdf_publications/admin_code_style_manual.pdf

Section 4-5: FORMAT FOR FILING RULES (1 Ill. Adm. Code 100.500 and Appendix B, Illustration D)

All rules, amendments or repealers shall be submitted in 8 ½ x 11 inch, three-hole punched, suitable for being placed in a standard loose-leaf binder for paper that size. In accordance with 1 Ill. Adm. Code 100. Appendix B. Illustration D. (Also see the *Rulemaking Template 2003*)

- i. A complete table of Contents will be provided in accordance with 1 Ill. Adm. Code 100/310. One original and two copies. The page(s) for the Part’s table of contents shall begin with the major divisions of the *Code* appropriate for the Part, Each of these shall have the appropriate division word and the heading for that division in all capital letters. Each line shall be centered on the page and these shall all be listed single-spaced. A colon shall follow each division label followed by two spaces and then the heading.

- ii. Also required are one original and two copies of agency certification. In accordance with 1 Ill. Adm. Code 100.Appendix B. Illustration C (Also see the Rulemaking Template 2003)
- iii. Also required are one original and two copies of code/file pages. In accordance with 1 Ill. Adm. Code 100.Appendix B. Illustration D (Also see the *Rulemaking Template 2003*)
- iv. Adopted rules filed with the Code Division (file pages) shall not contain either strike-outs or underscoring.

An electronic copy is also required of the Table of Contents, Authority Notes, and Main Source Notes, and the text of the adopted rules with all changes applied. Each section will begin on its own page in order to establish correct headers for each page. All electronic copy must be in a compatible format in order to avoid excessive manipulation and any possible errors associated with manipulating the agencies original document. Therefore all electronic copy must be

- i. In Microsoft Word format
- ii. With margins set at 1 inch on each side, top, bottom, headers, and footers.
- iii. Font must be in Times New Roman 12.
- iv. Section Breaks may be inserted into the document.

INTRODUCTION

The Illinois Register is the official state document for publishing public notice of rulemaking activity initiated by State governmental agencies. The table of contents is arranged categorically by rulemaking activity and alphabetically by agency within each category. The Register will also contain the Cumulative Index and Sections Affected Indices will be printed on a quarterly basis. The printing schedule for the quarterly and annual indexes are the end of March, June, Sept, Dec.

Rulemaking activity consist of proposed or adopted new rules; amendments to or repealers of existing rules; and rules promulgated by emergency or peremptory action. Executive Orders and Proclamations issued by the Governor; notices of public information required by State statute; and activities (meeting agendas, Statements of Objection or Recommendation, etc.) of the Joint Committee on Administrative Rules (JCAR), a legislative oversight committee which monitors the rulemaking activities of State agencies; is also published in the Register.

The Register is a weekly update the Illinois Administrative code (a compilation of the rules adopted by State agencies). The most recent edition of the Code along with the Register comprise the most current accounting of State agencies'

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2003 REGISTER SCHEDULE VOLUME # 27

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Issue 4	January 13, 2003	January 24, 2003	Issue 41	September 29, 2003	October 10, 2003
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Issue 35	August 18, 2003	August 29, 2003			
Issue 36	August 25, 2003	September 05, 2003			
Issue 37	September 02, 2003	September 12, 2003			

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July 2001 - 675 - GA -82

DEPARTMENT OF AGRICULTURE

NOTICE OF PROPOSED AMENDMENTS

- 1) Heading of Part: Meat and Poultry Inspection Act
- 2) Code Citation: 8 Ill. Adm. Code 125
- 3) Section Numbers: 125.260 Proposed Action: Amend
- 4) Statutory Authority: The Meat and Poultry Inspection Act [225 ILCS 650] and Section 16 of the Civil Administrative Code of Illinois [20 ILCS 5/16].
- 5) A Complete Description of the Subjects and Issues Involved: Effective July 1, 1996, United States Department of Agriculture-Food Safety Inspection Service (USDA-FSIS) meat and poultry regulations, 9 CFR 317.5 and 381.133 respectively, streamlined the label approval process and allowed establishments more flexibility. The generic approval category was expanded so that establishments could use certain labeling without prior USDA-FSIS approval.
- 6) Will this proposed rule replace an emergency rule in effect? No.
- 7) Does this rulemaking contain an automatic repeal date? No
- 8) Does this proposed amendment contain incorporations by reference? Yes. 9 CFR 317.5 and 381.133.
- 9) Are there any other proposed amendments pending on this Part? No
- 10) Statement of Statewide Policy Objectives: Rule does not affect units of local governments.
- 11) Time, Place and Manner in which interested persons may comment on this proposed rulemaking: A 45-day written comment period will begin on the day the notice of rulemaking appears in the Illinois Register. Please mail written comments on the proposed rulemaking to the attention of:

Linda Rhodes
Illinois Department of Agriculture
State Fairgrounds, P. O. Box 19281
Springfield, IL 62794-9281
217/785-5713

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NOTICE OF PROPOSED AMENDMENTS

217/785-4505 (fax)

12) Initial Regulatory Flexibility Analysis:

- A) Types of small businesses, small municipalities and not-for-profit corporations affected: State licensed meat and poultry establishments
- B) Reporting, bookkeeping or other procedures required for compliance:
Notification of affected entities by mail
- C) Types of professional skills necessary for compliance: No additional skills needed

13) Regulatory agenda on which this rulemaking was summarized: January 2003

The full text of the Proposed Amendments begins on the next page:

DEPARTMENT OF AGRICULTURE

NOTICE OF PROPOSED AMENDMENTS

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SUBCHAPTER c: MEAT AND POULTRY INSPECTION ACTPART 125
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POULTRY INSPECTION

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125.200	Post-Mortem Inspection
125.210	Disposal of Diseased or Otherwise Adulterated Carcasses and Parts

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125.220	Humane Slaughter of Animals
125.230	Handling and Disposal of Condemned or Other Inedible Products at Official Establishment
125.240	Rendering or Other Disposal of Carcasses and Parts Passed for Cooking
125.250	Marking Products and Their Containers
125.260	Labeling, Marking and Containers
125.270	Entry into Official Establishment; Reinspection and Preparation of Product
125.280	Meat Definitions and Standards of Identity or Composition
125.290	Transportation
125.295	Imported Products (Repealed)
125.300	Special Services Relating to Meat and Other Products
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125.310	Application of Inspection
125.320	Facilities for Inspection
125.330	Sanitation
125.340	Operating Procedures
125.350	Ante-Mortem Inspection
125.360	Post-Mortem Inspection; Disposition of Carcasses and Parts
125.370	Handling and Disposal of Condemned or Inedible Products at Official Establishments
125.380	Labeling and Containers
125.390	Entry of Articles Into Official Establishments; Processing Inspection and Other Reinspections; Processing Requirements
125.400	Definitions and Standards of Identity or Composition
125.410	Transportation; Sale of Poultry or Poultry Products

AUTHORITY: Implementing and authorized by the Meat and Poultry Inspection Act [225 ILCS 650] and Section 16 of the Civil Administrative Code of Illinois [20 ILCS 5/16].

SOURCE: Adopted at 9 Ill. Reg. 1782, effective January 24, 1985; preemptory amendment at 9 Ill. Reg. 2337, effective January 28, 1985; preemptory amendment at 9 Ill. Reg. 2980, effective February 20, 1985; preemptory amendment at 9 Ill. Reg. 4856, effective April 1, 1985; preemptory amendment at 9 Ill. Reg. 9240, effective June 5, 1985; preemptory amendment at 9 Ill. Reg. 10102, effective June 13, 1985; preemptory amendment at 9 Ill. Reg. 11673, effective July 17, 1985; preemptory amendment at 9 Ill. Reg. 13748, effective August 23, 1985; preemptory amendment at 9 Ill. Reg. 15575, effective October 2, 1985; preemptory amendment

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at 9 Ill. Reg. 19759, effective December 5, 1985; preemptory amendment at 10 Ill. Reg. 447, effective December 23, 1985; preemptory amendment at 10 Ill. Reg. 1307, effective January 7, 1986; preemptory amendment at 10 Ill. Reg. 3318, effective January 24, 1986; preemptory amendment at 10 Ill. Reg. 3880, effective February 7, 1986; preemptory amendment at 10 Ill. Reg. 11478, effective June 25, 1986; preemptory amendment at 10 Ill. Reg. 14858, effective August 22, 1986; preemptory amendment at 10 Ill. Reg. 15305, effective September 10, 1986; preemptory amendment at 10 Ill. Reg. 16743, effective September 19, 1986; preemptory amendment at 10 Ill. Reg. 18203, effective October 15, 1986; preemptory amendment at 10 Ill. Reg. 19818, effective November 12, 1986; preemptory amendment at 11 Ill. Reg. 1696, effective January 5, 1987; preemptory amendment at 11 Ill. Reg. 2930, effective January 23, 1987; preemptory amendment at 11 Ill. Reg. 9645, effective April 29, 1987; preemptory amendment at 11 Ill. Reg. 10321, effective May 15, 1987; preemptory amendment at 11 Ill. Reg. 11184, effective June 5, 1987; preemptory amendment at 11 Ill. Reg. 14830, effective August 25, 1987; preemptory amendment at 11 Ill. Reg. 18799, effective November 3, 1987; preemptory amendment at 11 Ill. Reg. 19805, effective November 19, 1987; preemptory amendment at 12 Ill. Reg. 2154, effective January 6, 1988; amended at 12 Ill. Reg. 3417, effective January 22, 1988; preemptory amendment at 12 Ill. Reg. 4879, effective February 25, 1988; preemptory amendment at 12 Ill. Reg. 6313, effective March 21, 1988; preemptory amendment at 12 Ill. Reg. 6819, effective March 29, 1988; preemptory amendment at 12 Ill. Reg. 13621, effective August 8, 1988; preemptory amendment at 12 Ill. Reg. 19116, effective November 1, 1988; preemptory amendment at 12 Ill. Reg. 20894, effective December 21, 1988; preemptory amendment at 13 Ill. Reg. 228, effective January 11, 1989; preemptory amendment at 13 Ill. Reg. 2160, effective February 13, 1989; amended at 13 Ill. Reg. 3696, effective March 13, 1989; preemptory amendment at 13 Ill. Reg. 15853, effective October 5, 1989; preemptory amendment at 13 Ill. Reg. 16838, effective October 11, 1989; preemptory amendment at 13 Ill. Reg. 17495, effective January 18, 1990; amended at 14 Ill. Reg. 3424, effective February 26, 1990; preemptory amendment at 14 Ill. Reg. 4953, effective March 23, 1990; preemptory amendment at 14 Ill. Reg. 11401, effective July 6, 1990; preemptory amendment at 14 Ill. Reg. 13355, effective August 20, 1990; preemptory amendment at 14 Ill. Reg. 16064, effective September 24, 1990; preemptory amendment at 14 Ill. Reg. 21060, effective May 29, 1991; preemptory amendment at 15 Ill. Reg. 620, effective January 2, 1991; preemptory amendment withdrawn at 15 Ill. Reg. 1574, effective January 2, 1991; preemptory amendment at 15 Ill. Reg. 3117, effective September 3, 1991; preemptory amendment at 15 Ill. Reg. 8714, effective May 29, 1991; amended at 15 Ill. Reg. 8801, effective June 7, 1991; preemptory amendment at 15 Ill. Reg. 13976, effective September 20, 1991; preemptory amendment at 16 Ill. Reg. 1899, effective March 2, 1992; amended at 16 Ill. Reg. 8349, effective May 26, 1992, preemptory amendment at 16 Ill. Reg. 11687, effective July 10, 1992; preemptory amendment at 16 Ill. Reg. 11963, effective July 22, 1992; preemptory amendment at 16 Ill. Reg. 12234, effective July 24, 1992; preemptory amendment at 16 Ill. Reg. 16337, effective October 19, 1992; preemptory

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amendment at 16 Ill. Reg. 17165, effective October 21, 1992; preemptory amendment at 17 Ill. Reg. 2063, effective February 12, 1993; preemptory amendment at 17 Ill. Reg. 15725, effective September 7, 1993; preemptory amendment at 17 Ill. Reg. 16238, effective September 8, 1993; preemptory amendment at 17 Ill. Reg. 18215, effective October 5, 1993; preemptory amendment at 18 Ill. Reg. 304, effective December 23, 1993; preemptory amendment at 18 Ill. Reg. 2164, effective January 24, 1994; amended at 18 Ill. Reg. 4622, effective March 14, 1994; preemptory amendment at 18 Ill. Reg. 6442, effective April 18, 1994; preemptory amendment at 18 Ill. Reg. 8493, effective May 27, 1994; amended at 18 Ill. Reg. 11489, effective July 7, 1994; preemptory amendment at 18 Ill. Reg. 12546, effective July 29, 1994; preemptory amendment at 18 Ill. Reg. 14475, effective September 7, 1994; amended at 18 Ill. Reg. 14924, effective September 26, 1994; preemptory amendment at 18 Ill. Reg. 15452, effective September 27, 1994; preemptory amendment at 19 Ill. Reg. 1342, effective January 27, 1995; preemptory amendment at 19 Ill. Reg. 4765, effective March 13, 1995; preemptory amendment at 19 Ill. Reg. 7067, effective May 8, 1995; preemptory amendment at 19 Ill. Reg. 14896, effective October 6, 1995; preemptory amendment at 19 Ill. Reg. 15766, effective November 10, 1995; preemptory amendment at 19 Ill. Reg. 16866, effective December 22, 1995; preemptory amendment at 20 Ill. Reg. 5091, effective March 19, 1996; preemptory amendment at 20 Ill. Reg. 10403, effective July 17, 1996; amended at 20 Ill. Reg. 11928, effective September 1, 1996; preemptory amendment at 20 Ill. Reg. 12634, effective September 5, 1996; preemptory amendment at 20 Ill. Reg. 15371, effective November 13, 1996; preemptory amendment at 21 Ill. Reg. 1221 effective January 14, 1997; preemptory amendment at 21 Ill. Reg. 1719, effective January 28, 1997; preemptory amendment at 21 Ill. Reg. 6609, effective May 20, 1997; amended at 21 Ill. Reg. 11494, effective August 1, 1997; preemptory amendment at 21 Ill. Reg. 11788, effective August 8, 1997; preemptory amendment at 21 Ill. Reg. 12686, effective August 28, 1997; preemptory amendment at 21 Ill. Reg. 14575, effective October 22, 1997; preemptory amendment at 22 Ill. Reg. 3602, effective February 2, 1998; preemptory amendment at 22 Ill. Reg. 5740, effective March 5, 1998; preemptory amendment at 22 Ill. Reg. 9384, effective May 15, 1998; preemptory amendment at 22 Ill. Reg. 20645, effective November 16, 1998; amended at 23 Ill. Reg. 450, effective January 1, 1999; preemptory amendment at 23 Ill. Reg. 3851, effective March 11, 1999; preemptory amendment at 23 Ill. Reg. 10880, effective August 19, 1999; preemptory amendment at 24 Ill. Reg. 3933, effective February 22, 2000; preemptory amendment at 24 Ill. Reg. 5699, effective March 14, 2000; preemptory amendment at 24 Ill. Reg. 6734, effective April 14, 2000; amended at 24 Ill. Reg. 7197, effective April 27, 2000; preemptory amendment at 24 Ill. Reg. 14074, effective August 30, 2000; preemptory amendment at 24 Ill. Reg. 14451, effective September 15, 2000; preemptory amendment at 25 Ill. Reg. 7341, effective April 26, 2001; preemptory amendment at 25 Ill. Reg. 12434, effective September 13, 2001; preemptory amendment at 25 Ill. Reg. 15444, effective November 19, 2001; preemptory amendment at 26 Ill. Reg. 980, effective January 11, 2002; preemptory amendment at 26 Ill. Reg. 7750, effective May 10, 2002; amended at 27 Ill. Reg. _____, effective _____.

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Section 125.260 Labeling, Marking and Containers

- a) The Department incorporates by reference 9 CFR 317.1 through 317.2(j)(10), 317.2(j)(12) through 317.4(f)(2), 317.6, 317.8, 317.10 through 317.13, 317.17 through 317.24, 317.300, 317.302, 317.308, 317.309, 317.312, 317.313, 317.343, 317.344, 317.345, 317.354, 317.356, 317.360, 317.361, 317.362, 317.363, 317.369, 317.380, 317.400 (1997; 62 FR 45016, effective September 24, 1997; 63 FR 7279, effective February 13, 1998; 64 FR 732, effective March 8, 1999; 64 FR 53186, effective November 30, 1999; 65 FR 34381, effective August 28, 2000; 66 FR 40843, effective September 5, 2001; 66 FR 52484, effective November 15, 2001; 66 FR 54912, effective December 31, 2001).
- b) The Department shall approve only those abbreviations for marks of inspection as specifically stated in Section 2.26(j)(3) and (k)(3), (4), (5) and (9) of the Act.
- c) Labeling and sketch labeling shall be approved by the Department if the label is in compliance with the provisions of this Section and the label is not misbranded in accordance with Section 2.20 of the Act. All labels and sketch labels shall be submitted to the Springfield office of the Department for approval.
- d) The Department shall approve temporary labeling as stated in 9 CFR 317.4(f). Labeling which has received temporary approval shall not be used beyond the temporary approval period unless the printer or manufacturer of the label is unable to provide the official establishment with the labels before the expiration of the temporary approval.
- e) The quantity of contents as shown on the label shall be in compliance with the Weights and Measures Act [225 ILCS 470] and the rules adopted thereto (8 Ill. Adm. Code 600).
- f) Any Type I establishment is authorized to use generically approved labeling for meat and poultry products as defined in paragraph (h) of this Section without the labeling being submitted for approval to the Department or the field, provided the labeling is in accordance with this Section and shows all mandatory features in a prominent manner as required in 9 CFR 317.2 and 381 and is not otherwise false or misleading.
- g) The Department shall select samples of generically approved labeling from the records maintained by official establishments to determine compliance with

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labeling requirements. Any finding of false or misleading labeling shall institute the proceedings prescribed in 225 ILCS 650/13.

- h) Generically approved labeling is labeling that complies with the following:
- 1) Labeling for a product that has a product standard as specified in 9 CFR 319 and 381 or the Standards and Labeling Policy Book and does not contain any special claims such as quality claims, nutrient content claims, health claims, negative claims, geographical origin claims or guarantees, or is not a domestic product labeled in a foreign language;
 - 2) Labeling for single-ingredient products, such as beef steak or lamb chops, that do not contain any special claims such as quality claims, nutrient content claims, health claims, negative claims, geographical origin claims or guarantees or is not a domestic product labeled with a foreign language;
 - 3) Labeling for containers of products sold under contract specifications to federal government agencies that the product is not offered for sale to the general public provided that the contract specifications include specific requirements with respect to labeling and are made available to the inspector-in-charge;
 - 4) Labeling for shipping containers that contain fully labeled immediate containers provided that the labeling complies with 9 CFR 316.13 and 381.127;
 - 5) Labeling for products not intended for human food provided it complies with 9 CFR 325, 381.152(c) and 381.193;
 - 6) Meat inspection legends;
 - 7) Inserts, tags, liners, pasters and similar devices containing printed or graphic matter and for use or to be placed within containers and coverings of products provided the devices contain no reference to product and bear no misleading feature;
 - 8) Labeling for consumer test products not intended for sale;

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- 9) Labeling that was previously approved by the Department as sketch labeling, and the final labeling was prepared without modification or with the following modifications:
- A) All features of the labeling are proportionately enlarged or reduced provided that all minimum size requirements specified in applicable regulations are met and the labeling is legible;
 - B) The substitution of any unit of measurement with its abbreviation or the substitution of any abbreviation with its unit of measurement, e.g., “lb.” for “pound” or “oz.” for “ounce” or of the word “pound” for “lb.” or “ounce” for “oz.”;
 - C) A master or stock label has been approved where the name and address of the distributor are omitted and the name and address are applied before being used (in that case, the words “prepared for” or similar statement must be shown together with the blank space reserved for the insertion of the name and address when the labels are offered for approval);
 - D) Wrappers or other covers bearing pictorial designs, emblematic designs or illustrations, e.g., floral arrangements, illustrations of animals, fireworks, etc., are used with approved labeling (The use of the designs will not make necessary the application of labeling not otherwise required.);
 - E) A change in the language or the arrangement of directions pertaining to the opening of containers or the serving of the product;
 - F) The addition, deletion or amendment of a dated or undated coupon, a cents-off statement, cooking instructions, packer product code information or the UPC product code information;
 - G) Any change in the name or address of the packer, manufacturer or distributor that appears in the signature line;
 - H) Any change in the net weight provided the size of the net weight statement complies with CFR 317.2 and 318.121;

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- D) The addition, deletion or amendment of recipe suggestions for the product;
- J) Any change in punctuation;
- K) Newly assigned or revised establishment numbers for a particular establishment that has been approved by the Department;
- L) The addition or deletion of open dating information;
- M) A change in the type of packaging material that the label is printed;
- N) Brand name changes provided that there are no design changes, the brand name does not use a term that connotes quality or other product characteristics, the brand name has no geographic significance and the brand name does not affect the name of the product;
- O) The deletion of the word “new” on new product labeling;
- P) The addition, deletion or amendment of special handling statements, provided that the change is consistent with CFR 317.2(k) and 318.125(a);
- Q) The addition of safe handling instructions as required by CFR 317.2(1) and 381.125(b);
- R) Changes reflecting a change in the quantity of an ingredient shown in the formula without a change in the order of predominance shown on the label provided that the change in quantity of ingredients complies with any minimum or maximum limits for the use of the ingredients prescribed in CFR 318, 319 and 381.147;
- S) Changes in the color of the labeling provided that sufficient contrast and legibility remain;
- T) A change in the product vignette provided that the change does not affect mandatory labeling information or misrepresent the content of the package;

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- U) A change in the establishment number by a corporation or parent company for an establishment under its ownership;
 - V) Changes in nutrition labeling that only involve quantitative adjustments to the nutrition labeling information, except for serving sizes, provided the nutrition labeling information maintains its accuracy and consistency;
 - W) Deletion of any claim, and the deletion of non-mandatory features or non-mandatory information; and
 - X) The addition or deletion of a direct translation of the English language into a foreign language for products marked “for export only”.
- ~~f) The Department does not approve terms for generic labeling and considers the approval of terms as generic to be the responsibility of the federal government.~~
- ig) With regard to the incorporated language in 9 CFR 317.6, the extension of time for exhausting existing stocks of labels is not applicable since all labels presently in use are in compliance with the rules of this Part.
 - jh) The Department does not issue a list of approved packaging materials and will permit for use any packaging material which has been approved by the U.S. Department of Agriculture (see 9 CFR 317.24 (1997)).
 - ki) Labels to be used for the relabeling of inspected and passed product shall be permitted to leave the official establishment when the product must be relabeled because the original labels have become mutilated or damaged. The official establishment shall reimburse the Department for any overtime costs, if applicable, involved for the inspector to supervise the relabeling of a product. The overtime charges shall be as set forth in Section 125.80.
 - lj) The inspector shall grant authorization to transport labels, wrappers and containers bearing official marks from one official establishment to another official establishment provided the official establishment provides to the inspector the information required in 9 CFR 317.13 so that the inspector can notify the inspector at the destination point.

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- m) Labeling of custom slaughter and/or custom processed meat and/or meat products and the containers containing custom slaughtered and/or custom processed meat and/or meat products shall be as set forth in Section 5 of the Act.
- n) References in the incorporated language to 9 CFR 312 shall be interpreted to mean in accordance with Section 125.90.

(Source: Amended at 27 Ill. Reg. _____, effective _____)

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- 1) Heading of the Part: Auction License Act
- 2) Code Citation: 68 Ill. Adm. Code 1440
- 3)

<u>Section Number</u>	<u>Proposed Action</u>
1440.400	New
1440.410	New
1440.420	New
1440.430	New
1440.440	New
1440.450	New
1440.460	New
1440.470	New
1440.480	New
- 4) Statutory Authority: Implementing and authorized by the Auction License Act [225 ILCS 407].
- 5) A complete description of the subjects and issues involved: Implementation of the registration of Internet auction listing services pursuant to PA 92-798.
- 6) Will these proposed amendments replace emergency amendments currently in effect?
No
- 7) Does this rulemaking contain an automatic repeal date? No
- 8) Do these proposed amendments contain incorporations by reference? No
- 9) Are there any other proposed amendments pending to this Part? No
- 10) Statement of Statewide Policy Objectives: This proposal does not substantively affect local government in any manner.
- 11) Time, place and manner in which interested persons may comment on this proposed rulemaking: Interested parties should submit written comments or views concerning the proposed rulemaking to the attention of:

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Jeff Riley
Legislative Liaison
Office of Banks and Real Estate
500 East Monroe
Springfield, Illinois 62701
Telephone: 217/782-6167
Telefax: 217/558-4297

- 12) Initial Regulatory Flexibility Analysis:
- a) Types of small businesses affected: None
 - b) Reporting, bookkeeping or other procedures required for compliance: None
 - c) Types of professional skills necessary for compliance: None

Regulatory Agenda on which this rulemaking was summarized: July 2002

The full text of the Proposed Amendments begin on the next page.

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TITLE 68: PROFESSIONS AND OCCUPATIONS

CHAPTER VIII: OFFICE OF BANKS AND REAL ESTATE

PART 1440

AUCTION LICENSE ACT

SUBPART A: DEFINITIONS

Section

1440.10 Definitions

SUBPART B: AUCTION LICENSE

Section

1440.100 Necessity of License; Exemptions
1440.110 Examination
1440.120 Application for Auctioneer, Associate Auctioneer License and Auction Firm
1440.130 Application for Licensure, Practice Prior to the Act
1440.140 45 Day Permit Sponsor Card
1440.150 Restoration of Lapsed or Expired License
1440.160 Nonresident Auctioneer, Associate Auctioneer Reciprocity
1440.170 Fees
1440.180 Earnings from the Investment of Moneys in the Auction Recovery Fund
1440.190 Address Change, Notification
1440.200 Pocket Card
1440.210 Assumed Name
1440.220 Supervisory Duties
1440.230 Advertising; Buyer Premium; Disclosure
1440.240 Unlicensed Assistants
1440.250 Felony convictions; Discipline of Other Professional License; Notification
1440.260 Advertising; Auction without Reserve; Absolute Auction
1440.270 Escrow or Trust Accounts
1440.280 Termination for Failure to Pay Taxes, Child Support or Student Loan

SUBPART C: CONTINUING EDUCATION

Section

1440.300 Continuing Education Schools Approval and License
1440.310 Continuing Education

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- 1440.320 Expiration and Renewal for Continuing Education Schools and Courses
- 1440.330 Distant Learning Programs
- 1440.340 Class Attendance Requirements
- 1440.350 Withdrawal of Approval of School and Courses
- 1440.360 Discipline of Schools

SUBPART D: INTERNET AUCTION LISTING SERVICESection

- 1440.400 Definitions
- 1440.410 Registration
- 1440.420 Expiration of Registration
- 1440.430 Renewal of Registration
- 1440.440 Issuance of Certification of Registration
- 1440.450 Notification of Name Change
- 1440.460 Assumed Name
- 1440.470 Address Change; Street Address
- 1440.480 Fees

AUTHORITY: Implementing and authorized by the Auction License Act [225 ILCS 407].

SOURCE: Adopted by emergency rulemaking at 23 Ill. Reg. 13414, effective October 25, 1999, for a maximum of 150 days; adopted at 24 Ill. Reg. 3518, effective February 22, 2000; amended at 25 Ill. Reg. 12586, effective September 28, 2001; amended at _____, effective _____).

SUBPART D: INTERNET AUCTION LISTING SERVICESection 1440.400 Definitions

Unless otherwise clarified by this subpart, definitions set forth in the Act and this Part shall also apply for the purposes of this subpart.

“Close of a transaction” shall mean the conclusion of the bidding process of a transaction on an Internet auction listing service web site. It shall not be construed to mean when the seller receives payment for the property and/or when the purchaser receives the property at the conclusion of a transaction on an Internet auction listing service web site.

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“Designated agent” means the person listed in the registration of an Internet auction listing service that will respond to OBRE written requests for information, records and related documents maintained in connection with the activities subject to registration.

“Registrant” means any person registered as an Internet auction listing service under the Act and this Part.

“Registration” means the acceptance by OBRE of the completed forms along with the applicable fees submitted by a person providing or seeking to provide an Internet auction listing service.

(Source: Added at 27 Ill. Reg. _____, effective _____)

Section 1440.410 Registration

- a) Each applicant who desires to register as an Internet auction listing service shall submit to OBRE on forms provided by OBRE:
- 1) The applicant’s name, address, social security number or federal employer identification number, and telephone number;
 - 2) The applicant’s designated agent;
 - 3) The fee as provided by 1440.480 of the is subpart; and
 - 4) The applicant shall be required to make the certifications as provided in Section 10-27 (c) of the Act.
- b) If an applicant is making application as an Internet auction listing service in the form of a corporation, limited liability company or legally formed partnership, the applicant, in addition to the information provided in subparagraph of this section shall submit to OBRE on forms provided by OBRE:
- 1) The articles of incorporation or organization or evidence of other legal authority, and if the corporation, limited liability company, or other legally formed partnership is registered in a state other than Illinois, a certificate of authority to conduct business in the State of Illinois; and
 - 2) A list of all officers, directors, and owners with the percentage of ownership that is in excess of 10%.

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(Source: Added at 27 Ill. Reg. _____, effective _____)

Section 1440.420 Expiration of Registration

Pursuant to Section 10-27 (e), all certificates of registration issued under the Act and this subpart shall expire on September 30 of odd numbered years.

(Source: Added at 27 Ill. Reg. _____, effective _____)

Section 1440.430 Renewal of Registration

- a) Each applicant for renewal of a registration issued pursuant to Section 1440.410 of this subpart shall submit to OBRE:
- 1) An application, provided by OBRE, that is signed and fully completed by the applicant; and
 - 2) The fee as provided by Section 1440.480 of this subpart.
- b) Any person who fails to submit a renewal application by the expiration date of the registration may renew the registration for a period of 2 years following the expiration date of the registration by submitting to OBRE:
- 1) An application, provided by OBRE, that is signed and fully completed by the applicant; and
 - 2) The fee and late penalty as provided by Section 1440.480 of this subpart.
- c) Any person who fails to submit a renewal application within 2 years of the expiration date of the registration shall not be eligible to renew the registration, and must meet the requirements of a new applicant as provided by Section 1440.410 of this subpart, plus pay all lapsed fees and penalties as provided by Section 1444.480 of this subpart.

(Source: Added at 27 Ill. Reg. _____, effective _____)

Section 1440.440 Issuance of Certificate of Registration

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OBRE shall issue a certificate of registration to all Internet auction listing services registered under the Act and this subpart. The certificate shall include the name, address, date of expiration and registration number of the Internet auction listing service.

(Source: Added at 27 Ill. Reg. _____, effective _____)

Section 1440.450 Notification of Name Change

It is the responsibility of each registrant under this Act and subpart to notify OBRE in writing, within fifteen (15) days of any change of name. For example, if the registrant has had a name change either by court order or due to a change in marital status, the registrant shall notify OBRE of the name change together with a certified copy of the marriage certificate or portions of the court order relating to the name change, and indicate under the name the registration shall be issued.

(Source: Added at 27 Ill. Reg. _____, effective _____)

Section 1440.460 Assumed Name

If a registrant operates under any other than that appearing on the certificate of registration, the registrant shall submit to OBRE a certified copy of the registration under the Assumed Business Name Act (805 ILCS 405) at the time of the application or within thirty (30) days after the registration.

(Source: Added at 27 Ill. Reg. _____, effective _____)

Section 1440.470 Address Change; Street Address

It is the responsibility of the each registrant to notify OBRE in writing within fifteen (15) days of a change of address. A registrant may use a post office box number, for example P.O. Box 1001, as a mailing address, but must additionally notify OBRE of a street address of the registrant's business location.

(Source: Added at 27 Ill. Reg. _____, effective _____)

Section 1440.480 Fees

a) The initial application fee for an Internet auction listing service shall be \$1,500.

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- b) The renewal application fee for and Internet auction listing service shall be calculated at \$500 per year for a total of \$1,000 per renewal.
- c) The penalty for failing to renew a registration prior to the expiration date shall be \$500 in addition to the renewal fee. For the purposes of determining if a registrant failed to renew prior to the expiration date, if the post-mark on the renewal application is a date later than the expiration date, the registrant shall be required to pay the penalty fee.
- d) The fee for a certification of a registrant's record for any purpose shall be \$50.
- e) The fee for a roster of registrants shall be the cost of producing the roster.
- f) The fee for a copy of any transcript of any proceeding shall be the cost to produce the transcript.
- g) The fee for certifying any record, for example, a copy of disciplinary order or application, shall be \$1 per page.

(Source: Added at 27 Ill. Reg. _____, effective _____)

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NOTICE OF PROPOSED AMENDMENTS

1) Heading of the Part: Cost Allocation for Large Local Exchange Carriers

2) Code Citation: 83 Ill. Adm. Code 711

<u>Section Numbers:</u>	<u>Proposed Action:</u>
711.5	Amendment
711.10	Amendment
711.15	Amendment
711.20	Amendment
711.25	Amendment
711.105	Amendment
711.107	New Section
711.110	Repeal
711.115	Repeal
711.200	Repeal
711.205	Repeal
711.210	Repeal
711.215	Repeal
711.220	Repeal
711.225	Repeal
711.230	Repeal
711.235	Repeal
711.245	Repeal
711.250	Repeal
711.255	Repeal
711.260	Repeal
711.265	Repeal
711.270	Repeal
711.275	Repeal
711.280	Repeal
711.285	Repeal
711.290	Repeal
711.305	Repeal
711.309	Repeal
711.310	Repeal
711.315	Repeal
711.320	Repeal
711.325	Repeal

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711.330	Repeal
711.335	Repeal
711.340	Repeal
711.345	Repeal
711.350	Repeal
711.355	Repeal
711.360	Repeal
711.365	Repeal
711.370	Repeal
711.375	Repeal
711.1220	Repeal
711.1439	Repeal
711.2002	Repeal
711.2003	Repeal
711.2004	Repeal
711.2005	Repeal
711.2111	Repeal
711.2112	Repeal
711.2113	Repeal
711.2114	Repeal
711.2115	Repeal
711.2116	Repeal
711.2121	Repeal
711.2122	Repeal
711.2123	Repeal
711.2124	Repeal
711.2211	Repeal
711.2212	Repeal
711.2215	Repeal
711.2220	Repeal
711.2231	Repeal
711.2232	Repeal
711.2311	Repeal
711.2321	Repeal
711.2341	Repeal
711.2351	Repeal
711.2362	Repeal
711.2411	Repeal
711.2421	Repeal

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711.2422	Repeal
711.2423	Repeal
711.2424	Repeal
711.2425	Repeal
711.2426	Repeal
711.2431	Repeal
711.2441	Repeal
711.2681	Repeal
711.2682	Repeal
711.2690	Repeal
711.3100	Repeal
711.3200	Repeal
711.3410	Repeal
711.3420	Repeal
711.3500	Repeal
711.3600	Repeal
711.4100	Repeal
711.4340	Repeal
711.6112	Repeal
711.6113	Repeal
711.6114	Repeal
711.6115	Repeal
711.6116	Repeal
711.6121	Repeal
711.6122	Repeal
711.6123	Repeal
711.6124	Repeal
711.6211	Repeal
711.6212	Repeal
711.6215	Repeal
711.6220	Repeal
711.6231	Repeal
711.6232	Repeal
711.6311	Repeal
711.6341	Repeal
711.6351	Repeal
711.6362	Repeal
711.6411	Repeal
711.6421	Repeal

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711.6422	Repeal
711.6423	Repeal
711.6424	Repeal
711.6425	Repeal
711.6426	Repeal
711.6431	Repeal
711.6441	Repeal
711.6511	Repeal
711.6512	Repeal
711.6531	Repeal
711.6532	Repeal
711.6533	Repeal
711.6534	Repeal
711.6535	Repeal
711.6540	Repeal
711.6561	Repeal
711.6562	Repeal
711.6563	Repeal
711.6564	Repeal
711.6565	Repeal
711.6611	Repeal
711.6612	Repeal
711.6613	Repeal
711.6621	Repeal
711.6622	Repeal
711.6623	Repeal
711.6711	Repeal
711.6712	Repeal
711.6721	Repeal
711.6722	Repeal
711.6723	Repeal
711.6724	Repeal
711.6725	Repeal
711.6726	Repeal
711.6727	Repeal
711.6728	Repeal
711.6790	Repeal
711.7110	Repeal
711.7130	Repeal

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711.7140	Repeal
711.7150	Repeal
711.7160	Repeal
711.7210	Repeal
711.7220	Repeal
711.7230	Repeal
711.7240	Repeal
711.7250	Repeal
711.7350	Repeal
711.7370	Repeal
711.7400	Repeal
711.7510	Repeal
711.7520	Repeal
711.7530	Repeal
711.7540	Repeal
711.7610	Repeal
711.7620	Repeal
711.7630	Repeal
711.7640	Repeal
711.8000	New Section
711.8005	New Section

- 4) Statutory Authority: Implementing Sections 5-102, 5-103, and 7-206 and authorized by Section 10-101 of the Public Utilities Act [220 ILCS 5/5-102, 5-103, 7-206, and 10-101]
- 5) A Complete Description of the Subjects and Issues Involved: The rulemaking will eliminate unnecessary account detail from the cost allocation manual procedure outline, require the annual submission of a complete cost allocation manual with identification of variances from the previous filing, add an audit requirement; allow telecommunications carriers to use the the cost allocation manual filed with the Federal Communications Commission to satisfy the Illinois filing requirements, update the number of subscriber lines that make up the threshold for this Part, and update Section 711.15 to reflect current FCC requirements.
- 6) Will these proposed amendments replace emergency amendments currently in effect? No
- 7) Does this rulemaking contain an automatic repeal date? No
- 8) Do these proposed amendments contain incorporations by reference? No

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- 9) Are there any other proposed amendments pending on this Part? No
- 10) Statement of Statewide Policy Objectives: These proposed amendments neither create nor expand any State mandate on units of local government, school districts, or community college districts.
- 11) Time, Place and Manner in which interested persons may comment on this proposed rulemaking:
- Comments should be filed, within 45 days after the date of this issue of the *Illinois Register* in Docket 03-0057, with:
- Chief Clerk
Illinois Commerce Commission
527 East Capitol Avenue
Springfield IL 62701
(217)782-7434
- 12) Initial Regulatory Flexibility Analysis:
- A) Types of small businesses, small municipalities and not for profit corporations affected: These amendments will affect any subject jurisdictional entities that are also small businesses as defined in the Illinois Administrative Procedure Act. These amendments will not affect any small municipalities or not for profit corporations.
- B) Reporting, bookkeeping or other procedures required for compliance:
Bookkeeping and reporting.
- C) Types of professional skills necessary for compliance: Accounting and managerial skills
- 13) Regulatory Agenda on which this rulemaking was summarized: This rulemaking was not included on either of the 2 most recent regulatory agendas because: The Commission did not anticipate the need for this amendment at that time.

The full text of the Proposed Amendments begins on the next page:

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NOTICE OF PROPOSED AMENDMENTS

TITLE 83: PUBLIC UTILITIES
CHAPTER I: ILLINOIS COMMERCE COMMISSION
SUBCHAPTER f: TELEPHONE UTILITIESPART 711
COST ALLOCATION FOR LARGE LOCAL EXCHANGE CARRIERS

SUBPART A: APPLICATION

Section
711.5 Application

SUBPART B: PRELIMINARY MATERIALS

Section
711.10 Description of Nonregulated Activities
711.15 Incidental Activities

SUBPART C: CORPORATE ORGANIZATION AND AFFILIATE TRANSACTIONS

Section
711.20 Corporate Organization
711.25 Affiliate Transactions

SUBPART D: COST APPORTIONMENT METHODOLOGY AND TIME REPORTING
PROCEDURES

Section
711.100 Overview
711.105 Cost Apportionment
[711.107 Time Reporting Procedures](#)
711.110 Cost and Allocation Definitions ([Repealed](#))
711.115 Cost Pools ([Repealed](#))
711.200 Cost Pool Apportionment Bases ([Repealed](#))
711.205 Cost Pool Account Transaction Analysis ([Repealed](#))
711.210 Analysis of Leased Assets ([Repealed](#))
711.215 Analysis of Motor Vehicle Records ([Repealed](#))
711.220 Analysis of Information Management Projects ([Repealed](#))
711.225 Analysis of Research and Development Projects ([Repealed](#))

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711.230	Analysis of Purchase Records (Repealed)
711.235	Analysis of Tax Records (Repealed)
711.245	Computer Application Activity Analysis (Repealed)
711.250	Building/Floor Space Use Study (Repealed)
711.255	Direct Reporting (Repealed)
711.260	Flight Logs (Repealed)
711.265	Property Record Analysis (Repealed)
711.270	Relative Investment Value - Cost Pool Apportionment (Repealed)
711.275	Testing Activity Study (Repealed)
711.280	Regulated/Nonregulated Apportionment Bases (Repealed)
711.285	Account Transaction Analysis - Regulated/Nonregulated (Repealed)
711.290	Billing and Collection Study (Repealed)
711.305	Customer and Corporate Operations Wages and Salaries (Repealed)
711.309	Engineering Time Reporting (Repealed)
711.310	Engineering Wages and Salaries (Repealed)
711.315	General Allocator (Repealed)
711.320	Marketing Allocator (Repealed)
711.325	Network Plant Wages and Salaries (Repealed)
711.330	Plant Support Wages and Salaries (Repealed)
711.335	Projected Regulated/Nonregulated Shared Usage (Repealed)
711.340	Regulated/Nonregulated Actual Usage (Repealed)
711.345	Relative Investment Value - Regulated/Nonregulated (Repealed)
711.350	Relative Regulated/Nonregulated Pre-Tax Book Income (Repealed)
711.355	Relative Regulated/Nonregulated Revenues (Repealed)
711.360	Service Center Activity Study (Repealed)
711.365	Service Order Activity Analysis (Repealed)
711.370	Trouble Report Analysis (Repealed)
711.375	Total Company Wages and Salaries (Repealed)

SUBPART E: COST APPORTIONMENT – ACCOUNTS

Section	
711.1220	Account 1220 Materials and Supplies (Repealed)
711.1439	Account 1439 Deferred Charges (Repealed)
711.2002	Account 2002 Property Held for Future Telecommunications Use (Repealed)
711.2003	Account 2003 Telecommunications Plant Under Construction - Short Term (Repealed)
711.2004	Account 2004 Telecommunications Plant Under Construction - Long Term (Repealed)

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711.2005	Account 2005 Telecommunications Plant Adjustment (Repealed)
711.2111	Account 2111 Land (Repealed)
711.2112	Account 2112 Motor Vehicles (Repealed)
711.2113	Account 2113 Aircraft (Repealed)
711.2114	Account 2114 Special Purpose Vehicles (Repealed)
711.2115	Account 2115 Garage Work Equipment (Repealed)
711.2116	Account 2116 Other Work Equipment (Repealed)
711.2121	Account 2121 Buildings (Repealed)
711.2122	Account 2122 Furniture (Repealed)
711.2123	Account 2123 Office Equipment (Repealed)
711.2124	Account 2124 General Purpose Computers (Repealed)
711.2211	Account 2211 Analog Electronic Switching (Repealed)
711.2212	Account 2212 Digital Electronic Switching (Repealed)
711.2215	Account 2215 Electro-mechanical Switching (Repealed)
711.2220	Account 2220 Operator Systems (Repealed)
711.2231	Account 2231 Radio Systems (Repealed)
711.2232	Account 2232 Circuit Equipment (Repealed)
711.2311	Account 2311 Station Apparatus (Repealed)
711.2321	Account 2321 Customer Premises Wiring (Repealed)
711.2341	Account 2341 Large Private Branch Exchange (Repealed)
711.2351	Account 2351 Public Telephone Terminal Equipment (Repealed)
711.2362	Account 2362 Other Terminal Equipment (Repealed)
711.2411	Account 2411 Poles (Repealed)
711.2421	Account 2421 Aerial Cable (Repealed)
711.2422	Account 2422 Underground Cable (Repealed)
711.2423	Account 2423 Buried Cable (Repealed)
711.2424	Account 2424 Submarine Cable (Repealed)
711.2425	Account 2425 Deep Sea Cable (Repealed)
711.2426	Account 2426 Intrabuilding Network Cable (Repealed)
711.2431	Account 2431 Aerial Wire (Repealed)
711.2441	Account 2441 Conduit Systems (Repealed)
711.2681	Account 2681 Capital Leases (Repealed)
711.2682	Account 2682 Leasehold Improvements (Repealed)
711.2690	Account 2690 Intangibles (Repealed)
711.3100	Account 3100 Accumulated Depreciation (Repealed)
711.3200	Account 3200 Accumulated Depreciation - Held for Future Telecommunications Use (Repealed)
711.3410	Account 3410 Accumulated Amortization - Capitalized Leases (Repealed)
711.3420	Account 3420 Accumulated Amortization - Leasehold Improvements (Repealed)

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711.3500	Account 3500 Accumulated Amortization - Intangibles (Repealed)
711.3600	Account 3600 Accumulated Amortization - Other (Repealed)
711.4100	Account 4100 Net Current Deferred Operating Income Tax (Repealed)
711.4340	Account 4340 Net Noncurrent Deferred Operating Income Tax (Repealed)
711.6112	Account 6112 Motor Vehicle Expense (Repealed)
711.6113	Account 6113 Aircraft Expense (Repealed)
711.6114	Account 6114 Special Purpose Vehicle Expense (Repealed)
711.6115	Account 6115 Garage Work Equipment Expense (Repealed)
711.6116	Account 6116 Other Work Equipment Expense (Repealed)
711.6121	Account 6121 Land and Building Expense (Repealed)
711.6122	Account 6122 Furniture and Artworks Expense (Repealed)
711.6123	Account 6123 Office Equipment Expense (Repealed)
711.6124	Account 6124 General Purpose Computers Expense (Repealed)
711.6211	Account 6211 Analog Electronic Expense (Repealed)
711.6212	Account 6212 Digital Electronic Expense (Repealed)
711.6215	Account 6215 Electro-mechanical Expense (Repealed)
711.6220	Account 6220 Operators System Expense (Repealed)
711.6231	Account 6231 Radio Systems Expense (Repealed)
711.6232	Account 6232 Circuit Equipment Expense (Repealed)
711.6311	Account 6311 Station Apparatus Expense (Repealed)
711.6341	Account 6341 Large Private Branch Exchange Expense (Repealed)
711.6351	Account 6351 Public Telephone Terminal Equipment Expense (Repealed)
711.6362	Account 6362 Other Terminal Equipment Expense (Repealed)
711.6411	Account 6411 Poles Expense (Repealed)
711.6421	Account 6421 Aerial Cable Expense (Repealed)
711.6422	Account 6422 Underground Cable Expense (Repealed)
711.6423	Account 6423 Buried Cable Expense (Repealed)
711.6424	Account 6424 Submarine Cable Expense (Repealed)
711.6425	Account 6425 Deep Sea Cable Expense (Repealed)
711.6426	Account 6426 Intrabuilding Network Cable Expense (Repealed)
711.6431	Account 6431 Aerial Wire Expense (Repealed)
711.6441	Account 6441 Conduit Systems Expense (Repealed)
711.6511	Account 6511 Property Held for Future Telecommunications Use Expense (Repealed)
711.6512	Account 6512 Provisioning Expense (Repealed)
711.6531	Account 6531 Power Expense (Repealed)
711.6532	Account 6532 Network Administration Expense (Repealed)
711.6533	Account 6533 Testing Expense (Repealed)
711.6534	Account 6534 Plant Operations Administrative Expense (Repealed)

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- 711.6535 Account 6535 Engineering Expense ([Repealed](#))
- 711.6540 Account 6540 Access Expense ([Repealed](#))
- 711.6561 Account 6561 Depreciation Expense - Telecommunications Plant in Service ([Repealed](#))
- 711.6562 Account 6562 Depreciation Expense - Property Held for Future Telecommunications Use ([Repealed](#))
- 711.6563 Account 6563 Amortization Expense - Tangible ([Repealed](#))
- 711.6564 Account 6564 Amortization Expense - Intangible ([Repealed](#))
- 711.6565 Account 6565 Amortization Expense - Other ([Repealed](#))
- 711.6611 Account 6611 Product Management Expense ([Repealed](#))
- 711.6612 Account 6612 Sales Expense ([Repealed](#))
- 711.6613 Account 6613 Product Advertising Expense ([Repealed](#))
- 711.6621 Account 6621 Call Completion Services Expense ([Repealed](#))
- 711.6622 Account 6622 Number Services Expense ([Repealed](#))
- 711.6623 Account 6623 Customer Services Expense ([Repealed](#))
- 711.6711 Account 6711 Executive Expense ([Repealed](#))
- 711.6712 Account 6712 Planning Expense ([Repealed](#))
- 711.6721 Account 6721 Accounting and Finance Expense ([Repealed](#))
- 711.6722 Account 6722 External Relations Expense ([Repealed](#))
- 711.6723 Account 6723 Human Resources Expense ([Repealed](#))
- 711.6724 Account 6724 Information Management Expense ([Repealed](#))
- 711.6725 Account 6725 Legal Expense ([Repealed](#))
- 711.6726 Account 6726 Procurement Expense ([Repealed](#))
- 711.6727 Account 6727 Research and Development Expense ([Repealed](#))
- 711.6728 Account 6728 Other General and Administrative Expense ([Repealed](#))
- 711.6790 Account 6790 Provision for Uncollectible Notes Receivable ([Repealed](#))
- 711.7110 Account 7110 Income from Custom Work ([Repealed](#))
- 711.7130 Account 7130 Return from Nonregulated Use of Regulated Facilities ([Repealed](#))
- 711.7140 Account 7140 Gains and Losses from Foreign Exchange ([Repealed](#))
- 711.7150 Account 7150 Gains and Losses from the Distribution of Land and Artwork ([Repealed](#))
- 711.7160 Account 7160 Other Operating Gains and Losses ([Repealed](#))
- 711.7210 Account 7210 Operating Investment Tax Credits - Net ([Repealed](#))
- 711.7220 Account 7220 Operating Federal Income Taxes ([Repealed](#))
- 711.7230 Account 7230 Operating State and Local Income Taxes ([Repealed](#))
- 711.7240 Account 7240 Operating Other Taxes ([Repealed](#))
- 711.7250 Account 7250 Provision for Deferred Operating Income Taxes - Net ([Repealed](#))
- 711.7350 Account 7350 Gains or Losses from the Disposition of Certain Property ([Repealed](#))

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711.7370	Account 7370 Special Charges (Repealed)
711.7400	Account 7400 Nonoperating Taxes (Repealed)
711.7510	Account 7510 Interest on Funded Debt (Repealed)
711.7520	Account 7520 Interest Expense - Capital Leases (Repealed)
711.7530	Account 7530 Amortization of Debt Issuance Expense (Repealed)
711.7540	Account 7540 Other Interest Deductions (Repealed)
711.7610	Account 7610 Extraordinary Income Credits (Repealed)
711.7620	Account 7620 Extraordinary Income Charges (Repealed)
711.7630	Account 7630 Current Income Tax Effects of Extraordinary Items - Net (Repealed)
711.7640	Account 7640 Provision for Deferred Income Tax Effect of Extraordinary Items - Net (Repealed)

SUBPART F: OTHER MATTERSSection

<u>711.8000</u>	<u>Audit Requirement</u>
<u>711.8005</u>	<u>Waivers</u>

AUTHORITY: Implementing Sections 5-102, 5-103, and 7-206 and authorized by Section 10-101 of the Public Utilities Act [\[220 ILCS 5/5-102, 5-103, 7-206, and 10-101\]](#) (~~Ill. Rev. Stat. 1989, ch. 111 2/3, pars. 5-102, 5-103, 7-206 and 10-101~~).

SOURCE: Emergency rules adopted at 12 Ill. Reg. 1136, effective January 1, 1988, for a maximum of 150 days; adopted at 12 Ill. Reg. 9495, effective May 25, 1988; amended at 27 Ill. Reg. _____, effective _____.

SUBPART A: APPLICATION

Section 711.5 Application

- a) This Part [requires carriers subject to Section 711.5\(b\) to document in a cost allocation manual](#), ~~specifies~~ the procedures ~~that which~~ will be followed in order to apportion intrastate costs between regulated and nonregulated activities. This Part applies only to those activities categorized as nonregulated in Illinois; it does not apply to those tariffed activities ~~that which~~ have been classified as “competitive” by the Illinois Commerce Commission (“Commission”) (See Section 13-209 of

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the Public Utilities Act (Act [220 ILCS 5/13-209]). (~~Ill. Rev. Stat. 1985, ch. 111 2/3, par. 13-209~~).

- b) The provisions of this Part are applicable to local exchange carriers (“carriers”) with operations in the State of Illinois that ~~which~~ exceed 35,000 ~~15,000~~ subscriber access lines in service.
- c) Carriers shall update their cost allocation manuals at least annually, except that changes to the cost apportionment table in Section 711.105 and to the description of time reporting procedures in Section 711.107 shall be filed at the time of implementation. Cost allocation manuals shall be filed with the Chief Clerk of the Commission, with a copy to the Manager of Accounting, on or before the last working day of each calendar year. Each filing shall identify any specific variance from the prior filing.
- e) ~~If the Federal Communications Commission (“FCC”) requires a carrier to vary from the provisions of this Part, or if modification of this Part is required to conform to separations requirements or the mirroring of access charge determination, the carrier shall notify the Chief Clerk of the Commission with a copy to the Chief Accountant of the Commission identifying the specific variance(s).~~

(Source: Amended at 27 Ill. Reg. _____, effective _____)

SUBPART B: PRELIMINARY MATERIALS

Section 711.10 Description of Nonregulated Activities

Each carrier is required to have on file with the Chief Clerk of the Commission a description of each nonregulated activity offered by the carrier. This description is to be included in the carrier’s cost allocation manual. Nonregulated activities include those that ~~which~~:

- a) Have never been subject to tariff regulation, except incidental activities, by either the Commission or the Federal Communications Commission (FCC); or
- b) Have been preemptively detariffed in both jurisdictions by the FCC (e.g. customer premises equipment, enhanced services, and installation and maintenance of inside wiring).

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(Source: Amended at 27 __ Ill. Reg. _____, effective _____)

Section 711.15 Incidental Activities

- a) This Part requires that cost allocation procedures reflect the existence of activities in each carrier ~~that which~~ are accorded incidental accounting treatment and allowed to remain on the regulated books of the business. Each carrier is required to have on file with the Chief Clerk of the Commission a description of each activity ~~that which~~ is accorded this treatment. This description is to be included in the carrier's cost allocation manual. "Incidental activities" include, but are not limited to, items such as land and building space rental, cable locating, and pole contact rental. (See 83 Ill. Adm. Code 710.14 and 710.23)
- b) Incidental activities are activities that ~~those which~~ meet the following five conditions.
- 1) The activity is not a line of business;
 - ~~2) The activity has been traditionally treated as incidental by the Commission for ratemaking purposes;~~
 - 23) The activity is an outgrowth of regulated operations;
 - 34) There is little additional financial or business risk to the regulated operation in providing the activity, as determined by the effect on the capital investments and requirements; and
 - 45) The activity utilizes an insubstantial commitment of investment or resources of the carrier, as determined by the capital investments and the service obligations of the carrier.

(Source: Amended at 27 Ill. Reg. _____, effective _____)

SUBPART C: CORPORATE ORGANIZATION AND AFFILIATE TRANSACTIONS

Section 711.20 Corporate Organization

All carriers offering exchange and exchange access telecommunications services in Illinois are required to file with the Chief Clerk of the Commission a description of the carrier's corporate

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affiliates. [This description is to be included in the carrier's cost allocation manual.](#) Affiliates of the local exchange carriers in this context include any corporate entities, partnerships, or other forms of business organization in which there is some portion of ownership control. Filings with the Commission shall include a brief description of each entity, the conditions of ownership, and a chart of all entities.

(Source: Amended at 27 Ill. Reg. _____, effective _____)

Section 711.25 Affiliate Transactions

- a) The cost allocation procedures included in this Part specify the methodology to apportion costs between regulated and nonregulated activities. Each local exchange carrier shall file with the Chief Clerk of the Commission a description of the type of affiliate transactions ~~that which~~ are either provided to regulated operations by nonregulated entities or to nonregulated entities by regulated operations (See [Section 7-101 of the Act \[220 ILCS 5/7-101\]](#). [This description is to be included in the carrier's cost allocation manual.](#) ~~Ill. Rev. Stat. 1985, ch. 111 2/3, par. 7-101). Details of transactions between two nonregulated activities are not required to be filed with the Chief Clerk of the Commission.~~
- b) The filing shall include the following details for each affiliate transaction:
 - 1) Type of transaction;
 - 2) Billing provisions for each service or product provided categorized in one of three methods:
 - A) Market Rate: Using a price given in current market conditions where this price is determined in an arms length transaction;
 - B) Cost: Using the cost apportionment principles and standards included in this Part; or
 - C) Tariff: Using an established rate or charge ~~that which~~ has been filed with the Commission;
 - 3) Frequency of transactions.

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- c) Transactions between carriers and their affiliates are to be recorded on the carrier's books at market price, if market price can be determined from a price list or tariff. In the absence of a list or tariff price, assets transferred from the carrier to the nonregulated entity are to be recorded at the higher of the net book cost or fair market value, while assets transferred from the nonregulated entity to the company are to be recorded at the lower of net book cost or fair market value. Services for which there exists no list or tariff price are to be valued using fully distributed cost. See 83 Ill. Adm. Code 710.27.

(Source: Amended at 27 Ill. Reg. _____, effective _____)

SUBPART D: COST APPORTIONMENT METHODOLOGY AND TIME REPORTING PROCEDURES

Section 711.105 Cost Apportionment

The cost allocation manual shall include a cost apportionment table for each account containing costs incurred in providing regulated services. The apportionment table shall include the cost pools by account, the procedures used to place costs into each cost pool, and the method used to apportion the costs within each cost pool between regulated and nonregulated activities.

~~The cost apportionment methodology is presented in Subpart E. Subpart E is organized as follows:~~

- a) ~~Heading of Sections: Part 710 account number and description which is to be apportioned (see 83 Ill. Adm. Code 710).~~
- b) ~~Cost Pools: Cost pool(s) into which the account is apportioned.~~
- c) ~~Cost Pool Apportionment Basis: Method for apportioning the account into the specified cost pools (see Section 711.200).~~
- d) ~~Regulated/Nonregulated Apportionment Basis: Method for apportioning the defined cost pools into a regulated and nonregulated component (see Section 711.280).~~
- e) ~~Cost Definition: Characteristics of the costs apportioned to regulated and nonregulated services (see Section 711.110).~~

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- f) Comments: Additional narrative included as appropriate to explain the methodology.

(Source: Amended at 27 Ill. Reg. _____, effective _____)

Section 711.107 Time Reporting Procedures

The cost allocation manual shall include a description of the time reporting procedures that the carrier uses, including the methods or studies designed to measure and allocate non-productive time.

(Source: Added at 27 Ill. Reg. _____, effective _____)

Section 711.110 Cost and Allocation Definitions (Repealed)

- a) ~~The costs which are apportioned to regulated and nonregulated activities through this Part are defined in four categories:~~
- ~~1) Directly Assignable: Expenses or investments which are incurred for the exclusive use of either regulated or nonregulated activities.~~
 - ~~2) Directly Attributable: Expenses or investments which are incurred for both regulated and nonregulated activities but which can be distributed to each using direct measures of cost causation or usage.~~
 - ~~3) Indirectly Attributable: Expenses or investments which are incurred for both regulated and nonregulated activities and which must be apportioned on the basis of indirect measures of cost causation.~~
 - ~~4) Unattributable: Expenses or investments which are shared by both regulated and nonregulated activities and for which no direct or indirect measures of cost causation can be used to apportion costs.~~
- b) ~~The following definitions are used in the description of cost allocation methodologies:~~
- ~~1) Cost Pool: A homogenous group of costs which have a unique cost determinant.~~

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- 2) ~~Cost Pool Equals Account: The entire account balance forms a single cost pool.~~
- 3) ~~General Allocator: The ratio of all expenses directly assigned or attributed to regulated and nonregulated activities.~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.115 Cost Pools ([Repealed](#))

- a) ~~Where the apportionment of costs to regulated and nonregulated activities cannot be determined through the nature of the Part 710 Account, cost pools are defined to permit this analysis. Cost pools represent a homogenous group of costs which have a unique cost determinant.~~
- b) ~~This Part specifies the minimum level of cost pool detail required to distinguish the costs of regulated and nonregulated services. Each local exchange carrier may utilize additional detailed cost pools as appropriate in order to improve the regulated and nonregulated apportionment process. Where detailed cost pools not specified in this Part are used by a carrier, it must file with the Chief Accountant of the Commission detailed information in the format outlined in Subpart E which identifies the basis of the cost pool apportionment and the subsequent regulated/nonregulated apportionment basis. Any reasons and justification for the deviation shall be stated in the comments or attached.~~
- e) ~~Where accounting systems and procedures of a local exchange carrier do not allow disaggregation of costs to particular pools specified by this Part, such cost pools need not be populated.~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.200 Cost Pool Apportionment Bases ([Repealed](#))

~~Where a specific Part 710 Account must be subdivided to permit a causally based apportionment, Subpart E specifies the basis by which the account is apportioned into multiple cost pools. Sections 711.205 through 711.275 outline each of these bases and provide a cross-reference to the specific accounts in Subpart E where the method is employed.~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

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Section 711.205 Cost Pool Account Transaction Analysis ([Repealed](#))

- a) ~~Several of the Part 710 Accounts include costs whose characteristics can only be defined through the direct analysis of the transactions and are classified into either a regulated, nonregulated, or shared cost pool.~~
- b) ~~Account transaction analysis is used to apportion the following accounts into cost pools:~~

~~Account 2690 Intangible Assets
Account 6612 Sales Expenses
Account 6728 Other General and Administrative~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.210 Analysis of Leased Assets ([Repealed](#))

- a) ~~The capital leases and leasehold improvements of each local exchange carrier are classified on an annual basis into three cost pools: Regulated, Nonregulated, and Other. This analysis is completed through the use of existing company property and lease records. The costs of any leases exclusively used for either regulated or nonregulated services are assigned directly to the appropriate cost pool within the account. All other leases are assigned to the Other Cost Pool by major asset category.~~
- b) ~~The lease and leasehold analysis process is used to apportion the following accounts into cost pools:~~

~~Account 2681 Capital Leases
Account 2682 Leasehold Improvements~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.215 Analysis of Motor Vehicle Records ([Repealed](#))

~~An annual analysis of motor vehicle records is to be made in order to apportion the investment in Account 2112 into two cost pools:~~

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- a) ~~Plant~~
- b) ~~Customer and Corporate Operations~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.220 Analysis of Information Management Projects ([Repealed](#))

- a) ~~The information management projects undertaken by each local exchange carrier are classified on an annual basis into three cost pools:~~
 - 1) ~~Regulated~~
 - 2) ~~Nonregulated~~
 - 3) ~~Shared~~
- b) ~~The information management project analysis process is used to apportion Account 6724 Information Management Expense into cost pools.~~
- c) ~~The costs of any projects undertaken exclusively for either regulated or nonregulated services are assigned directly to the appropriate cost pool. The costs of any remaining projects are allocated using the General Allocator.~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.225 Analysis of Research and Development Projects ([Repealed](#))

- a) ~~The research and development (“R&D”) projects undertaken by each local exchange carrier are classified on an annual basis into three cost pools: Regulated, Nonregulated, and Shared. This analysis is completed through the use of company R&D project records. The costs of any projects undertaken exclusively for either regulated or nonregulated services are assigned directly to the appropriate cost pool. The costs of all remaining projects are assigned to the Shared Cost Pool.~~
- b) ~~The R&D project analysis process is used to apportion Account 6727 Research & Development Expense into cost pools.~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.230 Analysis of Purchase Records ([Repealed](#))

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- a) ~~The purchasing records of the local exchange carrier are classified on an annual basis into three cost pools: Regulated, Nonregulated, and Shared. This analysis is completed through the use of company purchasing records. In this analysis, the proportional purchasing activity related to nonregulated and regulated services is determined; these ratios are applied to the balance in the account, and the resultant costs are then assigned directly to the appropriate cost pool. The percentage of remaining purchasing activity is then applied to the costs in the account to determine the costs in the Shared Cost Pool.~~
- b) ~~The purchase records analysis process is used to apportion Account 6726 Procurement Expense into cost pools.~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.235 Analysis of Tax Records ([Repealed](#))

~~The tax records of the local exchange carrier are analyzed annually to apportion Account 7210 Operating Investment Tax Credit Net into the defined cost pools. The cost pools are defined by major asset category in a manner which reflects how investment credits are generated.~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.245 Computer Application Activity Analysis ([Repealed](#))

- a) ~~An analysis of the company's computer application records is to be completed, not less frequently than annually, in order to apportion Account 2124 into four costs pools:~~
- ~~1) Regulated~~
 - ~~2) Nonregulated~~
 - ~~3) Shared Functional Operations~~
 - ~~4) General Support~~
- b) ~~In this analysis the costs of applications undertaken exclusively for either regulated or nonregulated services are assigned directly to the appropriate cost pool. Costs of applications which are functional in nature and are shared by regulated and nonregulated services are assigned to the Shared cost pool. Finally,~~

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~~the costs of any remaining applications are assigned to the General Support cost pool.~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.250 Building/Floor Space Use Study ([Repealed](#))

~~Local exchange carrier property records and building usage analyses contain information on the functions which use the productive space (space used for the provision of telecommunications services or the generation of revenue) in the company's building investment on either a detailed or summary location basis. This usage data permits an annual apportionment of Account 2121 into these cost pools:~~

- ~~a) Central Office~~
- ~~b) Plant Support~~
- ~~c) Customer and Corporate Operations~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.255 Direct Reporting ([Repealed](#))

- ~~a) The operational and accounting systems of the local exchange carrier support the direct identification of costs to cost pools through the use of functionally based reporting codes. These codes are assigned to specific work activities, and subsequently related to specific cost pools. Employees may also report to these codes through time reporting procedures.~~
- ~~b) The direct reporting of functionally based codes is used to identify selected cost pools in the following accounts:~~

- ~~Account 1220 Materials and Supplies~~
- ~~Account 6116 Other Work Equipment Expense~~
- ~~Account 6212 Digital Electronic Expense~~
- ~~Account 6231 Radio Systems Expense~~
- ~~Account 6232 Circuit Equipment Expense~~
- ~~Account 6311 Station Apparatus Expense~~
- ~~Account 6341 Large Private Branch Exchange Expense~~
- ~~Account 6351 Public Telephone Terminal Equipment Expense~~

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~~Account 6362 Other Terminal Equipment Expense~~
~~Account 6512 Provisioning~~
~~Account 6533 Testing Expenses~~
~~Account 6611 Product Management~~
~~Account 6612 Sales~~
~~Account 6613 Product Advertising~~
~~Account 6621 Call Completion~~
~~Account 6622 Number Services~~
~~Account 6623 Customer Services~~
~~Account 6712 Planning~~
~~Account 6721 Accounting and Finance~~
~~Account 6722 External Relations~~
~~Account 6724 Information Management~~
~~Account 6725 Legal~~
~~Account 6728 Other General and Administrative~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.260 Flight Logs ([Repealed](#))

- a) ~~Flight logs maintained of all aircraft usage by the local exchange carrier are used to classify the investment in Account 2113 into three cost pools: Regulated, Nonregulated, and Shared.~~
- b) ~~Flight logs are completed by the originator of the flight and the pilot. They provide details of the purpose of the flight, the organization using the aircraft, and the determination of whether the purpose of the flight was exclusively for regulated or nonregulated purposes. Where the aircraft usage cannot be clearly identified as either regulated or nonregulated, the residual investment and associated expenses are assigned to the Shared Cost Pool.~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.265 Property Record Analysis ([Repealed](#))

- a) ~~The property records of the company facilitate the disaggregation of the account so that direct assignment to regulated and nonregulated services can be maximized. In many cases, investment which is dedicated to the regulated and nonregulated services can be identified through these records or through unique subaccounts.~~

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- b) ~~The details in a company's property records or subaccounts are used to apportion the following accounts into cost pools:~~

~~Account 2212 Digital Electronic Switching
Account 2231 Radio Systems
Account 2232 Circuit Equipment
Account 2311 Station Apparatus
Account 2321 Customer Premises Wiring
Account 2341 Large Private Branch Exchanges
Account 2351 Public Telephone Terminal Equipment
Account 2362 Other Terminal Equipment
Account 3100 Accumulated Depreciation
Account 6561 Depreciation Expense—Telecommunications Plant in Service~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.270 Relative Investment Value - Cost Pool Apportionment ([Repealed](#))

- a) ~~The reference to relative investment value as the basis for the cost pool apportionment indicates that a proportional relationship of an investment category to a larger pool of investment is used to determine the cost pool balance.~~
- b) ~~The relative value of the related buildings investments is used to apportion Account 2111 Land into three cost pools.~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.275 Testing Activity Study ([Repealed](#))

~~If the functional records of a local exchange carrier do not permit the identification of the specified cost pools in Account 6533 Testing Expense, an annual study shall be performed to determine the proportional amount of time spent by the testing personnel and forces on subscriber line testing, service order testing, and all other forms of testing.~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.280 Regulated/Nonregulated Apportionment Bases ([Repealed](#))

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~~Cost pools are defined to be homogenous groups of costs which share a causal relationship. Many cost pools can be directly assigned to either regulated or nonregulated activities. Where a cost pool cannot be directly assigned to either regulated or nonregulated service, a further apportionment is required. Sections 711.285 through 711.375 outline each of these bases and provide a cross-reference to the specific accounts in Subpart E where the method is employed.~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.285 Account Transaction Analysis - Regulated/Nonregulated (Repealed)

- a) ~~Several of the cost pools consist of costs whose regulated and nonregulated characteristics can only be defined through the direct analysis of transactions during the period. Direct analysis of an account requires an examination and review of the transactions made into the account to determine the proper categorization of the expenditures as to the regulated, nonregulated, or shared cost pools.~~
- b) ~~Account transaction analysis is used to apportion selected cost pools to regulated and nonregulated activities in the following accounts:~~

~~Account 2002 Property Held for Future Telecommunications Use
Account 2003 Telecommunications Plant Under Construction
—Short Term~~

~~Account 2004 Telecommunications Plant Under Construction
—Long Term~~

~~Account 2005 Telecommunications Plant Adjustment~~

~~Account 4100 Net Current Deferred Operating Income Taxes~~

~~Account 4340 Net Noncurrent Deferred Operating Income Taxes~~

~~Account 6512 Provisioning Expense~~

~~Account 6790 Provision for Uncollectible Notes Provision~~

~~Account 7150 Gains or Losses From the Disposition of Land and
—Artworks~~

~~Account 7160 Other Operating Gains and Losses~~

~~Account 7240 Operating Other Taxes~~

~~Account 7250 Provision for Deferred Operating Income Taxes—Net~~

~~Account 7350 Gains or Losses from the Disposition of Certain Property~~

~~Account 7400 Nonoperating Taxes~~

~~Account 7610 Extraordinary Income Credits~~

~~Account 7620 Extraordinary Income Charges~~

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~~Account 7630 Current Income Tax Effects of Extraordinary Items—Net
Account 7640 Provision for Deferred Income Tax Effect of Extraordinary
Items—Net~~

- e) ~~Uncollectible revenues. Account 5301 Uncollectible Revenues—
Telecommunications, associated with furnishing regulated services, will be
directly assigned to regulated activities. Uncollectible revenues associated with
nonregulated activities will be directly assigned to nonregulated activities and
specifically identified in a nonregulated subsidiary record category.~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.290 Billing and Collection Study [\(Repealed\)](#)

~~A study, completed at least annually, is required to apportion customer billing and collection costs to regulated and nonregulated activities. This study is used to apportion selected cost pools in Account 6623 Customer Services. This study shall distinguish the nonregulated proportion of the key determinants of customer billing and collection: messages or billing units, and lines of billing.~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.305 Customer and Corporate Operations Wages and Salaries [\(Repealed\)](#)

- a) ~~Customer and corporate operations wages and salaries are used as the indirect basis for apportioning selected cost pools between regulated and nonregulated activities where these wages and salaries are the primary determinant of cost behavior.~~
- b) ~~Customer and corporate operations wages and salaries are used to apportion selected cost pools in the following accounts:~~

~~Account 2111 Land
Account 2112 Motor Vehicles
Account 2121 Buildings~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.309 Engineering Time Reporting [\(Repealed\)](#)

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~~Engineering time reporting is used as the indirect basis for apportioning selected cost pools between regulated and nonregulated activities. Engineering time reporting is used to apportion selected pools in Account 6535 Engineering Expense.~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.310 Engineering Wages and Salaries ([Repealed](#))

- a) ~~Engineering wages and salaries are used as the indirect basis for apportioning selected cost pools between regulated and nonregulated activities. The basis is used since expenses for engineering labor are the primary determinant of cost behavior in this account.~~
- b) ~~Engineering wages and salaries are used to apportion selected cost pools in the following accounts:~~

~~Account 1439 Deferred Charges
Account 6535 Engineering Expense~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.315 General Allocator ([Repealed](#))

- a) ~~This Part prescribes the use of a general allocator to apportion those costs pools for which no direct or indirect measures of cost causation are available.~~
- b) ~~Selected cost pools in the following accounts are apportioned using the general allocator:~~

~~Account 1439 Deferred Charges
Account 2113 Aircraft
Account 2124 General Purpose Computers
Account 2690 Intangibles
Account 6623 Customer Services Expense
Account 6711 Executive Expense
Account 6712 Planning Expense
Account 6721 Accounting and Finance
Account 6722 External Relations
Account 6724 Information Management~~

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~~Account 6725 Legal Expense~~
~~Account 6726 Procurement~~
~~Account 6727 Research and Development Expense~~
~~Account 6728 Other General and Administrative Expense~~
~~Account 7370 Special Charges~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.320 Marketing Allocator ([Repealed](#))

- a) ~~The marketing allocator is used to apportion those cost pools of marketing expenses for which measures of cost behavior cannot be identified. The allocator is based on the results of previous direct assignments and attributions of marketing costs.~~
- b) ~~The marketing allocator is used to apportion marketing expenses in selected cost pools in the following accounts:~~

~~Account 6611 Product Management~~
~~Account 6612 Sales~~
~~Account 6613 Product Advertising~~
~~Account 6623 Customer Services~~
~~Account 6722 External Relations~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.325 Network Plant Wages and Salaries ([Repealed](#))

~~Network plant wages and salaries are used as the indirect basis for apportioning selected cost pools between regulated and nonregulated activities where these wages and salaries are the primary determinant of cost behavior. Network plant wages and salaries are used to apportion selected cost pools in Account 2116 Other Work Equipment.~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.330 Plant Support Wages and Salaries ([Repealed](#))

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- a) ~~Plant support wages and salaries are used as the indirect basis for apportioning selected cost pools where these wages and salaries are the primary determinant of cost behavior.~~
- b) ~~Plant support wages and salaries are used to apportion selected cost pools in the following accounts:~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.335 Projected Regulated/Nonregulated Shared Usage (Repealed)

- a) ~~The costs of network investment which is shared by both regulated and nonregulated services are normally recovered on the basis of tariff rates. Any shared investment which is not utilized at tariff rates is apportioned on the basis of peak projected nonregulated usage.~~
- b) ~~The forecasting process is completed annually for each cost pool and uses a three year forecasting period. During each forecast, the highest level of forecast nonregulated usage is determined and used to apportion the costs of investment. When the tracking process identifies a variance between forecast and actual usage, a reconciliation shall be required. If actual nonregulated usage has been greater than the cumulative forecast, additional investment is to be transferred from the regulated activities.~~
- e) ~~The forecasting of usage is the basis for the apportionment of the Shared Cost Pools in the following accounts:~~

~~Account 2211 Analog Electronic Switching
Account 2212 Digital Electronic Switching
Account 2215 Electro-mechanical Switching
Account 2220 Operator Systems
Account 2411 Poles
Account 2421 Aerial Cable
Account 2422 Underground Cable
Account 2423 Buried Cable
Account 2424 Submarine Cable
Account 2425 Deep Sea Cable
Account 2426 Intrabuilding Network Cable
Account 2431 Aerial Wire~~

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~~Account 2441 Conduit Systems~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.340 Regulated/Nonregulated Actual Usage ([Repealed](#))

- a) ~~The actual regulated and nonregulated usage of shared network investment is the basis for apportioning related expenses. Actual usage is measured annually, generally through the use of the property or administrative records of the local exchange carrier.~~
- b) ~~Actual usage is the basis for the apportionment of the Shared Cost Pool in Account 6212 Digital Electronic Expense.~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.345 Relative Investment Value - Regulated/Nonregulated ([Repealed](#))

- a) ~~The reference to relative investment value as the basis for the regulated and nonregulated apportionment indicates that the proportional relationship of the regulated and nonregulated investment in a specific investment category (e.g. Central Office) is used to determine the regulated and nonregulated balances in the cost pool apportioned.~~
- b) ~~Relative investment value is used to apportion selected cost pools to regulated and nonregulated activities. The specific measures used for each account apportionment are outlined in Subpart E:~~

~~Account 1220 Materials and Supplies
Account 2111 Land
Account 2114 Special Purpose Vehicles
Account 2115 Garage Work Equipment
Account 2121 Buildings
Account 3100 Accumulated Depreciation
Account 3200 Accumulated Depreciation Held for Future
Telecommunications Use
Account 3410 Accumulated Amortization Capitalized Leases
Account 3420 Accumulated Amortization Leasehold Improvements
Account 3500 Accumulated Amortization Intangibles~~

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~~Account 3600 Accumulated Amortization Other~~
~~Account 6112 Motor Vehicle Expense~~
~~Account 6113 Aircraft Expense~~
~~Account 6114 Special Purpose Vehicle Expense~~
~~Account 6115 Garage Work Equipment Expense~~
~~Account 6116 Other Work Equipment Expense~~
~~Account 6121 Land and Building Expense~~
~~Account 6122 Furniture and Artworks Expense~~
~~Account 6123 Office Equipment Expense~~
~~Account 6124 General Purpose Computers Expense~~
~~Account 6211 Analog Electronic Expense~~
~~Account 6215 Electro-mechanical Expense~~
~~Account 6220 Operators System Expense~~
~~Account 6231 Radio Systems Expense~~
~~Account 6232 Circuit Equipment Expense~~
~~Account 6411 Poles Expense~~
~~Account 6421 Aerial Cable Expense~~
~~Account 6422 Underground Cable Expense~~
~~Account 6423 Buried Cable Expense~~
~~Account 6424 Submarine Cable Expense~~
~~Account 6425 Deep Sea Cable Expense~~
~~Account 6426 Intrabuilding Network Cable Expense~~
~~Account 6431 Aerial Wire Expense~~
~~Account 6441 Conduit Systems Expense~~
~~Account 6511 Property Held for Future Telecommunications Use Expense~~
~~Account 6531 Power Expense~~
~~Account 6532 Network Administration Expense~~
~~Account 6561 Depreciation Expense Telecommunications Plant in Service~~
~~Account 6562 Depreciation Expense Property Held for Future Telecommunications Expense~~
~~Account 6563 Amortization Expense Tangible~~
~~Account 6564 Amortization Expense Intangible~~
~~Account 6565 Amortization Expense Other~~
~~Account 7210 Operating Investment Tax Credits Net~~
~~Account 7240 Operating Other Taxes~~
~~Account 7250 Provision for Deferred Operating Income Taxes Net~~
~~Account 7510 Interest on Funded Debt~~
~~Account 7520 Interest Expense Capital Leases~~
~~Account 7530 Amortization of Debt Issuance Expense~~

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~~Account 7540 Other Interest Deductions~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.350 Relative Regulated/Nonregulated Pre-Tax Book Income ([Repealed](#))

~~Certain of the tax accounts of each company reflect taxes which are calculated on the basis of pre-tax book income. This measure, therefore, is used to apportion the cost pools to regulated and nonregulated activities. The accounts in which selected costs pools use this basis are:~~

~~Account 7220 Operating Federal Income Taxes
Account 7230 Operating State and Local Income Taxes~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.355 Relative Regulated/Nonregulated Revenues ([Repealed](#))

~~One cost pool specified in this Part reflects the gross receipt taxes paid by each company. The appropriate apportionment measure for the Gross Receipts cost pool in Account 7240 Operating Other Taxes is relative to the nature of the revenue on which the tax or fee is applied.~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.360 Service Center Activity Study ([Repealed](#))

- a) ~~A study, completed at least annually, is required to distinguish the proportion of service center activity which is related to regulated and nonregulated—services. A study is the most appropriate method of developing an apportionment process since service center activity involves multiple tasks which only require a small increment of time to complete. The study shall distinguish the regulated and nonregulated proportion of activity in each of the two key functions of a service center: sales and servicing. The study records the time spent on each task performed as well as what the task was. This study is finally summarized by task and time spent on each task.~~
- b) ~~The results of the service center study are used to apportion selected cost pools in the following accounts:~~

~~Account 6612 Sales~~

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~~Account 6623 Customer Services Expense~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.365 Service Order Activity Analysis ([Repealed](#))

~~The service order analysis process distinguishes the regulated and nonregulated related service order. The methodology involves a monthly tally of service order activity to apportion the costs of the service order testing cost pool in Account 6533 Testing.~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.370 Trouble Report Analysis ([Repealed](#))

~~The trouble report analysis process distinguishes the regulated and nonregulated portions of testing due to trouble reports. The methodology involves a monthly tally of trouble report dispositions to apportion the costs of the Subscriber Line Testing cost pool in Account 6533 Testing.~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.375 Total Company Wages and Salaries ([Repealed](#))

- ~~a) Total regulated and nonregulated wages and salaries for each local exchange carrier are used as the indirect basis for apportioning selected cost pools where total wages and salaries are the primary determinant of cost behavior.~~
- ~~b) Total wages and salaries are used to apportion selected cost pools in the following accounts:~~

~~Account 1439 Deferred Charges~~

~~Account 6723 Human Resources Expense~~

~~Account 7250 Provision for Deferred Operating Income Taxes-Net~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

SUBPART E: COST APPORTIONMENT – ACCOUNTS

Section 711.1220 Account 1220 Materials and Supplies ([Repealed](#))

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- a) ~~Regulated cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Direct reporting~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Regulated~~
 - 3) ~~Cost Definition: Directly assignable~~

- b) ~~Nonregulated cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Direct reporting~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Nonregulated~~
 - 3) ~~Cost Definition: Directly assignable~~

- e) ~~Other cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Residual of account~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Relative value: Telecommunications plant investment~~
 - 3) ~~Cost Definition: Indirectly attributable~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.1439 Account 1439 Deferred Charges ([Repealed](#))

- a) ~~Engineering cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Cost pool equals subaccount~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Engineering wages and salaries~~
 - 3) ~~Cost Definition: Indirectly attributable~~

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- b) ~~Compensated absences cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Cost pool equals subaccount~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Total company wages and salaries~~
 - 3) ~~Cost Definition: Indirectly attributable~~
- e) ~~Other cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Cost pool equals subaccount~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: General Allocator~~
 - 3) ~~Cost Definition: Unattributable~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.2002 Account 2002 Property Held for Future Telecommunications Use ([Repealed](#))

- a) ~~Cost Pool: Same as Account~~
- b) ~~Cost Pool Apportionment Basis: Cost pool equals Account~~
- e) ~~Regulated/Nonregulated Apportionment Basis: Account transaction analysis~~
- d) ~~Cost Definition: See subsection (e)~~
- e) ~~Comments: An analysis of the property records for this account will be performed to determine the major asset classification for the property in question. Each asset will be treated with the same methodology used for that asset classification.~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.2003 Account 2003 Telecommunications Plant Under Construction - Short Term ([Repealed](#))

- a) ~~Cost Pool: Same as Account~~

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- b) ~~Cost Pool Apportionment Basis: Cost pool equals Account~~
- e) ~~Regulated/Nonregulated Apportionment Basis: Account transaction analysis~~
- d) ~~Cost Definition: See subsection (e)~~
- e) ~~Comments: An analysis of the property records for this Account will be performed to determine the major asset classification for the property in question. Each asset will be treated with the same methodology used for that asset classification.~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.2004 Account 2004 Telecommunications Plant Under Construction - Long Term
(Repealed)

- a) ~~Cost Pool: Same as Account~~
- b) ~~Cost Pool Apportionment Basis: Cost pool equals Account~~
- e) ~~Regulated/Nonregulated Apportionment Basis: Account transaction analysis~~
- d) ~~Cost Definition: See subsection (e)~~
- e) ~~Comments: An analysis of the property records for this Account will be performed to determine the major asset classification for the property in question. Each asset will be treated with the same methodology used for that asset classification.~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.2005 Account 2005 Telecommunications Plant Adjustment (Repealed)

- a) ~~Cost Pool: Same as Account~~
- b) ~~Cost Pool Apportionment Basis: Cost pool equals Account~~
- e) ~~Regulated/Nonregulated Apportionment Basis: Account transaction analysis~~

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- d) ~~Cost Definition: See subsection (e)~~
- e) ~~Comments: An analysis of the property records for this Account will be performed to determine the major asset classification for the property in question. Each asset will be treated with the same methodology used for that asset classification.~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.2111 Account 2111 Land ([Repealed](#))

- a) ~~Central Office cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Central Office Building in Account 2121 relative to total building investment.~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Relative value: Central office investment~~
 - 3) ~~Cost Definition: Indirectly attributable~~
- b) ~~Plant Support cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Plant Support Buildings in Account 2121 relative to total building investment~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Plant Support wages and salaries~~
 - 3) ~~Cost Definition: Indirectly attributable~~
- e) ~~Customer and Corporate Operations cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Customer and Corporate Operations Buildings in Account 2121 relative to total building investment~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Customer and Corporate Operations wages and salaries~~
 - 3) ~~Cost Definition: Indirectly attributable~~

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(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.2112 Account 2112 Motor Vehicles ([Repealed](#))

- a) ~~Plant cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Analysis of motor vehicle records~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Plant wages and salaries~~
 - 3) ~~Cost Definition: Indirectly attributable~~
- b) ~~Customer and Corporate Operations cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Analysis of motor vehicle records~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Customer and Corporate Operation wages and salaries~~
 - 3) ~~Cost Definition: Indirectly attributable~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.2113 Account 2113 Aircraft ([Repealed](#))

- a) ~~Regulated cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Flight logs~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Regulated~~
 - 3) ~~Cost Definition: Directly assignable~~
- b) ~~Nonregulated cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Flight logs~~

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- 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Nonregulated~~
- 3) ~~Cost Definition: Directly assignable~~
- e) ~~Shared cost pool~~
- 1) ~~Cost Pool Apportionment Basis: Residual of Account~~
- 2) ~~Regulated/Nonregulated Apportionment Basis: General Allocator~~
- 3) ~~Cost Definition: Unattributable~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.2114 Account 2114 Special Purpose Vehicles ([Repealed](#))

- a) ~~Cost Pool: Same as Account~~
- b) ~~Cost Pool Apportionment Basis: Cost pool equals Account~~
- e) ~~Regulated/Nonregulated Apportionment Basis: Relative value: Cable and Wire~~
- d) ~~Cost Definition: Indirectly attributable~~
- e) ~~Comments: Since all outside plant assets are regulated, the regulated/nonregulated apportionment of special purpose vehicles could also be assigned.~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.2115 Account 2115 Garage Work Equipment ([Repealed](#))

- a) ~~Cost Pool: Same as Account~~
- b) ~~Cost Pool Apportionment Basis: Cost pool equals Account~~
- e) ~~Regulated/Nonregulated Apportionment Basis: Relative value: Accounts supported by garage equipment~~
- d) ~~Cost Definition: Indirectly attributable~~

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(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.2116 Account 2116 Other Work Equipment ([Repealed](#))

- a) ~~Central Office cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Same as Account~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Net work Plant wages and salaries~~
 - 3) ~~Cost Definition: Indirectly attributable~~
- b) ~~Outside Plant cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Analysis of work equipment~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Out side plant wages and salaries~~
 - 3) ~~Cost Definition: Indirectly attributable~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.2121 Account 2121 Buildings ([Repealed](#))

- a) ~~Central Office cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Building/Floor Space study~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Relative value: Central office investment~~
 - 3) ~~Cost Definition: Indirectly attributable~~
- b) ~~Plant Support cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Building/Floor Space study~~

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- 2) ~~Regulated/Nonregulated Apportionment Basis: Plant support wages and salaries~~
- 3) ~~Cost Definition: Indirectly attributable~~
- e) ~~Customer and Corporate Operations cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Building/Floor Space study~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Customer and corporate operations wages and salaries~~
 - 3) ~~Cost Definition: Indirectly attributable~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.2122 Account 2122 Furniture ([Repealed](#))

- a) ~~Cost pool: Same as Account~~
- b) ~~Cost Pool Apportionment Basis: Cost pool equals Account~~
- e) ~~Regulated/Nonregulated Apportionment Basis: Total company wages and salaries less installation and repair wages and salaries~~
- d) ~~Cost Definition: Indirectly attributable~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.2123 Account 2123 Office Equipment ([Repealed](#))

- a) ~~Office Support cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Cost pool equals Subaccount~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Total company wages and salaries less field forces wages and salaries~~
 - 3) ~~Cost Definition: Indirectly attributable~~

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- b) ~~Company Communications cost pool~~
 - 1) ~~Cost Pool Apportionment basis: Cost pool equals Subaccount~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Total company wages and salaries~~
 - 3) ~~Cost Definition: Indirectly attributable~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.2124 Account 2124 General Purpose Computers [\(Repealed\)](#)

- a) ~~Regulated cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Application activity analysis~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Regulated~~
 - 3) ~~Cost Definition: Directly assignable~~
- b) ~~Nonregulated cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Application activity analysis~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Nonregulated~~
 - 3) ~~Cost Definition: Directly assignable~~
- e) ~~Shared Functional Operations cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Application activity analysis~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Functional analysis~~
 - 3) ~~Cost Definition: See subsection (c)(4)~~

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~~4) Comments: Functional analysis will be used to determine the uses of investment which is not exclusively regulated or nonregulated. An appropriate regulated/nonregulated allocator will be defined for each function.~~

~~d) General Support cost pool~~

~~1) Cost Pool Apportionment Basis: Application activity analysis~~

~~2) Regulated/Nonregulated Apportionment Basis: General Allocator~~

~~3) Cost Definition: Unattributable~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.2211 Account 2211 Analog Electronic Switching ([Repealed](#))

~~a) Regulated cost pool~~

~~1) Cost Pool Apportionment Basis: Direct reporting Regulated/ Non-regulated Apportionment Basis: Directly assigned to Regulated~~

~~2) Regulated/Nonregulated Apportionment Basis: Directly assigned to Regulated~~

~~3) Cost Definition: Directly assignable~~

~~b) Nonregulated cost pool~~

~~1) Cost Pool Apportionment Basis: Direct reporting~~

~~2) Regulated/Nonregulated Apportionment Basis: Directly assigned to Nonregulated~~

~~3) Cost Definition: Directly assignable~~

~~e) Shared cost pool~~

~~1) Cost Pool Apportionment Basis: Residual of Account~~

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- 2) ~~Regulated/Nonregulated Apportionment Basis: Projected Regulated/Nonregulated shared usage~~
- 3) ~~Cost Definition: Directly attributable~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.2212 Account 2212 Digital Electronic Switching ([Repealed](#))

- a) ~~Regulated cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Property record analysis~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Regulated~~
 - 3) ~~Cost Definition: Directly assignable~~
 - 4) ~~Comments: Includes any digital electronic switching used either only for regulated services or charged to nonregulated on a tariff basis.~~
- b) ~~Nonregulated cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Property record analysis~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Nonregulated~~
 - 3) ~~Cost Definition: Directly assignable~~
- e) ~~Shared cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Property record analysis~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Projected Regulated/Nonregulated shared usage~~
 - 3) ~~Cost Definition: Directly attributable~~

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- 4) ~~Comments: Includes shared investment required for packet switch and protocol conversion functions.~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.2215 Account 2215 Electro-mechanical Switching ([Repealed](#))

- a) ~~Regulated cost pool~~
- 1) ~~Cost Pool Apportionment Basis: Direct reporting~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Regulated~~
 - 3) ~~Cost Definition: Directly assignable~~
- b) ~~Nonregulated cost pool~~
- 1) ~~Cost Pool Apportionment Basis: Direct reporting~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Nonregulated~~
 - 3) ~~Cost Definition: Directly assignable~~
- e) ~~Shared cost pool~~
- 1) ~~Cost Pool Apportionment Basis: Residual of Account~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Projected Regulated/Nonregulated shared usage~~
 - 3) ~~Cost Definition: Directly attributable~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.2220 Account 2220 Operator Systems ([Repealed](#))

- a) ~~Regulated cost pool~~

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- 1) ~~Cost Pool Apportionment Basis: Direct reporting~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Regulated~~
 - 3) ~~Cost Definition: Directly assignable~~
- b) ~~Nonregulated cost pool~~
- 1) ~~Cost Pool Apportionment Basis: Direct reporting~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Nonregulated~~
 - 3) ~~Cost Definition: Directly assignable~~
- e) ~~Shared cost pool~~
- 1) ~~Cost Pool Apportionment Basis: Residual of Account~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Projected Regulated/Nonregulated shared usage~~
 - 3) ~~Cost Definition: Directly attributable~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.2231 Account 2231 Radio Systems ([Repealed](#))

- a) ~~Regulated cost pool~~
- 1) ~~Cost Pool Apportionment Basis: Property record analysis~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Regulated~~
 - 3) ~~Cost Definition: Directly assignable~~

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- 4) ~~Comments: Includes all investment used either exclusively for regulated services or charged on a tariff basis to nonregulated services.~~
- b) ~~Nonregulated cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Property record analysis~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Nonregulated~~
 - 3) ~~Cost Definition: Directly assignable~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.2232 Account 2232 Circuit Equipment (Repealed)

- a) ~~Regulated cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Property record analysis~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Regulated~~
 - 3) ~~Cost Definition: Directly assignable~~
 - 4) ~~Comments: Includes all investment used either exclusively for regulated services or charged on a tariff basis to nonregulated services.~~
- b) ~~Nonregulated cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Property record analysis~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Nonregulated~~
 - 3) ~~Cost Definition: Directly assignable~~
 - 4) ~~Comments: Includes digital and analog circuit equipment used in the provision of nonregulated services.~~

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(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.2311 Account 2311 Station Apparatus ([Repealed](#))

- a) ~~Regulated cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Property record analysis~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Regulated~~
 - 3) ~~Cost Definition: Directly assignable~~
- b) ~~Nonregulated cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Property record analysis~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Nonregulated~~
 - 3) ~~Cost Definition: Directly assignable~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.2321 Account 2321 Customer Premises Wiring ([Repealed](#))

- a) ~~Regulated cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Property record analysis~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Regulated~~
 - 3) ~~Cost Definition: Directly assignable~~
- b) ~~Nonregulated cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Property record analysis~~

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- 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Nonregulated~~
- 3) ~~Cost Definition: Directly assignable~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.2341 Account 2341 Large Private Branch Exchange ([Repealed](#))

- a) ~~Regulated cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Property record analysis~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Regulated~~
 - 3) ~~Cost Definition: Directly assignable~~
- b) ~~Nonregulated cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Property record analysis~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Nonregulated~~
 - 3) ~~Cost Definition: Directly assignable~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.2351 Account 2351 Public Telephone Terminal Equipment ([Repealed](#))

- a) ~~Regulated cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Property record analysis~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Regulated~~
 - 3) ~~Cost Definition: Directly assignable~~

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- b) ~~Nonregulated cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Property record analysis~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Nonregulated~~
 - 3) ~~Cost Definition: Directly assignable~~
- e) ~~Shared cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Residual of Account~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Projected Regulated/Nonregulated shared usage~~
 - 3) ~~Cost Definition: Directly attributable~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.2362 Account 2362 Other Terminal Equipment ([Repealed](#))

- a) ~~Regulated cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Property record analysis~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Regulated~~
 - 3) ~~Cost Definition: Directly assignable~~
- b) ~~Nonregulated cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Property record analysis~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Nonregulated~~
 - 3) ~~Cost Definition: Directly assignable~~

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(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.2411 Account 2411 Poles ([Repealed](#))

- a) ~~Regulated cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Direct reporting Regulated/Nonregulated Apportionment Basis:~~
 - 2) ~~Directly assigned to Regulated~~
 - 3) ~~Cost Definition: Directly assignable~~
- b) ~~Nonregulated cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Direct reporting Regulated/Nonregulated Apportionment Basis:~~
 - 2) ~~Directly assigned to Nonregulated~~
 - 3) ~~Cost Definition: Directly assignable~~
- e) ~~Shared cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Residual of Account~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Projected Regulated/Nonregulated shared usage~~
 - 3) ~~Cost Definition: Directly attributable~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.2421 Account 2421 Aerial Cable ([Repealed](#))

- a) ~~Regulated cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Direct reporting Regulated/Nonregulated Apportionment Basis:~~

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- 2) ~~Directly assigned to Regulated~~
- 3) ~~Cost Definition: Directly assignable~~
- b) ~~Nonregulated cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Direct reporting~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Nonregulated~~
 - 3) ~~Cost Definition: Directly assignable~~
- e) ~~Shared cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Residual of Account~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Projected Regulated/Nonregulated shared usage~~
 - 3) ~~Cost Definition: Directly attributable~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.2422 Account 2422 Underground Cable ([Repealed](#))

- a) ~~Regulated cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Direct reporting~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Regulated~~
 - 3) ~~Cost Definition: Directly assignable~~
- b) ~~Nonregulated cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Direct reporting~~

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- 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Nonregulated~~
- 3) ~~Cost Definition: Directly assignable~~
- e) ~~Shared cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Residual of Account~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Projected Regulated/Nonregulated shared usage~~
 - 3) ~~Cost Definition: Directly attributable~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.2423 Account 2423 Buried Cable (Repealed)

- a) ~~Regulated cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Direct reporting~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Regulated~~
 - 3) ~~Cost Definition: Directly assignable~~
- b) ~~Nonregulated cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Direct reporting~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Nonregulated~~
 - 3) ~~Cost Definition: Directly assignable~~
- e) ~~Shared cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Residual of Account~~

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- 2) ~~Regulated/Nonregulated Apportionment Basis: Projected
Regulated/Nonregulated shared usage~~
- 3) ~~Cost Definition: Directly attributable~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.2424 Account 2424 Submarine Cable ([Repealed](#))

- a) ~~Regulated cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Direct reporting~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to
Regulated~~
 - 3) ~~Cost Definition: Directly assignable~~
- b) ~~Nonregulated cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Direct reporting~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to
Nonregulated~~
 - 3) ~~Cost Definition: Directly assignable~~
- e) ~~Shared cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Residual of Account~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Projected
Regulated/Nonregulated shared usage~~
 - 3) ~~Cost Definition: Directly attributable~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.2425 Account 2425 Deep Sea Cable ([Repealed](#))

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- a) ~~Regulated cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Direct reporting~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Regulated~~
 - 3) ~~Cost Definition: Directly assignable~~

- b) ~~Nonregulated cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Direct reporting~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Nonregulated~~
 - 3) ~~Cost Definition: Directly assignable~~

- e) ~~Shared cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Residual of Account~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Projected Regulated/Nonregulated shared usage~~
 - 3) ~~Cost Definition: Directly attributable~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.2426 Account 2426 Intrabuilding Network Cable ([Repealed](#))

- a) ~~Regulated cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Direct reporting~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Regulated~~
 - 3) ~~Cost Definition: Directly assignable~~

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- b) ~~Nonregulated cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Direct reporting~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Nonregulated~~
 - 3) ~~Cost Definition: Directly assignable~~
- e) ~~Shared cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Residual of Account~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Projected Regulated/Nonregulated shared usage~~
 - 3) ~~Cost Definition: Directly attributable~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.2431 Account 2431 Aerial Wire ([Repealed](#))

- a) ~~Regulated cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Direct reporting~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Regulated~~
 - 3) ~~Cost Definition: Directly assignable~~
- b) ~~Nonregulated cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Direct reporting~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Nonregulated~~
 - 3) ~~Cost Definition: Directly assignable~~

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- e) ~~Shared cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Residual of Account~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Projected Regulated/Nonregulated shared usage~~
 - 3) ~~Cost Definition: Directly attributable~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.2441 Account 2441 Conduit Systems ([Repealed](#))

- a) ~~Regulated cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Direct reporting~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Regulated~~
 - 3) ~~Cost Definition: Directly assignable~~
- b) ~~Nonregulated cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Direct reporting~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Nonregulated~~
 - 3) ~~Cost Definition: Directly assignable~~
- e) ~~Shared cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Residual of Account~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Projected Regulated/Nonregulated shared usage~~
 - 3) ~~Cost Definition: Directly attributable~~

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(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.2681 Account 2681 Capital Leases ([Repealed](#))

- a) ~~Regulated cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Lease analysis~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Regulated~~
 - 3) ~~Cost Definition: Directly assignable~~
- b) ~~Nonregulated cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Lease analysis~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Nonregulated~~
 - 3) ~~Cost Definition: Directly assignable~~
- e) ~~Other cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Lease analysis~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Methodology by major asset class~~
 - 3) ~~Cost Definition: See subsection (c)(4)~~
 - 4) ~~Comments: An analysis of the lease record for this Account will be performed to determine the major asset classification for the property in question. Each asset will be treated with the same methodology used for that asset classification.~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.2682 Account 2682 Leasehold Improvements ([Repealed](#))

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- a) ~~Regulated cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Lease analysis~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Regulated~~
 - 3) ~~Cost Definition: Directly assignable~~
- b) ~~Nonregulated cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Lease analysis~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Nonregulated~~
 - 3) ~~Cost Definition: Directly assignable~~
- e) ~~Other cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Lease analysis~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Methodology by major asset class~~
 - 3) ~~Cost Definition: See subsection (c)(4)~~
 - 4) ~~Comments: An analysis of the lease record for this Account will be performed to determine the major asset classification for the property in question. Each asset will be treated with the same methodology used for that asset classification.~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.2690 Account 2690 Intangibles [\(Repealed\)](#)

- a) ~~Regulated cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Account transaction analysis~~

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- 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Regulated~~
- 3) ~~Cost Definition: Directly assignable~~
- b) ~~Nonregulated cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Account transaction analysis~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Nonregulated~~
 - 3) ~~Cost Definition: Directly assignable~~
- e) ~~Shared cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Account transaction analysis~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: General Allocator~~
 - 3) ~~Cost Definition: Unattributable~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.3100 Account 3100 Accumulated Depreciation ([Repealed](#))

- a) ~~Cost Pool: Cost pool equals Subaccount by major asset category~~
- b) ~~Cost Pool Apportionment Basis: Analysis of property records~~
- e) ~~Regulated/Nonregulated Apportionment Basis: Relative investment of major asset category~~
- d) ~~Cost Definition: Indirectly attributable~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.3200 Account 3200 Accumulated Depreciation - Held for Future Telecommunications Use ([Repealed](#))

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- a) ~~Cost Pool: Same as Account~~
- b) ~~Cost Pool Apportionment Basis: Cost pool equals Account~~
- c) ~~Regulated/Nonregulated Apportionment Basis: Property held for future telecommunications use~~
- d) ~~Cost Definition: Indirectly attributable~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.3410 Account 3410 Accumulated Amortization - Capitalized Leases [\(Repealed\)](#)

- a) ~~Cost Pool: Same as Account~~
- b) ~~Cost Pool Apportionment Basis: Cost pool equals Account~~
- c) ~~Regulated/Nonregulated Apportionment Basis: Relative value: Capital lease investment~~
- d) ~~Cost Definition: Indirectly attributable~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.3420 Account 3420 Accumulated Amortization - Lease-hold Improvements [\(Repealed\)](#)

- a) ~~Cost Pool: Same as Account~~
- b) ~~Cost Pool Apportionment Basis: Cost pool equals Account~~
- c) ~~Regulated/Nonregulated Apportionment Basis: Relative Value: Leasehold improvement investments~~
- d) ~~Cost Definition: Indirectly attributable~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.3500 Account 3500 Accumulated Amortization – Intangibles [\(Repealed\)](#)

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- a) ~~Cost Pool: Same as Account~~
- b) ~~Cost Pool Apportionment Basis: Cost pool equals Account~~
- c) ~~Regulated/Nonregulated Apportionment Basis: Relative Value: Intangible investment~~
- d) ~~Cost Definition: Directly attributable~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.3600 Account 3600 Accumulated Amortization – Other ([Repealed](#))

- a) ~~Cost Pool: Same as Account~~
- b) ~~Cost Pool Apportionment Basis: Cost pool equals Account~~
- c) ~~Regulated/Nonregulated Apportionment Basis: Relative Value: Telecommunications plant adjustment~~
- d) ~~Cost Definition: Indirectly attributable~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.4100 Account 4100 Net Current Deferred Operating Income Tax ([Repealed](#))

- a) ~~Cost Pool: Same as Account~~
- b) ~~Cost Pool Apportionment Basis: Cost pool equals Account~~
- c) ~~Regulated/Nonregulated Apportionment Basis: Account transaction analysis~~
- d) ~~Cost Definition: Directly attributable~~
- e) ~~Comments: Costs are apportioned to regulated and nonregulated cost objectives on the basis of the timing differences which gave rise to the deferral.~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.4340 Account 4340 Net Noncurrent Deferred Operating Income Tax ([Repealed](#))

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- a) ~~Cost Pool: Same as Account~~
- b) ~~Cost Pool Apportionment Basis: Cost pool equals Account~~
- e) ~~Regulated/Nonregulated Apportionment Basis: Account transaction analysis~~
- d) ~~Cost Definition: Directly attributable~~
- e) ~~Comments: Costs are apportioned to regulated and nonregulated cost objectives on the basis of the timing differences which gave rise to the deferral.~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.6112 Account 6112 Motor Vehicle Expense ([Repealed](#))

- a) ~~Cost Pool: Same as Account~~
- b) ~~Cost Pool Apportionment Basis: Cost pool equals Account~~
- e) ~~Regulated/Nonregulated Apportionment Basis: Customer and Corporate Operations motor vehicle investment~~
- d) ~~Cost Definition: Indirectly attributable~~
- e) ~~Comments: Expenses for all classes of vehicles other than Customer and Corporate Operations are cleared through Account 6112 prior to the apportionment of these residual costs.~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.6113 Account 6113 Aircraft Expense ([Repealed](#))

- a) ~~Cost Pool: Same as Account~~
- b) ~~Cost Pool Apportionment Basis: Cost pool equals Account~~
- e) ~~Regulated/Nonregulated Apportionment Basis: Relative value: Aircraft investment~~
- d) ~~Cost Definition: Indirectly attributable~~

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- e) ~~Comments: Expenses apportionment on the basis of relative regulated/
nonregulated investment are the net expenses after clearance.~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.6114 Account 6114 Special Purpose Vehicle Expense ([Repealed](#))

- a) ~~Cost Pool: Same as Account~~
- b) ~~Cost Pool Apportionment Basis: Cost pool equals Account~~
- e) ~~Regulated/Nonregulated Apportionment Basis: Relative value: Special purpose
vehicle~~
- d) ~~Cost Definition: Indirectly attributable~~
- e) ~~Comments: Expenses apportionment on the basis of relative
regulated/nonregulated investment are the net expenses after clearance.~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.6115 Account 6115 Garage Work Equipment Expense ([Repealed](#))

- a) ~~Cost Pool: Same as Account~~
- b) ~~Cost Pool Apportionment Basis: Cost pool equals Account~~
- e) ~~Regulated/Nonregulated Apportionment Basis: Relative value: Garage Work
Equipment~~
- d) ~~Cost Definition: Indirectly attributable~~
- e) ~~Comments: Expenses apportionment on the basis of relative regulated/
nonregulated investment are the net expenses after clearance.~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

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Section 711.6116 Account 6116 Other Work Equipment Expense ([Repealed](#))

- a) ~~Regulated cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Direct reporting~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Regulated~~
 - 3) ~~Cost Definition: Directly assignable~~
- b) ~~Nonregulated cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Direct reporting~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Nonregulated~~
 - 3) ~~Cost Definition: Directly assignable~~
- e) ~~Shared cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Residual of Account~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Other work equipment investment~~
 - 3) ~~Cost Definition: Indirectly assignable~~
 - 4) ~~Comments: Expenses apportionment on the basis of relative regulated/nonregulated investment are the net expenses after clearance.~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.6121 Account 6121 Land and Building Expense ([Repealed](#))

- a) ~~Cost Pool: Same as Account~~
- b) ~~Cost Pool Apportionment Basis: Cost pool equals Account~~

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~~e) Regulated/Nonregulated Apportionment Basis: Relative value: Land and buildings owned and capitalized lease investments~~

~~d) Cost Definition: Indirectly attributable~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.6122 Account 6122 Furniture and Artworks Expense ([Repealed](#))

~~a) Cost Pool: Same as Account~~

~~b) Cost Pool Apportionment Basis: Cost pool equals Account~~

~~e) Regulated/Nonregulated Apportionment Basis: Relative value: Furniture investment~~

~~d) Cost Definition: Indirectly attributable~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.6123 Account 6123 Office Equipment Expense ([Repealed](#))

~~a) Cost Pool: Same as Account~~

~~b) Cost Pool Apportionment Basis: Cost pool equals Account~~

~~e) Regulated/Nonregulated Apportionment Basis: Relative value: Office equipment investment~~

~~d) Cost Definition: Indirectly attributable~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.6124 Account 6124 General Purpose Computers Expense ([Repealed](#))

~~a) Cost Pool: Same as Account~~

~~b) Cost Pool Apportionment Basis: Cost pool equals Account~~

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- e) ~~Regulated/Nonregulated Apportionment Basis: Relative value: General purpose computers investment~~
- d) ~~Cost Definition: Indirectly attributable~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.6211 Account 6211 Analog Electronic Expense ([Repealed](#))

- a) ~~Cost Pool: Same as Account~~
- b) ~~Cost Pool Apportionment Basis: Cost pool equals Account~~
- e) ~~Regulated/Nonregulated Apportionment Basis: Relative value: Analog electronic investment~~
- d) ~~Cost Definition: Indirectly attributable~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.6212 Account 6212 Digital Electronic Expense ([Repealed](#))

- a) ~~Regulated cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Direct reporting~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Regulated~~
 - 3) ~~Cost Definition: Directly assignable~~
 - 4) ~~Comments: Includes those expenses identified in direct plant reporting as regulated.~~
- b) ~~Nonregulated cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Direct reporting~~

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- 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Nonregulated~~
- 3) ~~Cost Definition: Directly assignable~~
- e) ~~Shared cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Direct reporting~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Regulated/ Nonregulated actual usage~~
 - 3) ~~Cost Definition: Indirectly Attributable~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.6215 Account 6215 Electro-mechanical Expense ([Repealed](#))

- a) ~~Cost Pool: Same as Account~~
- b) ~~Cost Pool Apportionment Basis: Cost pool equals Account~~
- e) ~~Regulated/Nonregulated Apportionment Basis: Relative value: Electromechanical investment~~
- d) ~~Cost Definition: Indirectly attributable~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.6220 Account 6220 Operators System Expense ([Repealed](#))

- a) ~~Cost Pool: Same as Account~~
- b) ~~Cost Pool Apportionment Basis: Cost pool equals Account~~
- e) ~~Regulated/Nonregulated Apportionment Basis: Relative value: Operator systems investment~~
- d) ~~Cost Definition: Indirectly attributable~~

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(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.6231 Account 6231 Radio Systems Expense ([Repealed](#))

- a) ~~Regulated cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Direct reporting~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Regulated~~
 - 3) ~~Cost Definition: Directly assignable~~
 - 4) ~~Comments: Includes those expenses identified in direct plant reporting as regulated.~~

- b) ~~Nonregulated cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Direct reporting~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Nonregulated~~
 - 3) ~~Cost Definition: Directly assignable~~

- e) ~~Shared cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Residual of Account~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Relative value: Radio systems investment~~
 - 3) ~~Cost Definition: Indirectly attributable~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.6232 Account 6232 Circuit Equipment Expense ([Repealed](#))

- a) ~~Regulated cost pool~~

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- 1) ~~Cost Pool Apportionment Basis: Direct reporting~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Regulated~~
 - 3) ~~Cost Definition: Directly assignable~~
 - 4) ~~Comments: Includes those expenses identified in direct plant reporting as regulated.~~
- b) ~~Nonregulated cost pool~~
- 1) ~~Cost Pool Apportionment Basis: Direct reporting~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Nonregulated~~
 - 3) ~~Cost Definition: Directly assignable~~
- e) ~~Shared cost pool~~
- 1) ~~Cost Pool Apportionment Basis: Residual of Account~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Relative value: Circuit equipment investment~~
 - 3) ~~Cost Definition: Directly assignable~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.6311 Account 6311 Station Apparatus Expense ([Repealed](#))

- a) ~~Regulated cost pool~~
- 1) ~~Cost Pool Apportionment Basis: Direct reporting~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Regulated~~

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- 3) ~~Cost Definition: Directly assignable~~
- b) ~~Nonregulated cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Direct reporting~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Nonregulated~~
 - 3) ~~Cost Definition: Directly assignable~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.6341 Account 6341 Large Private Branch Exchange Expense ([Repealed](#))

- a) ~~Regulated cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Direct reporting~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Regulated~~
 - 3) ~~Cost Definition: Directly assignable~~
- b) ~~Nonregulated cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Direct reporting~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Nonregulated~~
 - 3) ~~Cost Definition: Directly assignable~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.6351 Account 6351 Public Telephone Terminal Equipment Expense ([Repealed](#))

- a) ~~Regulated cost pool~~

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- 1) ~~Cost Pool Apportionment Basis: Direct reporting~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Regulated~~
 - 3) ~~Cost Definition: Directly assignable~~
 - 4) ~~Comments: Follows investment in Account 2351.~~
- b) ~~Nonregulated cost pool~~
- 1) ~~Cost Pool Apportionment Basis: Direct reporting~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Nonregulated~~
 - 3) ~~Cost Definition: Directly assignable~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.6362 Account 6362 Other Terminal Equipment Expense ([Repealed](#))

- a) ~~Regulated cost pool~~
- 1) ~~Cost Pool Apportionment Basis: Direct reporting~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Regulated~~
 - 3) ~~Cost Definition: Directly assignable~~
 - 4) ~~Comments: Includes equal access expenses as well as those identified in direct reporting as regulated.~~
- b) ~~Nonregulated cost pool~~
- 1) ~~Cost Pool Apportionment Basis: Direct reporting~~

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- 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Nonregulated~~
- 3) ~~Cost Definition: Directly assignable~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.6411 Account 6411 Poles Expense ([Repealed](#))

- a) ~~Cost Pool: Same as Account~~
- b) ~~Cost Pool Apportionment Basis: Cost pool equals Account~~
- c) ~~Regulated/Nonregulated Apportionment Basis: Relative value: Poles investment~~
- d) ~~Cost Definition: Indirectly attributable~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.6421 Account 6421 Aerial Cable Expense ([Repealed](#))

- a) ~~Cost Pool: Same as Account~~
- b) ~~Cost Pool Apportionment Basis: Cost pool equals Account~~
- c) ~~Regulated/Nonregulated Apportionment Basis: Relative value: Aerial cable investment~~
- d) ~~Cost Definition: Indirectly attributable~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.6422 Account 6422 Underground Cable Expense ([Repealed](#))

- a) ~~Cost Pool: Same as Account~~
- b) ~~Cost Pool Apportionment Basis: Cost pool equals Account~~

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- e) ~~Regulated/Nonregulated Apportionment Basis: Relative value: Underground cable investment~~
- d) ~~Cost Definition: Indirectly attributable~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)
Section 711.6423 Account 6423 Buried Cable Expense ([Repealed](#))

- a) ~~Cost Pool: Same as Account~~
- b) ~~Cost Pool Apportionment Basis: Cost pool equals Account~~
- e) ~~Regulated/Nonregulated Apportionment Basis: Relative value: Buried cable investment~~
- d) ~~Cost Definition: Indirectly attributable~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)
Section 711.6424 Account 6424 Submarine Cable Expense ([Repealed](#))

- a) ~~Cost Pool: Same as Account~~
- b) ~~Cost Pool Apportionment Basis: Cost pool equals Account~~
- e) ~~Regulated/Nonregulated Apportionment Basis: Relative value: Submarine cable investment~~
- d) ~~Cost Definition: Indirectly attributable~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)
Section 711.6425 Account 6425 Deep Sea Cable Expense ([Repealed](#))

- a) ~~Cost Pool: Same as Account~~
- b) ~~Cost Pool Apportionment Basis: Cost pool equals Account~~

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- e) ~~Regulated/Nonregulated Apportionment Basis: Relative value: Deep sea cable investment~~
- d) ~~Cost Definition: Indirectly attributable~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.6426 Account 6426 Intrabuilding Network Cable Expense ([Repealed](#))

- a) ~~Cost Pool: Same as Account~~
- b) ~~Cost Pool Apportionment Basis: Cost pool equals Account~~
- e) ~~Regulated/Nonregulated Apportionment Basis: Relative value: Intrabuilding cable investment~~
- d) ~~Cost Definition: Indirectly attributable~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.6431 Account 6431 Aerial Wire Expense ([Repealed](#))

- a) ~~Cost Pool: Same as Account~~
- b) ~~Cost Pool Apportionment Basis: Cost pool equals Account~~
- e) ~~Regulated/Nonregulated Apportionment Basis: Relative value: Aerial wire investment~~
- d) ~~Cost Definition: Indirectly attributable~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.6441 Account 6441 Conduit Systems Expense ([Repealed](#))

- a) ~~Cost Pool: Same as Account~~
- b) ~~Cost Pool Apportionment Basis: Cost pool equals Account~~

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- e) ~~Regulated/Nonregulated Apportionment Basis: Relative value: Conduit systems investment~~
- d) ~~Cost Definition: Indirectly attributable~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.6511 Account 6511 Property Held for Future Telecommunications Use Expense
[\(Repealed\)](#)

- a) ~~Cost Pool: Same as Account~~
- b) ~~Cost Pool Apportionment Basis: Cost pool equals Account~~
- e) ~~Regulated/Nonregulated Apportionment Basis: Property held for future telecommunications use~~
- d) ~~Cost Definition: Indirectly attributable~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.6512 Account 6512 Provisioning Expense [\(Repealed\)](#)

- a) ~~Regulated cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Direct reporting or account transaction analysis~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Regulated~~
 - 3) ~~Cost Definition: Directly assignable~~
- b) ~~Nonregulated cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Direct reporting or account transaction analysis~~

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- 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Nonregulated~~
 - 3) ~~Cost Definition: Directly assignable~~
 - 4) ~~Comments: This cost pool includes the expenses caused by inventory adjustments exclusively related to nonregulated customer premises equipment.~~
- e) ~~Shared cost pool~~
- 1) ~~Cost Pool Apportionment Basis: Residual of Account~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Plant Nonspecific Operations, Customer Operations and Corporate Operations Wages and Salaries less Provisioning Wages and Salaries.~~
 - 3) ~~Cost Definition: Indirectly attributable~~
 - 4) ~~Comments: The regulated/nonregulated apportionment basis is only Plant Nonspecific Operations, Customer Operations, and Corporate Operations Wages and Salaries, since all other expenses are cleared from this Account prior to the apportionment of these costs.~~

(Source: Repealed at 27 Ill . Reg. _____, effective _____)

Section 711.6531 Account 6531 Power Expense ([Repealed](#))

- a) ~~Cost Pool: Same as Account~~
- b) ~~Cost Pool Apportionment Basis: Power study~~
- e) ~~Regulated/Nonregulated Apportionment Basis: Relative value: Central office investment~~
- d) ~~Cost Definition: Indirectly attributable~~

(Source: Repealed at 27 Ill . Reg. _____, effective _____)

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Section 711.6532 Account 6532 Network Administration Expense (Repealed)

- a) ~~Cost Pool: Same as Account~~
- b) ~~Cost Apportionment Basis: Cost pool equals Account~~
- c) ~~Regulated/Nonregulated Apportionment Basis: Relative value: Central office and cable and wire investment~~
- d) ~~Cost Definition: Indirectly attributable~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.6533 Account 6533 Testing Expense (Repealed)

- a) ~~Subscriber line testing cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Testing activity study or direct reporting~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Trouble report analysis~~
 - 3) ~~Cost Definition: Directly attributable~~
- b) ~~Service order testing cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Testing activity study or direct reporting~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Service order activity analysis~~
 - 3) ~~Cost Definition: Directly attributable~~
- e) ~~All other testing cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Testing activity study or direct reporting~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Regulated~~

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3) ~~Cost Definition: Directly assignable~~

(Source: Repealed at 27 Ill . Reg. _____, effective _____)

Section 711.6534 Account 6534 Plant Operations Administrative Expense ([Repealed](#))

- a) ~~Cost Pool: Same as Account~~
- b) ~~Cost Pool Apportionment Basis: Cost pool equals Account~~
- c) ~~Regulated/Nonregulated Apportionment Basis: Plant support wages and salaries~~
- d) ~~Cost Definition: Indirectly attributable~~
- e) ~~Comments: The balance remaining in Account 6534 after clearance represents indirect supervision and support related to maintenance activities.~~

(Source: Repealed at 27 Ill . Reg. _____, effective _____)

Section 711.6535 Account 6535 Engineering Expense ([Repealed](#))

- a) ~~Cost Pool: Same as Account~~
- b) ~~Cost Pool Apportionment Basis: Cost pool equals Account~~
- c) ~~Regulated/Nonregulated Apportionment Basis: Engineering time reporting or engineering wages and salaries~~
- d) ~~Cost Definition: Indirectly attributable~~
- e) ~~Comments: The balance remaining in Account 6535 after clearance represents indirect supervision and support related to engineering activities.~~

(Source: Repealed at 27 Ill . Reg. _____, effective _____)

Section 711.6540 Account 6540 Access Expense ([Repealed](#))

- a) ~~Regulated cost pool~~

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- 1) ~~Cost Pool Apportionment Basis: Direct reporting~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Regulated~~
 - 3) ~~Cost Definition: Directly assignable~~
- b) ~~Nonregulated cost pool~~
- 1) ~~Cost Pool Apportionment Basis: Direct reporting~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Nonregulated~~
 - 3) ~~Cost Definition: Directly assignable~~

(Source: Repealed at 27 Ill . Reg. _____, effective _____)

Section 711.6561 Account 6561 Depreciation Expense - Telecommunications Plant in Service ([Repealed](#))

- a) ~~Cost pools equal Subaccounts by major asset category~~
- b) ~~Cost Pool Apportionment Basis: Property record analysis~~
- e) ~~Regulated/Nonregulated Apportionment Basis: Relative value: Major asset investment category~~
- d) ~~Cost Definition: Indirectly attributable~~

(Source: Repealed at 27 Ill . Reg. _____, effective _____)

Section 711.6562 Account 6562 Depreciation Expense - Property Held for Future Telecommunications Use ([Repealed](#))

- a) ~~Cost Pool: Same as Account~~
- b) ~~Cost Pool Apportionment Basis: Cost pool equals Account~~

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- e) ~~Regulated/Nonregulated Apportionment Basis: Relative value: Property held for future telecommunications use investment~~
- d) ~~Cost Definition: Indirectly attributable~~

(Source: Repealed at 27 Ill . Reg. _____, effective _____)

Section 711.6563 Account 6563 Amortization Expense – Tangible ([Repealed](#))

- a) ~~Cost Pool: Same as Account~~
- b) ~~Cost Pool Apportionment Basis: Cost pool equals Account~~
- e) ~~Regulated/Nonregulated Apportionment Basis: Relative value: Capital leases and leasehold improvement investment~~
- d) ~~Cost Definition: Indirectly attributable~~

(Source: Repealed at 27 Ill . Reg. _____, effective _____)

Section 711.6564 Account 6564 Amortization Expense – Intangible ([Repealed](#))

- a) ~~Cost Pool: Same as Account~~
- b) ~~Cost Pool Apportionment Basis: Cost pool equals Account~~
- e) ~~Regulated/Nonregulated Apportionment Basis: Relative value: Intangible investment~~
- d) ~~Cost Definition: Indirectly attributable~~

(Source: Repealed at 27 Ill . Reg. _____, effective _____)

Section 711.6565 Account 6565 Amortization Expense – Other ([Repealed](#))

- a) ~~Cost Pool: Same as Account~~
- b) ~~Cost Pool Apportionment Basis: Cost pool equals Account~~

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- e) ~~Regulated/Nonregulated Apportionment Basis: Relative value: Tele-communications plant adjustment investment~~
- d) ~~Cost Definition: Indirectly attributable~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.6611 Account 6611 Product Management Expense ([Repealed](#))

- a) ~~Regulated cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Direct reporting~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Regulated~~
 - 3) ~~Cost Definition: Directly assignable~~
 - 4) ~~Comments: Includes Public and other function codes defined as regulated.~~
- b) ~~Nonregulated cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Direct reporting~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Nonregulated~~
 - 3) ~~Cost Definition: Directly assignable~~
 - 4) ~~Comments: This cost pool includes the portion of product management functions identified as nonregulated through preassigned function codes, exception time reporting, and direct reporting of other expenses.~~
- e) ~~Shared services and general support cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Direct reporting~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Marketing allocator~~

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- 3) ~~Cost Definition: Unattributable~~
- 4) ~~Comments: The shared services cost pool includes those services which involve product management functions that are so integrated that the regulated and nonregulated components cannot be separated utilizing direct and indirect attribution methods. This cost pool also includes all product management support costs such as education, training, and general administration.~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.6612 Account 6612 Sales Expense ([Repealed](#))

- a) ~~Regulated cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Account transaction analysis or time reporting~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Regulated~~
 - 3) ~~Cost Definition: Directly assignable~~
 - 4) ~~Comments: Supervisory, clerical, and nonproductive time loadings will be allocated in proportion to the expenses directly reported to each cost pool~~
- b) ~~Nonregulated cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Account transaction analysis or time reporting~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Nonregulated~~
 - 3) ~~Cost Definition: Directly assignable~~
- e) ~~Shared cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Residual of Account~~

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- 2) ~~Regulated/Nonregulated Apportionment Basis: Marketing allocator~~
- 3) ~~Cost Definition: Unattributable~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.6613 Account 6613 Product Advertising Expense ([Repealed](#))

- a) ~~Regulated cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Direct reporting~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Regulated~~
 - 3) ~~Cost Definition: Directly assignable~~
 - 4) ~~Comments: This cost pool includes public and long distance expenses plus any other identified as regulated through function codes.~~
- b) ~~Nonregulated cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Direct reporting~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Nonregulated~~
 - 3) ~~Cost Definition: Directly assignable~~
 - 4) ~~Comments: This cost pool includes the expenses of informational, business, residence, and other product advertising which is identified as nonregulated through unique functional codes, exception time reporting, and direct reporting.~~
- e) ~~Shared cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Residual of Account~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Marketing allocator~~

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- 3) ~~Cost Definition: Unattributable~~
- 4) ~~Comments: This cost pool includes all advertising support costs such as education, training, and general administration. The cost pool also represents advertising expenditures for services which are so integrated that the regulated and nonregulated components cannot be separated utilizing direct or indirect attribution methods.~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.6621 Account 6621 Call Completion Services Expense ([Repealed](#))

- a) ~~Regulated cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Direct reporting~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Regulated~~
 - 3) ~~Cost Definition: Directly assignable~~
- b) ~~Nonregulated cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Direct reporting~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Nonregulated~~
 - 3) ~~Cost Definition: Directly assignable~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.6622 Account 6622 Number Services Expense ([Repealed](#))

- a) ~~Regulated cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Direct reporting~~

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- 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Regulated~~
- 3) ~~Cost Definition: Directly assignable~~
- b) ~~Nonregulated cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Direct reporting~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Nonregulated~~
 - 3) ~~Cost Definition: Directly assignable~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.6623 Account 6623 Customer Services Expense ([Repealed](#))

- a) ~~Regulated cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Direct reporting~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Regulated~~
 - 3) ~~Cost Definition: Directly assignable~~
 - 4) ~~Comments~~
 - A) ~~This cost pool includes the following functions:~~
 - i) ~~Annoyance Call Bureau~~
 - ii) ~~Intracompany Customer Name and Address Service~~
 - iii) ~~Street Address Guide Bureau Service~~
 - iv) ~~Public Commissions~~

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- v) ~~Radio Common Carrier Customer Negotiations~~
 - vi) ~~Broadcasting Customer Negotiations~~
 - B) ~~May also include interexchange carrier service center if there are no nonregulated products offered through the center.~~
- b) ~~Customer service marketing cost pool~~
- 1) ~~Cost Pool Apportionment Basis: Direct reporting~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Marketing allocator~~
 - 3) ~~Cost Definition: Unattributable~~
 - 4) ~~Comments: This cost pool includes the costs for establishing new residence and business accounts and the portion of customer instruction activities involving the marketing of products and services.~~
- e) ~~Service order processing cost pool~~
- 1) ~~Cost Pool Apportionment Basis: Direct reporting~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Service center activity study~~
 - 3) ~~Cost Definition: Directly attributable~~
 - 4) ~~Comments: This pool includes the costs of the residence, business, and interexchange carrier service center billing inquiry, credit and collections, and customer payment operations which are identified through functional accounting. This cost pool also includes the expenses of business, residence, and interexchange carrier service center order origination. It also includes the typing and completion functions for service orders, customer service advice/instruction, and residence demand sales operations.~~
- d) ~~Customer billing and collection cost pool~~

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- 1) ~~Cost Pool Apportionment Basis: Direct reporting~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Billing and collection study~~
 - 3) ~~Cost Definition: Directly attributable~~
 - 4) ~~Comments: This cost pool includes only those customer billing and collection expenses which are related to message processing. These include sorting, editing, and rating. This pool also includes the customer billing and collection expenses related to bill rendering. These include processing the information, preparing the bills by account, and distributing them to customers.~~
- e) ~~Shared cost pool~~
- 1) ~~Cost Pool Apportionment Basis: Residual of Account~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: General allocator~~
 - 3) ~~Cost Definition: Unattributable~~
 - 4) ~~Comments: This cost pool includes all customer services support costs including general administration, education, and training.~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.6711 Account 6711 Executive Expense ([Repealed](#))

- a) ~~Cost Pool: Same as Account~~
- b) ~~Cost Pool Apportionment Basis: Direct reporting~~
- e) ~~Regulated/Nonregulated Apportionment Basis: General allocator~~
- d) ~~Cost Definition: Unattributable~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

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Section 711.6712 Account 6712 Planning Expense ([Repealed](#))

- a) ~~Regulated cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Direct reporting or account transaction analysis~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Regulated~~
 - 3) ~~Cost Definition: Directly assignable~~
- b) ~~Nonregulated cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Direct reporting~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Nonregulated~~
 - 3) ~~Cost Definition: Directly assignable~~
- e) ~~Shared cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Direct reporting~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: General allocator~~
 - 3) ~~Cost Definition: Unattributable~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.6721 Account 6721 Accounting and Finance Expense ([Repealed](#))

- a) ~~Regulated cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Direct reporting~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Regulated~~

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- 3) ~~Cost Definition: Directly assignable~~
- 4) ~~Comments: This cost pool includes the costs for functions such as:~~
 - A) ~~Depreciation and Valuation~~
 - B) ~~Independent Company Settlements~~
 - C) ~~Revenue Requirements~~
- b) ~~Nonregulated cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Direct reporting~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Nonregulated~~
 - 3) ~~Cost Definition: Directly assignable~~
 - 4) ~~Comments: This cost pool includes any nonregulated internal audit, business research, and general accounting costs.~~
- e) ~~Shared cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Direct reporting~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: General allocator~~
 - 3) ~~Cost Definition: Unattributable~~
 - 4) ~~Comments~~
 - A) ~~This cost pool includes those costs identifiable by unique subaccounts or functional codes for the following activities:~~
 - i) ~~Treasury~~
 - ii) ~~Trustee Fees~~
 - iii) ~~Taxes~~

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- ~~iv) Economic Analysis~~
- ~~v) Budgeting~~
- ~~vi) Functional Accounting~~
- ~~vii) Payables~~
- ~~viii) Reporting~~

- ~~B) In addition, this cost pool includes 47 CFR 64 (as of October 27, 1987; this incorporation does not include any later editions or amendments) attestation audit costs, any residual of internal audit, business research, or costs in general accounting which are not identifiable through reporting to either regulated or nonregulated functional codes.~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.6722 Account 6722 External Relations Expense ([Repealed](#))

- ~~a) Regulated cost pool~~
 - ~~1) Cost Pool Apportionment Basis: Direct reporting~~
 - ~~2) Regulated/Nonregulated Apportionment Basis: Directly assigned to Regulated~~
 - ~~3) Cost Definition: Directly assignable~~
 - ~~4) Comments: This cost pool includes the regulated costs for functions such as:
 - ~~A) Regulatory~~
 - ~~B) Service costs~~
 - ~~C) Connecting company relations~~
 - ~~D) Contract administration~~~~

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- b) ~~Nonregulated cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Direct reporting~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Nonregulated~~
 - 3) ~~Cost Definition: Directly assignable~~
- e) ~~Corporate advertising cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Direct reporting~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Marketing allocator~~
 - 3) ~~Cost Definition: Unattributable~~
- d) ~~Other cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Direct reporting~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: General allocator~~
 - 3) ~~Cost Definition: Unattributable~~
 - 4) ~~Comments: This pool includes the costs for functions such as:~~
 - A) ~~Government relations~~
 - B) ~~Investor relations~~
 - C) ~~Public relations~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.6723 Account 6723 Human Resources Expense ([Repealed](#))

- a) ~~Cost Pool: Same as Account~~
- b) ~~Cost Pool Apportionment Basis: Cost pool equals Account~~

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e) ~~Regulated/Nonregulated Apportionment Basis: Total company wages and salaries~~

d) ~~Cost Definition: Indirectly attributable~~

(Source: Repealed at 27 Ill . Reg. _____, effective _____)

Section 711.6724 Account 6724 Information Management Expense ([Repealed](#))

a) ~~Regulated cost pool~~

1) ~~Cost Pool Apportionment Basis: Direct reporting or analysis of project codes~~

2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Regulated~~

3) ~~Cost Definition: Directly assignable~~

b) ~~Nonregulated cost pool~~

1) ~~Cost Pool Apportionment Basis: Direct reporting or analysis of project codes~~

2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Nonregulated~~

3) ~~Cost Definition: Directly assignable~~

e) ~~Shared cost pool~~

1) ~~Cost Pool Apportionment Basis: Direct reporting or analysis of project codes~~

2) ~~Regulated/Nonregulated Apportionment Basis: General allocator~~

3) ~~Cost Definition: Unattributable~~

(Source: Repealed at 27 Ill . Reg. _____, effective _____)

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Section 711.6725 Account 6725 Legal Expense ([Repealed](#))

- a) ~~Regulated cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Direct reporting~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Regulated~~
 - 3) ~~Cost Definition: Directly assignable~~
- b) ~~Nonregulated cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Direct reporting~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Nonregulated~~
 - 3) ~~Cost Definition: Directly assignable~~
- e) ~~Shared cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Residual of Account~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: General allocator~~
 - 3) ~~Cost Definition: Unattributable~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.6726 Account 6726 Procurement Expense ([Repealed](#))

- a) ~~Regulated cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Analysis of purchasing records~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Regulated~~
 - 3) ~~Cost Definition: Directly assignable~~

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- b) ~~Nonregulated cost pool~~
- 1) ~~Cost Pool Apportionment Basis: Analysis of purchasing records~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Nonregulated~~
 - 3) ~~Cost Definition: Directly assignable~~
 - 4) ~~Comments: This cost pool includes costs associated with purchases of materials and supplies uniquely identified as nonregulated.~~
- e) ~~Shared cost pool~~
- 1) ~~Cost Pool Apportionment Basis: Analysis of purchasing records~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: General allocator~~
 - 3) ~~Cost Definition: Unattributable~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.6727 Account 6727 Research and Development Expense [\(Repealed\)](#)

- a) ~~Regulated cost pool~~
- 1) ~~Cost Pool Apportionment Basis: Analysis of research and development projects~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Regulated~~
 - 3) ~~Cost Definition: Directly assignable~~
- b) ~~Nonregulated cost pool~~
- 1) ~~Cost Pool Apportionment Basis: Analysis of research and development projects~~

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- 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Nonregulated~~
- 3) ~~Cost Definition: Directly assignable~~
- e) ~~Shared cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Analysis of research and development projects~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: General allocator~~
 - 3) ~~Cost Definition: Unattributable~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.6728 Account 6728 Other General and Administrative Expense ([Repealed](#))

- a) ~~Regulated cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Account transaction analysis or direct reporting~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Regulated~~
 - 3) ~~Cost Definition: Directly assignable~~
- b) ~~Nonregulated cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Account transaction analysis or direct reporting~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Nonregulated~~
 - 3) ~~Cost Definition: Directly assignable~~
- e) ~~Official communications cost pool~~

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- 1) ~~Cost Pool Apportionment Basis: Account transaction analysis~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Total company wages and salaries less field forces wages and salaries~~
 - 3) ~~Cost Definition: Indirectly attributable~~
 - 4) ~~Comments: This cost pool is apportioned on the basis of salaries and wages of forces that utilize the local official communication system.~~
- d) ~~Other cost pool~~
- 1) ~~Cost Pool Apportionment Basis: Residual of Account~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: General allocator~~
 - 3) ~~Cost Definition: Unattributable~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.6790 Account 6790 Provision for Uncollectible Notes Receivable ([Repealed](#))

- a) ~~Cost Pool: Same as Account~~
- b) ~~Cost Pool Apportionment Basis: Cost pool equals Account~~
- e) ~~Regulated/Nonregulated Apportionment Basis: Account transaction analysis~~
- d) ~~Cost Definition: See subsection (e)~~
- e) ~~Comments: An analysis of each transaction in this account is performed to determine the uncollectible and the purpose to which each amount relates.~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.7110 Account 7110 Income from Custom Work ([Repealed](#))

- a) ~~Regulated cost pool~~

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- 1) ~~Cost Pool Apportionment Basis: Direct reporting~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Regulated~~
 - 3) ~~Cost Definition: Directly assignable~~
- b) ~~Nonregulated cost pool~~
- 1) ~~Cost Pool Apportionment Basis: Direct reporting~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Nonregulated~~
 - 3) ~~Cost Definition: Directly assignable~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.7130 Account 7130 Return from Nonregulated Use of Regulated Facilities
(Repealed)

- a) ~~Cost Pool: Same as Account~~
- b) ~~Cost Pool Apportionment Basis: Cost pool equals Account~~
- e) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Regulated~~
- d) ~~Cost Definition: Directly assignable~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.7140 Account 7140 Gains and Losses from Foreign Exchange (Repealed)

- a) ~~Cost Pool: Same as Account~~
- b) ~~Cost Pool Apportionment Basis: Cost pool equals Account~~
- e) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Regulated~~

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- d) ~~Cost Definition: Directly assignable~~

(Source: Repealed at 27 Ill . Reg. _____, effective _____)

Section 711.7150 Account 7150 Gains and Losses from the Distribution of Land and Artwork [\(Repealed\)](#)

- a) ~~Cost Pool: Same as Account~~
- b) ~~Cost Pool Apportionment Basis: Cost pool equals Account~~
- c) ~~Regulated/Nonregulated Apportionment Basis: Account transaction analysis~~
- d) ~~Cost Definition: See subsection (e)~~
- e) ~~Comments: An analysis of this account is performed to determine from which type of asset the gains or losses were realized. Each transaction will then be apportioned using the same methodology used for the respective asset.~~

(Source: Repealed at 27 Ill . Reg. _____, effective _____)

Section 711.7160 Account 7160 Other Operating Gains and Losses [\(Repealed\)](#)

- a) ~~Cost Pool: Same as Account~~
- b) ~~Cost Pool Apportionment Basis: Cost pool equals Account~~
- c) ~~Regulated/Nonregulated Apportionment Basis: Account transaction analysis~~
- d) ~~Cost Definition: See subsection (e)~~
- e) ~~Comments: An analysis of this account is performed to determine from which type of asset the gains or losses were realized. Each transaction will then be apportioned using the same methodology used for the respective asset.~~

Source: Repealed at 27 Ill . Reg. _____, effective _____)

Section 711.7210 Account 7210 Operating Investment Tax Credits - Net [\(Repealed\)](#)

- a) ~~Cost pool equals major asset category.~~

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- b) ~~Cost Pool Apportionment Basis: Analysis of tax records~~
- e) ~~Regulated/Nonregulated Apportionment Basis: Relative investment of major asset category~~
- d) ~~Cost Definition: Indirectly attributable~~
- e) ~~Comments: Investment categories are broken down to identify investments which generated the credits.~~

(Source: Repealed at 27 Ill . Reg. _____, effective _____)

Section 711.7220 Account 7220 Operating Federal Income Taxes ([Repealed](#))

- a) ~~Cost Pool: Same as Account~~
- b) ~~Cost Pool Apportionment Basis: Cost pool equals Account~~
- e) ~~Regulated/Nonregulated Apportionment Basis: Relative Regulated/Nonregulated pre-tax book income~~
- d) ~~Cost Definition: Indirectly attributable~~

(Source: Repealed at 27 Ill . Reg. _____, effective _____)

Section 711.7230 Account 7230 Operating State and Local Income Taxes ([Repealed](#))

- a) ~~Cost Pool: Same as Account~~
- b) ~~Cost Pool Apportionment Basis: Cost pool equals Account~~
- e) ~~Regulated/Nonregulated Apportionment Basis: Relative Regulated/Nonregulated pre-tax book income~~
- d) ~~Cost Definition: Indirectly attributable~~

(Source: Repealed at 27 Ill . Reg. _____, effective _____)

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Section 711.7240 Account 7240 Operating Other Taxes ([Repealed](#))

- a) ~~Property related cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Cost pool equals Subaccounts~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Relative value: Telecommunications plant investment less intangible assets~~
 - 3) ~~Cost Definition: Indirectly attributable~~
- b) ~~Gross receipts cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Cost pool equals Subaccounts~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Relative Regulated/Nonregulated revenues taxable as gross receipts~~
 - 3) ~~Cost Definition: Indirectly attributable~~
- e) ~~Other cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Residual of Account~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Account transaction analysis~~
 - 3) ~~Cost Definition: See subsection (c)(4)~~
 - 4) ~~Comments: An analysis of this subaccount is performed to determine the origin of the tax recorded. Each transaction is then apportioned using the appropriate methodology (See Section 711.110(a)).~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

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Section 711.7250 Account 7250 Provision for Deferred Operating Income Taxes – Net
[\(Repealed\)](#)

- a) ~~Property-related cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Cost pool equals Subaccounts~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Relative value: Telecommunications plant investment less intangible assets~~
 - 3) ~~Cost Definition: Indirectly attributable~~
- b) ~~Vacation accrual cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Cost pool equals Subaccounts~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Total company wages and salaries~~
 - 3) ~~Cost Definition: Indirectly attributable~~
 - 4) ~~Comments: The cost pool includes Subaccounts for federal, state, and local deferred vacation taxes.~~
- e) ~~Other cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Cost pool equals Subaccounts~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Account transaction analysis~~
 - 3) ~~Cost Definition: See subsection (c)(4)~~
 - 4) ~~Comments: An analysis of these Subaccounts is performed to determine the origin of the timing difference which gave rise to the tax deferral. Each transaction is then apportioned using the appropriate methodology.~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

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Section 711.7350 Account 7350 Gains or Losses from the Disposition of Certain Property
[\(Repealed\)](#)

- a) ~~Cost Pool: Same as Account~~
- b) ~~Cost Pool Apportionment Basis: Cost pool equals Account~~
- e) ~~Regulated/Nonregulated Apportionment Basis: Account transaction analysis~~
- d) ~~Cost Definition: See subsection (e).~~
- e) ~~Comments: An analysis of this Account is performed to determine from which major type of property gains or losses were realized. Each transaction is then apportioned using the same methodology used for that type of property.~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.7370 Account 7370 Special Charges [\(Repealed\)](#)

- a) ~~Cost Pool: Same as Account~~
- b) ~~Cost Pool Apportionment Basis: Cost pool equals Account~~
- e) ~~Regulated/Nonregulated Apportionment Basis: General allocator~~
- d) ~~Cost Definition: Unattributable~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.7400 Account 7400 Nonoperating Taxes [\(Repealed\)](#)

- a) ~~Cost Pool: Same as Account~~
- b) ~~Cost Pool Apportionment Basis: Cost pool equals Account~~
- e) ~~Regulated/Nonregulated Apportionment Basis: Account transaction analysis~~
- d) ~~Cost Definition: See subsection (e)~~

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- e) ~~Comments: An analysis of this Account is performed to determine if nonoperating taxes have been generated by transactions from Accounts 7350 and 7370. If appropriate, apportionment is made on the same basis as the causative transactions.~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.7510 Account 7510 Interest on Funded Debt ([Repealed](#))

- a) ~~Cost Pool: Same as Account~~
- b) ~~Cost Pool Apportionment Basis: Cost pool equals Account~~
- c) ~~Regulated/Nonregulated Apportionment Basis: Relative value: Telecommunications plant investment~~
- d) ~~Cost Definition: Indirectly attributable~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.7520 Account 7520 Interest Expense - Capital Leases ([Repealed](#))

- a) ~~Capital lease interest cost pool~~
- b) ~~Cost Pool Apportionment Basis: Cost pool equals Account~~
- c) ~~Regulated/Nonregulated Apportionment Basis: Relative value: Capital lease~~
- d) ~~Cost Definition: Indirectly attributable~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 711.7530 Account 7530 Amortization of Debt Issuance Expense ([Repealed](#))

- a) ~~Interest cost pool~~
- b) ~~Cost Pool Apportionment Basis: Cost pool equals Account~~

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- e) ~~Regulated/Nonregulated Apportionment Basis: Relative value: Tele-communications plant investment~~
- d) ~~Cost Definition: Indirectly attributable~~

(Source: Repealed at 27 Ill . Reg. _____, effective _____)

Section 711.7540 Account 7540 Other Interest Deductions ([Repealed](#))

- a) ~~Interest cost pool~~
- b) ~~Cost Pool Apportionment Basis: Cost pool equals Account~~
- e) ~~Regulated/Nonregulated Apportionment Basis: Relative value: Tele-communications plant investment~~
- d) ~~Cost Definition: Indirectly attributable~~

(Source: Repealed at 27 Ill . Reg. _____, effective _____)

Section 711.7610 Account 7610 Extraordinary Income Credits ([Repealed](#))

- a) ~~Cost Pool: Same as Account~~
- b) ~~Cost Pool Apportionment Basis: Cost pool equals Account~~
- e) ~~Regulated/Nonregulated Apportionment Basis: Account transaction analysis~~
- d) ~~Cost Definition: See subsection (e)~~
- e) ~~Comments: Regulated/Nonregulated apportionment is based upon analysis of individual extraordinary items.~~

(Source: Repealed at 27 Ill . Reg. _____, effective _____)

Section 711.7620 Account 7620 Extraordinary Income Charges ([Repealed](#))

- a) ~~Cost Pool: Same as Account~~
- b) ~~Cost Pool Apportionment Basis: Cost pool equals Account~~

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- e) ~~Regulated/Nonregulated Apportionment Basis: Account transaction analysis~~
- d) ~~Cost Definition: See subsection (e)~~
- e) ~~Comments: Regulated/Nonregulated apportionment is based upon analysis of individual extraordinary items.~~

(Source: Repealed at 27 Ill . Reg. _____, effective _____)

Section 711.7630 Account 7630 Current Income Tax Effects of Extraordinary Items - Net
(Repealed)

- a) ~~Cost Pool: Same as Account~~
- b) ~~Cost Pool Apportionment Basis: Cost pool equals Account~~
- e) ~~Regulated/Nonregulated Apportionment Basis: Account transaction analysis~~
- d) ~~Cost Definition: See subsection (e)~~
- e) ~~Comments: Regulated/Nonregulated apportionment is based upon analysis of individual extraordinary items.~~

(Source: Repealed at 27 Ill . Reg. _____, effective _____)

Section 711.7640 Account 7640 Provision for Deferred Income Tax Effect of Extraordinary
Items – Net (Repealed)

- a) ~~Cost Pool: Same as Account~~
- b) ~~Cost Pool Apportionment Basis: Cost pool equals Account~~
- e) ~~Regulated/Nonregulated Apportionment Basis: Account transaction analysis~~
- d) ~~Cost Definition: See subsection (e)~~
- e) ~~Comments: Regulated/Nonregulated apportionment is based upon analysis of individual extraordinary items.~~

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(Source: Repealed at 27 Ill . Reg. _____, effective _____)

SUBPART F: OTHER MATTERSSection 711.8000 Audit Requirement

- a) Carriers shall conduct biennial internal audits, or have internal audits conducted by independent public accountants, of the accounting for the business other than public utility business. These audits shall test compliance with this Part, with any applicable Commission orders, and with 83 Ill. Adm. Code 710. The audits shall include written reports of conclusions and associated workpapers that shall be available to the Commission Staff for review. The audit reports shall be submitted to the Commission's Manager of Accounting within 30 days after completion.
- b) The initial audit shall be performed in the calendar year following the calendar year in which the carrier is first required to file a cost allocation manual. The initial audit shall be submitted to the Accounting Manager of the Commission on or before December 1 of that year. Succeeding audit reports shall be submitted to the Manager of Accounting of the Commission on or before December 1 of each succeeding even numbered year.

(Source: Added at 27 Ill . Reg. _____, effective _____)

Section 711.8005 Waivers

- a) If the FCC requires a carrier to submit a cost allocation manual in compliance with 47 CFR 64.903, then the carrier shall file such manual with the Chief Clerk of the Commission with a copy to the Manager of Accounting. Such filing will result in compliance of Part 711, Subparts A through D.
- b) If the FCC requires a carrier to have an attest engagement or financial audit conducted in compliance with 47 CFR 64.904, then the carrier shall file the report from such engagement or audit with the Manager of Accounting within 30 days after completion. The associated workpapers shall be available to the Commission Staff for review. This audit report submission shall result in compliance of Section 711.8000.

(Source: Added at 27 Ill . Reg. _____, effective _____)

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NOTICE OF PROPOSED AMENDMENTS

1) Heading of the Part: Cost Allocation for Small Local Exchange Carriers

2) Code Citation: 83 Ill. Adm. Code 712

3) Section Numbers: Proposed Action:

712.5	Amendment
712.10	Amendment
712.15	Amendment
712.20	Amendment
712.25	Amendment
712.115	Amendment
712.205	Amendment
712.215	Amendment
712.235	Amendment
712.245	Amendment
712.250	Amendment
712.255	Amendment
712.260	Amendment
712.270	Amendment
712.285	Amendment
712.305	Amendment
712.315	Amendment
712.345	Amendment
712.350	Amendment
712.355	Amendment
712.375	Amendment
712.1220	Amendment
712.1438	New Section
712.1439	Repeal
712.2003	Amendment
712.2004	Repeal
712.2111	Repeal
712.2112	Repeal
712.2113	Repeal
712.2114	Repeal
712.2115	Repeal
712.2116	Repeal
712.2121	Repeal

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712.2122	Repeal
712.2123	Repeal
712.2124	Repeal
712.2311	Repeal
712.2321	Repeal
712.3100	Amendment
712.3300	New Section
712.3400	Repeal
712.3410	New Section
712.3500	Repeal
712.3600	Repeal
712.6120	Amendment
712.6710	Repeal
712.7200	New Section
712.7210	Repeal
712.7220	Repeal
712.7230	Repeal
712.7240	Repeal
712.7250	Repeal
712.7300	New Section
712.7350	Repeal
712.7370	Repeal

- 4) Statutory Authority: Implementing Sections 5-102, 5-103, and 7-206 and authorized by Section 10-101 of the Public Utilities Act [220 ILCS 5/5-102, 5-103, and 10-101]
- 5) A Complete Description of the Subjects and Issues Involved: This rulemaking will coordinate the cost allocation manual requirements with the proposed account structure in 83 Ill. Adm. Code 710, update the number of access lines to reflect the Public Utilities Act, change the location of certain information to be held on file, update Section 712.15 to reflect the current requirements of the Federal Communications Commission, and make minor language changes to reflect the current Staff designations at the Illinois Commerce Commission.
- 6) Will these proposed amendments replace emergency amendments currently in effect? No
- 7) Does this rulemaking contain an automatic repeal date? No
- 8) Do these proposed amendments contain incorporations by reference? No

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- 9) Are there any other proposed amendments pending on this Part? No
- 10) Statement of Statewide Policy Objectives: These proposed amendments neither create nor expand any State mandate on units of local government, school districts, or community college districts.
- 11) Time, Place and Manner in which interested persons may comment on this proposed rulemaking:
- Comments should be filed, within 45 days after the date of this issue of the *Illinois Register* in Docket 03-0057, with:
- Chief Clerk
Illinois Commerce Commission
527 East Capitol Avenue
Springfield IL 62701
(217)782-7434
- 12) Initial Regulatory Flexibility Analysis:
- A) Types of small businesses, small municipalities and not for profit corporations affected: These amendments will affect any subject jurisdictional entities that are also small businesses as defined in the Illinois Administrative Procedure Act. These amendments will not affect any small municipalities or not for profit corporations.
- B) Reporting, bookkeeping or other procedures required for compliance:
Bookkeeping and reporting
- C) Types of professional skills necessary for compliance: Accounting and managerial skills
- 13) Regulatory Agenda on which this rulemaking was summarized: This rulemaking was not included on either of the 2 most recent regulatory agendas because: The Commission did not anticipate the need for this amendment at that time.

The full text of the Proposed Amendments begins on the next page:

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NOTICE OF PROPOSED AMENDMENTS

TITLE 83: PUBLIC UTILITIES
CHAPTER I: ILLINOIS COMMERCE COMMISSION
SUBCHAPTER f: TELEPHONE UTILITIES

PART 712
COST ALLOCATION FOR SMALL LOCAL EXCHANGE CARRIERS

SUBPART A: APPLICATION

Section
712.5 Application

SUBPART B: PRELIMINARY MATERIALS

Section
712.10 Description of Nonregulated Activities
712.15 Incidental Activities

SUBPART C: CORPORATE ORGANIZATION AND AFFILIATE TRANSACTIONS

Section
712.20 Corporate Organization
712.25 Affiliate Transactions

SUBPART D: COST APPORTIONMENT METHODOLOGY

Section
712.100 Overview
712.105 Cost Apportionment
712.110 Cost and Allocation Definitions
712.115 Cost Pools
712.200 Cost Pool Apportionment Bases
712.205 Cost Pool Account Transaction Analysis
712.210 Analysis of Leased Assets
712.215 Analysis of Motor Vehicle Records
712.235 Analysis of Tax Records
712.245 Computer Application Activity Analysis
712.250 Building/Floor Space Use Study
712.255 Direct Reporting
712.260 Flight Logs

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712.265	Property Record Analysis
712.270	Relative Value - Cost Pool Apportionment
712.280	Regulated/Nonregulated Apportionment Bases
712.285	Account Transaction Analysis - Regulated/Nonregulated
712.290	Billing and Collection Study
712.305	Customer and Corporate Operations Wages and Salaries
712.315	General Allocator
712.320	Marketing Allocator
712.335	Projected Regulated/Nonregulated Shared Usage
712.345	Relative Investment Value - Regulated/Nonregulated
712.350	Relative Regulated/Nonregulated Pre-Tax Book Income
712.355	Relative Regulated/Nonregulated Revenues
712.360	Time Reporting
712.365	Service Order Activity Analysis
712.370	Analysis of Advertising Expense
712.375	Total Company Wages and Salaries

SUBPART E: COST APPORTIONMENT - ACCOUNTS

Section	
712.1220	Account 1220 Inventories Materials and Supplies
<u>712.1438</u>	<u>Account 1438 Deferred Maintenance and Retirements</u>
712.1439	Account 1439 Deferred Charges (Repealed)
712.2002	Account 2002 Property Held for Future Telecommunications Use
712.2003	Account 2003 Telecommunications Plant Under Construction - Short-Term
712.2004	Account 2004 Telecommunications Plant Under Construction- Long Term (Repealed)
712.2005	Account 2005 Telecommunications Plant Adjustment
712.2006	Account 2006 Nonoperating Plant
712.2007	Account 2007 Goodwill
712.2110	Account 2110 Land and Support Assets (Repealed)
712.2111	Account 2111 Land (Repealed)
712.2112	Account 2112 Motor Vehicles (Repealed)
712.2113	Account 2113 Aircraft (Repealed)
712.2114	Account 2114 Special Purpose Vehicles (Repealed)
712.2115	Account 2115 Garage Work Equipment (Repealed)
712.2116	Account 2116 Other Work Equipment (Repealed)
712.2121	Account 2121 Buildings (Repealed)
712.2122	Account 2122 Furniture (Repealed)

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712.2123	Account 2123 Office Equipment (Repealed)
712.2124	Account 2124 General Purpose Computers (Repealed)
712.2210	Account 2210 Central Office - Switching
712.2220	Account 2220 Operator Systems
712.2230	Account 2230 Central Office Transmission
712.2310	Account 2310 Information Origination/Termination
712.2311	Account 2311 Station Apparatus (Repealed)
712.2321	Account 2321 Customer Premises Wiring (Repealed)
712.2341	Account 2341 Large Private Branch Exchange (Repealed)
712.2410	Account 2410 Cable and Wire Facilities
712.2680	Account 2680 Amortizable Tangible Assets
712.2690	Account 2690 Intangibles
712.3100	Account 3100 Accumulated Depreciation
712.3200	Account 3200 Accumulated Depreciation - Held for Future Telecommunications Use
712.3300	Account 3300 Accumulated Depreciation-Nonoperating
712.3410	Account 3410 Accumulated Amortization-Capitalized Leases
712.3400	Account 3400 Accumulated Amortization – Tangible (Repealed)
712.3500	Account 3500 Accumulated Amortization – Intangibles (Repealed)
712.3600	Account 3600 Accumulated Amortization – Other (Repealed)
712.4100	Account 4100 Net Current Deferred Operating Income Tax
712.4340	Account 4340 Net Noncurrent Deferred Operating Income Tax
712.5300	Account 5300 Uncollectible Revenue
712.6110	Account 6110 Network Support Expenses
712.6120	Account 6120 Land General Support Expenses
712.6210	Account 6210 Central Office Switching Expense
712.6220	Account 6220 Operators System Expense
712.6230	Account 6230 Central Office Transmission Expenses
712.6310	Account 6310 Information Origination/Termination Expenses
712.6410	Account 6410 Cable and Wire Facilities Expenses
712.6510	Account 6510 Other Property, Plant and Equipment Expenses
712.6530	Account 6530 Network Operations Expenses
712.6540	Account 6540 Access Expense
712.6560	Account 6560 Depreciation and Amortization Expenses
712.6610	Account 6610 Marketing
712.6620	Account 6620 Services
712.6710	Account 6710 Executive and Planning (Repealed)
712.6720	Account 6720 General and Administrative
712.6790	Account 6790 Provision for Uncollectible Notes Receivable

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712.7100	Account 7100 Other Operating Income and Expenses
<u>712.7200</u>	<u>Account 7200 Operating Taxes</u>
712.7210	Account 7210 Operating Investment Tax Credits – Net <u>(Repealed)</u>
712.7220	Account 7220 Operating Federal Income Taxes <u>(Repealed)</u>
712.7230	Account 7230 Operating State and Local Income Taxes <u>(Repealed)</u>
712.7240	Account 7240 Operating Other Taxes <u>(Repealed)</u>
712.7250	Account 7250 Provision for Deferred Operating Income Taxes – Net <u>(Repealed)</u>
<u>712.7300</u>	<u>Account 7300 Nonoperating Income and Expense</u>
712.7350	Account 7350 Gains or Losses from the Disposition of Certain Property <u>(Repealed)</u>
712.7370	Account 7370 Special Charges <u>(Repealed)</u>
712.7400	Account 7400 Nonoperating Taxes
712.7500	Account 7500 Interest and Related Items
712.7600	Account 7600 Extraordinary Items

AUTHORITY: Implementing Sections 5-102, 5-103, and 7-206 and authorized by Section 10-101 of the Public Utilities Act [\[220 ILCS 5/5-102, 5-103, and 10-101\]](#) (~~Ill. Rev. Stat. 1989, ch. 111 2/3, pars. 5-102, 5-103, 7-206 and 10-101~~).

SOURCE: Emergency rules adopted at 12 Ill. Reg. 1236, effective January 1, 1988, for a maximum of 150 days; adopted at 12 Ill. Reg. 9588, effective May 25, 1988; amended at __ Il. Reg. _____, effective _____.

SUBPART A: APPLICATION

Section 712.5 Application

- a) This Part specifies the procedures ~~that which~~ will be followed in order to apportion intrastate costs between regulated and nonregulated activities. This Part applies only to those activities categorized as nonregulated in Illinois; it does not apply to those tariffed activities ~~that which~~ have been classified as “competitive” by the Illinois Commerce Commission (“Commission”) (See Section 13-209 of ~~the The~~ Public Utilities Act (Act) [220 ILCS 5/13-209]. (~~Ill. Rev. Stat. 1985, ch. 111 2/3, par. 13-209~~)).
- b) The provisions of this Part are applicable to local exchange carriers (“carriers”) with operations in the State of Illinois having no more than ~~35,000~~ 15,000 subscriber access lines in service. These carriers have diverse systems such that records and statistics are not obtainable by all such carriers. Therefore, it is

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understood that not all accounting, time reporting, and other recordkeeping cost pools listed in this Part must be populated. If, however, a greater degree of cost causative cost assignment is achieved, greater disaggregation of cost pools than is specified in this Part is acceptable.

- c) If the Federal Communications Commission (“FCC”) requires a carrier to vary from the provisions of this Part, or if modification of this Part is required to conform to separations requirements or the mirroring of access charge determination, the carrier shall ~~notify the Chief Clerk of the Commission with a copy to the Chief Accountant of the Commission~~ keep a listing at the carrier's headquarters and available to Commission Staff, upon request, identifying the specific variance(s).

(Source: Amended at 27 Ill . Reg. _____, effective _____)

SUBPART B: PRELIMINARY MATERIALS

Section 712.10 Description of Nonregulated Activities

Each carrier is required to have on file ~~with the Chief Clerk of the Commission~~ at the carrier's headquarters and available to Commission Staff, upon request, a description of each nonregulated activity offered. Nonregulated activities include those ~~that which~~:

- a) Have never been subject to tariff regulation, except incidental activities, by either the Commission or the FCC; or
- b) Have been preemptively detariffed in both jurisdictions by the FCC (e.g. customer premises equipment, enhanced services, and installation and maintenance of inside wiring).

(Source: Amended at 27 Ill . Reg. _____, effective _____)

Section 712.15 Incidental Activities

- a) This Part requires that cost allocation procedures reflect the existence of activities in each carrier ~~that which~~ are accorded incidental accounting treatment and allowed to remain on the regulated books of the business. Each carrier is required to have on file at the carrier's headquarters and available to Commission Staff upon request ~~with the Commission~~ a description of each activity ~~that which~~ is accorded this treatment. “Incidental activities” include, but are not limited to,

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items such as land and building space rental, cable locating, and pole contact rental. (See 83 Ill. Adm. Code 710.14 and 710.23)

- b) Incidental activities are those that ~~which~~ meet the following five conditions.
- 1) The activity is not a line of business;
 - ~~2) The activity has been traditionally treated as incidental by the Commission for ratemaking purposes;~~
 - ~~3) The activity is an outgrowth of regulated operations;~~
 - ~~4) There is little additional financial or business risk to the regulated operation in providing the activity, as determined by the effect on the capital investments and requirements; and~~
 - ~~5) The activity utilizes an insubstantial commitment of investment or resources of the carrier, as determined by the capital investments and the service obligations of the carrier.~~

(Source: Amended at 27 Ill. Reg. _____, effective _____)

SUBPART C: CORPORATE ORGANIZATION AND AFFILIATE TRANSACTIONS

Section 712.20 Corporate Organization

All carriers offering exchange and exchange access telecommunications services in Illinois are required to have on file at the carrier's headquarters and available to Commission Staff upon request ~~with the Commission~~ a description of the carrier's corporate affiliates (See Section 7-101 of ~~the The Public Utilities Act [220 ILCS 5/7-101]. (Ill. Rev. Stat. 1985, ch. 111 2/3, par. 7-101)). Filings with the Chief Clerk of the Commission~~ The file shall include a brief description of each affiliate entity, the conditions of ownership, and a chart of all affiliates entities.

(Source: Amended at 27 Ill. Reg. _____, effective _____)

Section 712.25 Affiliate Transactions

- a) The cost allocation procedures included in this Part specify the methodology to apportion costs between regulated and nonregulated activities. Each local

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exchange carrier shall have on file at the carrier's headquarters and available to Commission Staff, upon request ~~with the Chief Clerk of the Commission~~ a description of the type of affiliate transactions ~~that~~ which are either provided to regulated operations by nonregulated entities or to nonregulated entities by regulated operations (See Section 7-101 of the The Public Utilities Act. (Ill. Rev. Stat. 1985, ch. 111 2/3, par. 7-101)). ~~Details of transactions between two nonregulated activities are not required to be filed with the Chief Clerk of the Commission.~~

- b) The filing shall include the following details for each affiliate transaction:
- 1) Type of transaction;
 - 2) Billing provisions for each service or product provided categorized in one of three methods:
 - A) Market Rate: Using a price given in current market conditions where this price is determined in an arms length transaction;
 - B) Cost: Using the cost apportionment principles and standards included in this Part; or
 - C) Tariff: Using an established rate or charge that ~~which~~ has been filed with the Commission;
 - 3) Frequency of transactions.
- c) Transactions between carriers and their affiliates are to be recorded on the carrier's books at market price, if market price can be determined from a price list or tariff. In the absence of a list or tariff price, assets transferred from the carrier to the nonregulated entity are to be recorded at the higher of the net book cost or fair market value, while assets transferred from the nonregulated entity to the company are to be recorded at the lower of net book cost or fair market value. Services for which there exists no list or tariff price are to be valued using fully distributed cost. See 83 Ill. Adm. Code 710.27.

(Source: Amended at 27 Ill. Reg. _____, effective _____)

SUBPART D: COST APPORTIONMENT METHODOLOGY

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Section 712.115 Cost Pools

- a) Where the apportionment of costs to regulated and nonregulated activities cannot be determined through the nature of the Part 710 Account, cost pools are defined to permit this analysis. Cost pools represent a homogenous group of costs that which have a unique cost determinant.
- b) This Part specifies the minimum level of cost pool detail required to distinguish the costs of regulated and nonregulated services. Each local exchange carrier may utilize additional detailed cost pools as appropriate in order to improve the regulated and nonregulated apportionment process. Where detailed cost pools not specified in this Part are used by a carrier, it must file with the [Manager of Accounting](#) ~~Chief Accountant~~ of the Commission detailed information in the format outlined in Subpart E ~~that~~ ~~which~~ identifies the basis of the cost pool apportionment and the subsequent regulated/ nonregulated apportionment basis. Any reasons and justification for the deviation shall be stated in the comments or attached.
- c) Where accounting systems and procedures of a local exchange carrier do not allow disaggregation of costs to particular pools specified by this Part, such cost pools need not be populated.

(Source: Amended at 27 Ill. Reg. _____, effective _____)

Section 712.205 Cost Pool Account Transaction Analysis

- a) Several of the Part 710 Accounts include costs whose characteristics can only be defined through the direct analysis of the transactions and are classified into either a regulated, nonregulated, or shared cost pool.
- b) Account transaction analysis is used to apportion the following accounts into cost pools:
 - Account ~~1438~~ ~~1439~~ Deferred [Maintenance and Retirements Charges](#)
 - Account 2690 Intangibles
 - Account 6510 Other Property, Plant and Equipment Expenses
 - Account 6530 Network Operations Expense
 - Account 6610 Marketing
 - Account 6620 Services
 - ~~Account 6710 Executive and Planning~~

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Account 6720 General and Administrative
Account 7100 Other Operating Income and Expenses
Account ~~7200~~ ~~7240~~ Operating ~~Other~~ Taxes
~~Account 7250 Provision for Deferred Operating Taxes—Net~~
Account 7500 Interest and Related Items
Account 7600 Extraordinary Items

(Source: Amended at 27 Ill. Reg. _____, effective _____)

Section 712.215 Analysis of Motor Vehicle Records

An annual analysis of motor vehicle records is to be made in order to apportion the investment in Account ~~2110~~ ~~2112~~ into two cost pools:

- a) Plant
- b) Customer and Corporate Operations

(Source: Amended at 27 Ill. Reg. _____, effective _____)

Section 712.235 Analysis of Tax Records

The tax records of the local exchange carrier are analyzed to apportion Account ~~7200~~ 7210 Operating ~~Taxes~~ ~~Investment Tax Credit Net~~ into the defined cost pools. The cost pools are defined by major asset category in a manner ~~that~~ ~~which~~ reflects how investment credits are generated.

(Source: Amended at 27 Ill. Reg. _____, effective _____)

Section 712.245 Computer Application Activity Analysis

- a) An analysis of the company's computer application records is to be completed, not less frequently than annually, in order to apportion Account ~~2110~~ ~~2124~~ ~~General Purpose Computers~~ into three cost pools:
 - 1) Regulated;
 - 2) Nonregulated; and

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3) Shared Functional Operations.

- b) In this analysis the costs of applications undertaken exclusively for either regulated or nonregulated services are assigned directly to the appropriate cost pool. Costs of applications that ~~which~~ are functional in nature and are shared by regulated and nonregulated services are assigned to the Shared cost pool.

(Source: Amended at 27 Ill. Reg. _____, effective _____)
Section 712.250 Building/Floor Space Use Study

Local exchange carrier property records and building usage analyses contain information on the functions that ~~which~~ use the productive space (space used for the provision of telecommunications services or the generation of revenue) in the building investment on either a detailed or summary location basis. This usage data is used to apportion Account 2110 ~~2424~~ into three cost pools:

- a) Central Office;
- b) Plant Support; and
- c) Customer and Corporate Operations.

(Source: Amended at 27 Ill. Reg. _____, effective _____)

Section 712.255 Direct Reporting

- a) The operational and accounting systems of the local exchange carrier support the direct identification of costs to cost pools through the use of functionally based reporting codes. These codes are assigned to specific work activities and subsequently related to specific cost pools. Employees may also report to these codes through time reporting procedures.
- b) The direct reporting of functionally based codes is used to identify selected cost pools in the following accounts:

Account 1220 Inventories ~~Materials and Supplies~~
Account 2210 Central Office Switching
Account 2220 Operator Systems
Account 2230 Central Office Transmission

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Account 2310 Information Origination/Termination
Account 2410 Cable and Wire Facilities
Account 5300 Uncollectible Revenue
Account 6310 Information Origination/Termination Expense
Account 6540 Access Expense

(Source: Amended at 27 Ill. Reg. _____, effective _____)

Section 712.260 Flight Logs

- a) Flight logs maintained of all aircraft usage by the local exchange carrier are used to classify the investment in Account [2110](#) ~~2413~~ into three cost pools: Regulated, Nonregulated, and Shared.
- b) Flight logs are completed by the originator of the flight and the pilot. They provide details of the purpose of the flight, the organization using the aircraft, and the determination of whether the purpose of the flight was exclusively for regulated or nonregulated purposes. Where the aircraft usage cannot be clearly identified as either regulated or nonregulated, the residual investment and associated expenses are assigned to the Shared Cost Pool.

(Source: Amended at 27 Ill. Reg. _____, effective _____)

Section 712.270 Relative Value - Cost Pool Apportionment

- a) The reference to relative value as the basis for the cost pool apportionment indicates that a proportional relationship of an investment category to a larger pool of investment is used to determine the cost pool balance.
- b) The relative value of the related buildings investments is used to apportion Account [2110](#) ~~2414~~ Land [and Support Assets](#) into three cost pools.

(Source: Amended at 27 Ill. Reg. _____, effective _____)

Section 712.285 Account Transaction Analysis - Regulated/Nonregulated

- a) Several of the cost pools consist of costs whose regulated and nonregulated characteristics can only be defined through the direct analysis of transactions during the period. Direct analysis of an account requires an examination and review of the transactions made into the account to determine the proper

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categorization of the expenditures as to the regulated, nonregulated, or shared cost pools.

- b) Account transaction analysis is used to apportion selected cost pools to regulated and nonregulated activities in the following accounts.

Account ~~1438~~ ~~1439~~ Deferred Maintenance and Retirements ~~Charges~~

Account 2002 Property Held for Future Telecommunications Use

Account 2003 Telecommunications Plant Under Construction -

~~Short Term~~

~~Account 2004 Telecommunications Plant Under Construction -~~

~~Long Term~~

Account 2005 Telecommunications Plant Adjustment

Account 2006 Nonoperating Plant

Account 2007 Goodwill

Account 4100 Net Current Deferred Operating Income Taxes

Account 4340 Net Noncurrent Deferred Operating Income Taxes

Account 5300 Uncollectible Revenue

Account 6790 Provision for Uncollectible Notes Provision

Account 7100 Other Operating Income and Expense

Account ~~7200~~ ~~7240~~ Operating ~~Other~~ Taxes

~~Account 7250 Provision for Deferred Operating Income Taxes - Net~~

~~Account 7350 Gains or Losses from the Disposition of Certain~~

~~Property~~

Account 7300 Nonoperating Income and Expense

Account 7400 Nonoperating Taxes

Account 7600 Extraordinary Items

- c) Uncollectible revenues. Account 5300 Uncollectible Revenues, associated with furnishing regulated services, will be directly assigned to regulated activities. Uncollectible revenues associated with nonregulated activities will be directly assigned to nonregulated activities and specifically identified in a nonregulated subsidiary record category.

(Source: Amended at 27 Ill. Reg. _____, effective _____)

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Section 712.305 Customer and Corporate Operations Wages and Salaries

- a) Customer and corporate operations wages and salaries are used as the indirect basis for apportioning selected cost pools between regulated and nonregulated activities where these wages and salaries are the primary determinant of cost behavior.
- b) Customer and corporate operations wages and salaries are used to apportion selected cost pools in the following accounts:

Account ~~2110~~ ~~2444~~ Land and Support Assets
~~Account 2112 Motor Vehicles~~
~~Account 2121 Buildings~~

(Source: Amended at 27 Ill. Reg. _____, effective _____)

Section 712.315 General Allocator

- a) This Part prescribes the use of a general allocator to apportion those costs pools for which no direct or indirect measures of cost causation are available.
- b) Selected cost pools in the following accounts are apportioned using the general allocator:

Account ~~1438~~ ~~1439~~ Deferred Maintenance and Retirements ~~Charges~~
Account 2110 Land and Support Assets
~~Account 2113 Aircraft~~
~~Account 2124 General Purpose Computers~~
Account 2690 Intangibles
Account 6510 Other Property, Plant and Equipment Expenses
~~Account 6710 Executive and Planning Expenses~~
Account 6720 General and Administration
Account 7300 Nonoperating Income and Expense
~~Account 7370 Special Charges~~

(Source: Amended at 27 Ill. Reg. _____, effective _____)

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Section 712.345 Relative Investment Value - Regulated/Nonregulated

- a) The reference to relative investment value as the basis for the regulated and nonregulated apportionment indicates that the proportional relationship of the regulated and nonregulated investment in a specific investment category (e.g. Central Office) is used to determine the regulated and nonregulated balances in the cost pool apportioned.
- b) Relative investment value is used to apportion selected cost pools to regulated and nonregulated activities. The specific measures used for each account apportionment are outlined in Subpart E:

Account 1220 Inventories ~~Materials and Supplies~~

Account 2110 ~~2111~~ Land and Support Assets

~~Account 2112 Motor Vehicles~~

~~Account 2114 Special Purpose Vehicles~~

~~Account 2115 Garage Work Equipment~~

~~Account 2116 Other Work Equipment~~

~~Account 2121 Buildings~~

Account 3100 Accumulated Depreciation

Account 3200 Accumulated Depreciation-Held for Future
Telecommunications Use

Account 3300 Accumulated Depreciation-Nonoperating

Account 3410 Accumulated Amortization-Capitalized Leases

~~Account 3400 Accumulated Amortization-Tangible~~

~~Account 3500 Accumulated Amortization-Intangibles~~

~~Account 3600 Accumulated Amortization-Other~~

Account 6110 Network Support Expenses

Account 6120 General Support Expenses

Account 6210 Central Office Switching Expense

Account 6220 Operations System Expense

Account 6230 Central Office Transmission Expenses

Account 6310 Information Origination/Termination Expenses

Account 6410 Cable and Wire Facilities Expenses

Account 6510 Other Property, Plant and Equipment Expenses

Account 6530 Network Operations Expense

Account 6560 Depreciation and Amortization Expenses

Account 7200 Operating Taxes

~~Account 7210 Operating Investment Tax Credits-Net~~

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~~Account 7240 Operating Other Taxes~~
~~Account 7250 Provision for Deferred Operating Income Taxes-Net~~
Account 7500 Interest and Related Items

(Source: Amended at 27 Ill. Reg. _____, effective _____)

Section 712.350 Relative Regulated/Nonregulated Pre-Tax Book Income

Certain of the tax accounts of each company reflect taxes ~~that~~ ~~which~~ are calculated on the basis of pre-tax book income. This measure, therefore, is used to apportion the cost pools to regulated and nonregulated activities. The accounts in which selected costs pools use this basis are:

Account 7200 Operating Taxes
~~Account 7220 Operating Federal Income Taxes~~
~~Account 7230 Operating State and Local Income Taxes~~

(Source: Amended at 27 Ill. Reg. _____, effective _____)

Section 712.355 Relative Regulated/Nonregulated Revenues

One cost pool specified in this Part reflects the gross receipt taxes paid by each company. The appropriate apportionment measure for the Gross Receipts cost pool in Account 7200 ~~7240~~ Operating ~~Other~~ Taxes is relative to the nature of the revenue on which the tax or fee is applied.

(Source: Amended at 27 Ill. Reg. _____, effective _____)

Section 712.375 Total Company Wages and Salaries

- a) Total regulated and nonregulated wages and salaries for each local exchange carrier are used as the indirect basis for apportioning selected cost pools where total wages and salaries are the primary determinant of cost behavior.
- b) Total wages and salaries are used to apportion selected cost pools in the following accounts:

Account 1438 ~~1439~~ Deferred Maintenance and Retirements ~~Charges~~
Account 2110 Land and Support Assets
Account 7200 Operating Taxes
~~Account 2122 Furniture~~

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~~Account 2123 Office Equipment~~

~~Account 7250 Provision for Deferred Operating Income Taxes-Net~~

(Source: Amended at 27 Ill. Reg. _____, effective _____)

SUBPART E: COST APPORTIONMENT - ACCOUNTS

Section 712.1220 Account 1220 Inventories ~~Materials and Supplies~~

- a) Regulated cost pool
 - 1) Cost Pool Apportionment Basis: Direct reporting
 - 2) Regulated/Nonregulated Apportionment Basis: Directly assigned to Regulated
 - 3) Cost Definition: Directly assignable
- b) Nonregulated cost pool
 - 1) Cost Pool Apportionment Basis: Direct reporting
 - 2) Regulated/Nonregulated Apportionment Basis: Directly assigned to Nonregulated
 - 3) Cost Definition: Directly assignable
- c) Other cost pool
 - 1) Cost Pool Apportionment Basis: Residual of account
 - 2) Regulated/Nonregulated Apportionment Basis: Relative value: Telecommunications plant investment
 - 3) Cost Definition: Indirectly attributable

(Source: Amended at 27 Ill. Reg. _____, effective _____)

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Section 712.1438 Account 1438 Deferred Maintenance and Retirements

- a) Contemplated construction and projects cost pool
 - 1) Cost Pool Apportionment Basis: Account transaction analysis
 - 2) Regulated/Nonregulated Apportionment Basis: Directly assignable based on transaction analysis
 - 3) Cost Definition: Directly assignable
- b) Compensated absences cost pool
 - 1) Cost Pool Apportionment Basis: Account transaction analysis
 - 2) Regulated/Nonregulated Apportionment Basis: Total company wages and salaries
 - 3) Cost Definition: Indirectly attributable
- c) Equal access cost pool
 - 1) Cost Pool Apportionment Basis: Account transaction analysis
 - 2) Regulated/Nonregulated Apportionment Basis: Directly assigned to Regulated
 - 3) Cost Definition: Directly assignable
- d) Other cost pool
 - 1) Cost Pool Apportionment Basis: Account transaction analysis
 - 2) Regulated/Nonregulated Apportionment Basis: General Allocator
 - 3) Cost Definition: Unattributable

(Source: Added at 27 Ill. Reg. _____, effective _____)

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Section 712.1439 Account 1439 Deferred Charges (Repealed)

- a) ~~Contemplated construction and projects cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Account transaction analysis~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assignable based on transaction analysis~~
 - 3) ~~Cost Definition: Directly assignable~~
- b) ~~Compensated absences cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Account transaction analysis~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Total company wages and salaries~~
 - 3) ~~Cost Definition: Indirectly attributable~~
- e) ~~Equal access cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Account transaction analysis~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Regulated~~
 - 3) ~~Cost Definition: Directly assignable~~
- d) ~~Other cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Account transaction analysis~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: General Allocator~~
 - 3) ~~Cost Definition: Unattributable~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

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Section 712.2003 Account 2003 Telecommunications Plant Under Construction –~~Short~~
Term

- a) Cost Pool: Same as Account
- b) Cost Pool Apportionment Basis: Cost pool equals Account
- c) Regulated/Nonregulated Apportionment Basis: Account transaction analysis
- d) Cost Definition: Directly assignable
- e) Comments: An analysis of construction activity will be performed and apportionment will be in accordance with planned use of assets.

(Source: Amended at 27 Ill . Reg. _____, effective _____)

Section 712.2004 Account 2004 Telecommunications Plant Under Construction - Long
Term (Repealed)

- ~~a) Cost Pool: Same as Account~~
- ~~b) Cost Pool Apportionment Basis: Cost pool equals Account~~
- ~~c) Regulated/Nonregulated Apportionment Basis: Account transaction analysis~~
- ~~d) Cost Definition: Directly assignable~~
- ~~e) Comments: An analysis of construction activity will be performed and apportionment will be in accordance with planned use of assets.~~

(Source: Repealed at 27 Ill . Reg. _____, effective _____)

Section 712.2111 Account 2111 Land (Repealed)

- ~~a) Central Office cost pool~~
 - ~~1) Cost Pool Apportionment Basis: Relative value: Central Office Buildings to total buildings investment.~~

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- 2) ~~Regulated/Nonregulated Apportionment Basis: Relative value: Central office investment~~
- 3) ~~Cost Definition: Indirectly attributable~~
- b) ~~Plant Support cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Relative value: Plant Support Buildings to total buildings investment~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Relative value: Plant Support Investment~~
 - 3) ~~Cost Definition: Indirectly attributable~~
 - 4) ~~Comments: Plant Support Investment consists of Account 2310 Information Origination/Termination and Account 2410 Cable and Wire Facilities~~
- e) ~~Customer and Corporate Operations cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Customer and Corporate Operations Buildings to total building investment~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Customer and Corporate Operations wages and salaries~~
 - 3) ~~Cost Definition: Indirectly attributable~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 712.2112 Account 2112 Motor Vehicles ([Repealed](#))

- a) ~~Plant Support cost po~~
 - 1) ~~Cost Pool Apportionment Basis: Analysis of motor vehicle records~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Plant Support Investment~~
 - 3) ~~Cost Definition: Indirectly attributable~~

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- b) ~~Customer and Corporate Operations cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Analysis of motor vehicle records~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Customer and Corporate Operation wages and salaries~~
 - 3) ~~Cost Definition: Indirectly attributable~~

(Source: Repealed at 27 Ill . Reg. _____, effective _____)

Section 712.2113 Account 2113 Aircraft [\(Repealed\)](#)

- a) ~~Regulated cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Flight logs~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Regulated~~
 - 3) ~~Cost Definition: Directly assignable~~
- b) ~~Nonregulated cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Flight logs~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Nonregulated~~
 - 3) ~~Cost Definition: Directly assignable~~
- e) ~~General cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Residual of Account~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: General Allocator~~
 - 3) ~~Cost Definition: Unattributable~~

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(Source: Repealed at 27 Ill . Reg. _____, effective _____)

Section 712.2114 Account 2114 Special Purpose Vehicles ([Repealed](#))

- a) ~~Cost Pool: Same as Account~~
- b) ~~Cost Pool Apportionment Basis: Cost pool equals Account~~
- c) ~~Regulated/Nonregulated Apportionment Basis: Relative value: Cable and Wire Facilities~~
- d) ~~Cost Definition: Indirectly attributable~~

(Source: Repealed at 27 Ill . Reg. _____, effective _____)

Section 712.2115 Account 2115 Garage Work Equipment ([Repealed](#))

- a) ~~Cost Pool: Same as Account~~
- b) ~~Cost Pool Apportionment Basis: Cost pool equals Account~~
- c) ~~Regulated/Nonregulated Apportionment Basis: Relative value: Motor Vehicle and Special Purpose Vehicles Investment~~
- d) ~~Cost Definition: Indirectly attributable~~

(Source: Repealed at 27 Ill . Reg. _____, effective _____)

Section 712.2116 Account 2116 Other Work Equipment ([Repealed](#))

- a) ~~Cost Pool: Same as Account~~
- b) ~~Cost Pool Apportionment Basis: Cost Pool equals Account~~
- c) ~~Regulated/Nonregulated Apportionment Basis: Relative value: Cable and Wire Facilities~~
- d) ~~Cost Definition: Indirectly attributable~~

(Source: Repealed at 27 Ill . Reg. _____, effective _____)

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Section 712.2121 Account 2121 Buildings [\(Repealed\)](#)

- a) ~~Central Office cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Building/Floor Space study~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Relative value: Central office investment~~
 - 3) ~~Cost Definition: Indirectly attributable~~
- b) ~~Plant Support cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Building/Floor Space study~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Plant support investment~~
 - 3) ~~Cost Definition: Indirectly attributable~~
- e) ~~Customer and Corporate Operations cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Building/Floor Space study~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Customer and corporate operations wages and salaries~~
 - 3) ~~Cost Definition: Indirectly attributable~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 712.2122 Account 2122 Furniture [\(Repealed\)](#)

- a) ~~Cost pool: Same as Account~~
- b) ~~Cost Pool Apportionment Basis: Cost pool equals Account~~
- e) ~~Regulated/Nonregulated Apportionment Basis: Total company wages and salaries~~
- d) ~~Cost Definition: Indirectly attributable~~

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(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 712.2123 Account 2123 Office Equipment ([Repealed](#))

- a) ~~Cost Pool: Same as Account~~
- b) ~~Cost Pool Apportionment Basis: Cost pool equals Account~~
- c) ~~Regulated/Nonregulated Apportionment Basis: Total company wages and salaries~~
- d) ~~Cost Definition: Indirectly attributable~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 712.2124 Account 2124 General Purpose Computers ([Repealed](#))

- a) ~~Regulated cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Application activity analysis~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Regulated~~
 - 3) ~~Cost Definition: Directly assignable~~
- b) ~~Nonregulated cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Application activity analysis~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Nonregulated~~
 - 3) ~~Cost Definition: Directly assignable~~
- e) ~~Shared cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Residual of Account~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: General allocator~~

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~~3) Cost Definition: Unattributable~~

(Source: Repealed at 27 Ill . Reg. _____, effective _____)

Section 712.2311 Account 2311 Station Apparatus (Repealed)

- ~~a) Nonregulated cost pool~~
- ~~b) Cost Pool Apportionment Basis: Direct reporting~~
- ~~c) Regulated/Nonregulated Apportionment Basis: Directly assigned to Nonregulated~~
- ~~d) Cost Definition: Directly assignable~~
- ~~e) Comments: For companies having significant investment in nonregulated customer premises facilities, the Class A accounts below are recommended.~~

(Source: Repealed at 27 Ill . Reg. _____, effective _____)

Section 712.2321 Account 2321 Customer Premises Wiring (Repealed)

- ~~a) Nonregulated cost pool~~
- ~~b) Cost Pool Apportionment Basis: Direct reporting~~
- ~~c) Regulated/Nonregulated Apportionment Basis: Directly assigned to Nonregulated~~
- ~~d) Cost Definition: Directly assignable~~
- ~~e) Comments: For companies having significant investment in nonregulated customer premises facilities, the Class A accounts below are recommended.~~

(Source: Repealed at 27 Ill . Reg. _____, effective _____)

Section 712.3100 Account 3100 Accumulated Depreciation

- a) Cost Pool: Cost pool equals Subaccount by major asset category
- b) Cost Pool Apportionment Basis: Same as Subaccount ~~Not applicable~~

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- c) Regulated/Nonregulated Apportionment Basis: Relative investment value of major asset category
- d) Cost Definition: Indirectly attributable

(Source: Amended at 27 Ill . Reg. _____, effective _____)

Section 712.3300 Account 3300 Accumulated Depreciation-Nonoperating

- a) Cost Pool: Same as Account
- b) Cost Pool Apportionment Basis: Cost pool equals Account
- c) Regulated/Nonregulated Apportionment Basis: Relative investment value of Nonoperating Plant
- d) Cost Definition: Indirectly attributable

(Source: Added at 27 Ill . Reg. _____, effective _____)

Section 712.3400 Account 3400 Accumulated Amortization – Tangible (Repealed)

- a) ~~Cost Pool: Same as Account~~
- b) ~~Cost Pool Apportionment Basis: Cost pool equals Account~~
- c) ~~Regulated/Nonregulated Apportionment Basis: Relative value: Capital lease and leasehold improvements investment~~
- d) ~~Cost Definition: Indirectly attributable~~

(Source: Repealed at 27 Ill . Reg. _____, effective _____)

Section 712.3410 Account 3410 Accumulated Amortization-Capitalized Leases

- a) Regulated cost pool
 - 1) Cost Pool Apportionment Basis: Lease analysis

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- 2) [Regulated/Nonregulated Apportionment Basis: Directly assigned to Regulated](#)
- 3) [Cost Definition: Directly assignable](#)
- b) [Nonregulated cost pool](#)
 - 1) [Cost Pool Apportionment Basis: Lease analysis](#)
 - 2) [Regulated/Nonregulated Apportionment Basis: Directly assigned to Nonregulated](#)
 - 3) [Cost Definition: Directly assignable](#)
- c) [Other cost pool](#)
 - 1) [Cost Pool Apportionment Basis: Lease analysis](#)
 - 2) [Regulated/Nonregulated Apportionment Basis: Methodology by major asset class](#)
 - 3) [Cost Definition: Indirectly attributable](#)
 - 4) [Comments: Analysis will be performed to determine major asset classification of property. Apportionment will be based on methodology for the asset classification.](#)

(Source: Added at 27 Ill . Reg. _____, effective _____)

Section 712.3500 Account 3500 Accumulated Amortization – Intangibles ([Repealed](#))

- a) ~~Cost Pool: Same as Account~~
- b) ~~Cost Pool Apportionment Basis: Cost pool equals Account~~
- e) ~~Regulated/Nonregulated Apportionment Basis: Relative Value: Intangibles~~
- d) ~~Cost Definition: Directly attributable~~

(Source: Repealed at 27 Ill . Reg. _____, effective _____)

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Section 712.3600 Account 3600 Accumulated Amortization – Other ([Repealed](#))

- a) ~~Cost Pool: Same as Account~~
- b) ~~Cost Pool Apportionment Basis: Cost pool equals Account~~
- c) ~~Regulated/Nonregulated Apportionment Basis: Relative Value: Plant adjustment~~
- d) ~~Cost Definition: Indirectly attributable~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 712.6120 Account 6120 [General](#) ~~Land~~ Support Expenses

- a) Cost Pool: Same as Account
- b) Cost Pool Apportionment Basis: Cost pool equals Account
- c) Regulated/Nonregulated Apportionment Basis: Relative value: Land and support assets
- d) Cost Definition: Indirectly attributable

(Source: Amended at 27 Ill. Reg. _____, effective _____)

Section 712.6710 Account 6710 Executive and Planning ([Repealed](#))

- a) ~~Regulated cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Account transaction analysis~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Regulated~~
 - 3) ~~Cost Definition: Directly assignable~~
 - 4) ~~Comments: Apportioned among three cost pools based on analysis of transaction to account which may include functional analysis of certain types of charges. If detailed information is not available, entire account can be in general cost pool.~~

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- b) ~~Nonregulated cost pool~~
- 1) ~~Cost Pool Apportionment Basis: Account transaction analysis~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Directly assigned to Nonregulated~~
 - 3) ~~Cost Definition: Directly assignable~~
 - 4) ~~Comments: Apportioned among three cost pools based on analysis of transaction to account which may include functional analysis of certain types of charges. If detailed information is not available, entire account can be in general cost pool.~~
- e) ~~General cost pool~~
- 1) ~~Cost Pool Apportionment Basis: Residual of Account~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: General allocator~~
 - 3) ~~Cost Definition: Unattributable~~
 - 4) ~~Comments: Apportioned among three cost pools based on analysis of transaction to account which may include functional analysis of certain types of charges. If detailed information is not available, entire account can be in general cost pool.~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 712.7200 Account 7200 Operating Taxes

- a) Cost Pool: Same as Account
- b) Cost Pool Apportionment Basis: Account transaction analysis
- c) Regulated/Nonregulated Apportionment Basis: Account transaction analysis
- d) Cost Definition: Indirectly attributable

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- e) [Comments: An analysis of tax records is performed to determine which apportionment methodology is appropriate.](#)

(Source: Added at 27 Ill . Reg. _____, effective _____)

Section 712.7210 Account 7210 Operating Investment Tax Credits – Net [\(Repealed\)](#)

- a) ~~Cost pool equals major asset category.~~
- b) ~~Cost Pool Apportionment Basis: Analysis of tax records~~
- c) ~~Regulated/Nonregulated Apportionment Basis: Relative Investment Value: Major asset category~~
- d) ~~Cost Definition: Indirectly attributable~~

(Source: Repealed at 27 Ill . Reg. _____, effective _____)

Section 712.7220 Account 7220 Operating Federal Income Taxes [\(Repealed\)](#)

- a) ~~Cost Pool: Same as Account~~
- b) ~~Cost Pool Apportionment Basis: Cost pool equals Account~~
- c) ~~Regulated/Nonregulated Apportionment Basis: Regulated/Nonregulated pre-tax book income~~
- d) ~~Cost Definition: Indirectly attributable~~

(Source: Repealed at 27 Ill . Reg. _____, effective _____)

Section 712.7230 Account 7230 Operating State and Local Income Taxes [\(Repealed\)](#)

- a) ~~Cost Pool: Same as Account~~
- b) ~~Cost Pool Apportionment Basis: Cost pool equals Account~~
- c) ~~Regulated/Nonregulated Apportionment Basis: Regulated/Nonregulated pre-tax book income~~

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~~d) Cost Definition: Indirectly attributable~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

Section 712.7240 Account 7240 Operating Other Taxes [\(Repealed\)](#)

~~a) Property-related cost pool~~

~~1) Cost Pool Apportionment Basis: Account transaction analysis~~

~~2) Regulated/Nonregulated Apportionment Basis: Relative value:
Telecommunications plant investment less intangible assets~~

~~3) Cost Definition: Indirectly attributable~~

~~b) Gross receipts/revenues cost pool~~

~~1) Cost Pool Apportionment Basis: Account transaction analysis~~

~~2) Regulated/Nonregulated Apportionment Basis: Regulated/Nonregulated
revenues taxable as gross receipts~~

~~3) Cost Definition: Indirectly attributable~~

~~e) Other cost pool~~

~~1) Cost Pool Apportionment Basis: Account transaction analysis~~

~~2) Regulated/Nonregulated Apportionment Basis: Account transaction
analysis~~

~~3) Cost Definition: See subsection (c)(4)~~

~~4) Comments: An analysis of this subaccount is performed to determine the
origin of the tax. Each transaction is then apportioned using the
appropriate methodology (See Section 712.110(a)).~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

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Section 712.7250 Account 7250 Provision for Deferred Operating Income Taxes – Net
[\(Repealed\)](#)

- a) ~~Property-related cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Account transaction analysis~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Relative value of property on which tax is based~~
 - 3) ~~Cost Definition: Indirectly attributable~~

- b) ~~Wage-related cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Account transaction analysis~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Total company wages and salaries~~
 - 3) ~~Cost Definition: Indirectly attributable~~

- e) ~~Other cost pool~~
 - 1) ~~Cost Pool Apportionment Basis: Account transaction analysis~~
 - 2) ~~Regulated/Nonregulated Apportionment Basis: Account transaction analysis~~
 - 3) ~~Cost Definition: See subsection (c)(4)~~
 - 4) ~~Comments: An analysis is performed to determine the origin of the timing difference which gives rise to the tax deferral. Each transaction is then apportioned using the appropriate methodology.~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

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Section 712.7300 Account 7300 Nonoperating Income and Expenses

- a) Cost Pool: Same as Account
- b) Cost Pool Apportionment Basis: Cost pool equals Account
- c) Regulated/Nonregulated Apportionment Basis: Account transaction analysis
- d) Cost Definition: See subsection (e).
- e) Comments: An analysis is performed to determine from which major types of property gains or losses were realized. Each transaction is then apportioned using the same methodology used for that type of property.

(Source: Added at 27 Ill . Reg. _____, effective _____)

Section 712.7350 Account 7350 Gains or Losses from the Disposition of Certain Property (Repealed)

- a) ~~Cost Pool: Same as Account~~
- b) ~~Cost Pool Apportionment Basis: Cost pool equals Account~~
- c) ~~Regulated/Nonregulated Apportionment Basis: Account transaction analysis~~
- d) ~~Cost Definition: See subsection (e).~~
- e) ~~Comments: An analysis is performed to determine from which major types of property gains or losses were realized. Each transaction is then apportioned using the same methodology used for that type of property.~~

(Source: Repealed at 27 Ill . Reg. _____, effective _____)

Section 712.7370 Account 7370 Special Charges (Repealed)

- a) ~~Cost Pool: Same as Account~~
- b) ~~Cost Pool Apportionment Basis: Cost pool equals Account~~
- c) ~~Regulated/Nonregulated Apportionment Basis: General allocator~~

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d) ~~Cost Definition: Unattributable~~

(Source: Repealed at 27 Ill. Reg. _____, effective _____)

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- 1) Heading of the Part: Child Care
- 2) Code Citation: 89 Ill. Adm. Code 50
- 3) Section Numbers: Proposed Action:

50.110	Amendment
50.610	New Section
50.620	New Section
50.630	New Section
50.640	New Section
50.650	New Section
- 4) Statutory Authority: Implementing Article I through IX and authorized by Section 12-13 of the Illinois Public Aid Code [305 ILCS 5/Arts. I through IX and 12-13].
- 5) A Complete Description of the Subjects and Issues involved:

These proposed amendments implement the Child Care Collaboration Program. This rulemaking defines and provides the provisions for this program. These proposed amendments include the following regarding the Child Care Collaboration Program:

 - Approvable Models of Collaboration;
 - Requirements for Approval in the Child Care Collaboration Program;
 - Notification of Eligibility; and
 - Rules and Reporting for the Child Care Collaboration Program.

In addition, this rulemaking also changes the reference for child care hearings from 89 Ill. Adm. Code 104 to 89 Ill. Adm. Code 14.
- 6) Will this proposed rule replace an emergency rule currently in effect? No
- 7) Does this rulemaking contain an automatic repeal date? No
- 8) Does this proposed rulemaking contain incorporations by reference? No
- 9) Are there any other amendments pending on this Part? No

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- 10) Statement of Statewide Policy Objectives (if applicable): This rulemaking does not create or expand a State mandate.
- 11) Time, Place, and Manner in which interested persons may comment on this proposed rulemaking: Interested persons may present their comments concerning these rules within 45 days of the date of this issue of the *Illinois Register*. All requests and comments should be submitted in writing to:
- Karl Menninger, Acting Chief
Bureau of Administrative Rules and Procedures
Department of Human Services
100 South Grand Avenue East
Harris Building, 3rd Floor
Springfield, Illinois 62762
(217) 785-9772
- 12) Initial Regulatory Flexibility Analysis:
- A) Types of small businesses, small municipalities and not-for-profit corporations affected: Illinois early Childhood programs using Child Care subsidy funds in collaboration with other funding, for example Head Start or Illinois State Board of Education Early Childhood Block Grant.
- B) Reporting, bookkeeping or other procedures required for compliance: Completion of the Department's current Child Care Application and submittal of an annual report to the Department.
- C) Types of professional skills necessary for compliance: None
- 13) Regulatory agenda on which this rulemaking was summarized: This rulemaking was not included in either of the two most recent regulatory agendas because it was not anticipated by the Department when the two most recent regulatory agendas were published.

The full text of the Proposed Amendment begins on the next page.

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TITLE 89: SOCIAL SERVICES

CHAPTER IV: DEPARTMENT OF HUMAN SERVICES

SUBCHAPTER a: GENERAL PROGRAM PROVISIONS

PART 50
CHILD CARE

SUBPART A: GENERAL PROVISIONS

Section	
50.101	Incorporation by Reference
50.110	Participant Rights and Responsibilities
50.120	Notification of Available Services
50.130	Child Care Overpayments and Recoveries

SUBPART B: APPLICABILITY

Section	
50.210	Child Care
50.220	Method of Providing Child Care
50.230	Child Care Eligibility
50.235	Income Eligibility Criteria
50.240	Qualified Provider
50.250	Additional Service to Secure or Maintain Child Care

SUBPART C: PAYMENT FEES

Section	
50.310	Fees for Child Care Services
50.320	Maximum Annual Income and Parent Fee by Family Size, Income Level and Number of Children Receiving Care

SUBPART D: CHILD CARE ABUSE AND NEGLECT

Section	
50.410	Provider Eligibility
50.420	Payment for Child Care Services

SUBPART E: GREAT START PROGRAM

Section	
50.510	Great START Program

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50.520	Method of Providing the Wage Supplement
50.530	Eligibility
50.540	Employer Responsibility
50.550	Notification of Eligibility
50.560	Phase-in of Wage Supplement Scale
50.570	Wage Supplement Scale
50.580	Evaluation

SUBPART F: Child Care Collaboration ProgramSection

<u>50.610</u>	<u>Child Care Collaboration Program</u>
<u>50.620</u>	<u>Approvable Models of Collaboration</u>
<u>50.630</u>	<u>Requirements for Approval in the Child Care Collaboration Program</u>
<u>50.640</u>	<u>Notification of Eligibility</u>
<u>50.650</u>	<u>Rules and Reporting for the Child Care Collaboration Program</u>

AUTHORITY: Implementing Articles I through IX and authorized by Section 12-13 of the Illinois Public Aid Code [305 ILCS 5/Arts. I through IX and 12-13].

SOURCE: Emergency rules adopted at 21 Ill. Reg. 9502, effective July 1, 1997, for a maximum of 150 days; adopted at 21 Ill. Reg. 14961, effective November 10, 1997; emergency amendment at 22 Ill. Reg. 12816, effective July 1, 1998, for a maximum of 150 days; amended at 22 Ill. Reg. 21037, effective November 27, 1998; emergency amendment at 23 Ill. Reg. 10875, effective August 20, 1999, for a maximum of 150 days; amended at 24 Ill. Reg. 1058, effective January 10, 2000; emergency amendment at 24 Ill. Reg. 6604, effective April 5, 2000, for a maximum of 150 days; amended at 24 Ill. Reg. 13987, effective September 1, 2000; amended at 24 Ill. Reg. 15423, effective October 10, 2000; emergency amendment at 25 Ill. Reg. 2735, effective February 5, 2001, for a maximum of 150 days; amended at 25 Ill. Reg. 8176, effective June 23, 2001; emergency amendment at 25 Ill. Reg. 8443, effective July 1, 2001, for a maximum of 150 days; amended at 25 Ill. Reg. 14854, effective October 31, 2001; emergency amendment at 25 Ill. Reg. 16116, effective December 1, 2001; for a maximum of 150 days; amended at 26 Ill. Reg. 7113, effective April 25, 2002; amended at 27 Ill. Reg. _____, effective _____.

SUBPART A: GENERAL PROVISIONS

Section 50.110 Participant Rights and Responsibilities

- a) Hearings
 - 1) Persons receiving child care services can request hearings, as provided at 89 Ill. Adm. Code ~~104~~ 14, Subpart A, as appropriate, on issues concerning the appropriateness of, denial of, prompt issuance of, or intended actions

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to discontinue, terminate, suspend or reduce, child care assistance under this Part.

- 2) Assistance under this Part will not be continued at the previous level pending a hearing.
- b) Child care services received by a family must be reasonably related to the hours of training or employment including the transportation needs of the family.
- c) Parents may choose their child care arrangements, but payments will be subject to all appropriate rules.
- d) Parents are responsible for providing income verification and all other information required by the Department in order to determine eligibility for child care services.
- e) Parents are responsible for reporting to the Department or its agents all changes in income, employment, family size, number of children receiving care or any other factor that would affect eligibility for child care services. The Department or its agents may schedule a redetermination at any time upon receiving information that could affect eligibility for child care services.
- f) Parents must avail themselves of all other available child care services including child care appropriate and available from the Department of Children and Family Services offered to particular categories of caregivers, such as foster parents who are employed and need child care to be foster parents.

(Source: Amended at 27 Ill. Reg. _____, effective _____)

SUBPART F: Child Care Collaboration Program

Section 50.610 Child Care Collaboration Program

- a) A Child Care Collaboration is defined as any braiding of Illinois Child Care Subsidy funds or programs with other early childhood funds or programs to create higher quality full day, full year services for eligible families with young children. The purposes of the Department's Child Care Collaboration Program are:
 - 1) to facilitate collaboration between Illinois child care and other early childhood programs; and
 - 2) to increase the quality and quantity of early care and education for families in Illinois, who are working and/or participating in an approved training/education program, through collaboration.

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- b) Child Care collaborative arrangements approved by the Department under this Section will benefit participating early childhood programs, children, and families by providing a higher quality of care. Head Start, Illinois State Board of Education (ISBE) Early Childhood Block Grant, and Child Care providers eligible under this Section must be able to demonstrate this increased quality of care.
- c) All Illinois early childhood programs using Child Care subsidy funds in collaboration with other funding, for example, Head Start or ISBE Early Childhood Block grant, must comply with this Section.
- d) The Department will approve Child Care collaborative arrangements under this Section provided:
- 1) the provider can demonstrate how the collaboration improves the quality of care;
 - 2) children are served in one location, classroom, or home for their full day of care;
 - 3) parent co-payments are collected and documented according to existing Child Care Rules (see Sections 50.310 and 50.320);
 - 4) the provider can demonstrate how the collaboration is coordinated with the broader local early childhood community and is based on community need;
 - 5) the provider is eligible under Illinois Administrative Code to receive child care reimbursement; and
 - 6) the provider is using a child care contract or a child care certificate.
- e) If the conditions of eligibility in subsection (d) of this Section are met and the collaboration is approved by the Department, providers will follow all current Child Care rules, as specified by the Illinois Administrative Code and its revisions, with the following three exceptions:
- 1) approved Child Care Collaboration Programs will determine child and/or family eligibility annually;
 - 2) approved Child Care Collaboration Programs will use a 90-day job loss grace period; and
 - 3) children and/or families in approved Child Care Collaboration Programs will maintain indefinite eligibility for child care, when the child's or

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family's participation in the collaboration is part of their current TANF Responsibility and Services Plan.

(Source: Added at 27 Ill. Reg. _____, effective _____)

Section 50.620 Approvable Models of Collaboration

a) Approvable models of collaboration for the Child Care Collaboration Program include the following:

- 1) Two or more center-based agencies. Collaborative arrangements between Child Care and Head Start or ISBE Early Childhood Block Grant centers in which services are provided and children receive their care in one location or classroom.
- 2) Early childhood providers and family child care homes or networks. Collaborative arrangements between Child Care and Head Start or ISBE Early Childhood Block grant programs using family child care homes and/or home networks in which services are provided and children receive their care in one location or family child care home.
- 3) One early childhood provider, two types of funding. Child Care and Head Start or ISBE Early Childhood Block Grant programs that receive the other type of funding to provide services and children receive their care in one location, classroom or home.
- 4) Use of child care certificates. Collaborative arrangements in which Head Start or ISBE Early Childhood Block Grant programs use the Child Care certificate system in which services are provided and children receive their care in one location.

b) Approvable/Approved Child Care Collaboration Programs must demonstrate to the Department the qualifications outlined in Section 50.630(a) to be approved.

c) Models of Child Care Collaboration that are not approvable under this Section include the following:

- 1) any collaborative arrangements using child care subsidy funding in which children move during the day and are served in more than one site, location, classroom, or home; or

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- 2) any collaborative arrangement using child care subsidy funding in which the provider cannot demonstrate the quality factors listed in Section 50.630(a).

(Source: Added at 27 Ill. Reg. _____, effective _____)

Section 50.630 Requirements for Approval in the Child Care Collaboration Program

- a) To be approved by the Department as a Child Care Collaboration Program, providers must demonstrate all four of the following quality items to the Department.
 - 1) Programming Enhancements. Providers must detail exactly how the collaboration improves or will improve the quality of early care and education including, but not limited to: group size; improvements in staff qualifications, salary, and/or retention; enhanced curriculum, educational experiences, and outcomes for children; and comprehensive services, such as family support and health.
 - 2) Extended Service/Continuity of Care. Providers must detail the hours of care allocated to each funding source, as well as how children have stability and continuity of care, both in location and staffing.
 - 3) Parent Share/Self-Sufficiency. Providers must demonstrate that parent co-payments are being collected and documented, according to Department rule (see Sections 50.310 and 50.320), and how the funds are being used to enhance and/or supplement the collaboration program.
 - 4) Community Collaboration. Providers must demonstrate how the collaboration is coordinated with the larger local early childhood community and how the collaboration is based on community need including, but not limited to, how the slots are needed in the area, what other services are available, and how the collaboration coordinates or will coordinate positively with other providers. This is especially critical in cases where one agency is collaborating both types of funding.
- b) The Department and/or its agents and/or staff will determine eligibility for participation in the Child Care Collaboration Program by reviewing and approving all requests from providers.

(Source: Added at 27 Ill. Reg. _____, effective _____)

Section 50.640 Notification of Eligibility

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The Department or its agents will notify applicants, in writing, of their acceptance into the Child Care Collaboration program, and subsequent eligibility to use the accompanying policies detailed in Section 50.610(e), within 60 days after receipt of the providers' application. Providers that disagree with the eligibility determination may apply for reconsideration by writing to the Chief of the Department's Bureau of Child Care and Development at the Illinois Department of Human Services Child Care Bureau, 300 Iles Park Place, 2nd Floor, Springfield, Illinois 62764 within 60 days after notification of the original determination. The Chief of the Department's Bureau of Child Care and Development will make the final decision on eligibility for the program.

(Source: Added at 27 Ill. Reg. _____, effective _____)

Section 50.650 Rules and Reporting for the Child Care Collaboration Program

- a) All approved Child Care Collaboration Programs will adhere to all Department rules governing the Illinois Child Care subsidy program with the following exceptions:
- 1) eligibility for families in an approved Child Care Collaboration Program will be determined annually;
 - 2) families participating in an approved Child Care Collaboration Program will have a grace period of 90 days subsequent to loss of employment; and
 - 3) eligibility for care in an approved Child Care Collaboration Program will be indefinite when the child's or family's participation in the collaboration is part of their current TANF Responsibility and Services Plan.
- b) Approved Child Care Collaboration Programs must maintain their quality standards as specified in Sections 50.610(a) and 50.630(a).
- c) All Department-approved Illinois Child Care Programs will comply with the following reporting and documentation items:
- 1) accurate completion of the Department's current Child Care Application for each collaboration family, with the appropriate place marked that indicates the family's participation in an approved collaboration; and
 - 2) submission of an annual report to the Department using a form to be specified by the Department, to report data such as number of children in the Child Care Collaboration Program, cost per child, outcomes, per the items on the form.

(Source: Added at 27 Ill. Reg. _____, effective _____)

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- 1) Heading of the Part: Food Stamps
 - 2) Code Citation: 89 Ill. Adm. Code 121
 - 3) Section Numbers: Proposed Action:
121.20 Amendment
 - 4) Statutory Authority: Implementing Section 12-4.4 through 12-4.6 and authorized by Section 12-13 of the Illinois Public Aid Code [305 ILCS 5/Art. IV and 12-13] and Title IV of the 2002 Farm Bill (HR 2646 – the Food Stamp Reauthorization Act of 2002).
 - 5) A Complete Description of the Subjects and Issues involved:
Revisions are being made to the Food Stamp Program. These changes are required by enactment of the Food Stamp Reauthorization Act of 2002 (the 2002 Farm Bill – HR 2646). Eligibility is being extended to legal immigrants who have been present in the U.S. for five years. Also, noncitizens who meet citizenship requirements by this rulemaking may now qualify for food stamps.
 - 6) Will this proposed rule replace an emergency rule currently in effect? No
 - 7) Does this rulemaking contain an automatic repeal date? No
If “yes” date: _____
 - 8) Does this proposed rulemaking contain incorporations by reference? No
 - 9) Are there any other amendments pending on this Part? Yes
- | <u>Section Numbers</u> | <u>Proposed Action</u> | <u>Illinois Register Citation</u> |
|------------------------|------------------------|-----------------------------------|
| 121.10 | Amendment | 26 Ill. Reg. 14452;10/04/02 |
| 121.20 | Amendment | 26 Ill. Reg. 11706;08/02/02 |
| 121.59 | Amendment | 26 Ill. Reg. 11706;08/02/02 |
| 121.63 | Amendment | 26 Ill. Reg. 11706;08/02/02 |
| 121.92 | Amendment | 26 Ill. Reg. 17605;12/13/02 |
- 10) Statement of Statewide Policy Objectives (if applicable): This rulemaking does not create or expand a State mandate.

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- 11) Time, Place, and Manner in which interested persons may comment on this proposed rulemaking: Interested persons may present their comments concerning these rules within 45 days of the date of this issue of the *Illinois Register*. All requests and comments should be submitted in writing to:
- Karl Menninger, Acting Chief
Bureau of Administrative Rules and Procedures
Department of Human Services
100 South Grand Avenue East
Harris Building, 3rd Floor
Springfield, Illinois 62762
(217) 785-9772
- 12) Initial Regulatory Flexibility Analysis:
- A) Types of small businesses, small municipalities and not-for-profit corporations affected:
- B) Reporting, bookkeeping or other procedures required for compliance:
- C) Types of professional skills necessary for compliance:
- 13) Regulatory agenda on which this rulemaking was summarized:

The full text of the Proposed Amendment begins on the next page.

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TITLE 89: SOCIAL SERVICES
CHAPTER IV: DEPARTMENT OF HUMAN SERVICES
SUBCHAPTER b: ASSISTANCE PROGRAMS

PART 121
FOOD STAMPS

SUBPART A: APPLICATION PROCEDURES

Section

- 121.1 Application for Assistance
- 121.2 Time Limitations on the Disposition of an Application
- 121.3 Approval of an Application and Initial Authorization of Assistance
- 121.4 Denial of an Application
- 121.5 Client Cooperation
- 121.6 Emergency Assistance
- 121.7 Expedited Service
- 121.10 Interviews

SUBPART B: NON-FINANCIAL FACTORS OF ELIGIBILITY

Section

- 121.18 Work Requirement
- 121.19 Ending a Voluntary Quit Disqualification (Repealed)
- 121.20 Citizenship
- 121.21 Residence
- 121.22 Social Security Numbers
- 121.23 Work Registration/Participation Requirements
- 121.24 Individuals Exempt from Work Registration Requirements
- 121.25 Failure to Comply with Work Provisions
- 121.26 Period of Sanction
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- 121.90 Monthly Reporting and Retrospective Budgeting (Repealed)
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121.204	Failure to Respond to Initial Demand Letter (Recodified)
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121.221	Meeting the Work Requirement with the Earnfare Component
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AUTHORITY: Implementing Sections 12-4.4 through 12-4.6 and authorized by Section 12-13 of the Illinois Public Aid Code [305 ILCS 5/12-4.4 through 12-4.6 and 12-13].

SOURCE: Adopted December 30, 1977; amended at 3 Ill. Reg. 5, p. 875, effective February 2, 1979; amended at 3 Ill. Reg. 31, p. 109, effective August 3, 1979; amended at 3 Ill. Reg. 33, p. 399, effective August 18, 1979; amended at 3 Ill. Reg. 41, p. 165, effective October 11, 1979; amended at 3 Ill. Reg. 42, p. 230, effective October 9, 1979; amended at 3 Ill. Reg. 44, p. 173, effective October 19, 1979; amended at 3 Ill. Reg. 46, p. 36, effective November 2, 1979; amended at 3 Ill. Reg. 47, p. 96, effective November 13, 1979; amended at 3 Ill. Reg. 48, p. 1, effective November 15, 1979; preemptory amendment at 4 Ill. Reg. 3, p. 49, effective January 9, 1980; preemptory amendment at 4 Ill. Reg. 9, p. 259, effective February 23, 1980; amended at 4 Ill. Reg. 10, p. 253, effective February 27, 1980; amended at 4 Ill. Reg. 12, p. 551, effective March 10, 1980; emergency amendment at 4 Ill. Reg. 29, p. 294, effective July 8, 1980, for a maximum of 150 days; amended at 4 Ill. Reg. 37, p. 797, effective September 2, 1980; amended at 4 Ill. Reg. 45, p. 134, effective October 17, 1980; amended at 5 Ill. Reg. 766, effective January 2, 1981; amended at 5 Ill. Reg. 1131, effective January 16, 1981; amended at 5 Ill. Reg. 4586, effective April 15, 1981; preemptory amendment at 5 Ill. Reg. 5722, effective June 1, 1981; amended at 5 Ill. Reg. 7071, effective June 23, 1981; preemptory amendment at 5 Ill. Reg. 10062, effective October 1, 1981; amended at 5 Ill. Reg. 10733, effective October 1, 1981; amended at 5 Ill. Reg. 12736, effective October 29, 1981; amended at 6 Ill. Reg. 1653, effective January 17, 1982; amended at 6 Ill. Reg. 2707, effective March 2, 1982; amended at 6 Ill. Reg. 8159, effective July 1, 1982; amended at 6 Ill. Reg. 10208, effective August 9, 1982; amended at 6 Ill. Reg. 11921, effective September 21, 1982; amended at 6 Ill. Reg. 12318, effective October 1, 1982; amended at 6 Ill. Reg. 13754, effective November 1, 1982; amended at 7 Ill. Reg. 394, effective January 1, 1983; codified at 7 Ill. Reg. 5195; amended at 7 Ill. Reg. 5715, effective May 1, 1983; amended at 7 Ill. Reg. 8118, effective June 24, 1983; preemptory amendment at 7 Ill. Reg. 12899, effective October 1, 1983; amended at 7 Ill. Reg. 13655, effective October 4, 1983; preemptory amendment at 7 Ill. Reg. 16067, effective November 18, 1983; amended at 7 Ill. Reg. 16169, effective November 22, 1983; amended at 8 Ill. Reg. 5673, effective April 18, 1984; amended at 8 Ill. Reg. 7249, effective May 16, 1984; preemptory amendment at 8 Ill. Reg. 10086, effective July 1, 1984; amended at 8 Ill. Reg. 13284, effective July 16, 1984; amended at 8 Ill. Reg. 17900, effective September 14, 1984; amended (by adding section being codified with no substantive change) at 8 Ill. Reg. 17898; preemptory amendment at 8 Ill. Reg. 19690, effective October 1, 1984; preemptory amendment at 8 Ill. Reg. 22145, effective November 1, 1984; amended at 9 Ill. Reg. 302, effective January 1, 1985; amended at 9 Ill. Reg. 6804, effective May 1, 1985; amended at 9 Ill. Reg. 8665, effective May 29, 1985; preemptory amendment at 9 Ill. Reg. 8898, effective July 1, 1985; amended at 9 Ill. Reg. 11334, effective July 8, 1985; amended at 9 Ill. Reg. 14334, effective September 6, 1985; preemptory amendment at 9 Ill. Reg. 15582, effective October 1, 1985; amended at 9 Ill. Reg. 16889, effective October

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16, 1985; amended at 9 Ill. Reg. 19726, effective December 9, 1985; amended at 10 Ill. Reg. 229, effective December 20, 1985; preemptory amendment at 10 Ill. Reg. 7387, effective April 21, 1986; preemptory amendment at 10 Ill. Reg. 7941, effective May 1, 1986; amended at 10 Ill. Reg. 14692, effective August 29, 1986; preemptory amendment at 10 Ill. Reg. 15714, effective October 1, 1986; Sections 121.200 thru 121.208 recodified to 89 Ill. Adm. Code 165 at 10 Ill. Reg. 21094; preemptory amendment at 11 Ill. Reg. 3761, effective February 11, 1987; emergency amendment at 11 Ill. Reg. 3754, effective February 13, 1987, for a maximum of 150 days; emergency amendment at 11 Ill. Reg. 9968, effective May 15, 1987, for a maximum of 150 days; amended at 11 Ill. Reg. 10269, effective May 22, 1987; amended at 11 Ill. Reg. 10621, effective May 25, 1987; preemptory amendment at 11 Ill. Reg. 11391, effective July 1, 1987; preemptory amendment at 11 Ill. Reg. 11855, effective June 30, 1987; emergency amendment at 11 Ill. Reg. 12043, effective July 6, 1987; amended at 11 Ill. Reg. 13635, effective August 1, 1987; amended at 11 Ill. Reg. 14022, effective August 10, 1987; emergency amendment at 11 Ill. Reg. 15261, effective September 1, 1987, for a maximum of 150 days; amended at 11 Ill. Reg. 15480, effective September 4, 1987; amended at 11 Ill. Reg. 15634, effective September 11, 1987; amended at 11 Ill. Reg. 18218, effective October 30, 1987; preemptory amendment at 11 Ill. Reg. 18374, effective October 30, 1987; amended at 12 Ill. Reg. 877, effective December 30, 1987; emergency amendment at 12 Ill. Reg. 1941, effective December 31, 1987, for a maximum of 150 days; amended at 12 Ill. Reg. 4204, effective February 5, 1988; amended at 12 Ill. Reg. 9678, effective May 23, 1988; amended at 12 Ill. Reg. 9922, effective June 1, 1988; amended at 12 Ill. Reg. 11463, effective June 30, 1988; amended at 12 Ill. Reg. 12824, effective July 22, 1988; emergency amendment at 12 Ill. Reg. 14045, effective August 19, 1988, for a maximum of 150 days; preemptory amendment at 12 Ill. Reg. 15704, effective October 1, 1988; preemptory amendment at 12 Ill. Reg. 16271, effective October 1, 1988; amended at 12 Ill. Reg. 20161, effective November 30, 1988; amended at 13 Ill. Reg. 3890, effective March 10, 1989; amended at 13 Ill. Reg. 13619, effective August 14, 1989; preemptory amendment at 13 Ill. Reg. 15859, effective October 1, 1989; amended at 14 Ill. Reg. 729, effective January 1, 1990; amended at 14 Ill. Reg. 6349, effective April 13, 1990; amended at 14 Ill. Reg. 13202, effective August 6, 1990; preemptory amendment at 14 Ill. Reg. 15158, effective October 1, 1990; amended at 14 Ill. Reg. 16983, effective September 30, 1990; amended at 15 Ill. Reg. 11150, effective July 22, 1991; amended at 15 Ill. Reg. 11957, effective August 12, 1991; preemptory amendment at 15 Ill. Reg. 14134, effective October 1, 1991; emergency amendment at 16 Ill. Reg. 757, effective January 1, 1992, for a maximum of 150 days; amended at 16 Ill. Reg. 10011, effective June 15, 1992; amended at 16 Ill. Reg. 13900, effective August 31, 1992; emergency amendment at 16 Ill. Reg. 16221, effective October 1, 1992, for a maximum of 150 days; preemptory amendment at 16 Ill. Reg. 16345, effective October 1, 1992; amended at 16 Ill. Reg. 16624, effective October 23, 1992; amended at 17 Ill. Reg. 644, effective December 31, 1992; amended at 17 Ill. Reg. 4333, effective March 19, 1993; amended at 17 Ill. Reg. 14625, effective August 26, 1993; emergency amendment at 17 Ill. Reg. 15149, effective September 7, 1993, for a maximum of 150 days;

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peremptory amendment at 17 Ill. Reg. 17477, effective October 1, 1993; expedited correction at 17 Ill. Reg. 21216, effective October 1, 1993; amended at 18 Ill. Reg. 2033, effective January 21, 1994; emergency amendment at 18 Ill. Reg. 2509, effective January 27, 1994, for a maximum of 150 days; amended at 18 Ill. Reg. 3427, effective February 28, 1994; amended at 18 Ill. Reg. 8921, effective June 3, 1994; amended at 18 Ill. Reg. 12829, effective August 5, 1994; amended at 18 Ill. Reg. 14103, effective August 26, 1994; amended at 19 Ill. Reg. 5626, effective March 31, 1995; amended at 19 Ill. Reg. 6648, effective May 5, 1995; emergency amendment at 19 Ill. Reg. 12705, effective September 1, 1995, for a maximum of 150 days; peremptory amendment at 19 Ill. Reg. 13595, effective October 1, 1995; amended at 20 Ill. Reg. 1593, effective January 11, 1996; peremptory amendment at 20 Ill. Reg. 2229, effective January 17, 1996; amended at 20 Ill. Reg. 7902, effective June 1, 1996; amended at 20 Ill. Reg. 11935, effective August 14, 1996; emergency amendment at 20 Ill. Reg. 13381, effective October 1, 1996, for a maximum of 150 days; emergency amendment at 20 Ill. Reg. 13668, effective October 8, 1996, for a maximum of 150 days; amended at 21 Ill. Reg. 3156, effective February 28, 1997; amended at 21 Ill. Reg. 7733, effective June 4, 1997; recodified from the Department of Public Aid to the Department of Human Services at 21 Ill. Reg. 9322; emergency amendment at 22 Ill. Reg. 1954, effective January 1, 1998, for a maximum of 150 days; amended at 22 Ill. Reg. 5502, effective March 4, 1998; amended at 22 Ill. Reg. 7969, effective May 15, 1998; emergency amendment at 22 Ill. Reg. 10660, effective June 1, 1998, for a maximum of 150 days; emergency amendment at 22 Ill. Reg. 12167, effective July 1, 1998, for a maximum of 150 days; amended at 22 Ill. Reg. 16230, effective September 1, 1998; amended at 22 Ill. Reg. 19787, effective October 28, 1998; emergency amendment at 22 Ill. Reg. 19934, effective November 1, 1998; for a maximum of 150 days; amended at 22 Ill. Reg. 20099 effective November 1, 1998; emergency amendment at 23 Ill. Reg. 2601, effective February 1, 1999, for a maximum of 150 days; amended at 23 Ill. Reg. 3374, effective March 1, 1999; amended at 23 Ill. Reg. 7285, effective June 18, 1999; emergency amendment at 23 Ill. Reg. 13253, effective October 13, 1999, for a maximum of 150 days; emergency amendment at 24 Ill. Reg. 3871, effective February 24, 2000, for a maximum of 150 days; amended at 24 Ill. Reg. 4180, effective March 2, 2000; amended at 24 Ill. Reg. 10198, effective June 27, 2000; amended at 24 Ill. Reg. 15428, effective October 10, 2000; emergency amendment at 24 Ill. Reg. 15468, effective October 1, 2000, for a maximum of 150 days; amended at 25 Ill. Reg. 845, effective January 5, 2001; amended at 25 Ill. Reg. 2423, effective January 25, 2001; emergency amendment at 25 Ill. Reg. 2439, effective January 29, 2001, for a maximum of 150 days; emergency amendment at 25 Ill. Reg. 3707, effective March 1, 2001, for a maximum of 150 days; emergency expired July 28, 2001; amended at 25 Ill. Reg. 7720, effective June 7, 2001; amended at 25 Ill. Reg. 10823, effective August 12, 2001; amended at 25 Ill. Reg. 11856, effective August 31, 2001; emergency amendment at 25 Ill. Reg. 13309, effective October 1, 2001, for a maximum of 150 days; amended at 26 Ill. Reg. 151, effective January 1, 2002; amended at 26 Ill. Reg. 2025, effective February 1, 2002; amended at 26 Ill. Reg. 13530, effective September 3, 2002; peremptory amendment at 26 Ill. Reg. 15099, effective

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October 1, 2002; amended at 26 Ill. Reg. 16484, effective October 25, 2002; amended at 27 Ill. Reg. _____, effective _____.

SUBPART B: NON-FINANCIAL FACTORS OF ELIGIBILITY

Section 121.20 Citizenship

To be eligible for assistance, an individual shall be either a U.S. citizen or a non-citizen within specific categories and subject to specific restrictions as set forth below:

- a) Citizenship status -- Persons born in the U.S. or in its possessions are U.S. citizens. Citizenship can also be acquired by naturalization through court proceedings or by certain persons born in a foreign country of U.S. citizen parent(s).
- b) Non-citizens -- The following categories of non-citizens may receive assistance, if otherwise eligible [regardless of their time in the U.S.](#):
 - 1) ~~Non-citizens~~ [Lawful Permanent Resident](#) Credited with 40 Quarters of Work
 - A) Aliens lawfully admitted to the United States for permanent residence under the Immigration and Nationality Act (INA) who have worked 40 qualifying quarters of coverage (as defined under Title II of the Social Security Act). Effective January 1, 1997, in order for a quarter of work to count, the client must not have received any benefits under a federal means-tested program during that quarter.
 - B) Quarters of a parent count for an alien while the alien is under age 18.
 - C) Quarters of a spouse count for an alien if the alien is still married to that spouse or the spouse is deceased.
 - 2) Veterans, Active U.S. Military Service Persons and Their Dependents. A veteran honorably discharged from U.S. military service or a person in active U.S. military duty and the spouse or dependent child or children of such a person meet the citizenship requirement for food stamps if their INS status is:

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- A) lawful permanent resident;
 - ~~B) refugee admitted under Section 207 of the Immigration and Nationality Act (INA) (8 USCA 1157);~~
 - ~~C) asylee admitted under Section 208 of the INA (8 USCA 1158);~~
 - ~~D) Cuban or Haitian national admitted on or after 4/21/80;~~
 - ~~EB)~~ conditional entrant under Section 203(a)(7) of the INA (8 USCA 1153(a)(7));
 - ~~FC)~~ parolee status for at least a year under Section 212(d)(5) of the INA (8 USCA 1182(d)(5));
 - ~~GD)~~ deportation withheld under Section 243(h) (8 USCA 1253(h)) or 241(b)(3) (USCA 1231(b)(93)) of the INA; or
 - ~~HE)~~ battered spouse or child, or parent or child of a battered person with a petition pending under Section 204(a)(1)(A) or (B) (8 USCA 1154(a)(1)(A) or (B)) or 244(a)(3) (8 USCA 1641(c)) of the INA. This status does not apply if the non-citizen lives with the abuser.
- c3) ~~Non citizens Who Qualify for a Limited Time. For 7 years after the status has been attained, the~~ The following non-citizens meet the citizenship requirement for food stamps indefinitely even if their status later changes to lawful permanent resident:
- A1) refugees admitted under Section 207 of the INA;
 - B2) asylees admitted under Section 208 of the INA;
 - C3) persons for whom deportation has been withheld under Section 243(h) (8 USCA 1253(h)) or 241(b)(3))(8 USCA 1231(b)(3)) of the INA;
 - D4) Cuban or Haitian national admitted on or after 4/21/80; or
 - E5) Amerasians from Vietnam and their close family members admitted through the Orderly Departure Program beginning on 3/20/88.

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4d) Children, disabled, or elderly non-citizens who were lawfully residing in the U.S. on 8/22/96. A person qualifies as a child if the person is under age 18. A person qualifies as elderly if the person was age 65 on 8/22/96. A person qualifies as disabled/blind if the person meets one of the requirements listed in Section 121.61(a)(1)(B) through (L). The person must also have the following status with INS:

A1) lawful permanent resident;

~~**B)** refugee admitted under Section 207 of the Immigration and Nationality Act (INA)(8 USC 1157);~~

~~**C)** asylee admitted under Section 208 of the INA;~~

~~**D)** Cuban or Haitian national admitted on or after 4/21/80;~~

E2) conditional entrant under Section 203(a)(7) of the INA (8 USC 1153(a)(7));

F3) parolee status for at least a year under Section 212(d)(5) of the INA (8 USC 1182(d)(5)); or

~~**G)** deportation withheld under Section 243(h)(8 USC 1231(b)(3) or 241(b)(3)(8USCA 1231(b)(3)) of the INA; or~~

H4) battered spouse or child, or parent or child of a battered person with a petition pending under Section 204(a)(1)(A) or (B) (8 USC 1154(a)(1)(A) or (B)) or 240A of the INA. This status does not apply if the non-citizen lives with the abuser.

5e) Hmong or Highland Laotian tribe members and the member's close family members. A person lawfully residing in the U.S. that was a member of a Hmong or Highland Laotian tribe when the tribe helped U.S. personnel by taking part in a military or rescue operation during the Vietnam era (between August 5, 1964 and May 7, 1975). This also includes the person's spouse, unmarried surviving spouse, if deceased, and unmarried dependent children.

6f) Certain American Indians born in Canada. An American Indian born in Canada to whom the provisions of Section 289 of the INA apply, and a member of an Indian tribe as defined in Section 4e of the Indian Self-Determination and Education Assistance Act.

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- g) Noncitizens who have lived in the U.S. for at least 5 years in the following status with INS may receive assistance, if otherwise eligible:
- 1) lawful permanent resident;
 - 2) conditional entrant under Section 203(a)(7) of the INA;
 - 3) parolee status for at least a year under section 212(d)(5) of the INA; or
 - 4) battered spouse or child, or parent or child of a battered person with a petition pending under 204(a)(1)(A) or (B) (8 USCA 1154(A)(1)(A) or (B) or 240A of the INA. This status does not apply if the noncitizen lives with the abuser.

Source: Amended at 27 Ill. Reg. _____, effective _____)

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B) Reporting, bookkeeping or other procedures required for compliance:
None

C) Types of professional skills necessary for compliance: None

13) Regulatory Agenda on which this rulemaking was summarized: July 2002

The full text of the Proposed Amendments begins on the next page:

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TITLE 17: CONSERVATION
CHAPTER I: DEPARTMENT OF NATURAL RESOURCES
SUBCHAPTER b: FISH AND WILDLIFEPART 650
WHITE-TAILED DEER HUNTING BY USE OF FIREARMS

Section

650.10	Statewide Season and Permit Quotas
650.20	Statewide Deer Permit Requirements
650.21	Deer Permit Requirements - Landowner/Tenant Permits
650.22	Deer Permit Requirements - Special Hunts
650.23	Deer Permit Requirements - Group Hunt
650.30	Statewide Firearms Requirements
650.40	Statewide Deer Hunting Rules
650.50	Rejection of Application/Revocation of Permits
650.60	Regulations at Various Department-Owned or -Managed Sites
650.65	Youth Hunt (Repealed)
650.67	Special Hunts for Disabled Hunters
650.70	Special Extended Season Firearm Deer Hunt (Repealed)

AUTHORITY: Implementing and authorized by Sections 1.3, 1.4, 1.13, 2.24, 2.25, 2.26 and 3.36 of the Wildlife Code [520 ILCS 5/1.3, 1.4, 1.13, 2.24, 2.25, 2.26 and 3.36].

SOURCE: Adopted at 5 Ill. Reg. 9771, effective September 17, 1981; codified at 5 Ill. Reg. 10640; amended at 6 Ill. Reg. 10730, effective August 20, 1982; amended at 7 Ill. Reg. 10798, effective August 24, 1983; amended at 8 Ill. Reg. 21602, effective October 23, 1984; amended at 9 Ill. Reg. 16213, effective October 10, 1985; emergency amendment at 9 Ill. Reg. 20922, effective December 18, 1985, for a maximum of 150 days; amended at 10 Ill. Reg. 4223, effective February 25, 1986; amended at 10 Ill. Reg. 16665, effective September 22, 1986; amended at 11 Ill. Reg. 3044, effective February 3, 1987; amended at 11 Ill. Reg. 9564, effective May 5, 1987; amended at 12 Ill. Reg. 8003, effective April 25, 1988; amended at 12 Ill. Reg. 12055, effective July 11, 1988; amended at 13 Ill. Reg. 12853, effective July 21, 1989; amended at 14 Ill. Reg. 12430, effective July 20, 1990; amended at 14 Ill. Reg. 19869, effective December 3, 1990; amended at 15 Ill. Reg. 10038, effective June 24, 1991; emergency amendment at 15 Ill. Reg. 15790, effective October 22, 1991, for a maximum of 150 days; emergency expired March 21, 1992; amended at 16 Ill. Reg. 11131, effective June 30, 1992; amended at 17 Ill. Reg. 13468, effective July 30, 1993; amended at 18 Ill. Reg. 5859, effective April 5, 1994; amended at 18 Ill. Reg. 13431, effective August 23, 1994; amended at 19 Ill. Reg. 6477, effective April 28, 1995;

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amended at 20 Ill. Reg. 7515, effective May 20, 1996; amended at 21 Ill. Reg. 5572, effective April 19, 1997; amended at 21 Ill. Reg. 9116, effective June 26, 1997; amended at 22 Ill. Reg. 8007, effective April 28, 1998; amended at 23 Ill. Reg. 5564, effective April 26, 1999; amended at 24 Ill. Reg. 8971, effective June 19, 2000; amended at 24 Ill. Reg. 10260, effective July 1, 2000; amended at 25 Ill. Reg. 7231, effective May 22, 2001; amended at 26 Ill. Reg. 9319, effective June 17, 2002; amended at 27 Ill. Reg. _____, effective _____.

Section 650.60 Regulations at Various Department-Owned or -Managed Sites

- a) All the regulations in 17 Ill. Adm. Code 510 - General Hunting and Trapping apply in this Section, unless this Section is more restrictive.
- b) It is unlawful to drive deer, or participate in a deer drive, on all Department-owned or -managed properties. A deer drive is defined as a deliberate action by one or more persons (whether armed or unarmed) whose intent is to cause deer to move within firearm range of one or more participating hunters.
- c) Only one tree stand is allowed per deer permit holder. These tree stands must comply with restrictions listed in 17 Ill. Adm. Code 510.10(c)(3) and must be portable. Tree stands must be removed at the end of each day with the exception that they may be left unattended from September 15 - January 31 at those sites listed in this Section that are followed by a (1). Any tree stand left unattended overnight must be legibly marked with the owner's name, address, and telephone number, or site assigned identification number.
- d) Check-in, check-out, and reporting of harvest is required at those sites listed in this Section that are followed by a (2).
- e) Only antlerless deer or antlered deer having at least four points on one side may be harvested at those sites listed in this Section that are followed by a (3).
- f) Only antlerless deer or antlered deer having at least five points on one side may be harvested at those sites listed in this Section that are followed by a (4).
- g) Statewide regulations shall apply at the following sites:

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Cache River State Natural Area (1) (2)

Campbell Pond (1) (2)

Carlyle Lake Lands and Waters (Corps of Engineers managed lands)

Carlyle Lake Wildlife Management Area (except subimpoundment area)

Chauncey Marsh (1) (2)

Crawford County Conservation Area (1) (2)

Cypress Creek National Wildlife Refuge

Cypress Pond State Natural Area (1) (2)

Deer Pond State Natural Area (1) (2)

Dog Island Wildlife Management Area (1) (2)

Ferne Clyffe State Park (1) (2)

Fort de Chartres State Historic Site (muzzleloading rifles only) (1) (2)

Giant City State Park (1) (2)

Hamilton County Conservation Area (1) (2)

Horseshoe Lake Conservation Area-Alexander County (all portions of the Public Hunting Area except the Controlled Goose Hunting Area) (1) (2)

I-24 Wildlife Management Area (1) (2)

Kaskaskia River Fish and Wildlife Area (1) (2, except south of Highway 154 and north of Highway 13) (Doza Creek Waterfowl Management Area closed during duck season)

Kinkaid Lake Fish and Wildlife Area (1) (2)

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Lake Le Aqua Na State Park (standby hunting allowed during the first season if all blinds not filled by youth hunters)

Mermet Lake Conservation Area (1) (2)

Mississippi Fish and Waterfowl Management Area - Pools 25 and 26 (1) |

Mississippi River Pool 16 (1)

Mississippi River Pools 17, 18 (1)

Mississippi River Pools 21, 22, 24, (1)

Newton Lake Fish and Wildlife Area (2)

Oakford Conservation Area (1)

Pere Marquette State Park (hunting in designated areas only) (1)(2) |

Rend Lake Project Lands and Waters (1)

Saline County Fish and Wildlife Area (1) (2)

Sanganois State Wildlife Area (1)

Sielbeck Forest Natural Area (1) (2)

Ten Mile Creek Fish and Wildlife Area (1); Belle Rive Unit only (3)

Trail of Tears State Forest (1) (2)

Turkey Bluffs Fish and Wildlife Area (1) (2)

Union County Conservation Area (Firing Line Unit only) (1) (2)

Weinberg-King State Park (2)

Wildcat Hollow State Forest (1)

h) Statewide regulations shall apply at the following sites by special permit

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allocated through the regular statewide drawing. Season dates that differ from the statewide dates are in parentheses. Sites that offer standby hunting are followed by a (5). At sites offering standby hunting, permit holders must register at the check station by 5:00 a.m. each day of the hunt. Unvalidated permits are void after 5:00 a.m. Vacancies each day will be filled by a drawing held at 5:00 a.m. Vacancies may be filled by any person holding a valid hunting license, Habitat Stamp, and Firearm Owner Identification Card, unless exempt. Standby hunters will be issued a one-day site-specific deer permit at the check station, and charged a permit fee of \$5. All hunters must check out and report harvest.

Apple River Canyon State Park - Thompson and Salem Units (first or second season only) (2)

Argyle Lake State Park (2)(5)

Beall Woods State Park (antlerless deer only) (1) (2) (5)

Big River State Forest (2)(5)

Castle Rock State Park (first or second season only) (antlerless only) (1) (2) (5)

Coffeen Lake State Fish and Wildlife Area

Des Plaines Conservation Area (first season only) (2) (5)

Falling Down Prairie State Natural Area (first or second season only) (2)

Fort Massac State Park (second season only) (antlerless deer only) (2)

Fox Ridge State Park (1)

Franklin Creek State Park (first season only) (antlerless only) (2) (5)

Franklin Creek State Park (second season only) (antlerless only) (2) (5)

Goose Lake Prairie State Natural Area (tree stands not allowed; first or second season only; antlerless deer only; "Texas" style tripod stands

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allowed) (2) (5)

Green River State Wildlife Area (first or second season only) (1) (2) (5)

Hanover Bluff State Natural Area (first or second season only) (2) -
~~Kopper Tract (1)~~

Harry "Babe" Woodyard State Natural Area (2) (3)

Heidecke State Fish and Wildlife Area (first or second season only) (2) (4)
(5)

Hidden Springs State Forest (1)

Horseshoe Lake Conservation Area-Alexander County (Refuge, last two
Saturdays in October; antlerless only) (5)

Hurricane Creek Habitat Area

Iroquois County Conservation Area ~~Hooper Branch~~ (first season only) (2)
(5)

~~Iroquois County Conservation Area (Hooper Branch only) (second season
only) (2) (5)~~

Jim Edgar Panther Creek State Fish and Wildlife Area (1) (2) (3)

Jim Edgar Panther Creek State Fish and Wildlife Area (West Open Unit)
(1) (2) (3)

Kickapoo State Recreation Area (2)

Kishwaukee River State Fish and Wildlife Area (first season only)

Kishwaukee River State Fish and Wildlife Area (second season only)

Lowden-Miller State Forest (first season only) (1) (2) (3) (5)

Lowden-Miller State Forest (second season only) (1) (2) (3) (5)

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Mackinaw River Fish and Wildlife Area (1) (2) (5)

Marseilles Fish and Wildlife Area (first season only) (all tree stands must be removed no later than the last day of the archery deer season) (1) (2) (5)

Marseilles Fish and Wildlife Area (second season only) (all tree stands must be removed no later than the last day of the archery deer season) (1) (2) (5)

Marshall Fish and Wildlife Area (2) (5)

Middle Fork Fish and Wildlife Area (2)

Mississippi Palisades State Park (first season only)

Momence Wetlands

Moraine Hills State Park (first or second season permits only; antlerless deer only, hunting from elevated stands only, six feet minimum above ground; firearms must be fully enclosed in a case, except while the hunter is in an elevated stand or as otherwise authorized by an employee of the Department) (2)

Morrison-Rockwood State Park (first season only) (5)

Pyramid State Park (1) (2) (3)

Pyramid State Park-East Conant Unit (1) (3)

Pyramid State Park-Galum Unit (1) (3)

Ray Norbut Fish and Wildlife Area (2) (5)

Sahara Woods (1) (2)

Sand Ridge State Forest (1) (2)

Sangamon County Conservation Area (1)

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Siloam Springs State Park (2) (3)

Starved Rock/Matthiessen Dells State Park (Monday, Tuesday, Wednesday and Thursday before the first statewide firearm deer season only) (antlerless deer only) (2) (5)

Starved Rock/Matthiessen Dells State Park (Monday, Tuesday and Wednesday before the second statewide firearm deer season only) (antlerless deer only) (2) (5)

Tapley Woods State Natural Area (first or second season only) (2)

Union County Conservation Area (refuge, last Saturday in October)

Wards Grove Nature Preserve (first or second season only; antlerless only) (2) (5)

Witkowsky State Wildlife Area (first or second season only) (2)

Wolf Creek State Park (participants in the Corps of Engineers special disabled hunt program are exempt from site's antler restrictions) (3)

- i) Violations of site specific regulations are petty offenses (see 520 ILCS 5/2.20)~~Violation of this Section is a Class B misdemeanor (see 520 ILCS 5/2.24).~~

(Source: Amended at 27 Ill. Reg. _____, effective _____)

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- 1) Heading of the Part: White-Tailed Deer Hunting By Use of Muzzleloading Rifles
- 2) Code Citation: 17 Ill. Adm. Code 660
- 3) Section Numbers: 660.60 Proposed Action: Amendment
- 4) Statutory Authority: Implementing and authorized by Sections 1.3, 1.4, 1.13, 2.24, 2.25, 2.26, 2.33 and 3.36 of the Wildlife Code [520 ILCS 5/1.3, 1.4, 1.13, 2.24, 2.25, 2.26, 2.33 and 3.36].
- 5) A Complete Description of the Subjects and Issues Involved: This Part is being amended to add deer hunting at Deer Pond Natural Area, add site-specific regulations at various sites and add stand-by hunting at Wards Grove Nature Preserves.
- 6) Will this rulemaking replace an emergency rulemaking currently in effect? No
- 7) Does this rulemaking contain an automatic repeal date? No
- 8) Do these proposed amendments contain incorporations by reference? No
- 9) Are there any other proposed amendments pending on this Part? No
- 10) Statement of Statewide Policy Objective: This rulemaking does not affect units of local government.
- 11) Time, Place and Manner in which interested persons may comment on this proposed rulemaking: Comments on the proposed rulemaking may be submitted in writing for a period of 45 days following publication of this notice to:

Stanley Yonkauski, Jr.
Department of Natural Resources
One Natural Resources Way
Springfield IL 62702-1271
217/782-1809
- 12) Initial Regulatory Flexibility Analysis:
 - A) Types of small businesses, small municipalities and not for profit corporations

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- affected: None
- B) Reporting, bookkeeping or other procedures required for compliance: None
- C) Types of professional skills necessary for compliance: None
- 13) Regulatory Agenda on which this rulemaking was summarized: July 2002

The full text of the Proposed Amendments begins on the next page:

DEPARTMENT OF NATURAL RESOURCES

NOTICE OF PROPOSED AMENDMENTS

TITLE 17: CONSERVATION
CHAPTER I: DEPARTMENT OF NATURAL RESOURCES
SUBCHAPTER b: FISH AND WILDLIFEPART 660
WHITE-TAILED DEER HUNTING BY USE
OF MUZZLELOADING RIFLES

Section

660.10	Statewide Season and Permit Quotas
660.20	Statewide Deer Permit Requirements
660.21	Deer Permit Requirements - Free Landowner/Tenant Permits
660.22	Deer Permit Requirements - Special Hunts
660.25	Deer Permit Requirements - Group Hunt
660.30	Statewide Muzzleloading Rifle Requirements
660.40	Statewide Deer Hunting Rules
660.45	Reporting Harvest
660.50	Rejection of Application/Revocation of Permits
660.60	Regulations at Various Department-Owned or -Managed Sites

AUTHORITY: Implementing and authorized by Sections 1.3, 1.4, 1.13, 2.24, 2.25, 2.26, 2.33 and 3.36 of the Wildlife Code [520 ILCS 5/1.3, 1.4, 1.13, 2.24, 2.25, 2.26, 2.33 and 3.36].

SOURCE: Adopted at 15 Ill. Reg. 4777, effective March 8, 1991; amended at 15 Ill. Reg. 11627, effective August 2, 1991; amended at 16 Ill. Reg. 11150, effective June 30, 1992; amended at 17 Ill. Reg. 10865, effective July 1, 1993; amended at 18 Ill. Reg. 5878, effective April 5, 1994; amended at 18 Ill. Reg. 13435, effective August 23, 1994; amended at 19 Ill. Reg. 6500, effective April 28, 1995; amended at 20 Ill. Reg. 6734, effective May 6, 1996; amended at 21 Ill. Reg. 5583, effective April 19, 1997; amended at 21 Ill. Reg. 9122, effective June 26, 1997; amended at 22 Ill. Reg. 8026, effective April 28, 1998; amended at 23 Ill. Reg. 5579, effective April 26, 1999; amended at 24 Ill. Reg. 10251, effective July 1, 2000; amended at 25 Ill. Reg. 6367, effective April 27, 2001; amended at 26 Ill. Reg. 9340, effective June 17, 2002; amended at 27 Ill. Reg. _____, effective _____.

Section 660.60 Regulations at Various Department-Owned or -Managed Sites

- a) All the regulations in 17 Ill. Adm. Code 510 - General Hunting and Trapping apply in this Section, unless this Section is more restrictive.

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- b) Only one tree stand is allowed per deer permit holder. Tree stands must comply with restrictions listed in 17 Ill. Adm. Code 510(c)(3) and must be portable. Tree stands must be removed at the end of each day with the exception that they may be left unattended from September 15 - January 31 at those sites listed in this Section that are followed by a (1). Any tree stand left unattended overnight must be legibly marked with the owner's name, address, and telephone number, or site assigned identification number.
- c) It is unlawful to drive deer or participate in a deer drive on all Department-owned or -managed properties. A deer drive is defined as a deliberate action by one or more persons (whether armed or unarmed) whose intent is to cause deer to move within firearm range of one or more participating hunters.
- d) Check-in, check-out and reporting of harvest is required at those sites listed in this Section that are followed by a (2).
- e) Handicapped preferred hunting opportunities are provided at those sites listed in this Section that are followed by a (3).
- f) Only antlerless deer or antlered deer having at least four points on one side may be harvested at those sites listed in this Section that are followed by a (4).
- g) Only antlerless deer or antlered deer having at least five points on one side may be harvested at those sites listed in this Section that are followed by a (5).
- h) Statewide regulations shall apply at the following sites:
 - Cache River State Natural Area (1) (2)
 - Campbell Pond Fish and Wildlife Area (1) (2)
 - Carlyle Lake Wildlife Management Area except subimpoundment areas
 - Carlyle Lake Lands and Waters - Corps of Engineers managed lands
 - Chauncey Marsh (1) (2)
 - Crawford County Fish and Wildlife Area (1) (2)

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Cypress Creek National Wildlife Refuge

Cypress Pond State Natural Area (1) (2)

Deer Pond State Natural Area (1) (2)

Dog Island Wildlife Management Area (1) (2)

Ferne Clyffe State Park (1) (2)

Fort de Chartres Historic Site (1) (2)

Giant City State Park (1) (2)

Hamilton County Fish and Wildlife Area (1) (2)

Horseshoe Lake Conservation Area-Alexander County (all portions of the Public Hunting Area except the Controlled Goose Hunting Area) (1) (2)

I-24 Wildlife Management Area (1) (2)

Jim Edgar Panther Creek State Fish and Wildlife Area West Open Unit (closed during second firearm season) (1) (2) (4)

Kaskaskia River Fish and Wildlife Area (1) (2, except south of Highway 154 and north of Highway 13) (Doza Creek Waterfowl Management Area is closed during duck season)

Kickapoo State Park (closed during second firearm deer season) (1) (2)

Kinkaid Lake Fish and Wildlife Area (1) (2)

Mermet Lake Conservation Area (1) (2)

Middle Fork Fish and Wildlife Area (closed during second firearm deer season) (1) (2)

Mississippi River Pool 16 (1)

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Mississippi River Pool 17 (1)

Mississippi River Pool 18 (1)

Mississippi River Pools 21, 22, 24 (1)

Mississippi Fish and Waterfowl Management Area - Pools 25 and 26 (1) |

Oakford Conservation Area (1)

Pere Marquette State Park (hunting in designated area only) (1)(2) |

Pyramid State Park (1) (2)

Ray Norbut Fish and Wildlife Area (2)

Rend Lake Project Lands and Waters (1)

Saline County Fish and Wildlife Area (1) (2)

Sand Ridge State Forest (1) (2)

Sanganois Fish and Wildlife Area (1)

Sielbeck Forest Natural Area (1) (2)

Ten Mile Creek Fish and Wildlife Area (1); Belle Rive Unit only (4)

Trail of Tears State Forest (1) (2)

Turkey Bluffs Fish and Wildlife Area (1) (2)

Union County Conservation Area (Firing Line Unit only) (1) (2)

Weinberg-King State Park (2)

Wildcat Hollow State Forest (1)

- i) Statewide regulations shall apply at the following sites by special permit allocated through the regular statewide drawing. Season dates that differ from the

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statewide dates are in parentheses. Sites that offer standby hunting are followed by a (6). At sites offering standby hunting, permit holders must register at the check station by 5:00 a.m. each day of the hunt. Unvalidated permits are void after 5:00 a.m. Vacancies each day will be filled by a drawing held at 5:00 a.m. Vacancies may be filled by any person holding a valid hunting license, Habitat Stamp, and Firearm Owner Identification Card, if required. Standby hunters will be issued a one-day site-specific deer permit at the check station, and charged a permit fee of \$5. All hunters must check out and report harvest.

Castle Rock State Park (closed during second firearm season; antlerless deer only) (2) (6)

Clinton Lake State Recreation Area (North Fork Management Unit, north of the county road at the North Fork boat ramp) (1)

Falling Down Prairie (closed during the second firearm deer season) (2)

Hanover Bluff-Kopper Tract (closed during the second firearm deer season) (2)

Hidden Springs State Forest (closed during second firearm deer season) (1) (2)

Marseilles Fish and Wildlife Area (closed during second firearm deer season) (all tree stands must be removed from this area no later than sunset of the last day of archery deer season) (1) (2) (6)

Midewin National Tallgrass Prairie (closed during the second firearm deer season) (5)

Pyramid State Park-East Conant Unit (1)(4)

Sahara Woods (1) (2)

Sangamon County Conservation Area (closed during second firearm deer season) (1)

Tapley Woods State Natural Area (closed during the second firearm deer season)

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Wards Grove Nature Preserve (closed during the second firearm deer season; antlerless deer only) (2) (6)

- j) Violations of site specific regulations are petty offenses (see 520 ILCS 5/2.20)~~Violation of this Section is a Class B misdemeanor (see 520 ILCS 5/2.24).~~

(Source: Amended at 27 Ill. Reg. _____, effective _____)

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NOTICE OF PROPOSED AMENDMENTS

- 1) Heading of the Part: White-Tailed Deer Hunting By Use of Bow and Arrow
- 2) Code Citation: 17 Ill. Adm. Code 670
- 3)

<u>Section Numbers</u> :	<u>Proposed Action</u> :
670.40	Amendment
670.60	Amendment
- 4) Statutory Authority: Implementing and authorized by Sections 1.2, 1.3, 1.4, 2.1, 2.2, 2.24, 2.25, 2.26, 2.33, 3.5 and 3.36 of the Wildlife Code [520 ILCS 5/1.2, 1.3, 1.4, 2.1, 2.2, 2.24, 2.25, 2.26, 2.33, 3.5 and 3.36].
- 5) A Complete Description of the Subjects and Issues Involved: This Part is being amended to update a statutory citation, open additional sites to hunting and add site-specific regulations.
- 6) Will this rulemaking replace an emergency rulemaking currently in effect? No
- 7) Does this rulemaking contain an automatic repeal date? No
- 8) Do these proposed amendments contain incorporations by reference? No
- 9) Are there any other proposed amendments pending on this Part? No
- 10) Statement of Statewide Policy Objective: This rulemaking does not affect units of local government.
- 11) Time, Place and Manner in which interested persons may comment on this proposed rulemaking: Comments on the proposed rulemaking may be submitted in writing for a period of 45 days following publication of this notice to:

Stanley Yonkauski, Jr.
Department of Natural Resources
One Natural Resources Way
Springfield IL 62702-1271
217/782-1809

- 12) Initial Regulatory Flexibility Analysis:

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- A) Types of small businesses, small municipalities and not for profit corporations affected: None
 - B) Reporting, bookkeeping or other procedures required for compliance: None
 - C) Types of professional skills necessary for compliance: None
- 13) Regulatory Agenda on which this rulemaking was summarized: July 2002

The full text of the Proposed Amendments begins on the next page:

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NOTICE OF PROPOSED AMENDMENTS

TITLE 17: CONSERVATION
CHAPTER I: DEPARTMENT OF NATURAL RESOURCES
SUBCHAPTER b: FISH AND WILDLIFEPART 670
WHITE-TAILED DEER HUNTING BY USE OF BOW AND ARROW

Section

670.10	Statewide Open Seasons and Counties
670.20	Statewide Deer Permit Requirements
670.21	Deer Permit Requirements - Landowner/Tenant Permits
670.30	Statewide Legal Bow and Arrow
670.40	Statewide Deer Hunting Rules
670.50	Rejection of Application/Revocation of Permits
670.55	Reporting Harvest
670.60	Regulations at Various Department-Owned or -Managed Sites

AUTHORITY: Implementing and authorized by Sections 1.2, 1.3, 1.4, 2.1, 2.2, 2.24, 2.25, 2.26, 2.33, 3.5 and 3.36 of the Wildlife Code [520 ILCS 5/1.2, 1.3, 1.4, 2.1, 2.2, 2.24, 2.25, 2.26, 2.33, 3.5 and 3.36].

SOURCE: Adopted at 5 Ill. Reg. 8888, effective August 25, 1981; codified at 5 Ill. Reg. 10641; emergency amendment at 5 Ill. Reg. 11402, effective October 14, 1981, for a maximum of 150 days; emergency expired March 13, 1982; amended at 6 Ill. Reg. 10721, effective August 20, 1982; emergency amendment at 6 Ill. Reg. 15581, effective December 14, 1982, for a maximum of 150 days; emergency expired May 13, 1983; amended at 7 Ill. Reg. 10790, effective August 24, 1983; amended at 8 Ill. Reg. 19004, effective September 26, 1984; amended at 9 Ill. Reg. 14317, effective September 9, 1985; amended at 10 Ill. Reg. 16658, effective September 22, 1986; amended at 11 Ill. Reg. 2275, effective January 20, 1987; amended at 12 Ill. Reg. 12042, effective July 11, 1988; amended at 13 Ill. Reg. 12839, effective July 21, 1989; amended at 14 Ill. Reg. 14787, effective September 4, 1990; amended at 14 Ill. Reg. 19859, effective December 3, 1990; amended at 15 Ill. Reg. 10021, effective June 24, 1991; amended at 15 Ill. Reg. 16691, effective October 31, 1991; amended at 16 Ill. Reg. 11116, effective June 30, 1992; amended at 17 Ill. Reg. 286, effective December 28, 1992; amended at 17 Ill. Reg. 13452, effective July 30, 1993; amended at 18 Ill. Reg. 5842, effective April 5, 1994; amended at 19 Ill. Reg. 7560, effective May 26, 1995; amended at 19 Ill. Reg. 15411, effective October 26, 1995; amended at 20 Ill. Reg. 6723, effective May 6, 1996; amended at 21 Ill. Reg. 5561, effective April 19, 1997; amended at 22 Ill. Reg. 7995, effective April 28, 1998; amended at 23 Ill. Reg. 6829, effective May 20, 1999; amended at 24 Ill. Reg. 6908, effective April 20, 2000; amended at 25 Ill. Reg.

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7217, effective May 22, 2001; amended at 25 Ill. Reg. 11471, effective August 14, 2001; amended at 26 Ill. Reg. 9356, effective June 17, 2002; amended at 27 Ill. Reg. _____, effective _____.

Section 670.40 Statewide Deer Hunting Rules

- a) The bag limit is one deer per legally authorized either-sex, antlered-only or antlerless-only permit. All either-sex permits and antlered-only permits are subject to the following restriction: no hunter, regardless of the quantity or type of permits in his/her possession, may harvest more than 2 antlered deer during a year, including the archery, muzzleloader and firearm seasons. For purposes of this subsection, deer seasons are considered to be in the same year if their opening dates fall within the same 12-month period that begins on July 1. A hunter in possession of an either-sex permit after having harvested 2 antlered deer during a year, as defined above, may only use the permit to harvest an antlerless deer. Subject to this restriction, an either-sex permit holder is allowed to take a deer with or without antlers; and an antlered-only permit holder is allowed to take only a deer having at least one antler of a length of 3 or more inches. An antlerless-only permit holder is allowed to take only a deer without antlers or a deer having antlers less than 3 inches long.
- b) The Illinois Restricted Archery Zone shall consist of Champaign, DeWitt, Macon, Moultrie, and Piatt counties. No more than 2 deer may be harvested per hunter during the archery season in the Restricted Archery Zone. During the period October 1 - October 31, only antlered deer may be harvested in the Restricted Archery Zone, regardless of permits in possession. An antlered deer is defined as a deer having at least one antler of a length of 3 or more inches. All restrictions listed in subsection (a) also apply in the Restricted Archery Zone.
- c) Recipients of any type of Archery Deer Hunting Permit shall record their signature on the permit prior to hunting and must carry it on their person while hunting. In addition, holders of combination permits (consisting of both either-sex and antlerless-only tags on a single form) shall record their name and complete address on the check station tag portions of their permit prior to hunting.
- d) The temporary harvest tag shall be attached and properly sealed immediately upon kill and before the deer is moved, transported or field dressed. No person shall leave any deer that has been killed without properly attaching the temporary harvest tag to the deer. The temporary harvest tag should be attached around the

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base of the antler of antlered deer (antlers 3 or more inches in length), and attached to the leg of antlerless deer (<3 inch antler length, or no antlers). A permanent harvest tag will be attached to the leg of the deer upon check out at the check station. If the head/antlers are delivered to a taxidermist for processing, the temporary harvest tag must accompany them and be kept with the head/antlers while at the taxidermist. If the carcass is taken to a meat processor, the permanent harvest tag must remain attached to the leg of the deer until it is processed, then must remain with the processed deer until it is at the legal residence of the person who legally took or possessed the deer. Persons delivering deer/parts of deer to a tanner for processing must supply the tanner with their deer permit number to verify lawful acquisition. In the absence of a permit number, the tanner may rely on the written certification of the person from whom the deer was received that the specimen was legally taken or obtained.

- e) Hunters shall not have in their possession, while in the field during archery deer season, any deer permit issued to another person (permits are non-transferable).
- f) Permits will not be re-issued in cases involving deer taken which are found to be diseased or spoiled due to previous injury. Legal disposal of unfit deer taken shall be the responsibility of the hunter.
- g) Unlawful take or possession of one deer is a Class B misdemeanor (see 520 ILCS 5/2.24); unlawful take or possession of two or more deer in a 90-day period is a Class 4 felony (see 520 ILCS 5/~~2.36a~~ 2-30); unlawful take or possession of 2 or more deer as a single act or single course of conduct is a Class 3 felony (see 520 ILCS 5/2.36a); and any other violation of this Section is a Class B misdemeanor (see 520 ILCS 5/2.24).

(Source: Amended at 27 Ill. Reg. _____, effective _____)

Section 670.60 Regulations at Various Department-Owned or -Managed Sites

- a) All the regulations in 17 Ill. Adm. Code 510 (General Hunting and Trapping) apply in this Section, unless this Section is more restrictive.
- b) Only one tree stand is allowed per deer permit holder. Tree stands must comply with restrictions listed in 17 Ill. Adm. Code 510.10(c)(3) and must be portable. Tree stands must be removed at the end of each day with the exception that tree stands may be left unattended from September 15 through January 31 at those

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sites listed in this Section that are followed by a (1). Any tree stand left unattended must be legibly marked with the owner's name, address, and telephone number, or site assigned identification number.

- c) Check-in, check-out, and reporting of harvest is required at those sites listed in this Section that are followed by a (2).
- d) Where standby hunters are used to fill quotas, a drawing will be held at sites indicated by a (3).
- e) Only antlerless deer or antlered deer having at least four points on one side may be harvested at those sites listed in this Section that are followed by a (4).
- f) Only antlerless deer or antlered deer having at least five points on one side may be harvested at those sites listed in this Section that are followed by a (5).
- g) Statewide regulations shall apply at the following sites:
 - * Anderson Lake Fish and Wildlife Area (2)
Apple River Canyon State Park (2)
Argyle Lake State Park (2)
 - * Banner Marsh Fish and Wildlife Area (2)
Beall Woods State Park (antlerless deer only; ~~hunting hours legal opening until 10:00 a.m.; check out by 11:00 a.m.)~~ (1) (2)
 - * Big Bend State Fish and Wildlife Area (1) (2)
Big River State Forest (2)
Cache River State Natural Area (1) (2)
Campbell Pond Fish and Wildlife Area (1) (2)
Carlyle Lake Lands and Waters (Corps of Engineers managed lands)
Carlyle Lake Wildlife Management Area (except subimpoundment area is

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closed 7 days prior to and during the regular waterfowl season; lands bounded on the east by “C” levee, south by “D” levee, west by ACOE property line, and including the posted area west of parking lot #2, will be open the entire archery deer hunting season)

Castle Rock State Park (1) (2)

Crawford County Conservation Area (1) (2)

Cypress Creek National Wildlife Refuge

Cypress Pond State Natural Area (1) (2)

Deer Pond State Natural Area (1) (2)

Dixon Springs State Park (1) (2)

Dog Island Wildlife Management Area (1) (2)

- * Eldon Hazlet State Park (Hunting is only permitted north of Allen Branch, north of Hazlet Park Road between the park boundary and its intersection with Allen Branch Road, north of Allen Branch Road between its intersection with Hazlet Park Road and Allen Branch Boat Access Area, and west of Peppenhorst Branch. Hunting is not permitted in the controlled pheasant area during the site’s controlled pheasant season (except on days when controlled pheasant hunting is closed) and the five consecutive days following the site’s controlled pheasant season, or in the North Allen Branch Waterfowl Management Unit after the opening of the statewide waterfowl season. Additionally, a limited hunting opportunity exists for persons with disabilities west of the main park road going towards the Illini Campground. Disabled hunters as defined in 520 ILCS 5/3.1(c) may register to hunt at the site office and must sign in and out daily. Disabled hunters are required to hunt with a non-disabled partner who may also hunt from pre-determined locations. Disabled hunters may hunt during the statewide archery season as described in [Section 670.10 17](#) ~~Ill. Adm. Code 650.10~~, except on days when the site’s controlled pheasant hunting is open and the 5 consecutive days following the site’s controlled pheasant season.) (2)
Falling Down Prairie (2)

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Ferne Clyffe State Park (1) (2)

Fort de Chartres State Historic Site (1) (2)

Fort Massac State Park (1) (2)

* Franklin Creek State Park (antlerless only in designated areas and during specified times) (2)

Giant City State Park (1) (2)

Goose Lake Prairie State Park (tree stands not allowed; "Texas" type tripod stands allowed; antlerless deer only) (2) (3)

Green River State Wildlife Area (1) (2)

Hanover Bluff-Kopper Tract (2)

Heidecke State Fish and Wildlife Area (2) (3) (5)

Horseshoe Lake Conservation Area-Alexander County (Controlled Goose Hunting Area - open from October 1-31; reopens with the close of the Quota Zone goose season through statewide closing; remainder of the Public Hunting Area open during statewide season) (1) (2)

I-24 Wildlife Management Area (1) (2)

Iroquois County State Wildlife Area/Hooper Branch only (1) (2)

* Jubilee College State Park (2) (4)

Kaskaskia River Fish and Wildlife Area (1) (2 except south of Highway 154 and north of Highway 13)

Kidd Lake State Natural Area (1)

Kinkaid Lake Fish and Wildlife Area (1) (2)

Lake Le Aqua Na State Park (antlerless deer only; November 1-30;

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hunting hours legal opening until 10:00 a.m.) (2)

Lowden-Miller State Forest (1) (2) (4)

Mackinaw River Fish and Wildlife Area (1) (2)

Marseilles Fish and Wildlife Area (closed Friday, Saturday, and Sunday in October only) (all tree stands must be removed from this area no later than the last day of the season) (1) (2)

Marshall State Fish and Wildlife Area (Duck Ranch Unit closed 7 days prior to the duck season through the close of duck season) (2)

Maytown Pheasant Habitat Area (hunting allowed during October only) (2)

Mississippi Fish and Waterfowl Management Area - Pools 25 and 26 (1) |

Mississippi River Pool 16 (1)

Mississippi River Pools 17, 18 (1)

Mississippi River Pools 21, 22, 24 (1)

Mt. Vernon Propagation Center (~~hunting allowed during October only~~) (1) (2)

Nauvoo State Park (Max Rowe Unit Only) |

Oakford Conservation Area

* Peabody River King State Fish and Wildlife Area (East and North subunits close November 1) (1) (2)

Pere Marquette State Park (area east of Graham Hollow Road) (1) (2)

Pyramid State Park (1) (2)

* Randolph County Conservation Area (1) (2)

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Ray Norbut Fish and Wildlife Area (2)

* Red Hills State Park (1) (2)

Rend Lake Project Lands and Waters (1)

Rend Lake Project Lands and Waters (designated area on refuge only,
designated dates between October 1-October 31) (1) (2)

* Rice Lake Fish and Wildlife Area (2)

* Rock Cut State Park (only during the special firearm deer hunt on the site;
hunting from DNR established blind sites only; hunting limited to holders
of Class P2A disability cards and escorts) (2) (3)

Saline County Fish and Wildlife Area (1) (2)

* Sam Parr State Park (1) (2)

Sangamon County Conservation Area

Sanganois State Wildlife Area (1)

* Shabbona Lake State Park (2)

[Siloam Springs State Park \(Fall Creek Unit\)](#)

Sielbeck Forest Natural Area (1) (2)

* Silver Springs State Park (2)

Tapley Woods State Natural Area (2)

Trail of Tears State Forest (1) (2)

Turkey Bluffs Fish and Wildlife Area (1) (2)

Union County Conservation Area (Controlled Goose Hunting Area - open
from October 1-31; reopens with the close of the Quota Zone goose season

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through statewide closing) (1) (2)

Walnut Point Fish and Wildlife Area (1)

- * Washington County Conservation Area (deer bowhunters must wear a cap and upper outer garment with at least 400 square inches of solid blaze orange between 9:00 a.m. and 3:00 p.m. on days when upland game hunting is allowed during the site's controlled hunting season) (1) (2)

Weinberg-King State Park (2)

Weinberg-King State Park (Cecil White Unit)

Wildcat Hollow State Forest (1)

Witkowsky State Wildlife Area (opens October 15) (2)

- h) Statewide regulations shall apply at the following sites except that hunter quotas shall be filled by mail-in drawing:

Beaver Dam State Park

Horseshoe Lake State Park (Madison County) (hunting in designated areas only; hunting will close at end of regular duck season) (1)

Hurricane Creek Habitat Area (hunter quotas filled by drawing; must have Fox Ridge site permit to be eligible)

Momence Wetland

Pere Marquette State Park (hunting in designated camp areas only; season begins the first weekday after camps close)

Sahara Woods (1) (2)

~~Union County Conservation Area~~

- i) State regulations shall apply except that hunters must obtain a free permit from the site office. This permit must be in possession while hunting and must be

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returned, and harvest reported, to the address indicated on the card before February 15. Failure to return the permit shall result in loss of hunting privileges at that site for the following year.

Chauncey Marsh State Natural Area (permit obtained at Red Hills State Park headquarters) (1)

Clinton Lake State Recreation Area (1)

Coffeen Lake State Fish and Wildlife Area

Des Plaines Conservation Area (closed to archery deer hunting during the site's upland game hunting season) (2)

Des Plaines Game Propagation Center (2)

* Eagle Creek State Park (disabled hunters are exempt from site's antler restrictions) (4)

Fox Ridge State Park (1)

Hamilton County Conservation Area (1)

Harry "Babe" Woodyard State Natural Area (1) (4)

Hidden Springs State Forest (1)

* Horseshoe Lake State Park (Madison County-Gaberet, Mosenthein and Chouteau Island Units)

Kankakee River State Park (deer bowhunters must wear a cap and upper outer garment with at least 400 square inches of solid blaze orange between 9:00 a.m. and 3:00 p.m. on days when upland game hunting is allowed during the site's controlled hunting season. Additionally, a limited hunting opportunity exists for persons with disabilities at the Davis Creek Bike Trail Area. Disabled hunters, as defined in 520 ILCS 5/3.1(c), may register to hunt at the site office and must sign in and out daily. Disabled hunters are required to hunt with a non-disabled partner who may also hunt from predetermined locations during disabled hunting

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season (the first Friday in November to the day before the first firearm deer season, except two blinds will be available until the close of the archery deer season ~~the day after the first firearm deer season as set forth in 17 Ill. Adm. Code 650.10 to December 24~~))

Kickapoo State Park (1)

Kishwaukee River State Fish and Wildlife Area

Matthiessen Dells State Park (antlerless deer only; closed during the special site firearm deer seasons and open during the statewide firearm deer seasons) (2)

Mautino State Fish and Wildlife Area (1)

Mazonia/Braidwood State Fish and Wildlife Area (4)

Mermet Lake Conservation Area (1) (2)

Middle Fork Fish and Wildlife Area (1)

* Mississippi Palisades State Park (November 1 through December 31) (closed during the first firearm deer season) (1)

Newton Lake Fish and Wildlife Area (check deer at site office)

* Pekin Lake Fish and Wildlife Area (1)

Pyramid State Park-Captain Unit (1)(4)

Pyramid State Park-Denmark Unit (1)(4)

Pyramid State Park-East Conant Unit (1)(4)

Pyramid State Park-Galum Unit (1)(4)

Ramsey Lake State Park (1)

* Sam Dale Lake Conservation Area (1)
Sand Ridge State Forest (1)

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Sandy Ford (permits available at Starved Rock State Park Office) (2)

Shelbyville Wildlife Management Area (1)

Siloam Springs State Park - Buckhorn Unit (resident hunters only) (2) (4)

Siloam Springs State Park - Scripps Unit (resident hunters only) (2) (4)

Snake Den Hollow Fish and Wildlife Area (October 1 through start of the central zone goose season)

* Spring Lake Fish and Wildlife Area (1)

* Starved Rock/Matthiessen State Park (antlerless deer only; closed during the special firearm deer seasons; deer bowhunters must wear a cap and upper outer garment with at least 400 square inches of solid blaze orange during the statewide firearm and muzzleloader deer seasons; hunting in designated areas only) (2)

* Stephen A. Forbes State Park (1)

Ten Mile Creek Fish and Wildlife Area (areas designated as refuge are closed to all access during Canada goose season only) (1); Belle Rive Unit only (4)

Volo Bog State Natural Area (hunting only from November 1 through December 31; Monday through Wednesday only; except State holidays) (2)

j) Statewide regulations shall apply except that no hunting is permitted Wednesday through Sunday of the site's permit pheasant season.

Chain O'Lakes State Park (season opens Monday prior to opening of permit pheasant hunting season and closes Tuesday following the close of the permit pheasant hunting season; season reopens on December 26 till close of regular season)

Iroquois County Conservation Area (2)

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Johnson Sauk Trail State Recreation Area (1) (2)

Moraine View State Park (1)

Wayne Fitzgerald State Recreation Area (no bowhunting during controlled hunts as posted at the site; bowhunting by site issued permit; application procedure to be announced) (1) (2)

- k) Statewide regulations shall apply at the following sites except that:
- 1) Nonresident hunter quotas shall be filled by mail-in drawing. Information about specific drawing dates and application procedures will be publicly announced. Successful applicants will be issued a free permit from the site office. This permit must be in possession while hunting and must be returned and harvest reported to the address indicated on the card before February 15. Failure to return the permit shall result in loss of hunting privileges at that site for the following year.
 - 2) Resident hunters must obtain a free permit from the site office. This permit must be in possession while hunting and must be returned and harvest reported to the address indicated on the card before February 15. Failure to return the permit shall result in loss of hunting privileges at that site for the following year:
 - Jim Edgar Panther Creek State Fish and Wildlife Area (1) (4)
 - * Sangchris Lake State Park (an antlerless deer must be taken on site before an antlered deer is harvested) (1) (2) (5)
 - Siloam Springs State Park (2) (4)
- l) Statewide regulations shall apply at this site except that:

Hunter quotas for specific periods shall be filled by mail-in drawing. Only Illinois residents are eligible to apply. Information about drawing dates and application procedures will be publicly announced. Successful applicants will be issued a permit for the time period specified. This permit must be in possession while hunting and returned by February 15 to the site office. Failure to return the permit shall result in the forfeiture of hunting privileges at this site for the

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following year. Restricted Archery Zone regulations apply.

Weldon Springs State Park - Piatt County

- m) Violations of site specific regulations are petty offenses ~~Class B misdemeanors~~
(see 520 ILCS 5/2.20 ~~5/2.24~~).

(Source: Amended at 27 Ill. Reg. _____, effective _____)

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These proposed amendments do not create or enlarge a state mandate as defined in Section 3(b) of the State Mandates Act. [30 ILCS 805/3 (1992)].

11) Time, Place, and Manner in which interested persons may comment on this proposed rulemaking:

The Board will accept written public comment on this proposal for a period of 45 days after the date of this publication. Comments should reference Docket R02-21 and be addressed to:

Dorothy M. Gunn, Clerk
Illinois Pollution Control Board
James R. Thompson Center
100 W. Randolph St.
Suite 11-500
Chicago, IL 60601

Address all questions to John Knittle, at 217-278-3110 or knittlej@ipcb.state.il.us.

Request copies of the Board's opinion and order in Docket R02-21 from Dorothy M. Gunn, at 312-814-3620, or download from the Board's Web site at www.ipcb.state.il.us.

12) Initial Regulatory Flexibility Analysis:

A) Types of small businesses affected:

No small businesses other than the petitioner will be affected by this proposal.

B) Reporting, bookkeeping or other procedures required for compliance:

No changes in the reporting, bookkeeping or other procedures will be required for compliance with this proposal.

C) Types of professional skills necessary for compliance:

No professional skills beyond those currently required by the rules will be required.

POLLUTION CONTROL BOARD

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13) Regulatory agenda on which this rulemaking was summarized:

This proposal appeared in the Board's January 2003 regulatory agenda.

The full text of the proposed amendments begins on the next page:

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NOTICE OF PROPOSED AMENDMENTS

TITLE 35: ENVIRONMENTAL PROTECTION
SUBTITLE B: AIR POLLUTION
CHAPTER I: POLLUTION CONTROL BOARD
SUBCHAPTER c: EMISSION STANDARDS AND LIMITATIONS
FOR STATIONARY SOURCES

PART 214
SULFUR LIMITATIONS

SUBPART A: GENERAL PROVISIONS

Section	
214.100	Scope and Organization
214.101	Measurement Methods
214.102	Abbreviations and Units
214.103	Definitions
214.104	Incorporations by Reference

SUBPART B: NEW FUEL COMBUSTION EMISSION SOURCES

Section	
214.120	Scope
214.121	Large Sources
214.122	Small Sources

SUBPART C: EXISTING SOLID FUEL COMBUSTION EMISSION SOURCES

Section	
214.140	Scope
214.141	Sources Located in Metropolitan Areas
214.142	Small Sources Located Outside Metropolitan Areas
214.143	Large Sources Located Outside Metropolitan Areas

SUBPART D: EXISTING LIQUID OR MIXED FUEL COMBUSTION EMISSION SOURCES

Section	
214.161	Liquid Fuel Burned Exclusively
214.162	Combination of Fuels

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SUBPART E: AGGREGATION OF SOURCES OUTSIDE METROPOLITAN AREAS

Section	
214.181	Dispersion Enhancement Techniques
214.182	Prohibition
214.183	General Formula
214.184	Special Formula
214.185	Alternative Emission Rate
214.186	New Operating Permits

SUBPART F: ALTERNATIVE STANDARDS FOR SOURCES INSIDE METROPOLITAN AREAS

Section	
214.201	Alternative Standards for Sources in Metropolitan Areas
214.202	Dispersion Enhancement Techniques

SUBPART K: PROCESS EMISSION SOURCES

Section	
214.300	Scope
214.301	General Limitation
214.302	Exception for Air Pollution Control Equipment
214.303	Use of Sulfuric Acid
214.304	Fuel Burning Process Emission Source

SUBPART O: PETROLEUM REFINING, PETROCHEMICAL AND CHEMICAL MANUFACTURING

Section	
214.380	Scope
214.381	Sulfuric Acid Manufacturing
214.382	Petroleum and Petrochemical Processes
214.383	Chemical Manufacturing
214.384	Sulfate and Sulfite Manufacturing

SUBPART P: STONE, CLAY, GLASS AND CONCRETE PRODUCTS

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Section	
214.400	Scope
214.401	Glass Melting and Heat Treating
214.402	Lime Kilns

SUBPART Q: PRIMARY AND SECONDARY METAL MANUFACTURING

Section	
214.420	Scope
214.421	Combination of Fuels at Steel Mills in Metropolitan Areas
214.422	Secondary Lead Smelting in Metropolitan Areas
214.423	Slab Reheat Furnaces in St. Louis Area

SUBPART V: ELECTRIC POWER PLANTS

Section	
214.521	Winnetka Power Plant

SUBPART X: UTILITIES

Section	
214.560	Scope
214.561	E. D. Edwards Electric Generating Station
214.562	Coffeen Generating Station

Appendix A	Rule into Section Table
Appendix B	Section into Rule Table
Appendix C	Method used to Determine Average Actual Stack Height and Effective Height of Effluent Release
Appendix D	Past Compliance Dates

AUTHORITY: Implementing Section 10 and authorized by Section 27 of the Environmental Protection Act (Ill. Rev. Stat. 1989, ch. 111 1/2, pars. 1010 and 1027)

SOURCE: Adopted as Chapter 2: Air Pollution, Rule 204: Sulfur Emission Standards and Limitations, R71-23, 4 PCB 191, filed and effective April 14, 1972; amended in R74-2 and R75-5, 32 PCB 295, at 3 Ill. Reg. 5, p. 777, effective February 3, 1979; amended in R74-2, R75-5, 38 PCB 129, at 4 Ill. Reg. 28, p. 417, effective June 26, 1980; amended in R78-17, 40 PCB 291, at 5 Ill. Reg. 1892, effective February 17, 1981; amended in R77-15, 44 PCB 267, at 6 Ill. Reg. 2146,

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effective January 28, 1982; amended and renumbered in R80-22(A), at 7 Ill. Reg. 4219, effective March 28, 1983; codified 7 Ill. Reg. 13597; amended in R80-22(B), at 8 Ill. Reg. 6172, effective April 24, 1984; amended in R84-28, at 10 Ill. Reg. 9806, effective May 20, 1986; amended in R86-31, at 12 Ill. Reg. 17387, effective October 14, 1988; amended in R86-30, at 12 Ill. Reg. 20778, effective December 5, 1988; amended in R87-31 at 15 Ill. Reg. 1017, effective January 15, 1991; amended in R02-21 at 27 Ill. Reg. _____, effective _____.

Section 214.561 E. D. Edwards Electric Generating Station

~~Units 1 and 3 at the E. D. Edwards Electric Generating Station shall not exceed 6.6 pounds of sulfur dioxide per mmBtu of actual heat input (2,838 nanograms per joule). Aggregate emissions from the E. D. Edwards Electric Generating Station on a 24-hour average basis shall not exceed 34,613 pounds of sulfur dioxide per hour.~~

Sulfur dioxide emissions from Boiler Nos. 1, 2, and 3 at the Edwards Station may not exceed the limits listed below. CILCO must determine compliance with these limits on a daily basis using the sulfur dioxide methodology of the Phase II Acid Rain Program set forth in 40 C.F.R. Part 75.

- a. The average sulfur dioxide emissions from Boiler Nos. 1, 2, and 3, as a group may not exceed 4.71 pounds per million British thermal units (lb/mmBtu) of actual heat input;
- b. The average sulfur dioxide emissions from any one boiler may not exceed 6.6 lb/Btu of actual heat input; and
- c. Sulfur dioxide emissions for all three boilers, as a group, may not exceed 34,613 pounds per hour, on a 24-hour average basis.

(Source: Added at 10 Ill. Reg. 9806, effective May 20, 1986)

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- 1) Heading of the Part: Acupuncture Practice Act
- 2) Code Citation: 68 Ill. Adm. Code 1140
- 3)

<u>Section Numbers:</u>	<u>Proposed Action:</u>
1140.20	Amendment
1140.60	Amendment
1140.80	New Section
1140.90	New Section
- 4) Statutory Authority: Acupuncture Practice Act [225 ILCS 2]
- 5) A Complete Description of the Subjects and Issues Involved: This proposed rulemaking adds Sections 1140.80 to provide for restoration of an acupuncturist license and 1140.90 to implement the continuing education requirement, as provided for in Section 70 of the Act.
- 6) Do these proposed amendments replace emergency rules currently in effect? No
- 7) Does this rulemaking contain an automatic repeal date? No
- 8) Do these proposed amendments contain incorporations by reference? No
- 9) Are there any other proposed amendments pending on this Part? No
- 10) Statement of Statewide Policy Objectives (if applicable):

This rulemaking has no effect on local governments.
- 11) Time, Place, and Manner in which interested persons may comment on this proposed rulemaking:

Interested persons may submit written comments to:

Department of Professional Regulation
Attention: Barb Smith
320 West Washington, 3rd Floor
Springfield, IL 62786
217/785-0813 Fax #: 217/782-7645

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All written comments received within 45 days after this issue of the Illinois Register will be considered.

12) Initial Regulatory Flexibility Analysis:

A) Types of small businesses, small municipalities and not for profit corporations affected: Those providing acupuncture services.

B) Reporting, bookkeeping or other procedures required for compliance:

None

C) Types of professional skills necessary for compliance:

Acupuncture skills are necessary for licensure.

13) Regulatory Agenda on which this rulemaking was summarized: January 2003

The full text of the Proposed Amendments begins on the next page:

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TITLE 68: PROFESSIONS AND OCCUPATIONS
CHAPTER VII: DEPARTMENT OF PROFESSIONAL REGULATION
SUBCHAPTER b: PROFESSIONS AND OCCUPATIONSPART 1140
ACUPUNCTURE PRACTICE ACT

Section	
1140.10	Definitions
1140.20	Fees
1140.30	Application for Licensure
1140.40	Acupuncture Curriculum
1140.50	Endorsement
1140.60	Renewals
1140.80	Restoration
1140.90	Continuing Education
1140.100	Unprofessional Conduct
1140.110	Granting Variances

AUTHORITY: Implementing the Acupuncture Practice Act [225 ILCS 2] and authorized by Section 60(7) of the Civil Administrative Code of Illinois [20 ILCS 2105/60(7)].

SOURCE: Adopted at 23 Ill. Reg. 5705, effective April 30, 1999; amended at 25 Ill. Reg. 10893, effective August 13, 2001; amended at 26 Ill. Reg. 11938, effective July 18, 2002; amended at 27 Ill. Reg. _____, effective _____.

Section 1140.20 Fees

The following fees shall be paid to the Department and are not refundable:

- a) Application Fees.
 - 1) The fee for application for a license as an acupuncturist is \$500.
 - 2) The fee for [application as a guest instructor permit](#) is \$150.
 - [3\) The fee for application as a continuing education sponsor is \\$250.](#)
- b) Renewal Fees.

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- 1) The fee for the renewal of ~~a~~ [an acupuncturist](#) license shall be calculated at the rate of \$250 per year.
 - 2) [The fee for the renewal of continuing education sponsor approval is \\$250 for a 2 year license.](#)
- c) General Fees.
- 1) The fee for the restoration of a license other than from inactive status is \$20 plus payment of all lapsed renewal fees, not to exceed \$1,000.
 - 2) The fee for the issuance of a duplicate license, for the issuance of a replacement license, for a license that has been lost or destroyed or for the issuance of a license with a change of name or address other than during the renewal period is \$20. No fee is required for name and address changes on Department records when no duplicate license is issued.
 - 3) The fee for the certification of a license for any purpose is \$20.
 - 4) The fee for a wall certificate showing licensure shall be the actual cost of producing such certificate.
 - 5) The fee for a roster of persons licensed as acupuncturists in this State shall be the actual cost of producing such a roster.

(Source: Amended at 27 Ill. Reg. _____, effective _____)

Section 1140.60 Renewals

- a) ~~The first renewal period for licensure under the Act shall be June 30, 2001. Thereafter, every~~ [Every](#) license issued under the Act shall expire on June 30 of odd numbered years. The holder of a license may renew such license during the month preceding the expiration date by paying the required fee. [Beginning with the June 30, 2005 and every renewal thereafter, a renewal applicant will be required to complete 30 hours of continuing education in accordance with Section 1140.90 in order to renew the license. For the June 30, 2005 renewal only, a renewal applicant shall submit proof of successful completion of the Clean Needle Technique \(CNT\) Course offered by the Council of Colleges of Acupuncture and Oriental Medicine.](#)

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- b) It is the responsibility of each licensee to notify the Department of any change of address. Failure to receive a renewal form from the Department shall not constitute an excuse for failure to pay the renewal fee or to renew one's license.
- c) Practicing or offering to practice on a license that has expired shall be considered unlicensed activity and shall be grounds for discipline pursuant to Section 110 of the Act.

(Source: Amended at 27 Ill. Reg. _____, effective _____)

Section 1140.80 Restoration

- a) Any acupuncturist whose license has expired or has been placed on inactive status for 5 years or less may have the license restored by paying the fees required by Section 1140.20 of this Part and proof of completion of 30 continuing education (CE) hours during the 2 years prior to submitting the restoration application in accordance with Section 1140.90 of this Part. Acceptable proof of completion shall be in the form of certificates of attendance provided by sponsors of approved continuing education programs; and
- b) Any person seeking restoration of a license that has been expired or placed on inactive status for more than 5 years shall file an application, on forms supplied by the Department, for review by the Board, together with the fee required by Section 1140.20 of this Part. In addition the applicant shall submit:
 - 1) Either:
 - A) Sworn evidence of active practice in another jurisdiction. Such evidence shall include a statement from an appropriate board or licensing authority in the other jurisdiction that the licensee was authorized to practice; or
 - B) An affidavit attesting to military service as provided in Section 70 of the Act; or
 - C) Proof of passage of the National Commission for the Certification of Acupuncturists (NCCA) or National Certification Commission for Acupuncture and Oriental Medicine (NCCAOM) examination or

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another examination that has been approved by the Department within 2 years of the restoration application; or

- D) Submit evidence of recent attendance at educational programs in acupuncture, including attendance at college level courses, professionally oriented continuing education classes, special seminars, or any other similar program. The Department will accept, but not be limited to:
- i) applicant whose license has lapsed 5 to 10 years, 160 contact hours of clinical training under the supervision of a licensed acupuncturist or 90 hours of continuing education relating to the clinical aspects of acupuncture combination thereof approved by the Board within 2 years of the restoration application. Clinical training shall be approved by the Board prior to an applicant starting the training.
 - ii) applicant whose license has lapsed for 10 years or more, 320 contact hours of clinical training under the supervision of a acupuncturist or 120 hours of continuing education relating to the clinical aspects of acupuncture, or any combination thereof approved by the Board with 2 years of the restoration application. Clinical training shall be approved by the Board prior to an applicant starting the training.
- 2) Proof of successful completion of the Clean Needle Technique (CNT) Course offered by the Council of Colleges of Acupuncture and Oriental Medicine within the last 5 years.
- d) When the accuracy of any submitted documentation or the relevance or sufficiency of the course work or experience is questioned by the Department because of lack of information, discrepancies or conflicts in information given, or a need for clarification, the licensee seeking restoration shall be requested to:
- 1) Provide such information as may be necessary; and/or

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- 2) Appear for an interview before the Board to explain such relevance or sufficiency, clarify information or clear up any discrepancies or conflicts in information.
- e) Upon recommendation of the Board and approval by the Director, an applicant shall have the license restored or be notified in writing of the reason for denying the application.

(Source: Added at 27 Ill. Reg. _____, effective _____)

Section 1140.90 Continuing Education

- a) Continuing Education Hours Requirements
 - 1) Beginning with the June 30, 2005 renewal, every licensee who applies for renewal of a license as an acupuncturist shall complete 30 hours of continuing education (CE) relevant to the practice of acupuncture.
 - 2) A prerenewal period is the 24 months preceding June 30 of each odd-numbered year.
 - 3) One CE hour shall equal one clock hour.
 - 4) Courses that are part of the curriculum of a university, college or other educational institution shall be allotted CE credit at the rate of 15 CE hours for each semester hour or 10 CE hours for each quarter hour of school credit awarded.
 - 5) A renewal applicant shall not be required to comply with CE requirements for the first renewal of an Illinois license.
 - 6) Acupuncturists licensed in Illinois but residing and practicing in other states shall comply with the CE requirements set forth in this Section.
 - 7) Continuing education credit hours used to satisfy the CE requirements of another jurisdiction may be applied to fulfill the CE requirements of the State of Illinois if they meet the requirements for CE in Illinois.
- b) Approved Continuing Education (CE)

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- 1) CE hours shall be earned by verified attendance (e.g., certificate of attendance or certificate of completion) at or participation in a program or course ("program") that is offered or sponsored by an approved continuing education sponsor who meets the requirements set forth in subsection (c) below, except for those activities provided in subsections (b)(2), (3), (4) and (5) below.
 - 2) A maximum of 5 hours of CE credit per renewal may be earned for completion of a self-study course that is offered by an approved sponsor who meets the requirements set forth in subsection (c) below. Each self-study course shall include an examination.
 - 3) A maximum of 5 hours of CE credit per renewal may be earned through postgraduate training programs (e.g., extern, residency or fellowship programs) or completion of acupuncture related courses that are a part of the curriculum of an approved acupuncture program or a college, university or graduate school.
 - 4) A maximum of 5 hours of CE credit per renewal may be earned for verified teaching in a college, university or school of acupuncture approved in accordance with Section 1140.40 and/or as an instructor of continuing education programs given by approved sponsors. Credit will be applied at the rate of 1.5 hours for every hour taught and only for the first presentation of the program (i.e., credit shall not be allowed for repetitious presentations of the same program).
 - 5) A maximum of 5 hours of CE credit per renewal may be earned for authoring papers, publications or books and for preparing presentations and exhibits. The preparation of each published paper, book, chapter or presentation dealing with acupuncture may be claimed as 5 hours of credit. A presentation must be before a professional audience. Five credit hours may be claimed for only the first time the information is published or presented.
- c) Approved CE Sponsors and Programs
- 1) Approved sponsor, as used in this Section, shall mean:

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- A) The Association of Acupuncture and Oriental Medicine, or its affiliates;
 - B) Asian American Acupuncture Association, or its affiliates;
 - C) Illinois State Acupuncture Association, or its affiliates;
 - D) Korean American Acupuncture Association of Illinois, or its affiliates;
 - E) Chicago Korean American Acupuncture Association; or its affiliates; or
 - F) Any other person, firm, association, corporation, or group that has been approved and authorized by the Department pursuant to subsection (c)(2) of this Section upon the recommendation of the Board to coordinate and present continuing education courses or programs.
- 2) Entities seeking a license as a CE sponsor pursuant to subsection (1)(F) shall file a sponsor application, along with the required fee of \$250. (State agencies, State colleges and State universities in Illinois shall be exempt from paying this fee.) The applicant shall certify to the following:
- A) That all programs offered by the sponsor for CE credit will comply with the criteria in subsection (c)(3) and all other criteria in this Section. A sponsor shall be required to submit a CE program with course materials for review prior to being approved as a CE sponsor;
 - B) That the sponsor will be responsible for verifying attendance at each program and provide a certificate of attendance as set forth in subsection (c)(9);
 - C) That upon request by the Department, the sponsor will submit evidence (e.g., certificate of attendance or course materials) as is necessary to establish compliance with this Section. Evidence shall be required when the Department has reason to believe that there is not full compliance with the statute and this Part and that this information is necessary to ensure compliance.

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- 3) All programs shall:
 - A) Contribute to the advancement, extension and enhancement of the professional skills and scientific knowledge of the licensee in the practice of acupuncture that includes direct and indirect patient care, acupuncture treatment, treatment techniques, point location and channel theory, and ethics;
 - B) Foster the enhancement of general or specialized acupuncture practice and values;
 - C) Be developed and presented by persons with education and/or experience in the subject matter of the program;
 - D) Specify the course objectives, course content and teaching methods to be used; and
 - E) Specify the number of CE hours that may be applied to fulfilling the Illinois CE requirements for license renewal.
- 4) Each CE program shall provide a mechanism for evaluation of the program by the participants.
- 5) An approved sponsor may subcontract with individuals and organizations to provide approved programs.
- 6) All programs given by approved sponsors shall be open to all licensed acupuncturists and not be limited to members of a single organization or group.
- 7) To maintain approval as a licensed sponsor, each *sponsor* shall submit to the Department by each odd-numbered year a renewal application, the renewal fee specified in Section 1140.20 of this Part and a list of courses and programs offered within the last 24 months. The list shall include a brief description, location, date and time of each course given.
- 8) It shall be the responsibility of a sponsor to provide each participant in a program with a certificate of attendance or participation. The sponsor's certificate of attendance shall contain:

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- A) The name, address and license number of the sponsor;
 - B) The name and address of the participant;
 - C) A brief statement of the subject matter;
 - D) The number of hours attended in each program;
 - E) The date and place of the program; and
 - F) The signature of the sponsor.
- 9) The sponsor shall maintain attendance records for not less than 5 years.
- 10) The sponsor shall be responsible for assuring that no renewal applicant shall receive CE credit for time not actually spent attending the program.
- 11) Upon the failure of a sponsor to comply with any of the foregoing requirements, the Department, after notice to the sponsor and hearing before and recommendation by the Board (see 68 Ill. Adm. Code 1110), shall thereafter refuse to accept for CE credit attendance at or participation in any of that sponsor's CE programs until such time as the Department receives assurances of compliance with this Section.
- 12) Notwithstanding any other provision of this Section, the Department or Board may evaluate any sponsor of any approved CE program at any time to ensure compliance with requirements of this Section.
- d) Certification of Compliance with CE Requirements
- 1) Each renewal applicant shall certify, on the renewal application, full compliance with the CE requirements set forth in subsections (a) and (b) above.
 - 2) The Department may require additional evidence demonstrating compliance with the CE requirements (e.g., certificate of attendance). This additional evidence may be required in the context of the Department's random audit. It is the responsibility of each renewal applicant to retain or otherwise produce evidence of compliance.

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- 3) When there appears to be a lack of compliance with CE requirements, an applicant shall be notified in writing and may request an interview with the Board. At that time the Board may recommend that steps be taken to begin formal disciplinary proceedings as required by Section 10-65 of the Illinois Administrative Procedure Act [5 ILCS 100/10-65].
- e) Continuing Education Earned in Other Jurisdictions.
 - 1) If a licensee has earned CE hours offered in another state or territory not given by an approved sponsor for which the licensee will be claiming credit toward full compliance in Illinois, the applicant shall submit an out of state continuing education approval form, along with a \$25 processing fee, prior to participation in the program or 90 days prior to expiration of the license. The Board shall review and recommend approval or disapproval of the program using the criteria set forth in subsection (c)(3) of this Section.
 - 2) If a licensee fails to submit an out of state CE approval form within the required time frame, late approval may be obtained by submitting the approval form with the \$25 processing fee plus a \$10 per hour late fee not to exceed \$150. The Board shall review and recommend approval or disapproval of the program using the criteria set forth in subsection (c)(3) of this Section.
- f) Restoration of Nonrenewed License. Upon satisfactory evidence of compliance with CE requirements, the Department shall restore the license upon payment of the required fee as provided in Section 1470.55 of this Part.
- g) Waiver of CE Requirements
 - 1) Any renewal applicant seeking renewal of a license without having fully complied with these CE requirements shall file with the Department a renewal application along with the required fee set forth in Section 1470.55 of this Part, a statement setting forth the facts concerning non-compliance and request for waiver of all or part of the CE requirements on the basis of these facts. A request for waiver shall be made prior to the renewal date. If the Department, upon the written recommendation of the Board, finds from such affidavit or any other evidence submitted that extreme hardship has been shown for granting a waiver, the Department shall waive enforcement

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of CE requirements for the renewal period for which the applicant has applied.

- 2) Extreme hardship shall be determined on an individual basis by the Board and be defined as an inability to devote sufficient hours to fulfilling the CE requirements during the applicable prerenewal period because of:
 - A) Full-time service in the armed forces of the United States of America during a substantial part of the prerenewal period;
 - B) An incapacitating illness documented by a statement from a currently licensed physician;
 - C) A physical inability to travel to the sites of approved programs documented by a currently licensed physician; or
 - D) Any other similar extenuating circumstances.
- 3) Any renewal applicant who, prior to the expiration date of the license, submits a request for a waiver, in whole or in part, pursuant to the provisions of this Section shall be deemed to be in good standing until the final decision on the application is made by the Department.

(Source: Added at 27 Ill. Reg. _____, effective _____)

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- 1) Heading of the Part: Determination Of Unemployment Contributions
- 2) Code Citation: 56 Ill. Adm. Code 2770
- 3)

<u>Section Number:</u>	<u>Adopted Action:</u>
2770.100	Amend
2770.101	New Section
2770.105	Amend
2770.106	New Section
2770.110	Amend
2770.111	New Section
- 4) Statutory Authority: 820 ILCS 405/1500, 1501, 1503, 1506.1, 1506.2, 1506.3, 1508.1, 1700 and 1701.
- 5) Effective Date of the Amendment: February 1, 2003.
- 6) Does this rulemaking contain an automatic repeal date? No.
- 7) Does this Rule contain an incorporation by reference? Yes.
- 8) Date filed in Agency's Principal Office: December 31, 2002.
- 9) Notice of Proposal published in Illinois Register: October 18, 2002 at 26 Ill. Reg. 14929.
- 10) Has JCAR issued a Statement of Objection to these Rules? No.
- 11) Difference between proposal and final version: No changes were made.
- 12) Have all the changes agreed upon by the Agency and JCAR been made as indicated in the agreement letter issued by JCAR? Yes.
- 13) Will this replace an emergency rule currently in effect? No.
- 14) Are there any amendments pending on this Part? No.
- 15) Summary and purpose of the rules: The proposed amendments to Part 2770 announce the 2003 contribution rates for newly liable employers by classification within the North American Industry Classification System (NAICS). The NAICS replaces the outdated

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Standard Industrial Classification and several new Sections are added to accommodate this System. In keeping with our commitment to the Joint Committee on Administrative Rules, we are also repealing the subsection with the rates for 1997 as it is no longer needed.

- 16) Information and Questions regarding these Adopted Amendments may be addressed to:

Gregory J. Ramel, Deputy Legal Counsel
Illinois Department of Employment Security
401 South State Street - 7 South
Chicago, Illinois 60605
312/793-4240

The full Text of the Adopted Amendment(s) begin on the next page:

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TITLE 56: LABOR AND EMPLOYMENT
CHAPTER IV: DEPARTMENT OF EMPLOYMENT SECURITY
SUBCHAPTER c: RIGHTS AND DUTIES OF EMPLOYERSPART 2770
DETERMINATION OF UNEMPLOYMENT CONTRIBUTIONSSUBPART A: ~~STANDARD~~-INDUSTRIAL ~~CLASSIFICATION~~ CLASSIFICATIONS

Section

2770.100	<u>Pre 2003 Industrial Classification</u>
2770.101	<u>Post 2002 Industrial Classification</u>
2770.105	<u>Pre 2003 Contribution Rate For Non Experience-Rated Employers</u>
2770.106	<u>Post 2002 Contribution Rate For Non Experience-Rated Employers</u>
2770.110	<u>Average Contribution Rates By Standard Industrial Classification (SIC) Codes</u>
2770.111	<u>Average Contribution Rates By North American Industry Classification System (NAICS) Assignment</u>

SUBPART B: ALTERNATIVE BENEFIT WAGE RATIO (Repealed)

2770.150	Eligibility To Elect The Alternative Benefit Wage Ratio (Repealed)
2770.155	Approval Of Election Of The Alternative Benefit Wage Ratio (Repealed)
2770.160	Adjustment Of The Benefit Wage Charges And The Determination Of The Alternative Benefit Wage Ratio (Repealed)
2770.165	Revocation Of Election Of Alternative Benefit Wage Ratio (Repealed)
2770.170	Appeals (Repealed)

SUBPART C: TRANSFER OF BENEFIT WAGES FROM BASE PERIOD TO
SUBSEQUENT EMPLOYER (Repealed)

2770.400	Definitions (Repealed)
2770.405	Application Of Base Period Wages (Repealed)
2770.410	Restriction On Benefit Wage Transfers (Repealed)
2770.415	Benefit Wage Transfer Procedural Requirements (Repealed)
2770.420	Petition For Hearing (Repealed)

SUBPART D: BENEFIT WAGE CANCELLATIONS

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2770.501 Effective Date Of Benefit Wage Cancellations Pursuant To Section 1508.1 Of The Act

Table A General SIC Classification

AUTHORITY: Implementing and authorized by Sections 1500, 1501, 1503, 1506.1, 1506.2, 1506.3, 1508.1, 1700 and 1701 of the Unemployment Insurance Act [820 ILCS 405/1500, 1501, 1503, 1506.1, 1506.2, 1506.3, 1508.1, 1700 and 1701].

SOURCE: Emergency rules adopted as 56 Ill. Adm. Code 600: Subpart C at 8 Ill. Reg. 550, effective January 1, 1984, for a maximum of 150 days; adopted at 8 Ill. Reg. 8208, effective May 30, 1984; recodified from 56 Ill. Adm. Code 600: Subpart C at 8 Ill. Reg. 15030; emergency amendments at 8 Ill. Reg. 15088, effective August 8, 1984, for a maximum of 150 days; emergency amendments at 8 Ill. Reg. 22139, effective October 26, 1984, for a maximum of 150 days; amended at 8 Ill. Reg. 24117, effective November 30, 1984; amended at 9 Ill. Reg. 4507, effective March 25, 1985; amended at 10 Ill. Reg. 6935, effective April 14, 1986; amended at 10 Ill. Reg. 21683, effective December 15, 1986; amended at 11 Ill. Reg. 9878, effective May 11, 1987; emergency amendments at 12 Ill. Reg. 210, effective January 1, 1988, for a maximum of 150 days, expired May 30, 1988; amended at 12 Ill. Reg. 11213, effective June 20, 1988; amended at 12 Ill. Reg. 12473, effective July 15, 1988; amended at 12 Ill. Reg. 18143, effective October 27, 1988; amended at 12 Ill. Reg. 20477, effective November 28, 1988; amended at 13 Ill. Reg. 11507, effective June 29, 1989; amended at 14 Ill. Reg. 2038, effective January 19, 1990; amended at 14 Ill. Reg. 18280, effective October 30, 1990; amended at 15 Ill. Reg. 172, effective December 28, 1990; amended at 15 Ill. Reg. 8553, effective May 24, 1991; amended at 16 Ill. Reg. 118, effective December 20, 1991; amended at 17 Ill. Reg. 295, effective December 28, 1992; amended at 18 Ill. Reg. 250, effective January 1, 1994; amended at 18 Ill. Reg. 17473, effective January 1, 1995; amended at 20 Ill. Reg. 350, effective January 1, 1996; amended at 21 Ill. Reg. 561, effective January 1, 1997; amended at 21 Ill. Reg. 15496, effective January 1, 1998; amended at 23 Ill. Reg. 155, effective January 1, 1999; amended at 23 Ill. Reg. 14299, effective January 1, 2000; amended at 24 Ill. Reg. 19125, effective January 1, 2001; amended at 26 Ill. Reg. 393, effective January 1, 2002; amended at 27 Ill. Reg. 2598, effective February 1, 2003.

SUBPART A: ~~STANDARD INDUSTRIAL CLASSIFICATION~~ CLASSIFICATIONSSection 2770.100 Pre 2003 Industrial Classification

- a) Each employer subject to the Act shall be assigned an industrial classification number based on its primary activity.

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- 1) Each employer shall be assigned to a major Economic Division based on the first two digits of the industrial classification number:

Digits	Economic Division
01-09	A. Agriculture, Forestry, Fishing
10-14	B. Mining
15-17	C. Construction
20-39	D. Manufacturing
40-49	E. Transportation, Communication, Electric, Gas, Sanitary Services
50-51	F. Wholesale Trade
52-59	G. Retail Trade
60-67	H. Finance, Insurance, Real Estate
70-89	I. Services
91-97	J. Public Administration
99	K. Nonclassifiable Establishments

- 2) The methodology for the above classifications shall be based upon the Standard Industrial Classification Manual, U. S. Office of Management and Budget (1987), which shall be incorporated and adopted by reference.
- 3) The general classifications to be used shall be those set forth in Table A.
- b) Each employer not eligible for an experience rate and in an Economic Division where the mean average contribution rate for experience rated employers is greater than the rates set forth in Section 2770.105(a)(1) or (2) or (3), as applicable, shall be notified in writing of its industrial classification and rate of contribution.
- c) An industrial classification which is properly assigned pursuant to subsection (a)(2) at the beginning of each calendar year or the date of liability, whichever is later, shall be final and conclusive for rate determination purposes for that entire calendar year.
- d) This Section shall not apply with respect to the calculation of contribution rates for calendar year 2003 or any calendar year thereafter.

(Source: Amended at 27 Ill. Reg. 2598, effective February 1, 2003)

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a) Each employer subject to the Act shall be assigned an industrial classification number based on its primary activity.

1) Each employer shall be assigned to a major Economic Sector based on the first two digits of the industrial classification number:

<u>Digits</u>	<u>Economic Sector</u>
<u>11</u>	<u>Agriculture, Forestry, Fishing and Hunting</u>
<u>21</u>	<u>Mining</u>
<u>22</u>	<u>Utilities</u>
<u>23</u>	<u>Construction</u>
<u>31-33</u>	<u>Manufacturing</u>
<u>42</u>	<u>Wholesale Trade</u>
<u>44-45</u>	<u>Retail Trade</u>
<u>48-49</u>	<u>Transportation and Warehousing</u>
<u>51</u>	<u>Information</u>
<u>52</u>	<u>Finance and Insurance</u>
<u>53</u>	<u>Real Estate and Rental and Leasing</u>
<u>54</u>	<u>Professional, Scientific and Technical Services</u>
<u>55</u>	<u>Management of Companies and Enterprises</u>
<u>56</u>	<u>Administrative and Support and Waste Management</u>
<u>61</u>	<u>Educational Services</u>
<u>62</u>	<u>Health Care and Social Assistance</u>
<u>71</u>	<u>Arts, Entertainment and Recreation</u>
<u>72</u>	<u>Accommodation and Food Services</u>
<u>81</u>	<u>Other Services (except Public Administration)</u>
<u>92</u>	<u>Public Administration</u>
<u>99</u>	<u>Unclassified</u>

2) The methodology for the classifications in subsection (a)(1) shall be based upon the North American Industry Classification System Manual, U. S. Office of Management and Budget (2002), which shall be incorporated and adopted by reference.

3) The general classifications to be used shall be those set forth in the above cited Manual.

b) Each employer not eligible for an experience rate and in an Economic Sector where the mean average contribution rate for experience rated employers is greater than the rates

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set forth in Section 2770.106(a)(1) or (2) or (3), as applicable, shall be notified in writing of its industrial classification and rate of contribution.

- c) An industrial classification that is properly assigned pursuant to subsection (a)(2) at the beginning of each calendar year or the date of liability, whichever is later, shall be final and conclusive for rate determination purposes for that entire calendar year.
- d) This Section shall apply with respect to the calculation of contribution rates for calendar year 2003 and each calendar year thereafter.

(Source: Added at 27 Ill. Reg. 2598, effective February 1, 2003)

Section 2770.105 Pre 2003 Contribution Rate for Non-Experience Rated Employers.

- a) For calendar ~~year~~ years 1989 through 2002 ~~and each calendar thereafter~~, the contribution rate under Section 1500(B) of the Act, for each employer who has not incurred liability for the payment of contributions within each of the three calendar years immediately preceding the calendar year for which a rate is being determined, shall be the greater of:
- 1) 2.7%, plus any applicable fund building rate, as imposed by Section 1506.3 of the Act (~~Ill. Rev. Stat. 1991, ch. 48, par. 576.3~~) [820 ILCS 405/1506.3]; or;
 - 2) 2.7%, multiplied by the adjusted State ~~state~~ experience factor, plus any applicable fund building rate, as imposed by Section 1506.3 of the Act; or;
 - 3) The employer's contribution rate calculated pursuant to Sections 1501 to 1507 of the Act (~~Ill. Rev. Stat. 1991, ch. 48, pars. 571 to 577~~) [820 ILCS 405/1501 to 1507], but only if this employer has had at least 13 consecutive months experience with the risk of unemployment by the June 30 preceding the calendar year for which a rate is being determined, plus any applicable fund building rate, as imposed by Section 1506.3 of the Act; or;
 - 4) The mean average contribution rate of all ~~experience-rated~~ experience rated employers within the specific Economic Division, plus any applicable fund building rate, as imposed by Section 1506.3 of the Act.
 - A) The mean average contribution rate for an Economic Division shall be determined by adding the rates of all ~~experience-rated~~ experience rated

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employers in that division and dividing ~~the such~~ sum by the number of ~~the such~~ employers. ~~The Such~~ rate computation shall be made for each of the applicable years as of July 31 of the preceding year. Any change in the industrial classification or the contribution rate of the ~~experience-rated~~ experience rated employers made after the date of computation shall not affect the established average rate for the Economic Division.

- B) ~~Experience-rated~~ Experience rated employers whose liability was terminated on or before July 31 of the calendar year used in the ~~above~~ computation in subsection (a)(4)(A), shall be included for computation purposes, unless prior to such date, a successor has succeeded to the experience rating record of such employer. In such instances, only the successor rate shall be used.
- b) The mean average contribution rate for each Economic Division, determined pursuant to subsection (a)(4)(A) and (B), shall be announced annually by the Director, during the last quarter of the year preceding the applicable year.
- c) Appeals from any determinations under Section 2770.100 or 2770.105 shall be taken pursuant to and governed by Section 1509 of the Act.

(Source: Amended at 27 Ill. Reg. 2598, effective February 1, 2003)

Section 2770.106 Post 2002 Contribution Rate For Non-Experience Rated Employers.

- a) For calendar year 2003 and each calendar year thereafter, the contribution rate under Section 1500(B) of the Act, for each employer who has not incurred liability for the payment of contributions within each of the three calendar years immediately preceding the calendar year for which a rate is being determined, shall be the greater of:
- 1) 2.7%, plus any applicable fund building rate, as imposed by Section 1506.3 of the Act [820 ILCS 405/1506.3]; or
 - 2) 2.7%, multiplied by the adjusted State experience factor, plus any applicable fund building rate, as imposed by Section 1506.3 of the Act; or
 - 3) The employer's contribution rate calculated pursuant to Sections 1501 through 1507 of the Act [820 ILCS 405/1501 through 1507], but only if this employer has had at least 13 consecutive months experience with the

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risk of unemployment by the June 30 preceding the calendar year for which a rate is being determined, plus any applicable fund building rate, as imposed by Section 1506.3 of the Act; or

- 5) The mean average contribution rate of all experience rated employers within the specific Economic Sector, plus any applicable fund building rate, as imposed by Section 1506.3 of the Act.
- A) The mean average contribution rate for an Economic Sector shall be determined by adding the rates of all experience rated employers in that sector and dividing the sum by the number of the employers. The rate computation shall be made for each of the applicable years as of July 31 of the preceding year. Any change in the industrial classification or the contribution rate of the experience rated employers made after the date of computation shall not affect the established average rate for the Economic Sector.
- B) Experience rated employers whose liability was terminated on or before July 31 of the calendar year used in the computation in subsection (a)(4)(A), shall be included for computation purposes, unless prior to such date, a successor has succeeded to the experience rating record of the employer. In these instances, only the successor rate shall be used.
- b) The mean average contribution rate for each Economic Sector, determined pursuant to subsection (a)(4)(A) and (B), shall be announced annually by the Director, during the last quarter of the year preceding the applicable year.
- c) Appeals from any determinations under Section 2770.101 or 2770.106 shall be taken pursuant to and governed by Section 1509 of the Act.

(Source: Added at 27 Ill. Reg. 2598, effective February 1, 2003)

Section 2770.110 Average Contribution Rates By Standard Industrial Classification (SIC)
Codes

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- a) ~~The average contribution rate for each Economic Division, excluding the fund building rate as set forth in Section 1506.3 of the Act, for calendar year 1997, as determined by the application of Section 2770.105(a)(4) of this Part, shall be:~~

Digits	Economic Division	Rate
01-09	A. Agriculture, Forestry, Fishing	3.2%
10-14	B. Mining	3.6%
15-17	C. Construction	3.8%
20-39	D. Manufacturing	1.9%
40-49	E. Transportation, Communication, Electric, Gas, Sanitary Services	1.9%
50-51	F. Wholesale Trade	1.5%
52-59	G. Retail Trade	1.2%
60-67	H. Finance, Insurance, Real Estate	1.2%
70-89	I. Services	1.2%
91-97	J. Public Administration	1.1%

- b) The average contribution rate for each Economic Division, excluding the fund building rate as set forth in Section 1506.3 of the Act, for calendar year 1998, as determined by the application of Section 2770.105(a)(4) of this Part, shall be:

Digits	Economic Division	Rate
01-09	A. Agriculture, Forestry, Fishing	3.1%
10-14	B. Mining	3.4%
15-17	C. Construction	3.5%
20-39	D. Manufacturing	1.9%
40-49	E. Transportation, Communication, Electric, Gas, Sanitary Services	1.9%
50-51	F. Wholesale Trade	1.5%
52-59	G. Retail Trade	1.2%
60-67	H. Finance, Insurance, Real Estate	1.2%
70-89	I. Services	1.2%
91-97	J. Public Administration	1.1%

- e) The average contribution rate for each Economic Division, excluding the fund building rate as set forth in Section 1506.3 of the Act, for calendar year 1999, as determined by the application of Section 2770.105(a)(4) of this Part, shall be:

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Digits	Economic Division	Rate
01-09	A. Agriculture, Forestry, Fishing	3.0%
10-14	B. Mining	3.2%
15-17	C. Construction	3.3%
20-39	D. Manufacturing	1.8%
40-49	E. Transportation, Communication, Electric, Gas, Sanitary Services	1.8%
50-51	F. Wholesale Trade	1.4%
52-59	G. Retail Trade	1.1%
60-67	H. Finance, Insurance, Real Estate	1.1%
70-89	I. Services	1.1%
91-97	J. Public Administration	1.0%

- dc) The average contribution rate for each Economic Division, excluding the fund building rate as set forth in Section 1506.3 of the Act, for calendar year 2000, as determined by the application of Section 2770.105(a)(4) of this Part, shall be:

Digits	Economic Division	Rate
01-09	A. Agriculture, Forestry, Fishing	3.0%
10-14	B. Mining	3.1%
15-17	C. Construction	3.2%
20-39	D. Manufacturing	1.8%
40-49	E. Transportation, Communication, Electric, Gas, Sanitary Services	1.8%
50-51	F. Wholesale Trade	1.3%
52-59	G. Retail Trade	1.0%
60-67	H. Finance, Insurance, Real Estate	1.0%
70-89	I. Services	1.1%
91-97	J. Public Administration	1.0%

- ed) The average contribution rate for each Economic Division, excluding the fund building rate as set forth in Section 1506.3 of the Act, for calendar year 2001, as determined by the application of Section 2770.105(a)(4) of this Part, shall be:

Digits	Economic Division	Rate
01-09	A. Agriculture, Forestry, Fishing	2.8%

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10-14	B.	Mining	3.2%
15-17	C.	Construction	3.0%
20-39	D.	Manufacturing	1.6%
40-49	E.	Transportation, Communication, Electric, Gas, Sanitary Services	1.6%
50-51	F.	Wholesale Trade	1.2%
52-59	G.	Retail Trade	0.9%
60-67	H.	Finance, Insurance, Real Estate	1.0%
70-89	I.	Services	1.0%
91-97	J.	Public Administration	0.9%

- f**e) The average contribution rate for each Economic Division, excluding the fund building rate as set forth in Section 1506.3 of the Act, for calendar year 2002, as determined by the application of Section 2770.105(a)(4) of this Part, shall be:

Digits	Economic Division	Rate
01-09	A. Agriculture, Forestry, Fishing	2.7%
10-14	B. Mining	3.1%
15-17	C. Construction	2.8%
20-39	D. Manufacturing	1.5%
40-49	E. Transportation, Communication, Electric, Gas, Sanitary Services	1.5%
50-51	F. Wholesale Trade	1.1%
52-59	G. Retail Trade	0.8%
60-67	H. Finance, Insurance, Real Estate	0.9%
70-89	I. Services	0.9%
91-97	J. Public Administration	0.8%

(Source: Amended at 27 Ill. Reg. 2598, effective February 1, 2003)

Section 2770.111 Average Contribution Rates By North American Industry Classification System (NAICS) Assignment

The average contribution rate for each Economic Sector in the North American Industry Classification System (NAICS), excluding the fund building rate as set forth in Section 1506.3 of the Act, for calendar year 2003, as determined by the application of Section 2770.106(a)(4) of this Part, shall be:

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<u>Digits</u>	<u>Economic Sector</u>	<u>Rate</u>
<u>11</u>	<u>Agriculture, Forestry, Fishing and Hunting</u>	<u>1.5%</u>
<u>21</u>	<u>Mining</u>	<u>3.1%</u>
<u>22</u>	<u>Utilities</u>	<u>1.2%</u>
<u>23</u>	<u>Construction</u>	<u>2.7%</u>
<u>31-33</u>	<u>Manufacturing</u>	<u>1.7%</u>
<u>42</u>	<u>Wholesale Trade</u>	<u>1.3%</u>
<u>44-45</u>	<u>Retail Trade</u>	<u>1.0%</u>
<u>48-49</u>	<u>Transportation and Warehousing</u>	<u>1.8%</u>
<u>51</u>	<u>Information</u>	<u>1.3%</u>
<u>52</u>	<u>Finance and Insurance</u>	<u>0.9%</u>
<u>53</u>	<u>Real Estate and Rental and Leasing</u>	<u>1.0%</u>
<u>54</u>	<u>Professional, Scientific and Technical Services</u>	<u>1.0%</u>
<u>55</u>	<u>Management of Companies and Enterprises</u>	<u>1.3%</u>
<u>56</u>	<u>Administrative and Support and Waste Management</u>	<u>2.0%</u>
<u>61</u>	<u>Educational Services</u>	<u>0.8%</u>
<u>62</u>	<u>Health Care and Social Assistance</u>	<u>0.7%</u>
<u>71</u>	<u>Arts, Entertainment and Recreation</u>	<u>1.5%</u>
<u>72</u>	<u>Accommodation and Food Services</u>	<u>0.8%</u>
<u>81</u>	<u>Other Services (except Public Administration)</u>	<u>0.9%</u>
<u>92</u>	<u>Public Administration</u>	<u>0.8%</u>
<u>99</u>	<u>Unclassified</u>	<u>1.1%</u>

(Source: Added at 27 Ill. Reg.2598, effective February 1, 2003)

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- 1) Heading of the Part: Early Intervention Program
- 2) Code Citation: 89 Ill. Adm. Code 500
- 3)

<u>Section Numbers:</u>	<u>Adopted Action:</u>
500.20	Amended
500.45	Amended
500.50	Amended
500.55	Amended
500.60	Amended
500.75	Amended
500.80	Amended
500.85	Amended
500.90	Amended
500.115	Amended
500.130	Amended
500.140	Amended
500.Appendix C	Amended
500.Appendix D	Amended
- 4) Statutory Authority: Implementing and authorized by the Early Intervention Services System Act [325 ILCS 20] and Part C of the Individuals with Disabilities Education Act (IDEA) (20 USC 1400 et seq., as amended in 1997).
- 5) Effective Date of Amendments: February 7, 2003
- 6) Does this rulemaking contain an automatic repeal date? No
- 7) Does this amendment contain incorporations by reference? No
- 8) A copy of the adopted amendments, including any material incorporated by reference, is on file in the agency's principal office and is available for public inspection.
- 9) Notice of Proposal published in Illinois Register: February 22, 2002 (26 Ill. Reg. 2205)
- 10) Has JCAR Issued a Statement of Objection to this amendment? No

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11) Difference(s) between proposal and final version:

In Section 500.20, changed the definition of:

“Credential”, added “applicable” before “education” and replaced “supervision” with “ongoing professional development”.

“Eligible Children”, in both the “Developmental delay” and “At risk of substantial developmental delay, according to informed clinical judgment” sections, replaced “personnel” with “staff”.

“Enroll”, changed “Early Intervention Services” to “early intervention services”.

“Evaluation/Assessment”, replaced “personnel” with “staff”.

“Multidisciplinary team”, added “sometimes referred to as the IFSP team” and added commas before “sometimes” and after “team”.

In Section 500.50, changed “personnel” to “staff” in several locations.

In Section 500.55(b)(6), corrected the spelling of “hearing”.

In Section 500.55(k)(1), replaced “Screening and evaluation” with “Evaluation/screening”.

In Section 500.55(l)(2), replaced “as needed” with “at a minimum of one time per month”.

In Section 500.60(b), deleted “education, supervision and training” before “requirements”.

In Section 500.60(c)(3), removed “felony” before “conviction”; added “of any of the felonies set forth in 225 ILCS 46/25 (a) and (b)”; and changed referenced citation from “5 ILCS 500/50-10” to “30 ILCS 500/50-10”.

In Section 500.60(d), added “and maintain the credential” after “credentialed”; deleted “the equivalent of” before “2 semester”; and added “or the equivalent (30 clock hours or CEU credit hours” after “hours”.

In Section 500.60(e), added “is not currently credentialed and” after “who”; replaced “the supervision” with “ongoing professional development”; and changed referenced citation from subsection “(i)(2)” to “(j)(2)”.

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In Section 500.60(f)(2), added a comma after “(D)”.

In Section 500.60(g)(1), replaced “CFY” with “supervised professional experience”; added “clinical professional” before “supervisor”; deleted “Clinical Fellowship Year”; and deleted the opening and closing parentheses around “supervised professional experience”.

In Section 500.60(h), replaced “supervision” with “ongoing professional development” and changed referenced citation from “(i)(2)” to “(j)(2)”.

In Section 500.60, added the following new subsection (i):

“i) Evaluation/Assessment Services

For developmental therapists, occupational therapists, physical therapists, and speech therapists and, as of September 1, 2003, early intervention specialists in all credentialed disciplines, evaluation/assessment services for the purpose of determining initial eligibility, participating in the development of an initial comprehensive IFSP, and adding new types of services to existing IFSPs must be provided by a provider with a credential for Evaluation/Assessment as set forth in Appendix C in addition to an Early Intervention Specialist credential in the discipline required by the service being evaluated.”

Changed Section 500.60(i) to 500.60(j) and added “professional” before “education” and replaced “supervision” with “development”.

In Section 500.60(j)(1), added “During the three years that the full credential is valid,” before “A”; changed “A” to “a”; replaced “10” with “a total of 30”; added “with a minimum of 5 hours” before “during”; and changed referenced citation from “(i)(2)” to “(j)(2)”.

In Section 500.60(j)(2), added “As of April 1, 2003,” before “Each provider”; changed title of subsection paragraph from “Ongoing Supervision” to “Ongoing Professional Development”; replaced “supervision” with “ongoing professional development” in all uses; changed “Each” to “each”; deleted “on” before “ongoing”; deleted comma after “entities”; and changed the referenced citation from “(i)(2)” to “(j)(2)”.

In Section 500.60(k), changed the referenced citation from “(i)(1)” to “(j)(1)”;

added “continuing professional education” before “defined”; and capitalized “lapsed credential”.

In Section 500.60(m), capitalized “name” and “address”.

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In Section 500.60(p)(1), changed the referenced citation from subsection “(o)” to subsection “(p)”.

In Section 500.60(p)(2)(C), changed “(j)” to “(k)”.

In Section 500.60(p)(3)(A), changed “Department directives and guidelines” to “Early Intervention Provider Agreements”.

In Section 500.60(p)(4), changed “Provider” to “provider”.

In Section 500.80(d)(4) deleted the hyphen between “Department” and “approved”.

In Section 500.80(f), removed “beyond those recommended after “Services”; removed “is developing an initial IFSP and it” between “team” and “recommends”; added “consistent with the philosophy herein” after “services”; removed “and request review”; removed the remainder of the subsection and replaced with “The guidelines are not intended to be caps on frequency and intensity but to express early intervention service philosophy and best practice parameters”; deleted “(The therapy guidelines shall become effective when finalized by the Department and distributed to the intake entities.)”; and struck “sufficiently”.

In Section 500.90(a)(2), replaced “Department guidelines” with “requirements of Section 500.80(f)”.

In Section 500.115(r), changed “defined by the Department” to “set forth in this Part”.

In Section 500.115(r)(2), added “as set forth in this Part and in the Early Intervention Provider Agreement”.

In Section 500.140(c)(3), struck “or” and added “of”.

In Section 500.Appendix C

Struck “Part C” and “Continuing Education” from the Appendix heading.

Struck “Part C” in the first column

In the Audiology, Aural Rehabilitation/Other Related Services category:
removed “temporary” before “credential” in all uses; added “after being issued a temporary credential” after “months”; replaced “Clinical Fellowship Year (CFY)” and

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references to “CFY” with “supervised professional experience”; added “after being issued a temporary credential” after “6 months” in all occurrences; added an asterick after “status” at the end of the 2nd paragraph; added a slash after “Speech”; and struck “Early Intervention” and “EI”.

In the Clinical Assessment category, removed “temporary” before “credential” in all occurrences; and added “after being issued a temporary credential” after “6 months” in all occurrences.

In the Developmental Therapy category, 1st paragraph, deleted “credentialed prior to” and replaced with “with an EI Specialist Developmental Therapist credential on”; changed “2003” to “2004”; added “or who are applying for an EI Specialist Developmental Therapist credential prior to January 1, 2004” before “must have”; deleted “to renew their credential” and replaced with “may apply for credential renewal or, prior to January 1, 2004, may apply for an EI Specialist Developmental Therapy Credential. Additional training is required within 6 months after being issued a temporary credential for full credential status and continued enrollment.”

In the Developmental Therapy category, 2nd paragraph, deleted “As of January 1, 2003” and replaced with “Individuals who do not hold an EI Specialist Developmental Therapist credential on January 1, 2004, must have”; deleted “and individuals” before “bachelor’s degree”; added “and when applicable a teaching certificate” after “Social Work”; removed “the equivalent of 3” and added “2” before “semester”; added “or the equivalent (30 clock hours or CEU credit hours)” after “college hours”; removed “temporary” before “credential” in all occurrences, except in the last paragraph or when referencing a temporary credential as it pertains to the required training within the 6 month time frame; added “after being issued a temporary credential” after “6 months” in all occurrences; and added “and enroll to bill” after “credential”.

In the Developmental Therapy category, 3rd paragraph, changed “CFC” to “regional intake entity”; changed “bachelor’s” to “bachelors”.

In the Developmental Therapy category, 5th paragraph, changed “Bachelor’s degree” to “bachelors degree”; struck “Associate’s Degree” and added “associates degree”; and changed “On” to “on”.

Added the following new category after “Developmental Therapy”:
“Evaluation/Assessment”

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“Individuals with a current Early Intervention Specialist credential and who also meet all of the following requirements may apply for an Evaluation/Assessment credential:

Documentation of a minimum of three years (full time equivalent) pediatric experience within the Early Intervention Specialist credentialed discipline is required with no less than 20% of that experience related to infants and toddlers between birth and three years of age or the equivalent, with a minimum of one year (full time equivalent) pediatric experience within the Early Intervention Specialist credentialed discipline with no less than 60% of that experience related to infants and toddlers;

Documentation of a minimum of six months pediatric post degree supervision;

Documentation of competency in using and interpreting a variety of approved assessment tools related to his/her discipline by participating in evaluator specific training;

Demonstration of past work as a member of a service team and agreement to work with the service coordinator, other evaluators, and the family as an effective team member;

Agreement to participate in IFSP meetings as specified in this Part;

Agreement to perform evaluation/assessments and present recommendations thereon, that are consistent with DHS therapy guidelines and early intervention philosophy, and to provide adequate justification for recommendations;

Agreement to participate in routine quality assurance and/or early intervention monitoring activities conducted by the Department or its Designee, or other U.S. Department of Education, Office of Special Education Programs;

Agreement to comply with applicable federal and/or State laws, rules, regulations, policies, provider agreement and procedures and guidelines;

Documentation of attendance at Evaluation/Assessment training as required and provided by the Department.

The expiration date of an Evaluation/Assessment credential will coincide with the Early Intervention Specialist discipline specific credential. Renewal of the Evaluation/Assessment credential is contingent on the successful renewal of the Early Intervention Specialist discipline specific credential.

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In the Family Training and Support category, added “after being issued a temporary credential” after “90 days”.

In the Nursing category, added “after being issued a temporary credential” after “months”.

In the Nutrition category, deleted “Registered” and replaced with “Licensed” in all uses, except for the use of “Registered Nurse”; added “after being issued a temporary credential” after “6 months”; changed “15” to “6”; deleted “temporary”; and struck “pending”.

In the Occupational Therapy category, added “after being issued a temporary credential” after “6 months” in all uses and deleted “temporary” before “credential”.

In the Physical Therapy category, added “after being issued a temporary credential” after “6 months” in all uses and deleted “temporary” before “credential”.

In the Service Coordination category, struck existing codified language and added:

“Individuals with an EI Service Coordination credential on January 1, 2003 and: (1) an EI Specialist credential of any type, (2) a bachelors degree or higher in human services, behavioral science, social science or health related field, (3) a current license as a Registered Nurse, (4) current employment as a service coordinator in a Family Case Management Agency, or (5) an associates degree in human services, education, behavioral science, or health related field plus 2 years of experience working with children birth to 5 to provide intervention services or service coordination in a community agency serving children and families, may apply for renewal of their credential.

Individuals who do not hold an EI Coordination credential on January 1, 2003 and with a bachelors degree or higher in human services, behavioral science, social science or health related field or a current license as a Registered Nurse may apply for an EI Service Coordination Credential and enroll as an employee of a Child and Family Connections office. Additional training is required within 90 days after being issued a temporary credential for full credentialed status and continued enrollment.”

In the Social Services category, added “after being issued a temporary credential” after “6 months” in all uses and deleted “temporary” before “credential”.

In the Speech Therapy category, added “after being issued a temporary credential” after “6 months” in all uses; deleted “temporary” before “credential”; deleted “Clinical Fellowship

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Year (CFY)” and added “supervised professional experience” in all uses; deleted the last sentence, “Provider is automatically.....”; changed “master’s” to “masters”; added a slash after “Speech”; deleted language beginning at “or, before January...” through “after June 1, 2002”; and changed “Children” to “children”.

In the Vision category, added “after being issued a temporary credential” after “6 months” in all uses; deleted “temporary” before “credential” and struck “Bachelor’s” and added “bachelors”.

In Section 500.Appendix D, added “Nothing in this Appendix D shall exempt any individual from compliance with any and all State licensing requirements and/or supervisory requirements pertinent to the individual’s delivery of services.”

In Section 500.Appendix D, subsection (1)(f),deleted “one out of every five times services are provided to the child or his/her family or” and added “at a minimum of once each month”.

In Section 500.Appendix D, subsection (3), deleted “Clinical Fellowship Year (CFY)” and added “supervised professional experience” and deleted the period.

- 12) Have all the changes agreed upon by the agency and JCAR been made as indicated in the agreement letter issued by JCAR? Yes
- 13) Will this amendment replace an Emergency Amendment currently in effect? No
- 14) Are there any amendments pending on this Part? No
- 15) Summary and Purpose of Amendment: The purpose of this amendment is to provide Departmental standards applicable to relevant professions and qualifications suitable for professionals providing early intervention services. These revisions were required by P.A. 92-0307. Additionally, the Department has amended the rule in several other areas to clarify existing language. Among these revisions is the addition of the burden of proceeding and demonstrating by a preponderance of evidence being on the party requesting the hearing.
- 16) Information and questions regarding this adopted amendment shall be delivered to:

Mr. Karl Menninger, Acting Chief
Bureau of Administrative Rules and Procedures
Department of Human Services

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100 South Grand Avenue East, 3rd Floor
Springfield, IL 62762
(217) 785-9772

The full text of the Adopted Amendments begins on the next page:

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TITLE 89: SOCIAL SERVICES
CHAPTER IV: DEPARTMENT OF HUMAN SERVICES
SUBCHAPTER e: EARLY CHILDHOOD SERVICESPART 500
EARLY INTERVENTION PROGRAM

SUBPART A: GENERAL PROVISIONS

Section

- 500.10 Purpose
500.15 Incorporation by Reference
500.20 Definitions

SUBPART B: COMPONENTS OF THE STATEWIDE SYSTEM

- 500.25 Public Awareness and Child Find
500.30 Central Directory
500.35 Local Interagency Councils
500.40 Illinois Interagency Council on Early Intervention
500.45 Regional Intake Entities
500.50 Eligibility
500.55 Early Intervention Services/Devices
500.60 Provider Qualifications/Credentialing and Enrollment
500.65 Monitoring

SUBPART C: SERVICE DELIVERY REQUIREMENTS

- 500.70 Intake
500.75 Eligibility Determination
500.80 Individualized Family Service Plan Development
500.85 Individualized Family Service Plan Implementation
500.90 Individualized Family Service Plan Updating
500.95 Case Transfer
500.100 Transition to Part B or Other Appropriate Services at Age Three
500.105 Case Closure
500.110 Recordkeeping
500.115 Service Provider Requirements

SUBPART D: FINANCIAL MATTERS

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- 500.120 Billing Procedures
- 500.125 Payor of Last Resort
- 500.130 Family Fee/Insurance

SUBPART E: PROCEDURAL SAFEGUARDS/CLIENT RIGHTS

- 500.135 Minimum Procedural Safeguards
- 500.140 Administrative Resolution of Complaints By Parents
- 500.145 Mediation
- 500.150 Confidentiality/Privacy
- 500.155 Right to Consent
- 500.160 Surrogate Parents
- 500.165 Written Prior Notice
- 500.170 State Complaint Procedure

- APPENDIX A Sliding Fee Schedule
- APPENDIX B Assessment Instruments
- APPENDIX C Requirements for Professional and Associate Level ~~Part C~~ Early Intervention (EI) Credentialing, ~~Continuing Education~~ and Enrollment to Bill
- APPENDIX D Use of Associate Level Providers
- APPENDIX E Medical Conditions Resulting in High Probability of Developmental Delay (not an exclusive list)

AUTHORITY: Implementing and authorized by the Early Intervention Services System Act [325 ILCS 20] and Part C of the Individuals with Disabilities Education Act (IDEA) (20 USC 1400 et seq., as amended in 1997).

SOURCE: Adopted at 25 Ill. Reg. 8190, effective July 1, 2001; amended at 27 Ill. Reg. 2611, effective February 7, 2003.

SUBPART A: GENERAL PROVISIONS

Section 500.20 Definitions

"Act" means the Early Intervention Services System Act [325 ILCS 20].

"Child find" means an activity that identifies potentially eligible infants and toddlers.

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"Council" or "IICEI" means the Illinois Interagency Council on Early Intervention established under Section 4 of the Early Intervention Services System Act.

"Credential" means an official documentation from the Department's credentialing office that an individual has met pertinent licensing, degree, and certification requirements as set forth in Appendix C, as well as the applicable education, experience, continuing professional education, and ongoing professional development requirements as set forth in Section 500.60.

"Day", for purposes of this Part, means calendar day.

"Department" means the Illinois Department of Human Services.

"Early intervention services" or "EI services" means services that:

are designed to meet the developmental needs of each child eligible under the Act and the needs of his or her family;

are related to enhancing the child's development;

are selected in collaboration with the child's family;

are provided under public supervision;

are provided at no cost except where a schedule of sliding scale fees or other system of payments by families has been adopted in accordance with State and federal law;

are designed to meet an infant's or toddler's developmental needs in any of the following areas:

cognitive development;

physical development, including vision and hearing;

language, speech and communication development;

social-emotional development; or

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adaptive self-help skills development;

meet the standards of this Part, including the requirements of the Act;

include one or more of the services set forth in Section 500.55;

are provided by qualified personnel, as set forth in Section 500.60;

are provided in conformity with an Individualized Family Service Plan;

are provided throughout the year; and

are provided to the maximum extent appropriate in natural environments, including the home and community settings that are natural or normal for the child's age peers who have no disability.

"Early Intervention Services System" or "System" means the system of service delivery described in this Part that implements Part C of IDEA in Illinois and the Illinois Early Intervention Services System Act.

"Eligible children" or "eligible child" means infants and toddlers under 36 months of age with any of the following conditions:

Developmental delay;

A physical or mental condition that typically results in developmental delay; or

At risk of having substantial developmental delays, according to informed clinical judgment.

"Developmental delay" means a Department determined eligible level of delay (30% and above) in one or more of the following areas of childhood development: cognitive; physical, including vision and hearing; language, speech and communication; social-emotional; or adaptive self-help skills, as measured by Department approved diagnostic instruments and standard procedures or as confirmed through informed clinical judgment of qualified staff based upon the multidisciplinary evaluation and assessment

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~~team~~-if the child is unable to be appropriately and accurately tested by the standardized measures available.

"Physical or mental condition that typically results in developmental delay" means a medical diagnosis (see Appendix E) approved by the Department as an eligible condition or confirmed by a qualified family physician, pediatrician or pediatric sub-specialist as being a condition with a relatively well known expectancy for developmental outcomes within varying ranges of developmental disabilities. Pediatric subspecialists included are those such as pediatric neurologists, geneticists, pediatric orthopedic surgeons and pediatricians with special interest in disabilities.

"At risk of substantial developmental delay, according to informed clinical judgment" means that there is ~~multidisciplinary team~~ consensus of qualified staff based upon multidisciplinary evaluation and assessment that development of a Department determined eligible level of delay is probable if early intervention services are not provided, because a child is experiencing either:

a parent who has been medically diagnosed as having a severe disorder as set forth under axis I and axis II of the Diagnostic and Statistical Manual IV (DSM IV) (1994; American Psychiatric Association, 1400 K Street, NW, Washington, D.C. 20005) or a developmental disability; or

three or more of the following risk factors:

current alcohol or substance abuse by the primary caregiver;

primary caregiver who is currently less than 15 years of age;

current homelessness of the child;

chronic illness of the primary caregiver;

alcohol or substance abuse by the mother during pregnancy with the child;

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primary caregiver with a level of education equal to or less than the 10th grade, unless that level is appropriate to the primary caregiver's age;

an indicated case of abuse or neglect regarding the child and the child has not been removed from the abuse or neglect circumstances.

Services for children determined to be "at risk" shall not be funded under Federal Part C funding, nor subject to its requirements, unless Part C funding for "at risk" services is requested by the lead agency.

"Enroll" means to enter into an agreement that establishes duties, expectations and relationships between the Department and the individual or agency provider that provides early intervention services to eligible children and their families. A provider must be enrolled to bill and receive payment for services from the Early Intervention Program.

"Evaluation/Assessment" or "Evaluation" means the initial and ongoing procedures used by appropriate qualified ~~staff personnel~~ to determine:

a child's eligibility under this Part in accordance with the definition of "eligible infants and toddlers";

the child's status in each of the developmental areas set forth in "early intervention services";

the child's unique strengths and needs;

the services appropriate to meet those needs;

the resources, priorities, and concerns of the family; and

the supports and services necessary to enhance the family's capacity to meet the developmental needs of its infant or toddler with a disability.

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"Individualized Family Service Plan" or "Plan" or "IFSP" means a written plan for providing early intervention services to an eligible child and the child's family, as set forth in Subpart C.

"Lead agency" means the State agency, as designated by the Governor and the Act, responsible for administering the Act and this Part in accordance with federal laws and rules. The Illinois Department of Human Services has been so designated.

"Local interagency agreement" means an agreement entered into by local community and State and regional agencies receiving early intervention funds directly from the State and made in accordance with State interagency agreements providing for the delivery of early intervention services within a local community area.

"Local interagency council" or "LIC" means a local advisory body established for each designated geographic intake region as set forth in Section 6 of the Early Intervention Services System Act.

"Local service area" means a local interagency council region.

"Multidisciplinary team", ~~sometimes referred to as the IFSP team,~~ means a group of people concerned with the ~~developmental needs welfare~~ of the child, including the child's parent/guardian ~~and~~ service coordinator and members from pertinent ~~two or more~~ disciplines involved in the provision of integrated and coordinated services, including evaluation and assessment activities, who determine appropriate EI a child's eligibility for services under this Part services by consensus as set forth in this Part. ~~Disciplines represented may include a developmental therapist, a social service professional such as a social worker or psychologist and/or a medical/health professional such as a nurse or physical therapist.~~

"Natural environment" means home and community settings that are natural or normal for the child's age peers who have no disability.

"Parents" means a parent, a guardian, a person acting as a parent of a child or a surrogate parent appointed as set forth in this Part.

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"Part B" means Part B of the Individuals with Disabilities Education Act (20 USC 1400 et seq.) (IDEA) governing "Assistance for Education of All Children with Disabilities".

"Part C" means Part C of IDEA (20 USC 1400 et seq.) governing "Infants and Toddlers with Disabilities".

"Regional intake entity" means the Department's designated entity responsible for implementation of the Early Intervention Services System within its designated geographic area as set forth in Section 500.45.

"Transition" is the process of transferring eligible children receiving early intervention services under this Part out of such services to Part B services or to other appropriate developmental or educational services.

(Source: Amended at 27 Ill. Reg. 2611, effective February 7, 2003)

SUBPART B: COMPONENTS OF THE STATEWIDE SYSTEM

Section 500.45 Regional Intake Entities

The Department will assure the designation of regional intake points as necessary to accomplish consistent, System intake and service coordination throughout the State. The regional entity shall be the contracted entity responsible for implementation of the Early Intervention Services System within its designated geographical area. The regional entity shall:

- a) Participate in public awareness and child find activities by disseminating information to primary referral sources and working with local interagency councils.
- b) Provide adequate accessible space/facilities to store permanent early intervention records and to house staff.
- c) Select, train, and supervise qualified staff to carry out the following tasks within the System specified time frames:
 - 1) Receive referrals.
 - 2) Develop, maintain and process the permanent early intervention case record in accordance with policies set forth by the Department.
 - 3) Provide information about the Early Intervention Services System, including rights and procedural safeguards and available advocacy services, to families and initiate intake with parental consent.
 - 4) Coordinate EI and non-EI services for enrolled families.

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- 5) Ensure that eligibility is determined according to the Department's early intervention eligibility criteria.
- 6) Comply with family fee policies and procedures as set by the Department.
- 7) Develop the initial IFSP with the family, within 45 days after referral, consistent with requirements in this Part and federal regulations.
- 8) Monitor that the integrity of the IFSP process is maintained and completed through accurate, timely and complete implementation of the services as mutually determined and agreed to by the IFSP Team, and consented to in writing by the child's parent/guardian.
- 9) Monitor that the Part C funds are the "payor of last resort" to the extent allowed by law. This includes assistance in accessing resource supports, including but not limited to Medicaid (Title XIX), the State Child Health Insurance Program (Title XXI), the Division of Specialized Care for Children (Title V) and private insurance.
- 10) Assist the family in monitoring IFSP implementation and obtain updated documentation from service providers listed on the IFSP in accordance with this Part, communicating regularly with the family using a variety of face-to-face, telephone, written correspondence, and other methods, including team meetings, to ensure that the family is well informed and an active participant in the implementation of the IFSP.
- 11) Assure that IFSPs are reviewed at least every six months and updated annually.
- 12) Assure that transition planning, case transfer and case closure occur consistent with the requirements of this Part.
- 13) Be knowledgeable of and comply with all applicable federal and State laws, guidelines, procedures, rules, regulations, and executive orders applicable to its activities, including, but not limited to:
 - A) The Individuals with Disabilities Education Act (20 USC 1400 et seq.). The United States Department of Education regulations for the early intervention program for Infants and Toddlers with Disabilities (34 CFR 303) and the Illinois Early Intervention Services System Act.
 - B) The federal Family Education Rights and Privacy Act (FERPA) (20 USC 1232g, 1232h) and the United States Department of Education implementing regulations (34 CFR 99); the Illinois School Student Records Act [105 ILCS 10].
 - C) The Americans with Disabilities Act (42 USC 12131-12134).

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- d) Maintain a directory of non-EI financial resources and support services for use with families.
- e) Assist families in accessing non-EI financial resources and support services by making appropriate referrals while the child is enrolled with the Early Intervention Services System and at transition. Children found ineligible should be offered referrals for non-EI community resources prior to case closure.
- f) Maintain administrative and programmatic contact with all EI service providers in the service area.
- g) Participate in routine monitoring and technical assistance activities as required by the Department, including on-site monitoring, data collection and reporting obligations, record reviews, financial audits, complaint investigations, and consumer satisfaction surveys.
- h) Enroll as a "KidCare agent" in order to complete the KidCare application as authorized under Section 22 of the Children's Health Insurance Program Act.

(Source: Amended at 27 Ill. Reg. 2611, effective February 7, 2003)

Section 500.50 Eligibility

- a) An Illinois child under the age of 36 months of age and his or her family are eligible for services set forth in this Part if the child:
 - 1) is experiencing a Department determined eligible level of developmental delay; or
 - 2) is experiencing a medically diagnosed physical or mental condition that typically results in developmental delay; or
 - 3) is, according to informed clinical judgment of ~~the~~ qualified staff based upon a multidisciplinary evaluation and assessment team, at risk of substantial developmental delay.
- b) Eligibility must be determined by consensus of ~~a~~ qualified staff based upon multidisciplinary evaluation and assessment team, ~~with members from two or more disciplines~~, using one or more of the following:
 - 1) One or more standardized evaluations or criterion referenced measures approved by the Department. (See Appendix B.) If a child is unable to be appropriately and accurately tested by the standardized measures available, informed clinical judgment of the qualified staff based upon multidisciplinary evaluation and assessment team may be used to document the level of delay. Activities to determine clinical judgment shall include observation and parent report and shall be described in the ~~team's~~ written report documenting the ~~team's~~ informed clinical judgment

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- ~~of qualified staff~~ that the child is experiencing delay at a level determined by the Department to be eligible;
- 2) Specific medical diagnosis as determined by the Department. If a child exhibits a medical condition not approved by the Department as being an eligible condition, ~~the qualified multidisciplinary team may use~~ written verification by a qualified pediatrician or pediatric sub-specialist (pediatric neurologist, geneticist, pediatric orthopedic surgeon, pediatrician with special interest in disabilities) that the child's medical condition typically results in substantial developmental delay within the varying ranges of developmental disabilities ~~may be used~~; or
 - 3) Written verification of the ~~multidisciplinary team's~~ consensus that, based on informed clinical judgment, development of substantial developmental delay is probable if early intervention services are not provided to the child who is experiencing risk factors as defined in Section 500.20. This report must also identify which risk factors the child is experiencing.
- c) Eligibility shall be determined annually. Children will continue to be eligible if they:
- 1) have entered the program under any of the eligibility criteria in subsection (a) but no longer meet the current eligibility criteria under this Section; and
 - 2) either:
 - A) continue to have any measurable delay; or
 - B) have not attained a level of development in each area, including cognitive, physical (including vision and hearing), language, speech and communication, psycho-social, or self-help skills, that is at least at the mean of the child's age equivalent peers; and
 - 3) have been determined by the multidisciplinary ~~IFSP~~ team to require the continuation of early intervention services in order to support continuing developmental progress, pursuant to the child's needs, and provided in an appropriate developmental manner.
The type, frequency, and intensity of services will differ from the initial individualized family service plan because of the child's developmental progress, and may consist of only service coordination, evaluation and assessments.
- d) If a family removes a child from services prior to reaching age three years and the child is later referred again, the child must meet eligibility criteria in effect at the time of the subsequent referral in order to be re-enrolled.

(Source: Amended at 27 Ill. Reg. 2611, effective February 7, 2003)

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Section 500.55 Early Intervention Services/Devices

Early intervention services as defined in Section 500.20 may include the following as deemed necessary under the IFSP:

- a) Assistive technology, including:
 - 1) Assistive technology devices, meaning any item, piece of equipment or product system that is used to increase, maintain, or improve the functional capabilities of children with disabilities. Devices must be approved prior to purchase by the Department. Prior approval will not exclude assistive technology devices as defined in this Part that are required in order to meet the child's EI needs. Devices that meet the medical, life sustaining or routine daily needs of the child do not fall within the definition of assistive technology device.
 - 2) Assistive technology services, meaning services that directly assist a child with a disability in selection, acquisition, or use of an assistive technology device.
- b) Audiology, aural rehabilitation/other related services for the purposes of:
 - 1) Identification of children with auditory impairment, using appropriate criteria and audiologic screening techniques;
 - 2) Determination of the range, nature, and degree of hearing loss and communication functions by use of audiological evaluation procedures;
 - 3) Referral for medical testing and other services necessary for the habilitation or rehabilitation of children with auditory impairment;
 - 4) Provision of auditory training, aural rehabilitation, speech reading and listening device orientation and training, and other related services;
 - 5) Determination of the child's need for individual amplification, including selecting, fitting, and dispensing appropriate listening and vibrotactile devices, and evaluating the effectiveness of those devices;
 - 6) Provision of services for prevention of hearing loss; and
 - 76) Family training, education and support provided to assist the child's family in understanding the child's special needs as related to audiology, aural rehabilitation and other related services and to enhancing the child's development.
- c) Clinical assessment, counseling and other therapeutic services for the purposes of:
 - 1) Evaluation to determine a child's developmental status and need for early intervention services;
 - 2) Administering psychological or developmental tests and assessment procedures to determine the need for psychological or other counseling services;

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- 3) Interpreting evaluation results;
 - 4) Obtaining, integrating and interpreting information about child behavior and child and family conditions related to learning, mental health, and development;
 - 5) Planning and managing a program of psychological or other counseling services, including psychological or other counseling for children and parents, family counseling, consultation on child development, parent training, and education programs; and
 - 6) Family training, education and support provided to assist the child's family in understanding the child's needs as related to psychological or other counseling services and to enhancing the child's development.
- de) Developmental therapy services for the purposes of:
- 1) Evaluation/assessment, IFSP development, provider to provider consultation and treatment planning that leads to achieving IFSP outcomes, special instruction activities defined in the IFSP that promote acquisition of skills in various developmental areas, including cognitive processes and social interaction, provision of information and support related to enhancing the child's skill development.
 - 2) Family training, education and support provided to assist the child's family in understanding the child's special needs as related to developmental therapy services and to enhancing the child's development.
- ed) Family training and support that can include education provided to assist the family of an eligible child in understanding the needs of the child as related to the provider's specific discipline and to enhancing the child's development.
- fe) Health consultation by a licensed physician who has provided recent and/or ongoing medical treatment for the child with service providers who are identified on a child's IFSP as members of the child's multidisciplinary team concerning the child's health care needs that impact the provision of early intervention services.
- gf) Medical services for diagnostic or evaluation purposes provided by a licensed physician to determine a child's developmental status and need for early intervention services.
- hg) Nursing services for the purposes of:
- 1) Evaluation to determine a child's developmental status and need for early intervention services;
 - 2) Assessment to determine a child's health status and identify the need for medical referrals;
 - 3) Provision of required nursing care during the time the child is receiving other early intervention services, such as:

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- A) administration of medications, treatments, and regimens prescribed by a licensed physician; and
 - B) clean intermittent catheterization, tracheostomy care, tube feeding, the changing of dressings or colostomy collection bags, and other health services as required to allow the child to participate in other EI services;
- 4) Family training, education and support provided to assist the child's family in understanding the child's needs as related to nursing services and to enhancing the child's development.
Nursing services do not include hospital or home health nursing care required due to surgical or medical intervention or medical health services such as immunizations and regular "well child" care that are routinely recommended for all children.
- ih)** Nutrition services for the purposes of:
- 1) Conducting individual assessments in nutritional history and dietary intake, anthropometric, biochemical, and clinical variables, feeding skills and feeding problems, and food habits and food preferences;
 - 2) Developing and monitoring appropriate plans to address the nutritional needs of the eligible child based upon individual assessment;
 - 3) Making referrals to appropriate community resources to achieve plans; and
 - 4) Family training, education and support provided to assist the child's family in understanding the child's needs as related to nutrition services and to enhancing the child's development.
- ij)** Occupational therapy services to address the functional needs of a child related to adaptive development; adaptive behavior and play; and sensory, motor, and postural development. These services are designed to improve the child's functional ability to perform tasks in home, school, and community settings and include:
- 1) Evaluation/assessment and intervention;
 - 2) Adaptation of the environment and selection, design and fabrication of assistive and orthotic devices to facilitate development and promote the acquisition of functional skills;
 - 3) Prevention or minimization of the impact of initial or future impairment, delay in development, or loss of functional ability; and
 - 4) Family training, education and support provided to assist the child's family in understanding the child's needs as related to occupational therapy services and to enhancing the child's development.

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- ~~kj)~~ Physical therapy services to address the promotion of sensorimotor function through enhancement of musculoskeletal status, neurobehavioral organization, perceptual and motor development, cardiopulmonary status, and effective environmental adaptation. These services include:
- 1) ~~Evaluation/screening~~Evaluation/assessment of infants and toddlers to identify movement dysfunction;
 - 2) Obtaining, interpreting, and integrating information appropriate to program planning to prevent, alleviate, or compensate for movement dysfunction and related functional problems;
 - 3) Providing individual and group services or treatment to prevent, alleviate, or compensate for movement dysfunction and related functional problems; and
 - 4) Family training, education and support provided to assist the child's family in understanding the child's needs as related to physical therapy services and to enhancing the child's development.
- ~~k)~~ ~~Psychological and other counseling services for the purposes of:~~
- 1) ~~Evaluation to determine a child's developmental status and need for early intervention services;~~
 - 2) ~~Administering psychological or developmental tests and assessment procedures to determine the need for psychological or other counseling services;~~
 - 3) ~~Interpreting evaluation results;~~
 - 4) ~~Obtaining, integrating and interpreting information about child behavior and child and family conditions related to learning, mental health, and development;~~
 - 5) ~~Planning and managing a program of psychological or other counseling services, including psychological or other counseling for children and parents, family counseling, consultation on child development, parent training, and education programs; and~~
 - 6) ~~Family training, education and support provided to assist the child's family in understanding the child's needs as related to psychological or other counseling services and to enhancing the child's development.~~
- l) Service coordination carried out by a service coordinator to assist and enable a child eligible under Part C and the child's family to receive the rights, procedural safeguards, and services that are authorized to be provided through the State's early intervention program, including:
- 1) Providing comprehensive case management to coordinate EI and non-EI services provided for the child and family;

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- 2) Contacting the child/family ~~as needed at a minimum of one time per month to assist the family in coordinate and monitor the monitoring~~ provision of needed evaluation/assessments and services;
 - 3) Facilitating and participating in the development, review and updating of Individualized Family Service Plans;
 - 4) Facilitating the development of a transition plan to preschool services;
 - 5) Facilitating referrals for appropriate EI and non-EI services and supports; ~~and~~
 - 6) Developing and maintaining the child's permanent and electronic EI record at the regional intake entity; ~~and-~~
 - 7) Informing families of the availability of advocacy services.
- m) Social ~~work and other counseling~~ services for the purposes of:
- 1) Evaluation to determine a child's developmental status and need for early intervention services;
 - 2) Making home visits to assess a child's living conditions and patterns of parent-child interaction to determine the need for social work or other counseling services;
 - 3) Preparing a social or emotional developmental evaluation of the child within the family context;
 - 4) Providing individual and family group counseling with parents and other family members, and appropriate social skill building activities with the child and parents;
 - 5) Working with those problems in the child's and family's living situation (home, community, and any center where early intervention services are provided) that affect the child's maximum utilization of early intervention services;
 - 6) Identifying, mobilizing, and coordinating community resources and services to enable the child and family to receive maximum benefit from early intervention services; and
 - 7) Family training, education and support provided to assist the child's family in understanding the child's needs as related to social work or other counseling services and to enhancing the child's development.
- n) Speech-language therapy services for the purposes of:
- 1) Evaluation/assessment activities to identify communicative or oropharyngeal disorders and delays in development of communication skills, including the diagnosis and appraisal of specific disorders, and delays in those skills;
 - 2) Referral for medical or other professional services necessary for the habilitation or rehabilitation of children with communicative or

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- oropharyngeal disorders and delays in development of communication skills;
- 3) Provision of services for the habilitation, rehabilitation, or prevention of communicative or oropharyngeal disorders and delays in development of communication skills; and
 - 4) Family training, education and support provided to assist the child's family in understanding the child's needs as related to speech therapy services and to enhancing the child's development.
- o) Transportation services (e.g., loaded mileage for travel by taxi, common carrier or car) provided in accordance with the Department's EI transportation policies to enable an eligible child and the child's family to travel to and from the location where the child receives another early intervention service.
- p) Vision services for the purposes of:
- 1) Evaluation/assessment of visual functioning, including the diagnosis and appraisal of specific visual disorders, delays and abilities;
 - 2) Referral for medical or other professional services necessary for the habilitation and/or rehabilitation of visual functioning disorders;
 - 3) Communication skills training, orientation and mobility training for all environments, visual training, independent living skills training, and additional training necessary to activate visual motor abilities;
 - 4) Orientation/mobility and other vision services related to improvement of visual functioning, including orientation and mobility training for all environments, communication skills training, visual training, independent living skills training and additional training necessary to activate visual motor activities; and
 - 5) Family training, education and support provided to assist the child's family in understanding the child's needs as related to vision services and to enhancing the child's development.

(Source: Amended at 27 Ill. Reg. 2611, effective February 7, 2003)

Section 500.60 Provider Qualifications/Credentialing and Enrollment

- a) Credentialing and enrollment, as set forth in this Part, is only for the purpose of providing and being reimbursed for EI services as set forth in this Part. It is not a license.
- b) An individual shall meet the pertinent licensing, degree, education and/or certification requirements for the service to be provided, as set forth in Appendix C, as well as the requirements set forth in this Section, in order to qualify for and

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maintain a credential to provide EI services. Credentialed providers must also enroll in order to be reimbursed for services.

- c) To be credentialed and maintain the credential the individual shall also:
- 1) not be delinquent in paying a child support order as specified in Section 10-65 of the Illinois Administrative Procedure Act [5 ILCS 100/10-65];
 - 2) not be in default of an educational loan in accordance with Section 2 of the Education Loan Default Act [5 ILCS 385/2];
 - 3) not have served or completed a sentence for a conviction of any of the felonies set forth in 225 ILCS 46/25(a) and (b) within the preceding five years (see 30 ILCS 500/50-10);
 - 4) not have been indicated as a perpetrator of child abuse or neglect in an investigation by Illinois or another state for at least the previous five years;
 - 5) be in compliance with pertinent laws, rules, and government directives regarding the delivery of services for which they seek credentialing.
- d) Education
As of July 1, 2007, to be credentialed and maintain the credential an individual must provide documentation of the completion of educational experiences, as approved by the Department, that include at least 2 semester college hours or the equivalent (30 clock hours or CEU credit hours) in each of the following early intervention core knowledge content areas:
- 1) The Development of Young Children: Typical and Atypical;
 - 2) Working with Families of Young Children with Disabilities;
 - 3) Intervention Strategies for Young Children with Special Needs; and
 - 4) Assessment of Young Children with Special Needs.
- Parent Liaisons are not required to provide this documentation.
- e) Temporary Credential
An individual who is not currently credentialed and has submitted an application to the Department's credentialing office, including an acceptable plan for ongoing professional development as required in subsection (j)(2), and has met the pertinent requirements provided in Appendix C, as well as the education requirements in subsection (d) and other requirements in this Part, will be issued a temporary credential and may provide EI services.
- f) Training During Temporary Credential
- 1) In order to qualify for full credential status an individual must document the completion of 18 hours of early intervention systems training as required and provided by the Department, within 6 months after issuance of the temporary credential. This training shall include at least:
 - A) Practice and procedures of private insurance;

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- B) The role of the regional intake entities, service coordination, program eligibility determinations, family fees, Medicaid, KidCare, and the Division of Specialized Care for Children (DSCC) applications, referrals, and coordination with Early Intervention, and procedural safeguards;
 - C) Introduction to the Early Intervention Program, including provider enrollment and credentialing, overview of Early Intervention Program policies and regulations, and billing requirements; and
 - D) Evaluation and assessment of birth-to-three children, individualized family service plan development, monitoring and review, best practices, service guidelines, and quality assurance. Extensions of up to 6 months may be allowed upon request in writing setting forth the facts concerning noncompliance with this requirement. The Department's credentialing office will consider hardship and other extenuating circumstances and determine if an extension should be granted on an individual basis.
- 2) In order to qualify for full credential status as a Parent Liaison or Service Coordinator, an individual must document completion of training as required and approved by the Department, within 90 days after the receipt of the temporary credential for such service. This training shall include at least the early intervention systems training, set forth in subsections (f)(1)(A) through (D), as well as:
- A) Use of the management information system;
 - B) Regional intake entity operating philosophies and procedures; and
 - C) Transition.
- Extensions of up to 90 days may be granted upon written request setting forth facts concerning noncompliance with this requirement. The Department's credentialing office will consider extreme hardship and other extenuating circumstances and determine if an extension should be granted on an individual basis.
- g) Supervision Requirement Either Prior to or During Temporary Credential
- 1) In order to qualify for full credential status, an individual must complete and document 240 hours of supervised professional experience providing direct EI services, for which they are being credentialed, to infants, toddlers and their families, except that audiologists or audiologists in supervised professional experience need only document 30 such hours. Documentation must show that the clinical professional supervisor met with the individual at least one hour per week and that the experience was evaluated by the clinical professional supervisor as satisfactory.

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- 2) Individuals without the supervised professional experience required in subsection (g)(1) shall complete and document 240 hours of such supervised experience within 6 months after issuance of their temporary credential. Extensions of up to 6 months may be granted upon written request setting forth the facts concerning noncompliance with this requirement. The Department's credentialing office will consider extreme hardship and other extenuating circumstances and determine if an extension should be granted on an individual basis.
- h) Full Credential
Once an individual with a temporary credential has documented satisfactory completion of the requirements in subsections (f) and (g), as well as the ongoing professional development requirement in subsection (j)(2), he/she is eligible to be fully credentialed.
- i) Evaluation/Assessment Services
For developmental therapists, occupational therapists, physical therapists, and speech therapists and, as of September 1, 2003, Early Intervention Specialists in all credentialed disciplines, evaluation/assessment services for the purpose of determining initial eligibility, participating in the development of an initial comprehensive IFSP, and adding new types of services to existing IFSPs must be provided by a provider with a credential for Evaluation/Assessment as set forth in Appendix C in addition to an Early Intervention Specialist credential in the discipline required by the service being evaluated.
- j) Renewal of Credential
Full credentials are valid for three years. At least one month before his/her credential expires, a provider shall submit a renewal application to the Department in a form required by the Department. To qualify for renewal, the provider must have met and provide documentation of the following continuing professional education and development activities, as well as documentation of maintenance of pertinent licensure/certification requirements and compliance with this Part. Failure to receive a renewal notice from the Department shall not excuse the submission of a renewal application for one's credential.
- 1) Continuing Professional Education
During the three years that the full credential is valid, a credentialed provider shall receive a total of 30 hours of continuing professional education as approved by the Department, with a minimum of 5 hours during each year of his/her credential. This training shall include two or more of the early intervention core knowledge content areas set forth in subsections (d)(1) through (4). Extensions of up to three months may be granted upon written request setting forth the facts concerning

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noncompliance with this subsection (j)(1). The Department's credentialing office will consider extreme hardship and other extenuating circumstances and determine if an extension should be granted on an individual basis. The extension shall not extend the time within which the subsequent year's training requirements must be received.

2) Ongoing Professional Development

A credentialed provider (including temporary) shall participate in a system of ongoing professional development that includes, at a minimum, a once a month face-to-face meeting with either an individual specialist-level credentialed provider of the same discipline, or a group, at least one of whom is a specialist-leveled credentialed provider of the same discipline, in order to facilitate best practices through case review. As of April 1, 2003, each provider shall submit an ongoing professional development plan with his/her initial and renewal credential application in a format provided by the Department, and shall also report ongoing professional development activities on an annual basis to the Department's credentialing office in a format provided by the Department. Service Coordinators and Parent Liaisons employed by regional intake entities shall participate in ongoing professional development experience as defined and implemented through a contractual agreement between the Department and the regional intake entity, instead of the requirement of this subsection (j)(2).

k) Restoration of Lapsed Credential

A credential that has lapsed for one year or less may be restored upon application proving the receipt of 10 hours of continuing professional education, as continuing professional education defined in subsection (j)(1), during the lapse period.

l) Enrollment

Credentialed providers (including temporary) must enroll with the Department in order to bill and receive payment for early intervention services. Enrollment requires entering into an agreement with the Department that establishes the duties, expectations, and relationships between the Department and the provider. Providers shall submit an enrollment application packet at the same time they submit an application for a credential. Providers credentialed as an "associate", as defined in Appendix C, are not required to enroll, but shall be supervised by a specialist who is credentialed and enrolled in the same discipline, as set forth in Appendix D, and who bills for the services provided by the associate level provider under his/her supervision and who receives directives and policy and procedural changes. Failure to receive Department payments, directives and

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policy and procedural changes, due to failure to comply with this subsection, shall not excuse compliance with those directives and changes.

- m) Change of Name or Address
Credentialed providers shall notify the Department's credentialing office of any change of name or address within 30 days prior to billing under the new name and/or address, or 30 days after such change, whichever comes first. Correct information is required for a provider to receive payment for services.
- n) Providers shall also enroll with the Department of Public Aid to become a Medicaid provider, simultaneously with EI enrollment.
- o) An individual applying for or renewing enrollment shall state whether he or she is also enrolled as a DSCC provider.
- p) Termination of Credential/Enrollment
 - 1) Credentialing/enrollment, as set forth in this Section, is not a license. Rights of credential and enrollment are set forth in the Service Provider Agreement. In addition to the provisions of this subsection (p), the Department may exercise any rights it has under the Service Provider Agreement to terminate the agreement.
 - 2) The following shall result in immediate automatic termination of a provider's credential and enrollment:
 - A) Failure to comply with the requirements of subsection (f) and/or (g) within the time period or within a Department-granted extension not exceeding the maximum extension time allowed.
 - B) Failure to successfully enroll in, exclusion from or termination from participation in Medicaid and/or other programs of federal or State agencies.
 - C) Lapse of credential/enrollment for over 1 year without complying with subsection (k) or failure to bill for services for more than 12 consecutive months.
 - D) Suspension or termination of the license and/or certification required for the service for which one is credentialed.
 - E) Failure to meet or maintain other credential and enrollment requirements set forth in this Section.
 - 3) The following shall also result in termination of a provider's credential and enrollment:
 - A) Failure to comply with provisions of this Part, or with Early Intervention Provider Agreements, or with other laws and regulations relevant to the services for which there is a credential.
 - B) Unprofessional conduct.

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- C) Complaints the Department has determined are founded and significant.
- D) Professional performance not consonant with recognized standard of care or adverse action of a professional society or other professional organization.
- E) Lack of timely cooperation regarding the submission of and adequacy of reports, the development of appropriate goals and objectives and the development of multidisciplinary treatment plans.
- F) Inappropriate billing practices.
- 4) The provider shall be notified of the date of termination and the reason, and shall help to transition clients to new providers. The provider may request an informal hearing, but the request shall not affect the termination date, which may proceed prior to the informal hearing. The request must be made within 30 days after the notice of the termination.
- 5) The provider may present relevant information, witnesses and evidence to the Secretary or his/her designee, in person or in writing. The Secretary or the designee will review the information presented and any supplemental investigation performed by the Department and issue a decision within 30 days after the hearing.
- 6) The decision of the Secretary or the designee shall be final.
- a) ~~An individual shall meet the pertinent licensing, degree and/or certification requirements for the service to be provided, as set by the Department. (See Appendix C.)~~
- b) ~~Qualified individuals must enroll with the Department in order to bill for early intervention services provided to eligible children. (See Appendix C.) The use of non-enrolled credentialed Associate Providers is set out in Appendix D.~~
- e) ~~Enrolled individuals must meet minimum requirements for continuing professional education as set forth by the Department. (See Appendix C.)~~
- d) ~~In order to serve Medicaid eligible children, the provider shall enroll with the Department of Public Aid to become a Medicaid provider.~~

(Source: Amended at 27 Ill. Reg. 2611, effective February 7, 2003)

SUBPART C: SERVICE DELIVERY REQUIREMENTS

Section 500.75 Eligibility Determination

- a) The service coordinator shall, with informed parental consent:

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- 1) Assist the family in developing an evaluation plan that lists testing activities needed to collect the information and the appropriate available enrolled providers chosen by the family to conduct the tests;
 - 2) Arrange for the evaluation plan to be implemented; and
 - 3) Obtain evaluation reports, including statements of evaluator findings related to the child's eligibility status and the child's functioning level, unique strengths and needs in the developmental areas tested and the services appropriate to meet those needs in all of the following five developmental domains:
 - A) cognitive development;
 - B) physical development, including vision and hearing;
 - C) language, speech and communication development;
 - D) social-emotional development; and
 - E) adaptive self-help skills development.
- b) Providers shall conduct authorized evaluations and provide reports to the service coordinator within at least four business days after the evaluation but prior to initial IFSP development.
- c) After sufficient information has been collected to determine eligibility status, the service coordinator shall ensure that eligibility is determined as set forth in Section 500.50, a multidisciplinary team, including the child's parent, service coordinator and members from two or more disciplines, has determined the child's eligibility status by consensus. Consensus may be reached without a team meeting (e.g., by teleconference or mail). Existing records and evaluation reports may be used to assist with the evaluation/assessment process. Evaluations/assessments used in the eligibility determination and/or IFSP development process must have been completed no more than six months prior to the child's eligibility determination and/or IFSP development.
- d) If ~~the multidisciplinary team determines that~~ the child is determined eligible, the service coordinator shall:
 - 1) Inform the parent in writing that the child was determined eligible; and
 - 2) Assure completion of further comprehensive evaluation/assessment activities with the family.
- e) If ~~the multidisciplinary team determines that~~ the child is determined ineligible not eligible, the service coordinator shall inform the parent in writing ~~that the child was determined ineligible~~ and shall close the case as set forth in Section 500.105. Written notice shall be consistent with the requirements of Section 500.165.
- f) With informed parental consent, the service coordinator shall notify the referral source in writing of the status of the referral.

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(Source: Amended at 27 Ill. Reg. 2611, effective February 7, 2003)

Section 500.80 Individualized Family Service Plan Development

- a) The service coordinator shall:
- 1) Review existing records to identify whether additional information is needed to determine the child's current health status and medical history and, if so, shall request the information upon receipt of informed parental consent.
 - 2) Review existing records and evaluation reports to identify whether additional information is needed to determine the child's functioning levels, unique strengths and needs and the services appropriate to meet those needs in the five developmental domains (cognitive development; physical development, including vision and hearing; communication development; social-emotional development; and adaptive self-help skills) and, if not, shall arrange for additional evaluation/assessment activities using methods described in Section 500.75.
 - 3) Assist the family in determining its resources, priorities and needs related to being able to enhance its child's development and the supports and services appropriate to meet those needs.
 - 4) Assist the family initially, and annually thereafter or more often as required by change of circumstances, in determining its ability to participate in the cost of services that are subject to family fees. The inability of a family to participate in the cost of services shall not result in the denial of services to the child or the child's family.
 - 5) At the point of early intervention intake, and again at any periodic review of eligibility thereafter or upon a change in family circumstances, collect ~~Collect~~ information regarding any and all public and private insurance under which the child's services may be covered.
 - 6) Explain to each family, orally and in writing, all of the following:
 - A) That the early intervention program will pay for all early intervention services set forth in the individualized family service plan that are not covered or paid under the family's public or private insurance plan or policy and not eligible for payment through any other third party payor.
 - B) That services will not be delayed due to any rules or restrictions under the family's insurance plan or policy.

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- C) That the family may request, with appropriate documentation supporting the request, a determination of an exemption from private insurance use under Section 13.25 of the Act.
 - D) That responsibility for co-payments or co-insurance under a family's private insurance plan or policy, but only to the extent that those payments plus the balance to be claimed do not exceed the current State rate for early intervention services, will be transferred to the lead agency's central billing office.
 - E) That families will be responsible for payments of family fees, which will be based on a sliding scale according to income, and that these fees are payable to the central billing office, and that if the family encounters a catastrophic circumstance, as defined under Section 500.130(g)(1), making it unable to pay the fees, the lead agency may, upon proof of inability to pay, waive the fees.
- b) The Department shall not pay for services listed on the IFSP that the Department is not required to fund. Early intervention funding is the payor of last resort for IFSP services that the Department is required to fund. When an application or a review of eligibility for EI services is made, and at any eligibility redetermination, or upon a change in family circumstances, the family shall be asked if it is currently enrolled in Medicaid, KidCare, or the Title V program administered by the University of Illinois Division of Specialized Care for Children (DSCC).
- 1) If the family is enrolled in any of these programs, that information shall be put on the IFSP and entered into the computerized case management system, and shall require that the IFSP of a child who has been found eligible for services through DSCC state that the child is enrolled in that program.
 - 2) For those programs in which the family is not enrolled, a preliminary eligibility screen shall be conducted simultaneously for medical assistance (Medicaid) under Article V of the Illinois Public Aid Code; children's health insurance program (KidCare) benefits under the Children's Health Insurance Program Act; and Title V maternal and child health services provided through DSCC.
 - 3) When a child is determined eligible for and enrolled in the EI program and has been found to at least meet the threshold income eligibility requirements for Medicaid or KidCare, complete a KidCare/Medicaid application with the family and forward it to the Illinois Department of Public Aid's KidCare Unit for a determination of eligibility.
- c) Prior to development of the initial or annual Individualized Family Service Plan, the service coordinator shall:

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- 1) Arrange for a meeting to be held, at a time and place convenient for the family, between the child's parent and other family members by parental request, the service coordinator, a person or persons directly involved in conducting the evaluations/assessments, potential service providers within the EI Service System as appropriate, and others, such as an advocate or person outside the family by parental request, to develop the Individualized Family Service Plan; and
 - 2) Provide reasonable prior written notice to the family and other participants of this meeting.
- ~~d)~~ ~~If an evaluator/assessor invited to the meeting cannot attend the meeting, the service coordinator shall make arrangements for the person's involvement through other means, including:~~
- ~~1) Participating in a telephone conference call;~~
 - ~~2) Having a knowledgeable authorized representative attend the meeting; or~~
 - ~~3) Making pertinent records, including reports and recommendations from the evaluators/assessors, available at the meeting.~~
- de) At the meeting to develop the Individualized Family Service Plan, the service coordinator shall:
- 1) Coordinate and participate in~~Facilitate~~ the meeting.;
 - 2) Ensure that the meeting is conducted in the parent's native language or mode of communication, unless it is clearly not feasible to do so, or that an interpreter is present to translate what is discussed.
 - 3) Seek a consensus by the multidisciplinary team regarding functional goals and objectives and an integrated plan to meet the goals and objectives set forth in subsection (e).
 - 4) If no consensus is reached, the service coordinator shall establish a Department approved service plan consistent with Department guidelines and reviewed by Department designated experts as set forth in subsection (f).
 - 5) Provide the parents with prior written notice, pursuant to Section 500.165, of the Department's proposed service plan. The parents may seek mediation or an impartial administrative resolution regarding other requested services.
- ef) The Individualized Family Service Plan must:
- 1) Be developed by a multidisciplinary team, including the service coordinator and the parent as set forth in subsection (g).
 - 2) Be based on a multidisciplinary assessment of the unique strengths and needs of the child and a family-directed assessment of resources, priorities and concerns of the family.

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- 3) Include services necessary to provide appropriate developmental benefits for the identified needs.
- 4) Include supports and services necessary to enhance the family's capacity to meet the identified developmental needs.
- ~~1) Be developed jointly by the family and appropriate qualified personnel involved in the provision of early intervention services.~~
- ~~2) Be based on the multidisciplinary evaluation/assessment of the child and the family evaluation.~~
- ~~3) Include services necessary to enhance the development of the child.~~
- ~~4) Include services necessary to enhance the capacity of the family to meet the developmental needs of the child.~~
- 5) State the natural environments in which services shall be appropriately provided and justification of why early intervention cannot be achieved satisfactorily in a natural environment if any services are to be provided elsewhere.
- 6) Include all components as required by the Department.
- 7) Provide a statement of the child's present developmental levels in the following areas, based on professionally acceptable objective criteria:
 - A) physical development, including vision and hearing;
 - B) cognitive development;
 - C) language, speech and communication development;
 - D) social or emotional development; and
 - E) adaptive self-help skills development.
- 8) Provide a statement of the family's resources, priorities and concerns related to enhancing the development of the child.
- 9) Provide a~~A~~ statement of the major outcomes expected to be achieved for the child and family, and the criteria, procedures and timelines used to determine:
 - A) The degree to which progress toward achieving the outcomes is being made; and
 - B) Whether modifications or revisions of the outcomes or services are necessary.
- 10) A statement of the specific EI-early intervention services to be provided~~necessary to meet the unique needs of the child and family to achieve the outcomes identified in this subsection (f)(10)~~, including:
 - A) The frequency and intensity for each service, meaning the number of times a service will be provided within a given period and the length of time the service will be provided during each session;

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- B) The method of delivering the services, meaning whether the service will be provided on a group or individual basis;
 - C) The location in which early intervention services will be provided, including whether the location would be considered a natural environment for the child and family, as described in subsection (e)(5); and
 - D) The projected beginning dates as soon as possible after development of the IFSP and the duration or ending dates of the services.
- 11) A statement of any other services, such as medical services, that the child needs but that are not required early intervention services. The statement should include the funding sources to be used in paying for those services or the steps that will be taken to secure those services through public or private sources. Routine medical services such as immunization or well child care do not need to be listed unless the child is not receiving those services and needs them.
- 12) The name of the service coordinator qualified to carry out all applicable responsibilities who will be responsible for implementation of the IFSP and coordination with other agencies and persons.
- 13) The steps to be taken to support the transition of the child to preschool services under Part B of IDEA to the extent that those services are considered appropriate or to other services that may be available, if appropriate. The steps include:
- A) Discussions with and training of parents regarding future placements and other matters related to the child's transition at age three years;
 - B) Procedures to prepare the child for changes in service delivery, including steps to help the child adjust to and function in a new setting; and
 - C) With informed parental consent, the transmission of information about the child to the local educational agency to ensure continuity of services, including evaluation information and copies of the IFSP.
- 14) State whether the family has private insurance coverage and, if the family has such coverage, attach a copy of the family's insurance identification card or otherwise include all of the following information:
- A) The name, address, and telephone number of the insurance carrier.
 - B) The contract number and policy number of the insurance plan.

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- C) The name, address, and social security number of the primary insured.
- D) The beginning date of the insurance benefit year.
- f) During and as part of the IFSP development, and any changes thereto, the multidisciplinary team regional intake entity shall consult Department developed therapy guidelines and Department designated experts, if any, to help determine appropriate services, and frequency and intensity of those services. Services ~~beyond those recommended~~ must be ~~sufficiently~~ justified by the multidisciplinary IFSP team in order to be included on the IFSP. ~~(The therapy guidelines shall become effective when finalized by the Department and distributed to the intake entities.)~~ If the multidisciplinary IFSP team ~~is developing an initial IFSP and it~~ recommends services different in nature or in frequency and duration than those recommended by the guidelines and experts, it must provide written justification for the services consistent with the philosophy therein. The guidelines are not intended to be caps on frequency and intensity but to express EI service philosophy and best practice parameters, and request review. ~~Services approved by the guidelines and experts may begin prior to the review of the justification for other requested services. If the other services requested are not approved, the family may request mediation or an impartial administrative resolution regarding the other services. If the team is reviewing an existing IFSP or a change in an IFSP, and the IFSP team recommends services other than those recommended by the guidelines and experts, the IFSP team must provide justification for the other services and request review. If the other services are not approved, the family will be given 10 days written prior notice pursuant to 20 USC 1439(a)(6) that the services as approved by the guidelines and experts will begin on a designated date. The family may request mediation or an impartial administrative resolution regarding the other requested services. If a request for administrative resolution or mediation is made, the child will continue to receive appropriate EI services currently being provided during the pendency of the proceeding, unless the Department and family agree otherwise.~~
- g) The contents of the IFSP shall be fully explained to the parents and informed written consent obtained prior to the provision of services. If the parents do not provide consent for a particular service, the EI services to which consent is obtained shall be provided.
- h) The service coordinator shall determine if an Interim Individualized Family Service Plan, as set forth in sections 303.322(e)(2) and 303.345 of Part C of IDEA, is needed to initiate partial services for an eligible child while intake is being completed. An Interim IFSP may be needed if some early intervention services have been determined to be needed immediately for the child or family.

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- i) If an Interim IFSP is needed, the service coordinator shall:
 - 1) Document the reasons an Interim IFSP is needed;
 - 2) Assist the family in determining its ability to participate in the cost of services that are subject to family fees;
 - 3) Complete the Department required IFSP form with the child's parent and with input from the multidisciplinary team members who recommended immediate services for the child and family;
 - 4) Arrange for the Interim IFSP to be implemented;
 - 5) Request service reports at the end of the Interim IFSP period and monitor provision of services; and
 - 6) Maintain the child's permanent and electronic record with the regional intake entity during the Interim IFSP period.
- j) The implementation of an Interim IFSP shall not be used to extend the 45 day intake period. A fee may be assessed for services subject to family fee if the family is assessed as having the ability to participate in the costs of its child's services.

(Source: Amended at 27 Ill. Reg. 2611, effective February 7, 2003)

Section 500.85 Individualized Family Service Plan Implementation

- a) Upon receiving informed written consent from the child's parent to implement the Individualized Family Service Plan, the service coordinator shall:
 - 1) Arrange for implementation of the IFSP utilizing available enrolled providers. Every effort shall be made to refer families eligible for DSCC services to DSCC-enrolled providers;
 - 2) Provide copies of the IFSP to each person the parent has consented to receive a copy, including each enrolled provider who is providing early intervention services to the child who is the subject of that plan;
 - 3) Request direct service reports and monitor provision of services; and
 - 4) Update and maintain the child's permanent and electronic record with the regional intake entity during the IFSP period.
- b) The parent has the right to accept or decline any or all services without jeopardy to other services under this Part as set forth in Section 500.155(c). Refusals of services or referrals shall be documented in writing.
- c) Providers shall render authorized services as indicated in the IFSP. They shall provide direct service reports to the service coordinator at least every six months and prior to each IFSP update/review or more often if the child's progress/lack of progress warrants.

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- d) The Illinois Early Intervention Services System is not responsible for funding early intervention services the parent seeks from providers not enrolled with the system unless an enrolled provider cannot be made available to the family. Services outside the System in such situations must be pre-approved by the Department.
- e) When a family's insurance coverage is through a managed care arrangement with a network of providers that includes one or more types of early intervention specialists who provide the services set forth in the family's IFSP, the family shall use those network providers, but only to the extent that:
- 1) the network provider is immediately available to receive the referral and to begin providing services to the child;
 - 2) the network provider is enrolled as a provider in the Illinois early intervention system and fully credentialed under the current policy or rule of the Department;
 - 3) the network provider can provide the services to the child in the manner required in the IFSP;
 - 4) the family would not have to travel more than an additional 15 miles or an additional 30 minutes to the network provider than it would have to travel to a non-network provider who is available to provide the same service; and
 - 5) the family's managed care plan does not allow for billing (even at a reduced rate or reduced percentage of the claim) for EI services provided by non-network providers.
- f) If a child has been receiving services from a non-network provider and the regional intake entity determines, at the time of enrollment in the EI program or at any point thereafter, that the family is enrolled in a managed care plan, the family shall transfer to a network provider within 45 days after that determination if all the requirements of subsection (e) have been met and the child is less than 26 months of age.
- g) If an exemption to use of insurance is granted, it shall be noted on the IFSP, and the family and the providers serving the family shall be notified in writing of the exemption.

(Source: Amended at 27 Ill. Reg. 2611, effective February 7, 2003)

Section 500.90 Individualized Family Service Plan Updating

- a) The IFSP shall be reviewed at ~~At~~ least every six months, or more frequently if conditions warrant or upon reasonable request of the child's parent ~~or a member of~~

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~~the multidisciplinary team, the service coordinator shall review the Individualized Family Service Plan with the child's parent and other appropriate participants.~~
The review may be carried out by a meeting with multidisciplinary team members ~~or by other reasonable means (e.g., by teleconference or mail).~~

- 1) The purpose of the review is to determine:
 - A) The degree to which progress toward achieving the outcomes is being made; and
 - B) Whether modification or revision of the outcomes, services or supports is necessary.
- 2) The service coordinator shall facilitate the review and implementation of any changes that are agreed upon by consensus of the multidisciplinary team and that are consistent with requirements of Section 500.80(f), ~~with~~ Upon informed parental consent, ~~and shall update~~ the child's permanent and electronic record shall be updated.
- b) Providers shall conduct authorized assessments using a Department approved test instrument (see Appendix B) as indicated on the IFSP as an ongoing process throughout the period of the child's eligibility and shall provide assessment reports to the service coordinator prior to IFSP updates/reviews.
- c) At least once a year, the service coordinator shall arrange for an annual IFSP meeting to evaluate and revise the IFSP for the child and the child's family. The results of any current evaluations and ongoing evaluations of the child and family must be used in determining what services are needed and shall be provided. The service coordinator shall facilitate development of the annual IFSP by conducting the activities outlined in Section 500.80.
- d) The service coordinator shall facilitate implementation of the annual IFSP by conducting the activities outlined in Section 500.85.

(Source: Amended at 27 Ill. Reg. 2611, effective February 7, 2003)

Section 500.115 Service Provider Requirements

Service providers shall:

- a) Not bill families for authorized early intervention services.
- b) Participate in evaluation/assessment activities and the development, review and revision of IFSPs in a timely and comprehensive manner, and provide early intervention services in a family centered, ethical and culturally competent manner. Family members are to be an integral part of service planning, the child's participation in early intervention services, and the outcomes identified in the IFSP.

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- c) Provide accurate services as set forth in the IFSP in a timely manner.
- d) Contact the service coordinator to request multidisciplinary team approval for proposed changes in the delivery of services to eligible children and to request parental consent prior to implementing any changes to services listed on the IFSP.
- e) Agree that they shall not bill or receive reimbursement from the Department's centralized billing system for services in excess of what is authorized in the IFSP.
- f) Agree not to terminate services for an eligible child without written notification to the child's service coordinator at least 30 days prior to the anticipated date of service termination.
- g) Meet and maintain all applicable standards and regulations for individual and program licensure, certification and credentialing. Comply with all applicable State and federal laws and regulations for physical facilities in which services are made available.
- h) Provide evaluation reports and direct service reports to the service coordinator as required by this Part and as necessary to the provision of EI services consistent with federal and State requirements.
- i) Submit invoice of charges for billable services following service delivery, according to Department billing requirements.
- j) Unless an exemption is granted to a family, bill ~~Bill~~ private insurance and/or any and all other third party payors before submitting invoices for EI reimbursement.
 - 1) Bill the child's insurance carrier for each unit of EI service for which coverage may be available.
 - 2) When the service is not exempted, providers who receive a denial of payment on the basis that the service is not covered under any circumstance under the plan are not required to bill that carrier for that service again until the following insurance benefit year. That explanation of benefits denying the claim, once submitted to the central billing office, shall be sufficient to meet the requirements of this subsection (j)(2) as to subsequent services billed under the same billing code provided to that child during that insurance benefit year.
 - 3) Any time limit on a provider's filing of a claim for payment with the central billing office that is imposed through a policy, procedure, or rule of the Department shall be suspended until the provider receives an explanation of benefits or other final determination of the claim it files with the child's insurance carrier.
 - 4) In all instances when an insurance carrier has been billed for EI services, whether paid in full, paid in part, or denied by the carrier, the provider must provide the central billing office, within 90 days after receipt, a copy of the explanation of benefits form and other required information.

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- 5) When the insurance carrier has denied the claim or paid an amount for the EI service billed that is less than the current State rate for EI services, the provider shall submit the explanation of benefits with a claim for payment, and the Department shall pay the provider the difference between the sum actually paid by the insurance carrier for each unit of service provided under the IFSP and the current State rate for EI services.
- 6) The State shall also pay the family's co-payment or co-insurance under its plan, but only to the extent that those payments plus the balance of the claim do not exceed the current State rate for EI services.
- 7) The provider may under no circumstances bill the family for the difference between its charge for services and that paid by the insurance carrier or by the State.
- k) Allow the Department to recoup money improperly submitted to provider by:
- 1) offset from future reimbursements, or
 - 2) submitting repayment in full or in installments negotiated with the Department.
- l) Participate in routine monitoring and supervision activities as set forth by the Department, including self-assessment, on-site monitoring, data collection and reporting obligations, record reviews, financial audits, complaint investigation, and consumer satisfaction surveys.
- m) Comply with any and all federal and State statutes and regulations, policies, guidelines, directives and procedures, including but not limited to those listed in Section 500.45(c)(13), and others that are applicable to the services being provided.
- n) Provide services and communications to clients in a language or mode of communication understood by the client. If necessary, interpreters may be used.
- o) Be knowledgeable about and inform families of their rights and procedural safeguards, including requirements as set forth in 20 USC 1439 and 34 CFR 303.400 et seq., and comply with those rights and procedural safeguard requirements.
- p) Make himself/herself available as required for administrative hearings, complaint proceedings or legal proceedings involving services under this Part.
- q) Assist as required in maintaining the child's EI record at the regional intake entity.
- r) The evaluators/assessors shall meet criteria as set forth in this Part.
- 1) Evaluators/assessors shall attend additional training as set forth by the Department and shall agree in writing to operate within the framework of the EI philosophy and best practices and guidelines, prior to being authorized to perform and bill for evaluations and assessments.

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- 2) In order to be paid for an evaluation/assessment, evaluators/assessors shall meet all deadlines for submitting evaluations/assessments as set forth in this Part and in the Early Intervention Provider Agreement.
- 3) Evaluators/assessors shall participate in the IFSP meeting, for which they will be reimbursed. The meeting shall be held within 45 days after the child is referred to the system, unless there is a delay over which they have no control.

(Source: Amended at 27 Ill. Reg. 2611, effective February 7, 2003)

SUBPART D: FINANCIAL MATTERS

Section 500.130 Family Fee/Insurance

- a) A statewide sliding fee schedule shall be established by the Department annually for direct EI services and assistive technology devices set forth in Section 500.55, except for those services that are required to be provided at no cost to families. (See Appendix A.)
- b) Each family's fee obligation shall be established annually. Family fees will be billed and collected in installments through the centralized billing system. Families shall not be required to pay more in annual fees than the cost value of EI ~~early intervention~~ services and assistive technology devices received during the year and paid by the EI system. At the written request of the family, the fee obligation shall be adjusted prospectively at any point during the year upon proof of change in family income or family size.
- c) Parents shall have their private insurance billed for services and devices.
- d) Medicaid, KidCare and WIC Program recipients shall not be charged an EI fee. Parents of children eligible for Medicaid shall enroll their children with Medicaid so Medicaid funds can be accessed for EI services and devices.
- e) No one shall be denied services based on inability to pay.
- f) Families with insurance coverage, whether public or private, shall incur no greater or less direct out-of-pocket expenses for EI services than families who are not insured.
- gf) Exemptions:
 - 1) A family may request exemption from the fee due to documentation of catastrophic circumstances or extraordinary expense, by showing either:
 - A) out-of-pocket medical expense in excess of 15% of gross income;
 - or

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- B) a disaster such as fire, flood, or tornado causing direct out-of-pocket loss in excess of 15% of gross income.
- 2) A family may request exemption from insurance use upon documentation showing a material risk of losing coverage because:
 - A) the insurance plan/policy covering the child is an individually purchased policy/plan purchased by a head of household who is not eligible for group medical insurance; or
 - B) the insurance plan/policy has a lifetime cap that applies to one or more specific types of early intervention services specified in the IFSP that coverage could be exhausted during the period covered by the service plan.
The exemption will only apply to the early intervention service and/or plan or policy for which there is a showing of material risk of loss of coverage.
- 3) Regional intake entities shall submit requests for exemptions to the Department on the day that they are received, and the Department or its designee shall decide within 10 business days whether to grant the exemption and notify the family.
- hg) A parent wishing to contest his/her family fee assessment may request mediation or an administrative resolution under Section 500.145 or 500.140. Such request shall be made as soon as possible but at least within 30 days after notice of the fee assessment.

(Source: Amended at 27 Ill. Reg. 2611, effective February 7, 2003)

Section 500.140 Administrative Resolution of Complaints By Parents

- a) The parents of a child between birth to 36 months or a public agency (as defined at 34 CFR 300.22 (2000)) may request an impartial administrative proceeding to resolve a dispute regarding the evaluation, identification, placement, delivery of services, or provision of appropriate services for their child (or if a public agency, for a child for whom it has responsibility).
- b) A request for an impartial administrative proceeding shall be made in writing to the Department at:

Chief
Bureau of Administrative Hearings
Illinois Department of Human Services
Harris Building

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100 S. Grand Avenue East – 3rd Floor
Springfield, Illinois 62762

With a copy to the regional intake entity and to:

Chief
Bureau of Early Intervention
Illinois Department of Human Services
623 East Adams – 2nd Floor
P.O. Box 19429
Springfield, Illinois 62794-9429

- c) The letter requesting the proceeding shall include:
- 1) the name, address, and telephone number of the child's parent, of the person making the request for the proceeding, if it is someone other than the child's parent, and of the child;
 - 2) the name of the child and the child's birthdate;
 - 3) a description ~~of~~ of the nature of the problem of the child relating to the proposed or refused initiation or change, including facts relating to the problem;
 - 4) authorization for release of the child's early intervention service records to the Department and the hearing officer;
 - 5) the remedy being sought or proposed resolution of the controversy to the extent known and available to the parents at the time;
 - 6) the primary language spoken by the parents;
 - 7) the service delivery agency and/or provider involved in the dispute; and
 - 8) evidence supporting the remedy or proposed resolution (i.e., IFSP, Family Resource Inventory, bill payment, etc.).
- The letter shall be confidential and only used for purposes of resolution of the dispute and as agreed to by the child's parents.
- d) Upon receipt of request for an impartial proceeding, parties involved in the dispute shall be offered the option of mediation as set forth in Section 500.145.
- e) During the pendency of any proceeding involving a complaint, unless the parent and the Department agree otherwise, the child must continue to receive the appropriate Part C EI services currently being provided. If the complaint involves application for initial Part C services, the child must receive those services that are not in dispute.
- f) The parent shall be informed of free or low cost legal and other related services available in the area if the parent requests that information or the parent or agency

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initiates a resolution under this Section. Regional intake entities shall maintain that information and make it available upon request or if a proceeding is initiated under this Section.

- g) Upon written request for an impartial proceeding, the Department shall appoint an impartial hearing officer. The Department shall maintain a list of hearing officers. An impartial hearing officer must:
 - 1) be licensed to practice law in Illinois;
 - 2) have knowledge about the provisions of IDEA Part C and the Illinois Early Intervention Services System Act, the needs of eligible children and their families, and services available to them under those statutes;
 - 3) not be an employee of the Department or a State educational agency, LEA or private service provider involved in the provision of early intervention services or care of the child;
 - 4) not have a personal or professional interest that would conflict with his/her objectivity in implementing the process.
- h) Complaints under this Part shall be submitted to the Department as soon as possible, but at least within three months after the complaint's knowledge of the disputed activity.
- i) Organizations and/or providers and/or individuals with whom the complainant has a dispute shall be parties to the proceeding as deemed necessary by the impartial hearing officer in order to resolve the dispute.
- j) Within five days after receiving written notification from the Department of Human Services, the appointed hearing officer shall contact the parties to determine a time and place reasonably convenient to the parties for a hearing and any pre-hearing conferences. The hearing officer shall provide the parties at least ten days' written notice of the dates, times, and locations of any pre-hearing conferences and of the hearing.
- k) The hearing officer may conduct a pre-hearing conference either in person or by telephone in order to narrow the issues, determine stipulations by the parties, exchange evidence and names of witnesses, and consider other matters that may aid in efficient disposition of the case. At the conclusion of the pre-hearing conference, the hearing officer will prepare a written report of the conference to be entered into the hearing record memorializing the discussion, any stipulations, and scheduling accommodations made for parties or witnesses.
- l) Any party to a hearing has a right to:
 - 1) be accompanied (at the party's expense) and advised by counsel and by individuals with special knowledge or training with respect to children with disabilities;

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- 2) present evidence and confront, cross-examine, and compel the attendance of witnesses;
 - 3) prohibit the introduction of any evidence at the proceeding that has not been disclosed to that party at least five days before the proceedings; and
 - 4) obtain a written or electronic verbatim record of the hearing.
- m) Parents involved in hearings must be given the right to:
- 1) obtain written findings of fact and decision within 45 days after receipt of the request for impartial resolution;
 - 2) have the child who is the subject of the hearing present; and
 - 3) open the hearing to the public (hearings shall be closed to the public unless the parent requests them to be open).
- n) As soon as possible, but at least five business days prior to the hearing, each party shall disclose to all other parties all evaluations completed by that date and recommendations based on those evaluations that the party intends to use at the hearing, as well as other evidence to be offered at hearing and other relevant documentation.
- o) The regional intake entity shall disclose the complete record of the child to the Department within five business days after receipt of the letter requesting a proceeding under this Section.
- p) The hearing officer may bar any party failing to comply with subsection (n) from introducing evidence at hearing that was not produced as required in subsection (n).
- q) The hearing officer is authorized to conduct the hearing, administer oaths, issue subpoenas to compel testimony or production of documents, rule on motions, grant continuances, call or examine witnesses, and take such other action as may be necessary to provide the parties with an opportunity to be heard fairly and expeditiously.
- r) At the hearing, the party requesting the administrative resolution has the burden of proceeding first and demonstrating by a preponderance of the evidence that the provision or proposed provision of EI services for the child violates Part C, the State Act, or this Part.
- s) Upon completion of the submission of evidence and testimony, parties shall be given a reasonable period of time to present written or oral arguments to complete the process within 45 days.
- ts) The hearing officer shall maintain and prepare a record of the proceeding and shall prepare written findings and a decision that shall be served upon the parties. The record shall contain the letter requesting the proceeding, evidence submitted at the hearing, a transcript or recording of the hearing, prehearing conference reports, motions, orders and all other material that is part of the record.

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- u) Any and all written findings and decisions shall be transmitted to the Illinois Interagency Council on Early Intervention and be made available to the public without personally identifying information.
- v) Either party may request a delay in convening the hearing and/or the pre-hearing conference for good cause. The party requesting the delay shall do so in writing to the hearing officer, with a copy served at the same time to all parties. The requesting party shall set forth the reasons for the request and the hearing officer shall, upon receiving the request, either grant or deny the request. The hearing officer shall contact the Department of Human Services with the date and place of the hearing and pre-hearing conference.
- w) Any party aggrieved by the findings and decision made in the hearing has a right to bring civil action in a State court of competent jurisdiction or in a district court of the United States regardless of the amount in controversy.

(Source: Amended at 27 Ill. Reg. 2611, effective February 7, 2003)

Section 500.APPENDIX C Requirements for Professional and Associate Level ~~Part C~~ Early Intervention (EI) Credentialing, ~~Continuing Education~~ and Enrollment to Bill

Nothing in this Appendix C shall exempt any individual from compliance with any and all State licensing requirements and/or supervisory requirements pertinent to the individual's delivery of services.

~~PART C~~ EI SERVICE

QUALIFIED STAFF

Assistive Technology

Durable medical equipment and supplies; providers may enroll to bill. No credential required.

Audiology, Aural Rehabilitation/Other Related Services

Audiologists with a current license in the state where they provide services to Illinois children may apply for an EI Specialist: Licensed Audiologist ~~pending~~ credential and enroll to bill. Additional training is required within 6-15 months after being issued a temporary credential for full credential status and continued enrollment.* (Provider is automatically enrolled under assistive technology and aural rehabilitation categories.)

Unlicensed individuals with a masters in audiology who are participating in a supervised professional experience may

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apply for an EI Associate: Audiologist in supervised professional experience credential. Associate services are billed under the enrolled supervisor's name. Additional training is required within 6 months after being issued a temporary credential for full associate credential status.*

Speech/Language Pathologists with a current license in the state where they provide services to Illinois children may apply for an EI Specialist: Licensed Speech/Language Pathologist ~~pending~~ credential and enroll to bill for aural rehabilitation services. Additional training is required within ~~6~~ 15 months after being issued a temporary credential for full credential status and continued enrollment.* (Provider is automatically enrolled under aural rehabilitation and speech therapy categories~~category~~.)

Unlicensed individuals with a masters in speech-language pathology who are participating in a supervised professional experience may apply for an EI Associate: Speech/Language Pathologist in supervised professional experience credential. Associate services are billed under the enrolled supervisor's name. Additional training is required within 6 months after being issued a temporary credential for full associate credential status.*

Unlicensed individuals employed by school districts as School Speech/Language Therapists who will only be providing services through their school employment may apply for an ~~EI Early Intervention~~ Specialist: School Speech/Language Therapist ~~pending~~ credential and enroll to bill for aural rehabilitation services. Additional training is required within ~~6~~ 15 months after being issued a temporary credential for full credential status and continued enrollment.* (Provider is automatically enrolled under aural rehabilitation and speech therapy categories~~category~~.)

Individuals with a current Special Education for Deaf and Hard of Hearing teaching certificate may apply for an EI Specialist: Developmental Therapist/Hearing ~~pending~~

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credential and enroll to bill for aural rehabilitation services. Additional training is required within 6 15 months after being issued a temporary credential for full credential status and continued enrollment.* May also provide Developmental Therapy Services. (Provider is automatically enrolled under aural rehabilitation category.)

Clinical Assessment,
Counseling, and Other
Therapeutic Services
~~Psychological and Other~~
~~Counseling Services~~

Clinical Psychologists with a current license in the state where they provide services to Illinois children may apply for an EI Specialist: Licensed Clinical Psychologist ~~pending~~ credential and enroll to bill. Additional training is required within 6 15 months after being issued a temporary credential for full credential status and continued enrollment.*

Clinical Professional Counselors with a current license in the state where they provide services to Illinois children may apply for an EI Specialist: Licensed Clinical Professional Counselor ~~pending~~ credential and enroll to bill. Additional training is required within 6 15 months after being issued a temporary credential for full credential status and continued enrollment.*

Marriage and Family Therapists with a current license in the state where they provide services to Illinois children may apply for an EI Specialist: Licensed Marriage and Family Therapist ~~pending~~ credential and enroll to bill. Additional training is required within 6 15 months after being issued a temporary credential for full credential status and continued enrollment.*

Clinical Social Workers with a current license in the state where they provide services to Illinois children may apply for an EI Specialist: Licensed Clinical Social Worker ~~pending~~ credential and enroll to bill. Additional training is required within 6 15 months after being issued a temporary credential for full credential status and continued enrollment.*

Unlicensed individuals employed by school districts as School Psychologists who will only be providing services

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through their school employment may apply for an EI Specialist: School Psychologist ~~pending~~ credential. Additional training is required within ~~6~~ 15 months after being issued a temporary credential for full credential status and continued enrollment.*

Graduate students in psychology who submit a letter from the graduate school verifying that they are providing psychological services in a supervised internship setting in order to complete a comprehensive, culminating training experience prior to granting of a graduate degree in psychology may apply for an EI Associate: Psychology Intern ~~pending~~ credential. Associate services are billed under the enrolled supervisor's name. Additional training is required within ~~6~~ 15 months after being issued a temporary credential for full associate credential status.*

Developmental Therapy

Individuals with an EI Specialist Developmental Therapist credential on January 1, 2004 or who are applying for an EI Specialist Developmental Therapist credential prior to January 1, 2004 must have ~~with~~ (1) a minimum of Teacher Endorsement in Early Childhood Education (ECE) or Special Education or ~~bachelors degree Bachelor's Degree~~ in ECE, Early Childhood Special Education, Special Education, or human service field with one year of experience working hands on with children birth to 3 with developmental disabilities (Persons with a degree in a human service field must submit proof of training on the use of a formal assessment tool that would allow the provider to perform global evaluations/assessments.); or (2) a current license in art, music, recreation, or other type of therapy, rehabilitative or habilitative in nature, in the state where they provide services to Illinois children may apply for credential renewal or, prior to January 1, 2004, may apply for an EI Specialist Developmental Therapist credential and enroll to bill. Additional training is required within 6 months after being issued a temporary credential for full credential status and continued enrollment. ; ~~may apply for an EI Specialist:~~

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~~Developmental Therapist pending credential and enroll to bill. Additional training is required with 15 months for full credential status and continued enrollment.*~~

Individuals who do not hold an EI Specialist Developmental Therapist credential on January 1, 2004 must have a bachelors degree or higher in Early Childhood Education (Type 04), Early Childhood Special Education (Type 04), Special Education (LBS-1 or LBS-2), Special Education: Deaf/Hard of Hearing or Blind/Partially Sighted (3-21), Child Development Elementary Education, Developmental Psychology, or Social Work and when applicable a teaching certificate; or with a bachelors degree or higher and a full specialist credential in the Early Intervention program; or a current license in art, music, recreation, or other type of therapy, rehabilitative or habilitative in nature, in the state where they provide services to Illinois children; and can document the completion of educational experiences as approved by the Department that include at least 2 semester college hours or the equivalent (30 clock hours or CEU credit hours) in each of the following EI core knowledge content areas: the Development of Young Children; Typical and Atypical; Working with Families of Young Children with Disabilities; Intervention Strategies for Young Children with Special Needs; and Assessment of Young Children with Special Needs; and can submit proof of training on the use of a formal assessment tool that would allow the provider to perform global evaluations/assessments may apply for an EI Specialist: Developmental Therapist credential and enroll to bill. Additional training is required within 6 months after being issued a temporary credential for full credential status and continued enrollment.*

An emergency waiver of educational requirements for developmental therapists may be applied for and must be accompanied by the recommendation of a regional intake entity manager documenting the need for developmental therapy services in the service area. A bachelors degree or higher is required. If approved, the resulting temporary

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credential will be reviewed at 6-month intervals for a maximum of 18 months. A training plan toward qualification for full credential status must be submitted with the emergency waiver application. Additional training is required within 6 months for continued enrollment.*

Individuals with a current Special Education for Deaf and Hard of Hearing teaching certificate may apply for an EI Specialist: Developmental Therapist/Hearing ~~pending~~ credential and enroll to bill. Additional training is required within ~~6 15~~ months after being issued a temporary credential for full credential status and continued enrollment.* They may also provide aural rehabilitation services based on their qualifications and experience. (Provider is automatically enrolled under aural rehabilitation category.)

Individuals with (1) a ~~bachelors~~ Bachelor's degree or higher in Orientation and Mobility or (2) a current Special Education for Blind and Partially Seeing teaching certificate may apply for an EI Specialist: Developmental Therapist/Vision ~~pending~~ credential and enroll to bill. Additional training is required within ~~6 15~~ months after being issued a temporary credential for full credential status and continued enrollment.* They may provide Developmental and/or Vision Therapy services related to visual functioning based on their qualifications and experience. (Provider is automatically enrolled under the vision category.)

Individuals with an ~~associates degree~~ Associate's Degree in early childhood education or child development who have may apply for an EI Associate: Developmental Therapy Assistant ~~temporary pending~~ credential on July 1, 2003 may apply for full associate credential status if additional training requirements are met.* No other new temporary or full associate credentials for Developmental Therapy Assistants will be issued. Individuals who have an associate credential will be allowed to submit an application to have their credential renewed no more than two times after July 1, 2003. Associate services are billed under the enrolled supervisor's

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name. ~~Additional training is required within 15 months for full associate credential status.*~~

Evaluation/Assessment

Individuals with a current Early Intervention Specialist credential and who also meet all the following requirements may apply for an Evaluation/Assessment credential:

Documentation of a minimum of three years (full time equivalent) pediatric experience within the Early Intervention Specialist credentialed discipline is required with no less than 20% of that experience related to infants and toddlers between birth and three years of age or the equivalent, with a minimum of one year (full time equivalent) pediatric experience within the Early Intervention Specialist credentialed discipline with no less than 60% of that experience related to infants and toddlers;

Documentation of a minimum of six months pediatric post degree supervision;

Demonstration of competency in using and interpreting a variety of approved assessment tools related to his/her discipline by participating in evaluator specific training;

Demonstration of past work as a member of a service team and agreement to work with the service coordinator, other evaluators, and the family as an effective team member;

Agreement to participate in IFSP meetings as specified in this Part;

Agreement to perform evaluation/assessments and present recommendations thereon, that are consistent with DHS therapy guidelines and early intervention philosophy, and to provide adequate justification for recommendations;

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Agreement to participate in routine quality assurance and/or early intervention monitoring activities conducted by the Department or its Designee, or the U.S. Department of Education, Office of Special Education Programs;

Agreement to comply with all applicable federal and/or State laws, rules, regulations, policies, provider agreement and procedure and guidelines;

Documentation of attendance at Evaluation/Assessment training as required and provided by the Department.

The expiration date of an Evaluation/Assessment credential will coincide with the Early Intervention Specialist discipline specific credential. Renewal of the Evaluation/Assessment credential is contingent on the successful renewal of the Early Intervention Specialist discipline specific credential.

Family Training and Support

Individuals with a high school diploma or equivalent who are the parent or guardian of a child with special needs and are employed by an entity such as an agency or hospital that provides early intervention services as a Parent Liaison may apply for an EI Parent Liaison ~~pending~~ credential and enroll to bill. Completion of Parent Liaison Training is required within 90 days ~~15 months~~ after being issued a temporary credential for full credential status and continued enrollment.

Individuals who are bilingual or an interpreter for the deaf may enroll to bill as an interpreter. Upon application for enrollment, the bilingual applicant must identify the languages for which he/she is applying to interpret and his/her proficiency in reading, writing or speaking the languages and submit 2 letters of professional reference from non-family members attesting to the applicant's competency in the specified language areas. Interpreters for the deaf must meet the requirements set forth in 225 ILCS 442. Additional

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training is required within 6 months for continued enrollment.* Interpreters are not required to obtain a credential.

Deaf adults who have been certified by Hearing and Vision Connections as a language mentor for the deaf may enroll to bill. Language mentors are not required to obtain a credential

Health Consultation

Physicians with a current license in the state where they provide services to Illinois children may enroll to bill. Physicians are not required to obtain a credential.

Medical Services
(Diagnostic/Evaluation
Purposes Only)

Physicians with a current license in the state where they provide services to Illinois children may enroll to bill. Physicians are not required to obtain a credential.

Individuals on the physician's service team should refer to the service area appropriate to their discipline for credentialing requirements.

Nursing

Registered Nurses with a current license in the state where they provide services to Illinois children may apply for an EI Specialist: Licensed Registered Nurse ~~pending~~ credential and enroll to bill. Additional training is required within ~~6~~ 15 months after being issued a temporary credential for full credential status and continued enrollment.* (Provider is automatically enrolled under nutrition category.)

Nutrition

~~Licensed Registered~~ Dietitians with a current license in the state where they provide services to Illinois children may apply for an EI Specialist: Licensed ~~Registered~~ Dietitian ~~pending~~ credential and enroll to bill. Additional training is required within ~~6~~ 15 months after being issued a temporary credential for full credential status and continued enrollment.*

~~Licensed Registered~~ Nutrition Counselors with a current license in the state where they provide EI services to Illinois children may apply for an EI Specialist: Licensed ~~Registered~~ Nutrition Counselor ~~pending~~ credential and enroll to bill.

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Additional training is required within ~~6~~ **15** months after being issued a temporary credential for full credential status and continued enrollment.*

Registered Nurses with a current license in the state where they provide services to Illinois children may apply for an EI Specialist: Licensed Registered Nurse ~~pending~~ credential and enroll to bill. Additional training is required within ~~6~~ **15** months after being issued a temporary credential for full credential status and continued enrollment.*

Occupational Therapy

Occupational Therapists with a current license in the state where they provide services to Illinois children may apply for an EI Specialist: Licensed Occupational Therapist ~~pending~~ credential and enroll to bill. Additional training is required within ~~6~~ **15** months after being issued a temporary credential for full credential status and continued enrollment.*

Certified Occupational Therapy Assistants with a current license ~~or Occupational Therapists with a temporary license~~ in the state where they provide services to Illinois children may apply for an EI Associate: Licensed Certified Occupational Therapy Assistant ~~pending~~ credential. Associate services are billed under the enrolled supervisor's name. Additional training is required within ~~6~~ **15** months after being issued a temporary credential for full associate credential status.*

Physical Therapy

Physical Therapists with a current license in the state where they provide Part C EI service to Illinois children may apply for an EI Specialist: Licensed Physical Therapist ~~pending~~ credential and enroll to bill. Additional training is required within ~~6~~ **15** months after being issued a temporary credential for full associate credential status.*

Physical Therapy Assistants with a current license ~~or Physical Therapists with a temporary license~~ in the state where they provide services to Illinois children may apply for an EI Associate: Licensed Physical Therapy Assistant ~~pending~~ credential. Associate services are billed under the enrolled

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supervisor's name. Additional training is required within 6 15 months after being issued a temporary credential for full associate credential status.*

Service Coordination

Individuals with an EI Service Coordination credential on January 1, 2003 and: (1) an EI Specialist credential of any type, (2) a bachelors degree or higher in human services, behavioral science, social science or health related field, (3) a current license as a Registered Nurse, (4) current employment as a service coordinator in a Family Case Management Agency, or (5) an associates degree in human services, education, behavioral science, social science, or health related field plus 2 years of experience working with children birth to 5 to provide intervention services or service coordination in a community agency serving children and families, may apply for renewal of their credential.

Individuals who do not hold an EI Coordination credential on January 1, 2003 and with a bachelors degree or higher in human services, behavioral science, social science or health related field or a current license as a Registered Nurse may apply for an EI Service Coordination Credential and enroll as an employee of a Child and Family Connections office. Additional training is required within 90 days after being issued a temporary credential for full credential status and continued enrollment. Individuals with: (1) an EI Specialist credential of any type, (2) a Bachelor's Degree or higher in a human services, behavioral science, social science or health related field, (3) a current license as a Registered Nurse, (4) current employment as a service coordinator in a Family Case Management Agency, or (5) an Associate's Degree in a human services, education, behavioral science, social science or health related field plus 2 years of experience working with children birth to 5 to provide intervention services or service coordination in a community agency serving children and families, may apply for an EI Service Coordinator pending credential and enroll as an employee of a Child and Family Connections office. Completion of EI Service Coordination Training is required within 15 months for full credential status

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~~Social Work and Other
Counseling Services~~~~and continued enrollment.~~~~Clinical Social Workers with a current license in the state where they provide services to Illinois children may apply for an EI Specialist: Licensed Clinical Social Worker pending credential and enroll to bill. Additional training is required with 15 months for full credential status and continued enrollment.*~~Social Services

Social Workers with a current license in the state where they provide services to Illinois children may apply for an EI Specialist: Licensed Social Worker ~~pending~~ credential and enroll to bill. Additional training is required within ~~6 15~~ months after being issued a temporary credential for full credential status and continued enrollment.* ~~Licensed Social Workers may not engage in the practice of clinical social work, social casework or social group work in private practice or as a participant in a private group practice without a clinical social work license (see 225 ILCS 20/10.2).~~

Professional Counselors with a current license in the state where they provide services to Illinois children may apply for an EI Specialist: Licensed Professional Counselor ~~pending~~ credential and enroll to bill. Additional training is required within ~~6 15~~ months after being issued a temporary credential for full credential status and continued enrollment.* ~~In private practice, a Licensed Professional Counselor must practice at all times under the order, control, and full professional responsibility of a Licensed Clinical Professional Counselor, A Licensed Clinical Social Worker or a Licensed Clinical Psychologist as defined in Section 1-121 of the Mental Health and Developmental Disabilities Code (see 225 ILCS 107/20).~~

Registered Nurses/Advanced Practice Nurses who are ~~masters~~ Master's prepared Psychiatric-Mental Health Clinical Nurse Specialists with a current license in the state where they provide services may apply for an EI Specialist: Licensed Registered Nurse/Advanced Practice Nurse credential and enroll to bill. Additional training is required within ~~6 15~~ months after being issued a temporary credential for full

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associate credential status.* The Advanced Practice Nurse must provide a collaborative agreement with a collaborating physician who provides services to children birth to 3. The written collaborative agreement shall describe the working relationship of the Advanced Practice Nurse with the collaborating physician and shall authorize the categories of care, treatment, or procedures to be performed by the Advanced Practice Nurse, including early intervention services to be provided.

Unlicensed individuals employed by school districts as School Social Workers who will only be providing services through their school employment may apply for an EI Specialist: School Social Worker ~~pending~~ credential and enroll to bill. Additional training is required within ~~6~~ **15** months after being issued a temporary credential for full credential status and continued enrollment.*

Graduate students in social work who submit a letter from their graduate school verifying that they are providing social work services in a supervised internship setting in order to complete a comprehensive, culminating training experience prior to granting of a graduate degree in social work may apply for an EI Associate: Social Work Intern ~~pending~~ credential. Associate services are billed under the enrolled supervisor's name. Additional training is required within ~~6~~ **15** months after being issued a temporary credential for full associate credential status.*

Speech Therapy

Speech/Language Pathologists with a current license in the state where they provide services to Illinois children may apply for an EI Specialist: Licensed Speech/Language Pathologist ~~pending~~ credential and enroll to bill. Additional training is required within ~~6~~ **15** months after being issued a temporary credential for full credential status and continued enrollment.* (Provider is automatically enrolled under aural rehabilitation and speech therapy categories.)

Unlicensed individuals employed by school districts as

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School Speech/Language Therapists who will only be providing services through their school employment may apply for an EI Specialist: School Speech/Language Therapist ~~pending~~ credential and enroll to bill. Additional training is required within ~~6~~ 15 months after being issued a temporary credential for full credential status and continued enrollment.*

Unlicensed individuals with a masters in speech-language pathology who are participating in a supervised professional experience may apply for an EI Associate: Speech/Language Pathologist in supervised professional experience credential. Associate services are billed under the enrolled supervisor's name. Additional training is required within 6 months for full associate credential status.*

Speech/Language Pathology Assistants with a current license in the state where they provide services to Illinois children ~~Unlicensed individuals with a Bachelor's Degree or higher in Speech/Language Pathology~~ may apply for an EI Associate: Speech/Language Therapy Assistant ~~pending~~ credential. Associate services are billed under the enrolled supervisor's name. Additional training is required within ~~6~~ 15 months after being issued a temporary credential for full associate credential status.*

Transportation

Individuals with an appropriate vehicle registration number, insurance and current driver's license may enroll to bill. Not required to obtain a credential.

Vision

Optometrists or Ophthalmologists with a current license in the state where they provide services to Illinois children may enroll to bill. Not required to obtain a credential.

Individuals with (1) a ~~bachelors~~ Bachelor's degree or higher in Orientation and Mobility or (2) a current Special Education for Blind and Partially Seeing teaching certificate may apply for an EI Specialist: Developmental Therapist/Vision ~~pending~~ credential and enroll to bill. Additional training is

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required within ~~6~~ 15 months after being issued a temporary credential for full credential status and continued enrollment.* They may provide Developmental and/or Vision Therapy services related to visual functioning based on their qualification and experience.

* See Section 500.60(f) for additional training requirements.

~~*Additional Training Requirements for EI Specialists and EI Associates:~~

Complete Within 15 Months for Full Credential Status and Continued Enrollment to Bill:

- ~~1) Illinois Early Intervention System Training, and~~
- ~~2)~~
 - ~~a) 12 hours of training covering two or more of the following topics, or~~
 - ~~b) 240 hours experience working with children birth to 5 to provide intervention services confirmed by written verification of an administrator plus 6 hours of training covering one or more of the following topics:~~
 - ~~• Child Development~~
 - ~~• Assessment~~
 - ~~• Intervention~~
 - ~~• Teaming~~
 - ~~• Families~~

~~Training in the above topics must focus on working with infants and toddlers under 3 years of age who have developmental delays or developmental disabilities and/or with their families.~~

Subsequent Credential Maintenance Requirements for All EI Credential Types:

~~Maintain licensure/certification if required for credential plus complete at least 12 hours of training during each 2 year period after reaching full credential status. Training must focus on working with infants and toddlers under 3 years of age who have developmental delays or developmental disabilities and/or with their families.~~

(Source: Amended at 27 Ill. Reg. 2611, effective February 7, 2003)

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Nothing in this Appendix D shall exempt any individual from compliance with any and all State licensing requirements and/or supervisory requirements pertinent to the individual's delivery of services.

In order to enlist the widest pool of qualified service providers, the EI System will support the appropriate use of credentialed, non-enrolled associate level providers who function under the following guidelines and whose services are billed for by their credentialed, enrolled supervisor.

GUIDELINES

Each credentialed associate level provider shall be supervised by a specialist credentialed/enrolled in the same discipline. (Appendix C identifies the requirements for professional and associate level credentialing and enrollment.)

- 1) The credentialed/enrolled specialist shall:
 - a) evaluate/assess the child, develop the plan for intervention services required to accomplish Service Plan outcomes and submit evaluation/assessment report prior to Service Plan development/update/review;
 - b) instruct the associate level provider about the intervention services to be provided;
 - c) reassess the child as determined by the child's Service Plan and any licensure requirement for the enrolled specialist or associate level staff at least prior to each Service Plan update/review;
 - d) revise the intervention activities as needed;
 - e) approve all methods and materials selected to implement the intervention plan;
 - f) for each child to which an associate level provider provides intervention services, conduct direct supervision during client services at a minimum of once each month; ~~consult at least bi-weekly with the associate level provider;~~
 - g) submit direct service report prior to each Service Plan update/review and more often if the child's progress/lack of progress warrants;
 - h) submit bills for services provided by the associate level provider;
 - i) participate in Service Plan development/update/review; and
 - j) follow supervision requirements as set forth in his/her licensure or other certification standards.

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- 2) The credentialed associate level provider shall:
 - a) provide services only as instructed by the supervising specialist;
 - b) record all early intervention services provided;
 - c) report all changes in child's condition to the supervising specialist;
 - d) check authorization to make sure the associate is identified in the comment field as the provider of direct service under the supervisor; and
 - e) if the associate's name does not appear in the comment field of the authorization, contact the child's service coordinator to correct the oversight.

- 3) The credentialed associate level Audiologists and Speech/Language Pathologist in his/her supervised professional experience ~~Clinical Fellowship Year (CFY)~~ shall:
 - a) provide services under the supervision of a specialist who is credentialed/enrolled in the same discipline;
 - b) provide services consistent with the Illinois Speech/Language Pathology and Audiology Practice Act that includes evaluation/assessment and service plan development; and
 - c) follow the guidelines as listed in (1) and (2) above, except the restriction in (1)(a) that does not allow the associate to provide evaluation/assessment or service plan development.

NOTE: Supervisory time is non-billable time and is considered to be administrative time that is part of the rate paid.

(Source: Amended at 27 Ill. Reg. 2611, effective February 7, 2003)

DEPARTMENT OF PROFESSIONAL REGULATION

NOTICE OF ADOPTED AMENDMENTS

- 1) Heading of the Part: Optometric Practice Act of 1987
- 2) Code Citation: 68 Ill. Adm. Code 1320
- 3) Section Numbers: Adopted Action:

1320.20	Amendment
1320.35	New Section
1320.50	Amendment
1320.70	Amendment
1320.80	Amendment
1320.90	Amendment
1320.95	Amendment
1320.100	Amendment
1320.400	Amendment
1320.420	Amendment
1320.430	Amendment
- 4) Statutory Authority: Illinois Optometric Practice Act of 1987 [225 ILCS 80].
- 5) Effective Date of Amendments: January 31, 2003
- 6) Does this rulemaking contain an automatic repeal date? No
- 7) Do these Amendments contain incorporations by reference? No
- 8) A copy of the adopted amendment, including any material incorporated by reference, is on file in the agency's principal office and is available for public inspection.
- 9) Date Notice of Proposal Published in Illinois Register: April 19, 2002, at 26 Ill. Reg. 5671.
- 10) Has JCAR issued a Statement of Objections to these Rules? No
- 11) Difference(s) between proposal and final version: In Section 1300.90, the number of years that an optometrist must keep records for minimum eye examinations was changed from 7 to 6 (currently 3 years).

DEPARTMENT OF PROFESSIONAL REGULATION

NOTICE OF ADOPTED AMENDMENTS

- 12) Have all the changes agreed upon by the Agency and JCAR been made as indicated in the agreement letter issued by JCAR? Yes
- 13) Will these Amendments replace Emergency Amendments currently in effect? No
- 14) Are there any Amendments pending on this Part? No
- 15) Summary and Purpose of Amendments: Public Act 92-451 provides for limited residency licenses for optometrists; Section 1320.35 implements this provision. Section 1320.55 has been amended to require current CPR certification as a condition of renewal. Section 1320.90 has been amended to require optometrists to keep records for minimum eye examinations for 6 years rather than 3 years, and Section 1320.95 has been amended to include blood pressure measuring devices as mandatory equipment in an optometry office. Various technical and clean-up changes are also included.
- 16) Information and questions regarding these Adopted Amendments shall be directed to:

Department of Professional Regulation
Attention: Barb Smith
320 West Washington, 3rd Floor
Springfield, Illinois 62786
217/785-0813 Fax: 217/782-7645

The full text of the Adopted Amendments begins on the next page:

DEPARTMENT OF PROFESSIONAL REGULATION

NOTICE OF ADOPTED AMENDMENTS

TITLE 68: PROFESSIONS AND OCCUPATIONS
CHAPTER VII: DEPARTMENT OF PROFESSIONAL REGULATION
SUBCHAPTER b: PROFESSIONS AND OCCUPATIONSPART 1320
OPTOMETRIC PRACTICE ACT OF 1987

SUBPART A: OPTOMETRY

Section	
1320.20	Approved Programs of Optometry
1320.30	Application for Licensure
<u>1320.35</u>	<u>Application for a Limited Residency License</u>
1320.40	Examinations
1320.45	Fees (Emergency Expired)
1320.50	Endorsement
1320.55	Renewals (Renumbered)
1320.60	Inactive Status
1320.70	Restoration
1320.80	Continuing Education
1320.90	Minimum Eye Examination
1320.95	Minimum Equipment List
1320.100	Practice of Optometry
1320.110	Advertising
1320.120	Granting Variances (Renumbered)

SUBPART B: DIAGNOSTIC TOPICAL OCULAR PHARMACEUTICALS

Section	
1320.200	Standards
1320.210	Application for Diagnostic Certification
1320.220	Approved Diagnostic Topical Ocular Pharmacological Training
1320.230	Approved Diagnostic Topical Ocular Pharmaceutical Agents Pursuant to Section 15.1 of the Act
1320.240	Restoration of Diagnostic Certification
1320.250	Endorsement of Diagnostic Certification
1320.260	Renewal of Certification (Repealed)
1320.270	Display of Certification (Repealed)

DEPARTMENT OF PROFESSIONAL REGULATION

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SUBPART C: THERAPEUTIC OCULAR PHARMACEUTICAL AGENTS

Section

1320.300	Definitions and Standards
1320.310	Application for Therapeutic Certification
1320.315	Controlled Substance License Requirement
1320.320	Approved Therapeutic Ocular Training
1320.330	Approved Therapeutic Ocular Pharmaceutical Agents Pursuant to Section 15.1 of the Act
1320.340	Restoration of Therapeutic Certification
1320.350	Endorsement of Therapeutic Certification

SUBPART D: GENERAL

Section

1320.400	Fees
1320.410	Ancillary Licenses
1320.420	Renewals
1320.430	Granting Variances

AUTHORITY: Implementing the Illinois Optometric Practice Act of 1987 [225 ILCS 80] and authorized by Section 2105-15(7) of the Civil Administrative Code of Illinois [20 ILCS 2105/2105-15(7)].

SOURCE: Adopted at 5 Ill. Reg. 5869, effective June 1, 1981; codified at 5 Ill. Reg. 11046; emergency amendment at 6 Ill. Reg. 916, effective January 6, 1982, for a maximum of 150 days; emergency amendment at 6 Ill. Reg. 2273, effective January 29, 1982, for a maximum of 150 days; amended at 6 Ill. Reg. 7448, effective June 15, 1982; amended at 6 Ill. Reg. 10032, effective August 1, 1982; amended at 9 Ill. Reg. 1092, effective January 11, 1985; amended at 10 Ill. Reg. 7340, effective April 16, 1986; transferred from Chapter I, 68 Ill. Adm. Code 320 (Department of Registration and Education) to Chapter VII, 68 Ill. Adm. Code 1320 (Department of Professional Regulation) pursuant to P.A. 85-225 effective January 1, 1988, at 12 Ill. Reg. 1821; emergency amendment at 12 Ill. Reg. 1925, effective January 1, 1988, for a maximum of 150 days; emergency expired May 30, 1988; amended at 12 Ill. Reg. 11447, effective June 27, 1988; amended at 13 Ill. Reg. 6994, effective April 25, 1989; amended at 14 Ill. Reg. 14128, effective August 15, 1990; amended at 17 Ill. Reg. 18096, effective October 4, 1993; amended at 17 Ill. Reg. 21501, effective December 1, 1993; amended at 19 Ill. Reg. 17150, effective December 19, 1995; amended at 20 Ill. Reg. 9068, effective July 1, 1996;

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amended at 21 Ill. Reg. 16040, effective November 24, 1997; amended at 23 Ill. Reg. 5744, effective April 30, 1999; amended at 24 Ill. Reg. 3656, effective February 15, 2000; amended at 27 Ill. Reg. 2677, effective January 31, 2003.

SUBPART A: OPTOMETRY

Section 1320.20 Approved Programs of Optometry

- a) The Department of Professional Regulation (the Department) shall, upon the recommendation of the Illinois Optometric Licensing and Disciplinary Board Committee (the Board Committee), approve an optometry program if it meets the following minimum criteria:
- 1) The educational institution is legally recognized and authorized by the jurisdiction in which it is located to confer the Doctor of Optometry degree.
 - 2) Has a faculty that comprises a sufficient number of full-time instructors to make certain that the educational obligations to the students are fulfilled. The faculty must have demonstrated competence in their area of teaching as evidenced by appropriate degrees from professional colleges or institutions.
 - 3) Has a curriculum of at least the following subject areas:
 - Basic Science, including Anatomy, Physiology and Biochemistry
 - Practical Optics
 - Anatomy and Physiology of the Eye
 - Pathology of the Eye
 - Physiological Optics
 - Theoretical Optometry
 - Practical Optometry
 - Clinical Optometry
 - Theory and Practice of Contact Lens Fitting
 - Pharmacology
 - Diagnosis, treatment and management of ocular disease and recognition of systemic diseases with ocular symptoms
 - 4) Has a course of study of 4 academic years above the undergraduate level.
 - 5) Maintains permanent student records that summarize the credentials for admission, attendance, grades and other records of performance.

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- b) In determining whether a school or college should be approved, the Department shall take into consideration but not be bound by accreditation by the Council on Optometric Education.
- c) Procedures taught in schools and colleges of optometry approved by the Department that are considered for approval in the practice of optometry shall be adopted pursuant to rule or regulation by the Department upon recommendation of the Board Committee. Before adoption of such rules or regulations, the Board Committee shall first evaluate the procedure in accordance with criteria it has previously adopted. Furthermore, the Board Committee shall specify training and demonstration of competency required before an optometrist may perform such procedures. In any event, the Department, upon recommendation of the Board Committee, has determined that surgery, including surgery performed with a laser, is not an optometric procedure.
- d) Program Evaluation
 - 1) An applicant from an optometry program that has not been evaluated will be requested by the Department to provide documentation concerning the criteria in this Section.
 - 2) Once the Department has received the documentation or after 6 months have elapsed from the date of application, whichever comes first, the Board Committee will evaluate the program based on all documentation received from the school and any additional information the Department has received which it deems to be reliable.
- e) Withdrawal of Approval
 - 1) The Director may, upon a written recommendation submitted by the Board Committee, withdraw, suspend or place on probation the approval of an optometry program when the quality of the program has been materially affected by any of the following causes:
 - A) Gross or repeated violations of any provision of the Illinois Optometric Practice Act of 1987 [225 ILCS 80] (the Act);
 - B) Gross or repeated violations of any of this Part;
 - C) Fraud or dishonesty in furnishing documentation for evaluation of the optometry program; or
 - D) Failure to continue to meet the established criteria of an approved optometry program as set out in this Section.
 - 2) An optometry program whose approval is being reconsidered by the Department shall be given written notice prior to any recommendation by the Board Committee and the officials in charge may either submit written comments or request a hearing before the Board Committee in accordance with 68 Ill. Adm. Code 1110.

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- f) The Department, upon the recommendation of the Board Committee, has determined that optometry programs accredited by the Council on Optometric Education as of January 1, 1996, meet the minimum criteria set forth in subsection (a) ~~above~~ and are, therefore, approved.

(Source: Amended at 27 Ill. Reg. 2677 effective January 31, 2003)

Section 1320.35 Application for a Limited Residency License

Pursuant to Section 12 of the Act, an individual may apply for a one year limited residency license to practice optometry in a residency program approved by the Board. The application shall include:

- a) Certification of graduation from a 4-year optometry graduate level program approved by the Department in accordance with Section 1320.20;
- b) Certification of passage of the National Board of Examiners in Optometry (NBEO) examinations as set forth in Section 1320.40. The applicant shall have the examination scores submitted to the Department directly from NBEO;
- c) A complete work history since graduation from an optometry program;
- d) Proof that the applicant will be accepted or appointed to a position in a residency program that is approved by the Board and the dates during which the applicant will be in the program;
- e) Certification of licensure from all United States jurisdictions in which the applicant has ever been licensed, if applicable, stating:
 - 1) The time during which the applicant was licensed in that jurisdiction, including the date of original issuance of the license;
 - 2) A description of the licensure examination in that jurisdiction;
 - 3) Whether the file on the applicant contains any record of disciplinary actions taken or pending; and
- f) The required fee set forth in Section 1320.400 of this Part.

(Source: Added at 27 Ill. Reg. 2677 effective January 31, 2003)

Section 1320.50 Endorsement

- a) An applicant who is licensed under the laws of another jurisdiction shall file an application with the Department together with:
 - 1) Certification of graduation from an optometry program approved by the Department in accordance with Section 1320.20;
 - 2) Certification of licensure from all jurisdictions in which the applicant has ever been licensed, stating:

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- A) The time during which the applicant was licensed in that jurisdiction, including the date of the original issuance of the license;
 - B) A description of the licensure examination in that jurisdiction;
 - C) Whether the records of the licensing entity contains any record of disciplinary actions taken or pending against the applicant;
- 3) Certification of passage of Part I and Part II, including passage of the Treatment and Management of Ocular Disease (TMOD) section after January 1, 1996, of the National Board of Examiners in Optometry (NBEO) examination, by NBEO standards;
 - 4) Certification of passage of Part III of the examination administered by NBEO, by NBEO standards, or a comprehensive practical examination administered in another jurisdiction equivalent to the comprehensive practical examination administered by the Department prior to July 1991;
 - 5) A complete work history since graduation from an optometry program; and
 - 6) The required fee as set forth in Section 1320.400.
- b) The Department shall examine each endorsement application to determine whether the requirements in the jurisdiction at the date of licensure were substantially equivalent to the requirements then in force in this State. The applicant may be required to submit a copy of the Act and rules in effect at the time of original licensure. If an applicant has taken a licensure examination other than Part I and Part II of the National Board prior to 1970, the examination and results will be required by the Board Committee to determine that substantially equivalent requirements have been met. The Department shall within a reasonable time either issue a license by endorsement to the applicant or notify him/her of the reasons for the denial of the application.
 - c) The Department may, in individual cases, upon recommendation of the Board Committee, in accordance with Section 12 of the Act, waive the comprehensive practical examination for an applicant for endorsement, after full consideration of his/her optometric education, training and experience, including, but not limited to, whether he/she has achieved special honors or awards, has had articles published in professional journals, has participated in writing textbooks relating to optometry, and any other attribute which the Board Committee accepts as evidence that such applicant has outstanding and proven ability in optometry.

(Source: Amended at 27 Ill. Reg. 2677 effective January 31, 2003)

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Section 1320.70 Restoration

- a) A licensee seeking restoration of a license after it has expired or been placed on inactive status for more than 3 years shall file an application, on forms supplied by the Department, together with:
 - 1) Proof of current certification in cardiopulmonary resuscitation and completion of the continuing education requirements ~~24 continuing education (CE) hours~~ during the 2 years prior to restoration in accordance with Section 1320.80 of this Part. Acceptable proof of completion shall be in the form of certificates of attendance provided by sponsors of approved continuing education programs; and
 - 2) The proper fees, either:
 - A) The restoration fees ~~fee(s)~~, when restoring an expired license, specified in Section 1320.400(c)(1) of this Part; or
 - B) The renewal fees ~~fee(s)~~, when restoring an inactive license, specified in Section 1320.400(b)(1) of this Part.
- b) In addition to satisfying the requirements of subsection (a) above, the licensee shall also submit either:
 - 1) Sworn evidence of active practice in another jurisdiction. The evidence shall include a statement from the appropriate board or licensing authority in the other jurisdiction that the licensee was authorized to practice during the term of said active practice;
 - 2) An affidavit attesting to military service as provided in Section 16 of the Act. If application is made within 2 years of discharge, and if all other provisions of Section 16 of the Act are satisfied, the applicant will not be required to pay a restoration fee or any lapsed renewal fees;
 - 3) Evidence of other education or experience acceptable to the Department of the licensee's fitness to have the certificate restored. Such evidence shall be reviewed on a case by case basis by the Board ~~Committee~~; or
 - 4) Certification of passage of Part III of the examination administered by NBEO, by NBEO standards. The Board ~~Committee~~ may, in its discretion and in individual cases, make a recommendation to the Director for the waiver of the clinical skills examination or Part III of the examination in accordance with Section 11 of the Act based on quality of education, training and experience including, but not limited to, special honors and awards, articles published in optometry journals, writing or participation in the writing of textbooks in optometry or any other circumstances or attribute which the Board ~~Committee~~ accepts as evidence that such applicant has outstanding and proven ability in optometry.

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- c) A licensee seeking restoration of a license that has expired or been on inactive status for less than 3 years, or has been placed in nonrenewed status for failure to comply with continuing education (CE) requirements shall file an application on forms provided by the Department, together with:
- 1) Proof of current certification in cardiopulmonary resuscitation and completion of 24 the continuing education (CE) requirements hours during the 2 years prior to restoration in accordance with Section 1320.80 of this Part. Acceptable proof of completion shall be in the form of certificates of attendance provided by sponsors of approved continuing education programs; and
 - 2) The restoration fees fee(s) specified in Section 1320.400 of this Part. For the purpose of restoring from inactive status the Department shall consider that no renewal fees have lapsed during the period of inactive status.
- d) Any person seeking restoration of a license within 2 years after discharge from military service pursuant to Section 12 of the Act will be required to pay only the current renewal fee and will not be required to submit proof of meeting the continuing education requirements.
- e) When the accuracy of any submitted documentation or the relevance or sufficiency of the course work or experience is reasonably questioned by the Department because of a lack of information, discrepancies or conflicts in information given, or a need for clarification, the licensee seeking restoration of the license will be requested to:
- 1) Provide such information as may be necessary; and/or
 - 2) Appear for interviews interview(s) before the Board Committee when the information available to the Board Committee is insufficient to evaluate the individual's current competency to practice under the Act. Upon the recommendation of the Board Committee, and approval by the Department, an applicant shall have the license restored.

(Source: Amended at 27 Ill. Reg. 2677 effective January 31, 2003)

Section 1320.80 Continuing Education

- a) Continuing Education Hour Requirements
- 1) Every renewal applicant shall complete 24 hours of Continuing Education (CE) relevant to the practice of optometry required during each prerenewal period. A prerenewal period is the 24 months preceding March 31 in the year of the renewal. For the March 31, 1998 renewal and every renewal thereafter, optometrists who hold certification for therapeutic ocular

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pharmaceuticals shall, in addition to the 24 hours of CE, complete 6 hours of certified CE in the treatment of ocular disease during the prerenewal period as set forth in subsection (b)(3).

- 2) A CE hour equals 50 minutes. After completion of the initial CE hour, credit may be given in one-half hour increments.
 - 3) A renewal applicant is not required to comply with CE requirements for the first renewal following the original issuance of the license.
 - 4) Optometrists licensed in Illinois but residing and practicing in other states must comply with the CE requirements set forth in this Section.
- b) Approved Continuing Education
- 1) All continuing education hours must be earned by verified attendance at or participation in a program that ~~which~~ is offered by an approved continuing education sponsor who meets the requirements set forth in subsection (c).
 - 2) For the March 31, 1992, renewal and every renewal thereafter, as part of the 24 hours of required continuing education, each licensee shall complete during each prerenewal period at least 6 hours of credit which is certified by an approved optometry college in accordance with Section 1320.20 of this Part, osteopathic or medical college or university pursuant to the Medical Practice Act of 1987 [225 ILCS 60], or a pharmacy college pursuant to the Pharmacy Practice Act [225 ILCS 85].
 - A) Each certified course shall include at least 2 hours of actual course presentation and shall include the successful completion of a post-course evaluation of the attendee's understanding of the course material. No additional credit may be given for the required post-course evaluation.
 - i) The post-course evaluation may be taken on-site immediately following the course presentation. An examination distributed on-site shall not be removed from the site.
 - ii) The post-course evaluation may be a correspondence evaluation mailed to the attendee and returned to the provider. The sponsor shall not distribute a post-course evaluation at the site.
 - iii) At the sponsor's discretion, the attendee may be allowed one retake of a failed post-course evaluation in order to receive credit as certified continuing education.
 - B) Licensees who attend a certified education course without passage of a post-course evaluation may apply actual course hours toward

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- fulfillment of the additional continuing education requirements as set forth in subsections (b)(1) and (b)(3).
- C) Any approved continuing education sponsor may offer, in conjunction with the above-referenced college or university, a certified course. Effective April 1, 1996, certified continuing education shall not be provided, sponsored, co-sponsored or in any way be supported or financially underwritten by a CE sponsor or others who may receive patient referrals from those in attendance. Approved optometry programs in subsection (b)(2) are not deemed in violation of this Section. Nothing in this Section shall prohibit the listing of courses in a professional journal or newsletter or prevent an approved school, college or university from certifying a course.
- D) Transcript quality continuing education courses shall be deemed equivalent to the certified courses if they meet the requirements set forth in subsection (b)(2)(A)-~~above~~.
- E) Continuing education sponsors shall state in their course materials the type of post-course evaluation which will be given and whether the applicant will be allowed to retake the evaluation.
- F) Certified continuing education courses shall be courses in which the attendees are in actual attendance. No self instruction or correspondence courses shall be considered certified continuing education courses.
- 3) Six hours of certified CE courses in the treatment of ocular disease are required for licensees who are certified for therapeutic ocular pharmaceuticals in addition to the 24 CE hours required to renew an optometry license.
- A) The certified therapeutic CE courses shall meet the same requirements set forth in subsection (b)(2)-~~above~~.
- B) An optometrist who has completed the 120 hour therapeutic training set forth in Section 1320.300 during the prerenewal period will be considered to have met the CE requirements for that renewal period.
- 4) Eighteen hours of CE credit may be earned as follows (not accepted for certified CE):
- A) A maximum of 12 hours per prerenewal period for papers prepared and delivered before recognized optometric organizations, papers published in nationally recognized optometric journals, or a chapter in a book of optometry, each appropriately verified.

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- B) A maximum of 12 hours per prerenewal period for verified teaching of students at an optometry school approved by the Department, or practicing optometrists in CE programs approved by the Department. One hour of teaching at an optometry school approved by the Department is equal to one hour of continuing education.
 - C) A maximum of ~~4~~ 2 hours per prerenewal period for verified self-instruction or video teleconferencing that is sponsored or co-sponsored ~~co-sponsored~~ by any previously approved optometry college, institution or national, ~~State~~ state or local optometry association.
 - D) A maximum of 4 hours per prerenewal period for courses in practice management ~~that~~ which includes business management.
 - E) A maximum of 2 hours of continuing education in cardiopulmonary resuscitation certified by the American Red Cross, American Heart Association, an Illinois licensed hospital or an approved medical or optometric institution may be earned per prerenewal period.
- ~~5) For only one prerenewal period for the duration of an optometry license in Illinois, a licensee may take a 4 hour certified continuing education course in cardiopulmonary resuscitation to satisfy 4 of the 6 hours of certified continuing education required in subsection (b)(2) above.~~
- ~~5)6)~~ Continuing education credit hours used to satisfy the CE requirements of another state may be submitted for approval for fulfillment of the CE requirements of the State of Illinois.
- ~~6)7)~~ Credit shall not be given for courses taken in Illinois from unapproved sponsors except for a CPR course in accordance with Section 1320.80(b)(4)(E).
- c) Continuing Education Sponsors and Programs
- 1) Sponsor, as used in this Section, shall mean a person, firm, association, corporation, or any other group that has been approved and authorized by the Department upon the recommendation of the Optometric Licensing and Disciplinary Board to coordinate and present continuing education courses or programs.
 - 2) A sponsor shall file a sponsor application, along with the required fee set forth in Section 1320.400(a)(6), which includes:
 - A) Certification:
 - i) That all courses and programs offered by the sponsor for CE credit will comply with the criteria in this Section;

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- ii) That the sponsor will be responsible for verifying attendance at each course or program and for providing a certificate of completion as set forth in subsection (b);
 - iii) That upon request by the Department, the sponsor will submit such evidence as is necessary to establish compliance with this Section;
 - iv) That each sponsor shall submit to the Department a written notice of a course offering 30 days prior to the course date. The notice shall include the description, location, date and time of the course to be offered;
- B) A history and the experience of the sponsor as an educational provider;
 - C) A copy of a sample program with faculty, course materials and syllabi;
 - D) The name and address of the contact person responsible for all recordkeeping; and
 - E) A list of all principals of the organization applying for a sponsor license.
- 3) Each sponsor shall submit by March 31 of each even numbered year a sponsor application along with the required fee set forth in Section 1320.400(b)(3) of this Part. With the application, the sponsor shall be required to submit to the Department a list of all courses and programs offered in the prerenewal period, which includes a description, location, date and time the course was offered.
- 4) All courses and programs shall:
- A) Contribute to the advancement, extension and enhancement of professional clinical skills and scientific knowledge in the practice of optometry;
 - B) Provide experiences that contain scientific integrity, relevant subject matter and course materials; and
 - C) Be developed and presented by persons with education and/or experience in subject matter of the program.
- 5) The tuition fees charged for programs conducted by approved sponsors shall be reasonable and directly related to the sponsor's actual expense in conducting the programs.
- 6) All programs given by approved sponsors shall be open to all licensed optometrists and not be limited to the members of a single organization or group and shall specify the number of CE hours and categories that may be applied toward Illinois CE requirements for licensure renewal.

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- 7) Certificate of Attendance
- A) It shall be the responsibility of the sponsor to provide each participant in a program with a certificate of attendance signed by the sponsor. The sponsor's certificate of attendance shall contain:
- i) The name, sponsor number and address of the sponsor;
 - ii) The name of the participant and his/her optometry license number;
 - iii) A detailed statement of the subject matter;
 - iv) The number of hours actually attended in each topic;
 - v) The date of the program;
 - vi) Whether the course qualifies for certified continuing education and if the post-course evaluation was passed or failed.
- B) The sponsor shall maintain these records for not less than 5 years. These records shall include all test materials utilized for certified courses.
- 8) The sponsor shall be responsible for assuring verified continued attendance at each program. No renewal applicant shall receive CE credit for time not actually spent attending the program.
- 9) Upon the failure of a sponsor to comply with any of the foregoing requirements, the Department, after notice to the sponsor and hearing before and recommendation by the Board (see 68 Ill. Adm. Code 1110), shall thereafter refuse to accept for CE credit attendance at or participation in any of that sponsor's CE programs until such time as the Department receives reasonably satisfactory assurances of compliance with this Section.
- d) Continuing Education Earned in Other States
- 1) If a licensee has earned CE hours in another jurisdiction for which he/she will be ~~requesting~~ **claiming** credit toward full compliance in Illinois, the applicant shall submit an out of state CE approval form along with a \$20 processing fee within ~~prior to the program or~~ 90 days prior to ~~or after~~ the course but in no way later than 90 days prior to the end of the renewal period~~the expiration of the optometry license~~. The Board shall review and recommend approval or disapproval of this program using the criteria set forth in this Section.
- 2) If the licensee fails to submit an out of state CE approval form within the required 90 days, late approval may be obtained by submitting the application along with the \$20 processing fee plus a \$50 per hour late fee

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- not to exceed \$300. The Board shall review and recommend approval or disapproval of this program using the criteria set forth in this Section.
- 3) The Board has determined that the Council on Optometric Practitioner Education (C.O.P.E.) approved courses are acceptable for out of state continuing education. If a licensee attends an out of state C.O.P.E. approved course, the licensee will not be required to submit the out of state CE approval form and the \$20 processing fee.
- e) Certification of Compliance with CE Requirements
- 1) Each renewal applicant shall certify, on the renewal application, full compliance with CE requirements set forth in subsection (a) ~~above~~.
 - 2) The Department may require additional evidence demonstrating compliance with the CE requirements. It is the responsibility of each renewal applicant to retain or otherwise produce evidence of such compliance.
 - 3) When there appears to be a lack of compliance with CE requirements, an applicant will be notified and may request an interview with the Board, at which time the Board may recommend that steps be taken to begin formal disciplinary proceedings as required by Section 10-65 of the Illinois Administrative Procedure Act [5 ILCS 100/10-65].
- f) Waiver of CE Requirements
- 1) Any renewal applicant seeking renewal of his/her license without having fully complied with these CE requirements shall file with the Department a renewal application, the renewal fee set forth in Section 1320.400(b)(1), a statement setting forth the facts (including time frames) concerning ~~the such~~ non-compliance, and a request for waiver of the CE requirements on the basis of ~~the such~~ facts. If the Department, upon the written recommendation of the Board, finds from such affidavit or any other evidence submitted, that good cause has been shown for granting a waiver, the Department shall waive enforcement of ~~the such~~ requirements for the renewal period for which the applicant has applied.
 - 2) Good cause shall be defined as an inability to devote sufficient hours to fulfilling the CE requirements during the applicable prerenewal period because of:
 - A) Full time service in the armed forces of the United States of America during a substantial part of such period; or
 - B) Extreme hardship, which shall be determined on an individual basis by the Board and shall be limited to documentation of:
 - i) An incapacitating illness documented by a currently licensed physician,

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- ii) A physical inability to travel to the sites of approved programs, or
 - iii) Any other similar extenuating circumstances.
- 3) If an interview with the Board is requested at the time the request for ~~the~~ ~~such~~ waiver is filed with the Department, the renewal applicant shall be given at least 20 days written notice of the date, time and place of ~~the~~ ~~such~~ interview by certified mail, return receipt requested.
 - 4) Any renewal applicant who submits a request for waiver pursuant to subsection (f)(1) of this Section shall be deemed to be in good standing until the Department's final decision on the application has been made.

(Source: Amended at 27 Ill. Reg. 2677 effective January 31, 2003)

Section 1320.90 Minimum Eye Examination

In the absence of good clinical reasons to the contrary as documented in the record, the following minimum examination shall be performed and findings recorded by an optometrist, and he/she shall keep a record ~~thereof~~ for a period of ~~6~~ ~~3~~ years:

- a) Complete case history.
- b) Visual acuity at distance.
 - 1) Unaided (mono plus binocular).
 - 2) Last prescription or habitual prescription (mono plus binocular).
- c) External examination, including pupil reactivity.
- d) Internal examination (ophthalmoscopic examination).
- e) Retinoscopy.
- f) Refractive status.
 - 1) Subjective refraction to best visual acuity at distance.
 - 2) Subjective refraction at near.
- g) Measurement of binocularity: including vergences, phoric and accommodative ability.
- h) Color vision screening.
- i) Glaucoma screening including tonometry.

(Source: Amended at 27 Ill. Reg. 2677 effective January 31, 2003)

Section 1320.95 Minimum Equipment List

Each office in which the practice of optometry is conducted shall contain, in good working condition, the following minimum equipment:

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- a) Ophthalmoscope
- b) Retinoscope or its equivalent
- c) Tonometer
- d) Visual Field Testing Device
- e) Color Vision Testing Device
- f) Keratometer or its equivalent
- g) Biomicroscope
- h) Lenses for subjective testing
- i) Blood Pressure Measuring Device

(Source: Amended at 27 Ill. Reg. 2677 effective January 31, 2003)

Section 1320.100 Practice of Optometry

- a) The practice of optometry as defined in Section 3 of the Act shall include, but not be limited to, the following functions:
 - 1) Prescribing and fitting of any ophthalmic lenses including contact lenses.
 - 2) Retinoscopy.
 - 3) Tonometry.
 - 4) Keratometry.
 - 5) Subjective lens testing.
 - 6) Phoria testing.
 - 7) Biomicroscopy.
 - 8) Ophthalmoscopy.
 - 9) Electronic or computerized examination techniques that utilize devices that perform any of the above functions.
 - 10) Visual screening.
 - 11) Diagnosis and treatment of any ocular abnormality, disease or visual or muscular anomaly of the human eye or visual system.
- b) Visual Screening
 - 1) Nothing in this Section shall prohibit visual screening conducted without a fee other than a voluntary donation by a charitable organization or governmental agency, acting in the public welfare under the supervision of a committee composed of persons licensed by the State to practice optometry or medicine in all of its branches.
 - 2) Visual screening is defined as a limited series of ocular observations, measurements or tests provided without a fee to determine if a complete eye examination, as described in Section 1320.90, by a licensed optometrist or a physician licensed to practice medicine in all of its

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- branches, is recommended.
- 3) When a visual screening is performed, the recipient of the screening shall be clearly informed in writing of the following:
 - A) Results and limitations of the screening;
 - B) That the screening is not representative of or a substitute for an eye exam;
 - C) That the screening will not result in a prescription for visual correction;
 - D) That visual screening referral criteria for a complete eye examination must meet accepted optometric professional standards criteria; and
 - E) The name and address of the charitable organization sponsoring the screening and the chairperson of the supervisory committee.
 - 4) A copy of the screening results shall be maintained for 3 years by the chairperson of the supervisory committee or the optometrist performing the screening.
- c) No ophthalmic lenses, prisms, or contact lenses may be sold or delivered to an individual without a prescription signed by a licensed optometrist or a physician licensed to practice medicine in all of its branches.
- d) The following acts shall not be performed by an individual not licensed in this State as an optometrist or to practice medicine in all of its branches except while acting under the direct supervision of a person so licensed:
- 1) Conducting or performing examinations of the human eye or its appendages employing either objective or subjective means, or both for the purpose of adapting ~~contact~~ lenses to the eyes of any person;
 - 2) Using instruments or appliances of any type to determine the curvatures of the eye or of the cornea of any person for the purpose of ordering or supplying contact lenses for the ~~such~~ person;
 - 3) Determining, selecting or specifying the lens characteristics or the lens curvatures of contact lenses to be supplied to any person;
 - 4) Converting, altering, or varying in any manner a prescription for contact lenses prepared by an optometrist or a person licensed to practice medicine in all its branches in this State;
 - 5) Converting, altering, or varying in any manner a prescription for spectacles prepared by an optometrist or a person licensed to practice medicine in all of its branches in this State, including ~~for the purpose of converting a spectacle~~ ~~such~~ prescription ~~for spectacles~~ into a prescription for contact lenses;
 - 6) Inserting, removing, adjusting or adapting contact lenses for the purpose

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- of selecting, specifying or furnishing contact lenses for use by any person;
- 7) Conducting or performing any examination of the human eye or its appendages employing either objective or subjective means or both for the purpose of determining the effects ~~that~~ ~~which~~ may have resulted from wearing contact lenses by any person;
 - 8) Where a person has been provided with contact lenses pursuant to a prescription by an optometrist or a person licensed to practice medicine in all of its branches in this State, adjusting, adapting or changing the lens characteristics or the lens curvatures of ~~the~~ ~~such~~ contact lens in any manner whatsoever;
 - 9) Advertising, representing or informing the general public by any means, including, but not limited to, display advertising in newspapers and telephone directories within the State of Illinois, that he/she will fit or adapt contact lenses for the use of any person.
- e) Direct supervision of any person assisting an optometrist means:
- 1) The optometrist personally performs those procedures requiring professional judgment. Professional judgment requires that the optometrist shall perform those procedures for the diagnosis and treatment of anomalies of the eye, adnexa, and the visual system, including for example, but not limited to, biomicroscopy, ophthalmoscopy, all therapeutic procedures and the prescribing of any ophthalmic lenses, including contact lenses.
 - 2) The optometrist shall specify all procedures to be performed by the assistant.
 - 3) The optometrist is present in the facility while the assistant performs the procedure (does not mean that the optometrist must be present with the patient while the specified procedures are being performed).
 - 4) The optometrist approves the results of the procedures performed by the assistant before dismissal of the patient.
- f) Requirements for the minimum eye exam as outlined in Section 1320.90 are still applicable and are not changed or altered by the ~~above~~-provisions of this Section.

(Source: Amended at 27 Ill. Reg. 2677 effective January 31, 2003)

SUBPART D: GENERAL

Section 1320.400 Fees

- a) Application fees.

DEPARTMENT OF PROFESSIONAL REGULATION

NOTICE OF ADOPTED AMENDMENTS

- 1) The fee for application for an original license as an optometrist is \$500. This fee includes the optometry license, diagnostic certification and therapeutic certification.
 - 2) The fee for currently licensed optometrists applying for both diagnostic certification and therapeutic certification is \$50. The fee for currently licensed optometrists applying for a diagnostic certification is \$50. The fee for currently licensed optometrists applying for a therapeutic certification is \$50.
 - 3) The fee for application for an ancillary optometric license is \$50 per location. This fee includes any certifications held by the licensed optometrist.
 - 4) Applicants for any examination shall be required to pay, either to the Department or its designated testing service, a fee covering the cost of determining the applicant's eligibility and providing the examination.
 - 5) The fee for application for licensure of a person licensed as an optometrist in another jurisdiction is \$500.
 - 6) The fee for a sponsor of continuing education is \$500.
 - 7) The fee for an optometry residency one year license shall be \$100.
- b) Renewal Fees
- 1) The fee for renewal of an optometrist license is \$200 per year. The fee includes renewal of the diagnostic and therapeutic certifications.
 - 2) The fee for renewal of an ancillary optometry license is \$25 per year for each location. This fee includes ancillary diagnostic and therapeutic certifications.
 - 3) The fee for renewal as a sponsor of continuing education is \$250 per year.
- c) General Fees
- 1) The fee for restoration of a license other than from inactive status is \$50 plus payment of all lapsed renewal fees. For the purposes of restoring from inactive status, the Department shall consider that no renewal fees have lapsed during the period of inactive status.
 - 2) The fee for issuance of a duplicate license or certificate or for the issuance of a replacement license for a license which has been lost or destroyed is \$20 ~~\$50~~.
 - 3) The fee for the issuance of a license with a change of name or address other than during the renewal period is \$20 ~~\$50~~.
 - 4) The fee for the certification of a license for any purpose is \$20 ~~\$50~~.
 - 5) The fee for a wall certificate showing licensure is the actual cost of producing the license.

DEPARTMENT OF PROFESSIONAL REGULATION

NOTICE OF ADOPTED AMENDMENTS

- 6) The fee for a roster of persons licensed under the Act is the actual cost of producing the roster.

(Source: Amended at 27 Ill. Reg. 2677 effective January 31, 2003)

Section 1320.420 Renewals

- a) Every license issued under the Act shall expire on March 31 of each even numbered year. The holder of a license may renew such license during the month preceding the expiration date of the license ~~thereof~~ by paying the required fee and completion of continuing education requirements set forth in Section 1320.80 and attest to current certification in cardiopulmonary resuscitation (CPR).
- b) It is the responsibility of each licensee to notify the Department of any change of address. Failure to receive a renewal form from the Department shall not constitute an excuse for failure to pay the renewal fee and to renew the license in a timely manner.
- c) Practicing after a license has expired shall be considered the unlicensed practice of optometry and subject to discipline pursuant to Section 24 of the Act.

(Source: Amended at 27 Ill. Reg. 2677 effective January 31, 2003)

Section 1320.430 Granting Variances

- a) The Director may grant variances from this Part in individual cases where he/she finds that:
 - 1) The provision from which the variance is granted is not statutorily mandated;
 - 2) No party will be injured by the granting of the variance; and
 - 3) The rule from which the variance is granted would, in the particular case, be unreasonable or unnecessarily burdensome.
- b) The Director shall notify the Optometry Examining and Disciplinary Board ~~Committee~~ of the granting of the such variance, and the reasons for the variance ~~therefor~~, at the next meeting of the Board ~~Committee~~.

(Source: Amended at 27 Ill. Reg. 2677 effective January 31, 2003)

ILLINOIS DEPARTMENT OF REVENUE

NOTICE OF ADOPTED AMENDMENTS

- 1) Heading of the Part: Senior Citizens and Disabled Persons Property Tax Relief and Pharmaceutical Assistance Act
- 2) Code Citation: 86 Ill. Adm. Code 530
- 3)

<u>Section Numbers:</u>	<u>Adopted Action:</u>
530.105	Amendment
530.205	Amendment
530.305	Amendment
530.330	Amendment
- 4) Statutory Authority: 320 ILCS 25/1 through 13
- 5) Effective Date of Amendment(s): January 31, 2003
- 6) Does this rulemaking contain an automatic repeal date? No
- 7) Does this amendment contain incorporations by reference? No
- 8) A copy of the adopted amendment, including any material incorporated by reference, is on file in the agency's principal office and is available for public inspection.
- 9) Notice of Proposal Published in Illinois Register:
26 Ill. Reg. 15274, 10/25/02
- 10) Has JCAR issued a Statement of Objections to these Amendments? No
- 11) Differences between proposal and final version: The only changes made were the ones agreed upon with JCAR. The changes made were grammar and punctuation or technical. No substantive changes were made.
- 12) Have all the changes agreed upon by the agency and JCAR been made as indicated in the agreement letter issued by JCAR? Yes
- 13) Will this amendment replace an emergency amendment currently in effect? No
- 14) Are there any amendments pending on this Part? No

ILLINOIS DEPARTMENT OF REVENUE

NOTICE OF ADOPTED AMENDMENTS

- 15) Summary and Purpose of Amendment(s): Deletes reference to form names for IDOR Form No. IL-1363, Application for Circuit Breaker and Pharmaceutical Assistance, and IDOR Form No. 1363-X, Amended Application for Circuit Breaker and Pharmaceutical Assistance. Form names are being revised because these applications will also now be used to request prescription coverage under SeniorCare.
- 16) Information and questions regarding this adopted amendment shall be directed to:
- Karen Alice Kloppe
Illinois Department of Revenue
Legal Services Office
101 West Jefferson
Springfield, Illinois 62794
Phone: (217) 782-2844
- 16) The full text of the Adopted Amendment begins on the next page:

ILLINOIS DEPARTMENT OF REVENUE

NOTICE OF ADOPTED AMENDMENTS

TITLE 86: REVENUE
CHAPTER I: DEPARTMENT OF REVENUE

PART 530

SENIOR CITIZENS AND DISABLED PERSONS PROPERTY TAX RELIEF AND
PHARMACEUTICAL ASSISTANCE ACT

SUBPART A: PHARMACEUTICAL ASSISTANCE PROGRAM

Section

530.101	Purpose of the Pharmaceutical Assistance Program
530.105	Definitions
530.110	Covered Prescription Drugs
530.115	Eligibility Qualifications
530.116	Fees and Co-payments
530.117	Claim Filing Procedures
530.120	Cards
530.125	Determination of Cost of Covered Prescription Drugs
530.130	Authorized Pharmacy Qualifications
530.135	Assignment and Coordination of Benefits
530.140	Payments to Authorized Pharmacies
530.145	Execution of Contracts
530.150	Limitation on Prescription Size
530.155	Inspection and Disclosure of Records
530.160	Establishment of Liens
530.165	Penalties

SUBPART B: PROPERTY TAX RELIEF PROGRAM (“CIRCUIT BREAKER”)

Section

530.201	Purpose of the Property Tax Relief Program
530.205	Definitions
530.210	Claimant Eligibility Qualifications
530.215	Claim Filing Procedures
530.220	Property Tax Grant Determinations
530.225	Penalties

SUBPART C: ELECTRONIC FILING

ILLINOIS DEPARTMENT OF REVENUE

NOTICE OF ADOPTED AMENDMENTS

Section	
530.301	Electronic Filing Program Via Internet
530.305	Eligible Electronic Documents
530.310	Internet Filer Eligibility Qualifications
530.315	Electronic Applications
530.320	Electronic Signature Code
530.325	Transmission of Electronic Applications
530.330	Transmission Confirmation

AUTHORITY: Implementing the Senior Citizens and Disabled Persons Property Tax Relief and Pharmaceutical Assistance Act [320 ILCS 25] and authorized by Section 2505-200 of the Civil Administrative Code of Illinois [20 ILCS 2505/2505-200].

SOURCE: Adopted at 11 Ill. Reg. 20978, effective December 15, 1987; amended at 13 Ill. Reg. 1589, effective January 18, 1989; amended at 17 Ill. Reg. 11566, effective July 8, 1993; amended at 22 Ill. Reg. 19929, effective October 28, 1998; amended at 24 Ill. Reg. 17562, effective November 16, 2000; emergency amendment at 25 Ill. Reg. 8449, effective July 1, 2001, for a maximum of 150 days; emergency amendment modified in response to JCAR objection at 25 Ill. Reg. 12913; emergency expired November 27, 2001; amended at 25 Ill. Reg. 16508, effective December 18, 2001; amended at 26 Ill. Reg. 8437, effective May 24, 2002; emergency amendment at 26 Ill. Reg. 11126, effective July 1, 2002, for a maximum of 150 days; amended at 26 Ill. Reg. 2699 effective January 31, 2003.

SUBPART A: PHARMACEUTICAL ASSISTANCE PROGRAM

Section 530.105 Definitions

The following definitions apply to the terms used in this Subpart A:

“Act” means the Senior Citizens and Disabled Persons Property Tax Relief and Pharmaceutical Assistance Act [320 ILCS 25].

“Additional resident” means any person who is not filing a separate claim for the same claim year under this Act and who is living in the same residence with a claimant and for whom the household has provided more than half of that person’s total financial support for a claim year.

ILLINOIS DEPARTMENT OF REVENUE

NOTICE OF ADOPTED AMENDMENTS

“Applicant” means a claimant, any person in a household who has requested pharmaceutical assistance benefits on a claim filed by a claimant and, beginning January 1, 2001, any additional resident who would become a beneficiary if the claim is approved by the Department.

“Beneficiary” means a person whose claim for pharmaceutical assistance benefits under the Act has been approved by the Department.

“Card” means an identification card issued to a beneficiary by the Department prior to January 1, 2001, and a Pharmaceutical Assistance Card issued to a beneficiary by the Department on and after January 1, 2001.

“Claim” means an original paper application (IDOR Form No. IL-1363, ~~Application for Circuit Breaker and Pharmaceutical Assistance~~, possibly using Schedule A, Schedule B, and/or Schedule P), an amended paper application (IDOR Form No. IL-1363-X, ~~Amended Application for Circuit Breaker and Pharmaceutical Assistance~~), or an electronic application filed by a verified Internet Filer for pharmaceutical assistance benefits under the Act.

“Claimant” means a person who has filed a claim for pharmaceutical assistance benefits under the Act. [320 ILCS 25/3.01]

“Claim year” means the calendar year prior to the year in which an applicant files a claim for pharmaceutical assistance benefits.

“Coverage year” means the period of time during which a beneficiary receives pharmaceutical assistance benefits for a claim year.

“Covered prescription drug” means any drug included in the categories listed in Section 530.110 for which the Department approves a claim for pharmaceutical assistance benefits.

“Current income” means household income for a claim year unless an applicant requests and is allowed by the Department to use projected income for a coverage year.

“Department” means the Illinois Department of Revenue. [320 ILCS 25/3.02]

ILLINOIS DEPARTMENT OF REVENUE

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“Director” means the Director of the Illinois Department of Revenue. [320 ILCS 25/3.03]

“Disabled person” means a person who is unable to engage in any substantial gainful activity by reason of medically determinable physical or mental impairment that can be expected to result in death or has lasted or can be expected to last for a continuous period of not less than 12 months. [320 ILCS 25/3.14]

“Disease” means a chronic and possibly recurrent illness of long duration, as distinguished from an acute illness that is of short duration with recovery due to limited medical treatment (such as in the case of colds, flu, pneumonia, bronchitis, or other similar illnesses).

“Electronic application” means the electronic document set forth in subsection (a) of Section 530.305.

“Household” means a claimant or a claimant and his or her spouse living together in the same residence. [320 ILCS 25/3.05]

“Household income” means the combined income of the members of a household for a claim year. [320 ILCS 25/3.06]

“Program” means the Pharmaceutical Assistance Program provided for under the Act.

“Projected income” means household income expected to be received for a coverage year.

“Verified Internet Filer” means a person who meets the eligibility qualifications under subsection (b) of Section 530.310 and receives a confirmation number from the Department acknowledging transmission of a timely filed electronic application.

(Source: Amended at 26 Ill. Reg. 2699 effective January 31, 2003)

SUBPART B: PROPERTY TAX RELIEF PROGRAM (“CIRCUIT BREAKER”)

Section 530.205 Definitions

ILLINOIS DEPARTMENT OF REVENUE

NOTICE OF ADOPTED AMENDMENTS

The following definitions apply to the terms used in this Subpart B:

“Act” means the Senior Citizens and Disabled Persons Property Tax Relief and Pharmaceutical Assistance Act [320 ILCS 25].

“Additional resident” means any person who is not filing a separate claim for the same claim year under the Act and who is living in the same residence with a claimant and for whom the household has provided more than half of that person’s total financial support for a claim year.

“Beneficiary” means a person whose claim for a property tax grant under the Act has been approved by the Department.

“Claim” means an original paper application (IDOR Form No. IL-1363, ~~Application for Circuit Breaker and Pharmaceutical Assistance~~, possibly using Schedule A, Schedule B, and/or Schedule P), an amended paper application (IDOR Form No. IL-1363-X, ~~Amended Application for Circuit Breaker and Pharmaceutical Assistance~~), or an electronic application filed by a verified Internet Filer for a property tax grant, pharmaceutical assistance, and/or a vehicle registration discount under the Act.

“Claimant” means a person who has filed a claim for a property tax grant under the Act. [320 ILCS 25/3.01]

“Claim year” means the calendar year prior to the year in which a claimant files a claim for a property tax grant.

“Department” means the Illinois Department of Revenue. [320 ILCS 25/3.02]

“Director” means the Director of the Illinois Department of Revenue. [320 ILCS 25/3.03]

“Disabled person” means a person who is unable to engage in any substantial gainful activity by reason of a medically determinable physical or mental impairment that can be expected to result in death or has lasted or can be expected to last for a continuous period of not less than 12 months. [320 ILCS 25/3.14]

ILLINOIS DEPARTMENT OF REVENUE

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“Domiciled” means having a fixed habitation at a permanent residence in Illinois during a claim year.

“Electronic application” means the electronic document set forth in subsection (a) of Section 530.305.

“Gross rent” means:

*the amount paid for a claim year for only the right to occupy a residence;
or*

the amount paid for a claim year for only the cost of housing in assisted living, nursing, retirement, or shelter care homes, excluding the cost of meals, care, and personal services for the claimant; or

the fair rental value for the residence for a claim year as determined by the Department under Section 530.220(e). [320 ILCS 25/3.04 and 6(b)]

“Household” means a claimant or a claimant and his or her spouse living together in the same residence. [320 ILCS 25/3.05]

“Household income” means the combined income of the members of a household for a claim year. [320 ILCS 25/3.06]

“Income” means adjusted gross income, properly reportable for federal income tax purposes under the provisions of the United States Internal Revenue Code of 1986 or any successor laws, modified by adding thereto the sum of the following amounts to the extent deducted or excluded from gross income in the computation of adjusted gross income:

An amount equal to all amounts paid or accrued as interest or dividends during the claim year;

An amount equal to the amount of tax imposed by the Illinois Income Tax Act paid for the claim year;

An amount equal to all amounts received during the claim year as an annuity under an annuity, endowment or life insurance contract or under any other contract or agreement;

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An amount equal to the amount of benefits paid under the federal Social Security Act during the claim year;

An amount equal to the amount of benefits paid under the Railroad Retirement Act during the claim year;

An amount equal to the total amount of cash public assistance payments received from any governmental agency during the claim year other than benefits received pursuant to this Act;

An amount equal to any net operating loss carryover deduction or capital loss carryover deduction during the claim year; and

For the 2001 claim year and later claim years, an amount equal to any benefits received under the Workers' Compensation Act or the Workers' Occupational Diseases Act during the claim year.

“Income” does not include any distributions or items of income described under Section 203(a)(2)(X) of the Illinois Income Tax Act (i.e., distributions made to a claimant or his or her spouse because of status as a victim of persecution for racial or religious reasons by Nazi Germany or any other Axis regime or as an heir of the victim and items attributable to, derived from or in any way related to assets stolen from, hidden from, or otherwise lost to a victim of persecution for racial or religious reasons by Nazi Germany or any other Axis regime). [320 ILCS 25/3.07]

“Privilege tax” means taxes paid for the claim year pursuant to the Mobile Home Local Services Tax Act [35 ILCS 515]. (See 320 ILCS 25/3.09.)

“Program” means the Property Tax Relief Program (“Circuit Breaker”) provided for under the Act.

“Property taxes accrued” means:

the ad valorem property taxes extended against a residence payable or paid by a claimant for the claim year, excluding special assessments, interest, or service charges such as association or maintenance fees; and

the amount of privilege tax paid for the claim year. [320 ILCS 25/3.09]

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“Rent constituting property taxes accrued” means 25% of the amount of gross rent paid by a claimant for a claim year for a residence that was subject to ad valorem property taxes. [320 ILCS 25/3.11]

“Residence” means the principal dwelling place occupied by a household in Illinois during the claim year and so much of the surrounding land as is reasonably necessary for use of that dwelling as a home, including:

rental property, mobile homes, single-family dwellings, and units in multifamily, multidwelling, or multipurpose buildings; or

a specific legal description for a portion of property established by an assessor as constituting a residence; or

the portion of assisted living, nursing, retirement, or shelter care homes occupied as a dwelling by a claimant. [320 ILCS 25/3.12]

“Taxable year” means the claim year. [320 ILCS 25/3.13]

“Verified Internet Filer” means a person who meets the eligibility qualifications under Section 530.310(b) and receives a confirmation number from the Department acknowledging transmission of a timely filed electronic application.

(Source: Amended at 26 Ill. Reg. 2699 effective January 31, 2003)

SUBPART C: ELECTRONIC FILING

Section 530.305 Eligible Electronic Documents

- a) The only electronic document that is currently eligible to be transmitted to the Department via the Internet under this Subpart C is IDOR Form No. IL-1363, ~~Application for Circuit Breaker and Pharmaceutical Assistance~~, which must be filed by a verified Internet Filer as an original electronic application without using Schedule A, Schedule B, or Schedule P for the claim year.
- b) The following types of electronic documents are not currently eligible to be transmitted to the Department via the Internet under this Subpart C:

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- 1) Electronic documents listed in subsection (a) that require additional electronic or paper forms or the reporting of any other information for which the Department is unable to currently accept electronic transmission via the Internet; and
 - 2) Electronic documents that are not listed in subsection (a).
- c) The Department reserves the right to limit the number or type of electronic documents that can be filed via the Internet under this Subpart C depending upon authentication and verification requirements and technological capabilities.

(Source: Amended at 26 Ill. Reg. 2699 effective January 31, 2003)

Section 530.330 Transmission Confirmation

- a) The Department will issue a confirmation number to a verified Internet Filer to acknowledge receipt of its transmission and to confirm the timely filing of a completed electronic application under the program. The confirmation date constitutes the actual filing date for an electronic application. An electronic application will not be considered to be filed with the Department in a timely manner if no confirmation number was issued after its transmission.
- b) A verified Internet Filer cannot recall or intercept an electronic application after its transmission has been confirmed by the Department under the program.
- c) If a verified Internet Filer wishes to change any of the data entered on an electronic application after its transmission has been confirmed by the Department under the program, he or she must submit a paper amended application to the Department for that claim year using IDOR Form No. IL-1363-X, ~~Amended Application for Circuit Breaker and Pharmaceutical Assistance.~~
- d) A verified Internet Filer should contact the Department for assistance if transmission of an electronic application has not been confirmed after several attempts.

(Source: Amended at 26 Ill. Reg. 2699 effective January 31, 2003)

JOINT COMMITTEE ON ADMINISTRATIVE RULES
ILLINOIS GENERAL ASSEMBLY

SECOND NOTICES RECEIVED

The following second notices were received by the Joint Committee on Administrative Rules during the period of January 28, 2003 through February 3, 2003 and have been scheduled for review by the Committee at its March 11, 2003 meeting in Springfield. Other items not contained in this published list may also be considered. Members of the public wishing to express their views with respect to a rulemaking should submit written comments to the Committee at the following address: Joint Committee on Administrative Rules, 700 Stratton Bldg., Springfield IL 62706.

<u>Second Notice Expires</u>	<u>Agency and Rule</u>	<u>Start Of First Notice</u>	<u>JCAR Meeting</u>
3/13/03	<u>Illinois Deaf and Hard of Hearing Commission, Rulemaking, Public Information and Organization</u> (2 Ill. Adm. Code 3300)	11/8/02 26 Ill. Reg. 16390	3/11/03
3/15/03	<u>Department of Professional Regulation, Nursing and Advanced Practice Nursing Act – Advanced Practice Nurse</u> (68 Ill. Adm. Code 1305)	11/15/02 26 Ill. Reg. 16812	3/11/03
3/19/03	<u>Department of Nuclear Safety, Licensing of Radioactive Material</u> (32 Ill. Adm. Code 330)	12/13/02 26 Ill. Reg. 17623	3/11/03

OFFICE OF BANKS AND REAL ESTATE

JANUARY 2003 REGULATORY AGENDA

a) Part(s) (Heading and Code Citation): Residential Mortgage License Act of 1987, 38 Ill. Adm. Code 1050

1) Rulemaking:

- A) Description: OBRE is considering amendments, pending the passage of legislation, relating to the registration of loan originators, including application procedures and fees, education, supervision and discipline.
- B) Statutory Authority: Implementing and authorized by the Residential Mortgage License Act of 1987 [205 ILCS 635].
- C) Schedule meeting/hearing date: None scheduled
- D) Date agency anticipates First Notice: Calendar year 2003
- E) Effect on small businesses, small municipalities or not for profit corporations:
None
- F) Agency contact person for information:
- Name: Jeff Riley, Legislative Liaison
Address: Office of Banks and Real Estate
500 East Monroe, Suite 900
Springfield, Illinois 62701-1532
Telephone: (217) 782-3000
- G) Related rulemakings and other pertinent information: None

b) Part(s) (Heading and Code Citation): Payment by Credit Card 38 Ill. Adm. Code 306

1) Rulemaking:

- B) Description: OBRE is considering a rule to prescribe the manner in which OBRE accepts payments net of fees as authorized by Public Act 92-741.
- B) Statutory Authority: Implementing and authorized by the Office of Banks and Real Estate Act [20 ILCS 3205/5.5].
- C) Schedule meeting/hearing date: None scheduled

OFFICE OF BANKS AND REAL ESTATE

JANUARY 2003 REGULATORY AGENDA

D) Date agency anticipates First Notice: Calendar year 2003

E) Effect on small businesses, small municipalities or not for profit corporations:
None

F) Agency contact person for information:

Name: Jeff Riley, Legislative Liaison

Address: Office of Banks and Real Estate
500 East Monroe, Suite 900
Springfield, Illinois 62701-1532

Telephone: (217) 782-3000

G) Related rulemakings and other pertinent information: None

c) Part(s) (Heading and Code Citation): Licensing and Regulation of Pawnbrokers, 38 Ill. Adm. Code 360

1) Rulemaking:

A) Description: OBRE is considering an amendment to implement provisions of PA 92-215, effective 8/2/01, relating to the manner in which routine examinations of pawnshops are conducted by the agency and other business activities permitted by pawnshops.

B) Statutory Authority: Implementing and authorized by the Pawnbroker Regulation Act [205 ILCS 510].

C) Schedule meeting/hearing date: None scheduled.

D) Date agency anticipates First Notice: Calendar year 2002.

E) Effect on small businesses, small municipalities or not for profit corporations:
None.

F) Agency contact person for information:

Name: Jeff Riley, Legislative Liaison

Address: Office of Banks and Real Estate
500 East Monroe, Suite 900

OFFICE OF BANKS AND REAL ESTATE

JANUARY 2003 REGULATORY AGENDA

Springfield, Illinois 62701-1532

Telephone: (217) 782-3000G) Related rulemakings and other pertinent information: None.d) Part(s) (Heading and Code Citation): Corporate Fiduciary Applications and Notices, 38 Ill. Adm. Code 3961) Rulemaking:A) Description: OBRE is considering an amendment to implement provisions of PA 92-483, effective 8/23/01, to specify the manner in which out-of-state corporate fiduciaries are permitted to branch into Illinois. It would govern applications by corporate fiduciaries from other states seeking to do business in Illinois.B) Statutory Authority: Implementing Article IVA of the Corporate Fiduciary Act [205 ILCS 620/4A] and authorized by Section 5-1(a) of the Corporate Fiduciary Act [205 ILCS 620/5-1(a)].C) Schedule meeting/hearing date: None scheduled.D) Date agency anticipates First Notice: Calendar year 2002.E) Effect on small businesses, small municipalities or not for profit corporations: None.F) Agency contact person for information:Name: Jeff Riley, Legislative LiaisonAddress: Office of Banks and Real Estate
500 East Monroe, Suite 900
Springfield, Illinois 62701-1532Telephone: (217) 782-3000G) Related rulemakings and other pertinent information: None.e) Part(s) (Heading and Code Citation): Appeal of Examination Findings, 38 Ill. Adm. Code 3031) Rulemaking:

OFFICE OF BANKS AND REAL ESTATE

JANUARY 2003 REGULATORY AGENDA

A) Description: The purpose of this new part is to establish, by rule, the agency's method for banks, foreign banking offices, data processing service providers and corporate fiduciaries to appeal the ratings assigned by OBRE at examinations.

B) Statutory Authority: Implementing and authorized by Illinois Banking Act [205 ILCS 5], Foreign Banking Office Act [205 ILCS 645], and Corporate Fiduciary Act [205 ILCS 620].

C) Schedule meeting/hearing date: None scheduled.

D) Date agency anticipates First Notice: Calendar year 2002.

E) Effect on small businesses, small municipalities or not for profit corporations: None.

F) Agency contact person for information:

Name: Jeff Riley, Legislative Liaison

Address: Office of Banks and Real Estate
500 East Monroe, Suite 900
Springfield, Illinois 62701-1532

Telephone: (217) 782-3000

G) Related rulemakings and other pertinent information: None.

f) Part(s) (Heading and Code Citation): Organization Minimum Capital of Banks and Trust Companies 38 Ill. Adm. Code 301

1) Rulemaking:

A) Description: This new part would establish by rule the organization minimum capital requirements for banks and trust companies.

B) Statutory Authority: Implementing and authorized by Illinois Banking Act [205 ILCS 5] and Corporate Fiduciary Act [205 ILCS 620].

C) Schedule meeting/hearing date: None scheduled.

OFFICE OF BANKS AND REAL ESTATE

JANUARY 2003 REGULATORY AGENDA

D) Date agency anticipates First Notice: Calendar year 2002.

E) Effect on small businesses, small municipalities or not for profit corporations:
None.

F) Agency contact person for information:

Name: Jeff Riley, Legislative Liaison
Address: Office of Banks and Real Estate
500 East Monroe, Suite 900
Springfield, Illinois 62701-1532
Telephone: (217) 782-3000

G) Related rulemakings and other pertinent information: None.

g) Part(s) (Heading and Code Citation): Hearings Before the Office of Banks and Real Estate,
38 Ill. Adm. Code 392

1) Rulemaking:

A) Description: OBRE is considering minor changes in the rules to permit video conference calls before the Commissioner, to change the Agency's address for delivery of documents and other clarifications.

B) Statutory Authority: Implementing and authorized by the Illinois Banking Act [205 ILCS 5], the Electronic Fund Transfer Act [205 ILCS 616], the Corporate Fiduciary Act [205 ILCS 620], the Illinois Bank Holding Company Act of 1957 [205 ILCS 10], the Foreign Bank Representative Office Act [205 ILCS 650], the Pawnbroker Regulation Act [205 ILCS 510], the Check Printer and Check Number Act [205 ILCS 690], and the Foreign Banking Office Act [205 ILCS 645].

C) Schedule meeting/hearing date: None scheduled.

D) Date agency anticipates First Notice: Calendar year 2002.

OFFICE OF BANKS AND REAL ESTATE

JANUARY 2003 REGULATORY AGENDA

E) Effect on small businesses, small municipalities or not for profit corporations:
None.

F) Agency contact person for information:

Name: Jeff Riley, Legislative Liaison
Address: Office of Banks and Real Estate
500 East Monroe, Suite 900
Springfield, Illinois 62701-1532
Telephone: (217) 782-3000

G) Related rulemakings and other pertinent information: None.

h) Part(s) (Heading and Code Citation): Corporate Fiduciary Pledging Securities 38 Ill. Adm. Code 398

1) Rulemaking:

A) Description: This new part would implement provisions of PA 92-485, effective 8/23/01, to direct trust companies to either pledge securities or purchase surety bonds, pledged or payable to the Commissioner, for the purpose of paying for receivership costs.

B) Statutory Authority: Implementing Section 6-13.5 of the Corporate Fiduciary Act [205 ILCS 620/6-13.5] and authorized by Section 5-1(a) of the Corporate Fiduciary Act [205 ILCS 620/5-1(a)].

C) Schedule meeting/hearing date: None scheduled.

D) Date agency anticipates First Notice: Calendar year 2002.

E) Effect on small businesses, small municipalities or not for profit corporations:
None.

F) Agency contact person for information:

Name: Jeff Riley, Legislative Liaison
Address: Office of Banks and Real Estate
500 East Monroe, Suite 900
Springfield, Illinois 62701-1532

OFFICE OF BANKS AND REAL ESTATE

JANUARY 2003 REGULATORY AGENDA

Telephone: (217) 782-3000

G) Related rulemakings and other pertinent information: None.

i) Part(s) (Heading and Code Citation): Standards for Operation and Conduct of Affairs of Corporate Fiduciaries 38 Ill. Adm. Code 399

1) Rulemaking:

A) Description: This part would be amended to implement provisions of PA 92-485, effective 8/23/01, including, but not limited to, the organization, operations, responsibilities and authority of a board of directors of a corporate fiduciary.

B) Statutory Authority: Implementing Section 2-6.5 of the Corporate Fiduciary Act [205 ILCS 620/2-6.5] and authorized by Section 5-1(a) of the Corporate Fiduciary Act [205 ILCS 620/5-1(a)].

C) Schedule meeting/hearing date: None scheduled.

D) Date agency anticipates First Notice: Calendar year 2002.

E) Effect on small businesses, small municipalities or not for profit corporations: None.

F) Agency contact person for information:

Name: Jeff Riley, Legislative Liaison

Address: Office of Banks and Real Estate
500 East Monroe, Suite 900
Springfield, Illinois 62701-1532

Telephone: (217) 782-3000

G) Related rulemakings and other pertinent information: None.

j) Part(s) (Heading and Code Citation): Record Retention Requirements for Banks 38 Ill. Adm. Code 304

1) Rulemaking:

OFFICE OF BANKS AND REAL ESTATE

JANUARY 2003 REGULATORY AGENDA

- A) Description: OBRE is considering new rules governing record retention requirements for banks, pursuant to Public Act 91-929.
- B) Statutory Authority: Implementing and authorized by Section 48.6 of the Illinois Banking Act [205 ILCS 5/48.6].
- C) Schedule meeting/hearing date: None scheduled.
- D) Date agency anticipates First Notice: Calendar year 2002.
- E) Effect on small businesses, small municipalities or not for profit corporations: None.
- F) Agency contact person for information:
- Name: Jeff Riley, Legislative Liaison
Address: Office of Banks and Real Estate
500 East Monroe, Suite 900
Springfield, Illinois 62701-1532
Telephone: (217) 782-3000
- G) Related rulemakings and other pertinent information: None.
- k) Part(s) (Heading and Code Citation): Hearings for Removal or Prohibition of Directors, Officers, Employees or Agents of a State Bank or Corporate Fiduciary or Revocation of a Foreign Bank Representative Office License, 38 Ill. Adm. Code 900

1) Rulemaking:

- A) Description: Part 900 of the Rules of the State Banking Board of Illinois may be amended to eliminate the provision that permits a Foreign Bank Representative Office to appeal their license revocation to the Board (such appeals will now be made to the Commissioner of the Office of Banks and Real Estate (OBRE) under Part 392 rules). The amendment would detail the provisions relating to appeals before the Board for persons removed from a state bank, corporate fiduciary, subsidiary thereof, or a bank holding company for unsafe, unsound, unlawful conduct or for whom the Commissioner has issued an Order of Prohibition against holding a position in an entity regulated by OBRE.
- B) Statutory Authority: Implementing and authorized by Illinois Banking Act [205 ILCS 5], Corporate Fiduciary Act [205 ILCS 620], and Foreign Bank Representative

OFFICE OF BANKS AND REAL ESTATE

JANUARY 2003 REGULATORY AGENDA

Office Act [205 ILCS 650].

C) Schedule meeting/hearing date: None scheduled.

D) Date agency anticipates First Notice: Calendar year 2002.

E) Effect on small businesses, small municipalities or not for profit corporations:
None.

F) Agency contact person for information:

Name: Jeff Riley, Legislative Liaison

Address: Office of Banks and Real Estate
500 East Monroe, Suite 900
Springfield, Illinois 62701-1532

Telephone: (217) 782-3000

G) Related rulemakings and other pertinent information: None.

OFFICE OF BANKS AND REAL ESTATE

NOTICE OF PUBLIC INFORMATION

**NOTICE OF FINE IMPOSED UNDER
THE RESIDENTIAL MORTGAGE LICENSE ACT OF 1987**

Pursuant to Section 4-5(h) of the Residential Mortgage License Act of 1987 (the "Act"), 205 ILCS 635/4-5(h) (2000), notice is hereby given that the Commissioner of the Office of Banks and Real Estate of the State of Illinois has issued a fine of \$500 against Guaranteed Financial Mortgage Service, Inc., License No. #3243 of Chicago, Illinois a licensee under the Act, for violating the terms of the Act and the rules and regulations adopted thereunder, effective December 18, 2002.

OFFICE OF THE TREASURER

NOTICE OF PUBLIC INFORMATION

150716 CANADA INC	TORONTO ON M5H 3W2 1900 150 KING ST WEST	CANADA	FO	00000
A LENSEIGNE DULIVERE	240 BOUL PEIRRE BERTRAND VANIER QUEBEC		FA	G1M 2
AARP	PO BOX 13999	PHILADELPHIA	PA	19187
ABELED0 GOTTHEIL ABOGADOS	BUENOS AIRES AV EDUARDO MADERO 1020 5 PISO		FN	00000
ABRAMS 2 WAY	PO BOX 2144	SHERMAN	TX	75091
ACCEP GENERAL M		ATALISSA	IA	52720
ADE CLAUDIA M	W GERMANY 7158 SULZBACH MURR	DEU	FN	00000
AEGIS		MOBILE	AL	36628
AFF LAA	PO BOX 1764	SCOTTSDALE	AZ	85252
AL KHALIFA MOHAMMED ISA	MANAMA BAHRIAN PO BOX 5319	BHR	FN	00000
ALARM SUPPRESSION	22 FREEDOM PLAINS RD APT 141	POUGHKEEPSIE	NY	12603
ALBANY MEDICAL COLLEGE ALCAZAR JULIAN G	47 NEW SCOTLAND IGNACIO ESTEVA 44 S MIGUEL CHA ITF DOLORES GUTIERREZ SILVA	ALBANY	NY	12208
			FN	00000
ALEXSIS	PO BOX 3000	NORTHVILLE	MI	48167
ALLEN ANITA P	PO BOX 473	LELAND	MS	38756
ALLEN EDGAR	PO BOX 075	OLONGAPO PI	P	02200
ALLIED CLINICAL LABS		DALLAS	TX	75230
ALLIED FIRE SAFETY EQUIPMENT	PO BOX 607 517 GREEN GROVE RD	NEPTUNE	NJ	07754
ALMIRZA HUSSAIN K	DHAHRAN 31311 PO BOX 1102		FN	00000
ALSHAYE ABDULAZIZ M	PO BOX 51815 RIYADH 11553	SAUDI ARABIA	FN	

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NOTICE OF PUBLIC INFORMATION

ALUMPACK S A	BUENOS AIRES RUPERTO MAZZA 175	032	FN	00000
AMERICAN HONDA FINANCE CORP		DELMAR	AL	35551
AMERICAN MANAGEMENT	P O BOX 4725	BUFFALO	NY	14240
AMERICAN SELF CARE	33 12TH STREET	COLUMBUS	GA	31901
AMERICAN TRANS AIR		DES MOINES	IA	50392
AMERICREDIT FINANCIAL SERVICE		ALLEN	MD	21810
AMOCO PIPELINE CO CUSHING	2087 E 71ST ST 228	TULSA	OK	74136
AMRE INC	8585 N STEMONS FREEWAY	DALLAS	TX	75247
ANTHEM IN SUE CHAMPION	PO BOX 8	PISCATAWAY	NJ	08855
ARAMBURO BERNADETTE B	16 DE SEPTIEMBRE 203	TEZIUTLAN PUE	FN	00000
ARAMBURO GABRIELA	16 DE SEPTIEMBRE 203	TEZIUTLAN PUE	FN	00000
ARAMBURO LUIS R	16 DE SEPTIEMBRE 203	TEZIUTLAN PUE	FN	00000
ARAMBURO ROSA	16 DE SEPTIEMBRE 203	TEZIUTLAN PUE	FN	00000
ARBOR HILL LIVING CENTER	1175 MONROE AVE	ROCHESTER	NY	01462
ARMENDARIZ CARLOS O	EDO DE VALLE ORO 53 RINOCODADA DEL P	MEX	FN	00000
ARMSTRONG INTERNATIONAL	JERSEY 12 HILL STREET ST HELIER THE COURTYARD	GREAT BRITAIN	FN	00000
ARMSTRONG JOHN P	27 REVENOOR RD	HARPERS FERRY	WV	25425
ASHP	7272 WISCONSIN AVE	BETHESDA	MD	20824
ASME	BOX 2900 22 LAW DRIVE	FAIRFIELD	NJ	07007
ASSOC FIRST B		DENVER	CO	80259
AT&T PHONE STORE	PO BOX 295	PARSIPPANY	NJ	07054
ATHENS DIABETES & ENDOCR	1270 PRINCE AVE SUITE 308	ATHENS	GA	30606
ATLANTA MEDICAL N FULTON		ROSWELL	GA	30076
ATLANTIC PURCHASINGOCEAN	1000 MARKET ST	PORTSMOUTH	NH	03801

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NOTICE OF PUBLIC INFORMATION

ATTAK JENNIFER L	554 S BUCANNON 6	FAYETTEVILLE	AR	72701
AUDIO ENGINEERING	3088 NAPIER AVE	MACON	GA	31204
AUERSWALD RICHARD R	211 WATERFORD PKWY NORTH RM 216	WATERFORD	CT	06385
AURIA FRANK A	333 15TH STREET	BUFFALO	NY	01421
AUSTIN LARRY R	7873 LAKESHORE CT	PARKER	CO	80134
AUTUMN GROVE CARE CENTER	PO BOX 387	HARRISVILLE	PA	16038
AVILA CATHLEEN	917 DEL PASO STREET 327	EULESS	TX	76040
AVILEZ MARIA	16627 KASSIKAY	HOUSTON	TX	77084
BANK AMERICA TRUST & BANKING	CORP CAYM EN LIMITE GRAND CAYMAN ISLANDS	CYM	FN	00000
BANK OF AMERICA	PO BOX 830780	DALLAS	TX	75283
BANSIL CONRADO	BARRIGADA GUAM PO BOX 24334 GMF		FA	
BANSIL CONSUELO	BARRIGADA GUAM PO BOX 24334 GMF		FA	
BAPTIST MEMORIAL REG	899 MADISON AVE	MEMPHIS	TN	38146
BARNES AL	APO AP FP MANILA USAID		FO	
BARNES ROBERT F	APO AP FP MANILA USAID		FO	
BARNETT YVETTE	3825 CHILDRESS	FORT WORTH	TX	76119
BARRETT RICHARD	3337 ENGLISH OAKS DR NW	KENNESAW	GA	30144
BARROSO ROSALINDA D	EDO DE 54060 TLALNEPANTLA	MEX	FN	00000
BAUER JUAN S	COLONIA ROMERO DE TERREROS ALFA 71 DELG CORJOACAN		FN	
BEATTY MARGUERITE	45090 NAMOKU ST	KANEOHE	HI	96744
BEESELEY TERESA	103 ROARK AVE	PORTLAND	TN	37148

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NOTICE OF PUBLIC INFORMATION

BEHAVIORIAL HEALTH	PO BOX 7203	WILTON	CT	06897
BEINVILLE DIALYSIS CENTER	4424 CONLIN ST	METAIRIE	LA	70006
BELL KAREN A	7515 S BISCAY ST	AURORA	CO	80016
BELLER FRITZ W	CALLE 22 66	MEXICO DF 14620	FN	
BEND MEM CNTRL OREGON PED	2200 NE PROFESS	BEND	OR	97701
BENTON MANDA	1601 SILVA FOX CT	LAWRENCEVILLE	GA	30244
BEROL CORP	PO BOX 5508	NEWTOWN	CT	06470
BEYER LUELLA	319 NORTH DR	MANOR	GA	31550
BILL REYNOLDS CO	25 WEDGEWOOD DRIVE	GREENVILLE	SC	29609
BILLINGS CLINIC		BILLINGS	MT	59107
BINGHAM COMMUNICATIONS	819 POMPTON AVE	CEDAR GROVE	NJ	07009
BISCAREL SOPHIE	BRIVE FRANCE F1 W8 R 19100 7 RUE MADAME DE SEVIGNE	FRA	FN	00000
BISSELL HEALTHCARE CO	PO BOX 89	JACKSON	MI	49204
BLIVEN JULIE A	1162 W PARK CT	CHANDLER	AZ	85224
BORDER RESTAURANT SUPPLY	3028 PERSHING D	EL PASO	TX	79903
BORSATTO GIOVANNI	PARTITA 1011970165 24128 BERGAMO VIR IV NOVEMBRE 2B	ITA	FA	00000
BOVE FRANCESCO	MADRID CALLE DE SALIENTE III URBANIZATION	ESP	FN	00000
BOWMAN GEORGE	APARTADO POSTAL 4141		FN	00000
BOWMAN JOHN	APARTADO POSTAL 4141	SAN JOSE COST	FN	00000
BRAAK SUSAN	RR1 BOX 143D	ELIZAVILLE	NY	01252
BRAD LINDA S	1810 FALCON DR	KELLER	TX	76248
BRADFIELD RUTH	10027 SPICE LANE 907	HOUSTON	TX	77072
BRINGAS BEATRIZ	LOMAS 11000 D PALMAS 800 402	MEX	FN	00000

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BRINGAS LEOPOLDO	LOMAS PALMAS 800 1402	MEX	FN	00000
BRITTON NELLIE G	6 NORTHVIEW TERRACE	MAPLEWOOD	NJ	07040
BRON GYLA	136 75 72 AV	FLUSHING	NY	11367
BROWN DOROTHY	P O BOX 280	SALLIS	MS	39160
BRUEGGEN LAURA C	ROUTE 2 BOX 64	OKARCHE	OK	73762
BRYANS CHRISTOPHER L	GERMANY WISMARRING 13		FA	
BURGESS MARIA	SAULT STE MARIE ON ONTARIO P6A 1V5 40 AMBER ST	CAN	FN	00000
BURGHARDT LEWIS W		BYRON	MI	48418
BURNETT ANNEK	501 GREENWOOD	MONROE	LA	71201
BURNS JILL	8009 35TH AVE APT D 12	JACKSON HEIGHTS	NY	01137
BURT LEAH	6317 GREENWAY	FORT WORTH	TX	76116
BUSINESS RESEARCH PUBLCTION	PO BOX 675 COOPER	NEW YORK	NY	01027
BYNUM CARMEN	7 WEST 34TH STREET	NEW YORK	NY	10001
CALHOUN FAMILY MEDICAL CENTER		CALHOUN	GA	30703
CAMBRIDGE CARE CENTER	1685 EATON ST	DENVER	CO	80214
CAMPBELL MARVIN P	517A EKAHI PLACE	KIHEI	HI	96753
CANCEL FERNANDO L	PO BOX 1385	PRI	FN	00000
CANCER TREATMENT CTR OF	8181 S LEWIS AV	TULSA	OK	74137
CANTU CARLOS F	CHAPULTEPEC 11000 MEXICO DF	MEX	FN	00000
CANTU DOLORES	CHAPULTEPEC 11000 MEXICO MONTE ATHOS 290 LOMAS DE	MEX	FN	00000
CARBONELL GUILLERMO	GUATEMALA GUATEMALA 20 CALLE 16 06 ZONA 10 MILDRED Z DE	CNTRL AMERICA	FN	01010
CARDENAS ELENA	AVE VERTIZ 1479 COL PORTALES	MEX	FN	00000

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NOTICE OF PUBLIC INFORMATION

CARDENAS IGNACIO	AVE VERTIZ 1479 COL PORTALES	MEX	FN	00000
CARDENAS JUAN C	AVE VERTIZ 1479 COL PORTALES ELENA CARDENAS OR MANUEL PUENT	MEX	FN	00000
CAREY MARLON	PO BOX 17162	PHILADELPHIA	PA	19105
CARNES CHRISTINE		LESLIE	GA	31764
CARTER TEVIS A	20437 LESURE	DETROIT	MI	48235
CASTELLANOS JOSE D	MERIDA YUCATA 407 AVENIDA PEREZ PONCE	MEX	FN	00000
CASTLE HOUSE PUBLICATIONS	KENT TNI UP 28-30 CHURCH RD	GBR	FN	00000
CASTRO TARI MARIA E	0177 EL BOSQUE 19TH FL	SANTIAGO	FN	00000
CATHOLIC GENERAL HOSPITAL	SEOUL 134-024 357	KOR	FN	
CBMW INC	CHOENHO DONG KANG DONG GU 1331 W 41ST STREET FIRST FLOOR	BALTIMORE	MD	21211
CCMC FACULTY PRACTICE PLA		NEWINGTON	CT	06111
CELES LUCY A	YIGO 161 KATSADAN PALUMA	GUM	FN	00000
CELORIO MIGUEL	72820 PUEBLA MEXICO STA CATARINA MARTIR A P 86	MEX	FN	00000
CENTRA BENEIFT SERVICES	PO BOX 869042	PLANO	TX	75086
CENTRAL COLORADO MEDICAL		CO SPRINGS	CO	80903
CENTRAL SUFFOLK HOSPITAL	1300 ROANOKE AVE	RIVERHEAD	NY	11901
CHAPMAN JOYCE	332 N COY ST	KANSAS CITY	KS	66101
CHARLES COLE MEMORIAL HOSP	1001 E SECOND ST	COUDERSPORT	PA	16915
CHAVEZ YVONNE	11252 SEA HORSE	EL PASO	TX	79936
CHEMICAL BANK		JERICO	NY	11753
CHEMUNG	C/O CHEMUNG CANAL TRUST CO	ELMIRA	NY	14902
CHERNESKY ROBERT W		DALLAS	TX	75379
CHEUNG PAUL W	465 GLENDENNING PL	WAUKEGAN	IL	0

OFFICE OF THE TREASURER

NOTICE OF PUBLIC INFORMATION

CHEYENNE MANOR	561 W 1ST N	CHEYENNE WELLS	CO	80810
CHILD NEURO RES FUND	701 W 168TH ST	NEW YORK	NY	10032
CHIRON DIAGNOSTICS CORPOR		FARMINGTON	CT	06032
CHOO HYUN J	CHANG HANG DONG GOYANG CITY 402 903 LUCKY APT HOSU	KOREA	FN	
CHOWKAM TONG	KWAI CHUNG INDUSTRIAL BLDG 5-9 KA HING RD KOON WAH MIRRO	HONGKONG	FN	
CHRYSLER FINANCIAL CORP		PENNS GROVE	NJ	08069
CHRYSLER FINANCIAL CORP		WINDSOR	CO	80551
CHUANG TIEN S	TAIPEI CHUNG CHING S R 12TH FL APT 51 TWN		FN	00000
CIA QUIMICA INDUSTRIAL BR	SAO PAULO RODOVIA RAPOSO TAVARES RM 275	076	FN	00000
CIGNA	PO BOX 16872	TUCSON	AZ	85732
CIGNA CONNECTICUT GENERAL	PO BOX 2546	SHERMAN	TX	75091
CIGNA HEALTHCARE	PO BOX 2170	BALA CYNWYD	PA	19004
CIGNA RECOVERY SERVICES	PO BOX 2170	BALA CYNWYD	PA	19004
CIRCON ACMI	PO BOX 19066-A	NEWARK	NJ	7195
CITIZENS STATE BANK OF LOMETA		LOMETA	TX	76853
CLAMONT GUILLERMO	CALLE 22 #66	MEXICO D F	FN	
CLEMSON UNIVERSITY	POST OFFICE DRAWER 912	CLEMSON	SC	29633
CLEMSON UNIVERSITY BKSTORE	PO BOX 2096 CLEMSON UNIV	CLEMSON	SC	29632
CLERK OF COURT			MS	
CLOVER LANE	2750 MONROE AVE	ROCHESTER	NY	01461
CNA INSURANCE COMPANY	100 CNA DRIVE	NASHVILLE	TN	37214
COLEMAN KIMBERLY	3294 SPANISH TRAIL 15	EAST POINT	GA	30344
COLLECTION SERVICES CENTER	P.O. BOX 9125	DES MOINES	IA	50306
COLLINS DOROTHY	RR 1 BOX 52	PORT GIBSON	MS	39150

OFFICE OF THE TREASURER

NOTICE OF PUBLIC INFORMATION

COMESSO LOUIS	4511 NEW YORK 1 WORLD TRADE CENTER	NEW YORK	NY	10048
COMPUTER CITY			AA	
CONCEPTIONS REPRODUCTIVE		LITTLETON	CO	80122
CONNECTICUT GENERAL	PO BOX 8014	PLAINVILLE	CT	06062
CONNECTICUT GENERAL	PO BOX 8018	PLAINVILLE	CT	06062
CONNECTICUT GENERAL LIFE	PO BOX 10365	DES MOINES	IA	50306
CONNECTICUT GENERAL LIFE	PO BOX 800	UNIONVILLE	CT	06085
CONOCO INC	P O BOX 1257820NT	PONCA CITY	OK	74602
CONSOLIDATED FREIGHTWAYS	PO BOX 3988	PORTLAND	OR	97208
CONSOLIDATED GRP CLAIMS	PO BOX 248	BRATTLEBORO	VT	05302
CONSOLIDATED HEALTH COALI	PO BOX 248	BRATTLEBORO	VT	05302
CONSOLIDATED HLTH COALITI	PO BOX 248	BRATTLEBORO	VT	05302
CONVATEC RESEARCH CORNING LAB SERVICES INC	P O BOX 5303 1 MALCOLM AVENUE	PRINCETON TETERBORO	NJ NJ	08543 07608
CORP UNION ACCEPTANCE FUNDING		LANSING	MI	48907
CORPOR NISSAN M		TOYAHVALE	TX	79786
CORPOR NISSAN M		WOODLAND MILLS	TN	38271
CORPORATE SUBSCRIPTION	85 CHESTNUT RIDGE RD	MONTVALE	NJ	07645
CORPORATION UNION ACCEPTANCE		TYLERSBURG	PA	16361
CORROON WILLIS	PO BOX 305154	NASHVILLE	TN	37230
COTE NADDON L	BOISBRIAND 237 MONTEE SANCHE APL 8	CAN	FN	00000
CRAWFORD & COMPANY	24681 NORTHWESTERN HWY SUITE 400	SOUTHFIELD	MI	48075
CREDIT FORD MOTOR		CONROE	TX	77305

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NOTICE OF PUBLIC INFORMATION

CRESTAR BANK		MIDDLETOWN SPRS	VT	05757
CZAJKA ROSARIO	8905 E JEFFERSON 801	DETROIT	MI	48214
DALLAS COUNTY HEALTH	2377 STEMMONS FRWY	DALLAS	TX	75207
DALRYMPLE MARSHA D	2980 LAKE COLONY DR	NORCROSS	GA	30071
DANIKAS EDNA K	624 CLARK ST	CLAY CENTER	KS	67432
DARLINGTON COUNTY		DARLINGTON	SC	29532
DAVIDSON RONALD	1291 COMMUGNY	SWITZERLAND	FN	00000
DAVIS GRACE		WAUKON	IA	52172
DEALTAMIRANO GUADALUPE S	RUBEN DARIO 1529-3- A COL PROVI	GUADALAJARA J	FN	
DECARBONELL MILDRED Z	GUATEMALA GUATEMALA	CENTRAL AMERICA	FN	
DEFERNANDEZ MARIA V	COLONIA POLANCO	MEX	FN	00000
DEGUTIERREZ VIRGINIA S	IGNACIO ESTEVA 44 S MIGUEL CHA	MEX	FN	00000
DEJESUS NORMA	LAS PIEDRAS PR HC 01 BOX 6698	630	FN	00000
DEJESUS NORMA	LAS PIEDRAS PR HC 01 BOX 6698	630	FN	00000
DELLITT BOB E	PSC BOX 4972	SAN FRANCISCO	FP	96366
DELLITT CHONG	PSC BOX 4972	SAN FRANCISCO	FP	96366
DEMARTINEZ SILVIA L	CALLEJON DE LA CITA 20 SAN AN	MEXICO D F	FN	
DEPOYASTRO ROSA P	GUADALAJARA JALISCO	MEX	FN	00000
DESANTOS ELENA P	COL LIMAS DE CHAPULTEPEC	MEX	FN	00000
DESIMON SELMA MELGAREJO	TENNESSEE 32-A	MEXICO 18	FN	
DEVIVANCO FELIPE A	LOMAS CHAPULT MONTE EVEREST NO 630 11000 MEXICO DF	MEXICO	FN	
DICKEY SHARON D	421 COUNTY HIGHWAY 10	BLOUNTSVILLE	AL	35031

OFFICE OF THE TREASURER

NOTICE OF PUBLIC INFORMATION

DIZON ROSARIO L	323 LINCOLN AVE	CHERRY HILL	NJ	08002
DO DANNY	6804 WESTVIEW APT 211	HOUSTON	TX	77055
DOLLY VINSANT MEM HOSPIT		SAN BENITO	TX	78586
DOROTHY G SQUYRES TRUST	PO BOX 830780	DALLAS	TX	75283
DR PACE	2316 PACIFIC AV	FOREST GROVE	OR	97116
DRAHEIM PETER P			NJ	
DREMOUSI MARGARITA P	GREECE HANDACOS 4 CHIOS	GRC	FN	00000
DUREX INDUSTRIES INC	195 ALLWOOD RD	CLIFTON	NJ	07012
DUTTON PATTY A		BELMONT	NH	03220
EARLE RALPH	7530 E ANGUS DR	SCOTTSDALE	AZ	85251
EBSCO SUBSCRIPTION S	PO BOX 1943	BIRMINGHAM	AL	35201
ECKERT EARL E	510 S AVE	NEVADA	IA	50201
ECKMAN TIMOTHY L	3231 W DENISON AVENUE	DAVENPORT	IA	52804
ECONO LODGE OF RIDGELAND	PO BOX 190	TILLMAN	SC	29943
EDWARDS WILLIAM	1704 N PARK DR	WILMINGTON	DE	19806
ELFVING ROBERT W	50 S LA SALLE ST	CHICAGO	00	0
ELLIS MICHAEL J	SWITZERLAND MON REPOS 14 1005 LAUSANNE	CHE	FA	00000
ELSEVIER SCIENCE	SHANNON CO IRBAY 15K AR SHANNON INDUSTRIAL ESTATE	ISR	FN	00000
EMORY CIGNA HEALTH CARE		HAPEVILLE	GA	30354
EMPIRE BLUE CROSS	622 THIRD AVE	NEW YORK	NY	10017
EMPIRE BLUE CROSS	PO BOX 4618	NEW YORK	NY	10163
EMPIRE BLUE CROSS BL	PO BOX 11811	ALBANY	NY	12211
EPOCH GROUP LC	PO BOX 12170	SHAWNEE MSSN	KS	66282
EQUICOR	4 GATEWAY CENTER	PITTSBURGH	PA	15222

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NOTICE OF PUBLIC INFORMATION

ERENSEN THERESA	319 ORCHARD ST	GREENWICH	CT	06830
ERKERT THOMAS	WEST GERMANY 7158 SULZBACK SCHULGASSE 7	DEU	FN	00000
ESSO PRODUCTION INC	PO BOX 10857	50728 KUALA L	FN	00000
ESTRADA LUIS A	2 ST 2 AV BO CENTRO PO BOX 1716 SAN PEDRO SULA HONDURAS	CA	FN	
EUGENE REHAB & SPECIALTY	2360 CHAMBERS S	EUGENE	OR	97405
EVANS CHARLES R	11026 BRAEWICK	HOUSTON	TX	77096
FAGRAHOIT H F	400 ISAFJORDUR	ICELAND	FA	
FAMILY HEALTH CARE		SALISBURY	PA	15558
FAMILY HEALTH CENTER LAB		MACON	GA	31206
FARIAS PERCIO A	DE FATIMA 657 RUA NOSSA SENAGORA	BRA	FN	00000
FAZIO CHARLES FERNANDEZ MARCELINO T	310 HUNTERS ROAD COLONIA POLANCO PRESIDENTE NAZARIK NO 101 DEPT	SWEDESBORO MEX	NJ FN	08085 00000
FHP	PO BOX 35809	COLORADO SPRINGS CO		80935
FHP GREAT LAKES PPO	PO BOX 35715	COLORADO SPRINGS CO		80935
FHP GREAT LAKES PPO	PO BOX 35715	COLORADO SPRINGS CO		80935
FHP OF ILL INC	PO BOX 35809	COLORADO SPRINGS CO		80935
FHP OF ILLINOIS	PO BOX 35809	COLORADO SPRINGS CO		80935
FHP OF ILLINOIS INC	PO BOX 35809	COLORADO SPRINGS CO		80949
FHP TAKE CARE	PO BOX 35809	COLORADO SPRINGS CO		80935
FHP TAKE CARE GREAT LAKES	PO BOX 35809	COLORADO SPRINGS CO		80935
FIDELITY FINANCIAL SERVICES	523 STATE HIGHWAY RT 38	CHERRY HILL	NJ	08034
FIEGAL RUTH			DE	
FINANCIAL CHRYSLER		OZONE	AR	72854

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NOTICE OF PUBLIC INFORMATION

FINANCIAL PRIMUS A		HOUSTON	TX	77047
FIND SVP	625 AVENUE OF THE AMERICAS	NEW YORK	NY	01001
FINGER KEITH	2292 GLENRIDGE DRIVE	MARIETTA	GA	30062
FIRE CONTROL ELECTRICAL SYS	PO BOX 3128	PLAINFIELD	NJ	07063
FIRST ALABAMA BANK		BENTON	LA	71006
FIRST SECURITY BANK		SNOOK	TX	77878
FIRST VIRGINIA BANK		DETROIT	MI	48219
FITCHETT LEONARD		BROOKLYN	NY	11201
FITZPATRICK ROGER A	PO BOX 625	APO	FP	09011
FLAGMEIER WILLIAM G		BETHLEHEM	PA	18017
FLEET BANK		EDGEWOOD	IA	52042
FLEET RETAIL LOANS	5701 HORATIO STREET	UTICA	NY	13502
FLEMING MILDRED E	102 ST ANDREWS CT	INDIANA	PA	15701
FLEMINGGRAVES LAUNYA	4250 CROWN BLVD T 82	DENVER	CO	80239
FMS VA1 642		AUSTIN	TX	78714
FORD MOTOR CREDIT CO		YONKERS	NY	10702
FORD MOTOR CREDIT COMPANY		BEIRNE	AR	71721
FORD MOTOR CREDIT COMPANY		CENTER STRAFFORD	NH	03815
FORD MOTOR CREDIT COMPANY		DURHAM	NY	12422
FORD MOTOR CREDIT COMPANY		LIVONIA	MI	48152
FORD MOTOR CREDIT COMPANY		PIERMONT	NH	03779
FORD MOTOR CREDIT COMPANY		WAUKON	IA	52172
FORD MOTOR CREDIT COMPANY	PO BOX 105704	ATLANTA	GA	30348
FORD MOTOR CREDIT COMPNAY		DOVER	NH	03821

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FOSTER-WHEELER ENGY COR P	DEPT. 2407	CLINTON	NJ	08809
FRANKLIN UROLOGICAL ASSOC		FRANKLIN	TN	37067
FREEMAN DECORATING CO	PO BOX 650036	DALLAS	TX	75265
FULMER TIFFANY	12148 JOLLYVILLE ROAD 210	AUSTIN	TX	78759
FUNDING UNION ACCEPTANCE		NEW YORK	NY	10159
FURLONG FABIO A	LONDON SWI V4NB	ENGLAND	FN	00000
FURMAN TINA M	PO BOX 583	DECATURVILLE	TN	38329
GAGLIANO TONY A	CONCORD ONTARIO L4K 4P3 50 MACINTOSH BLVD	CAN	FN	00000
GARMAN JOHANNA L	416 W MAIN ST	WATERTOWN	TN	37184
GARZA HERLINDA S	5200 GRAY BUFFALO	SAN ANTONIO	TX	78242
GATES CLINIC INC/SIS	PO BOX 5887	DENVER	CO	80217
GEE BARBARA J		TIOGA	PA	16946
GMAC		SYRACUSE	NY	13290
GEORGE MARY P	106TH MED DET VS	APO	AP	96205
GHORI MOHAMMED A	PO BOX 8425	DUBAI UAE	FN	
GLOUDON IVA	UNIVERSITY OF THE WEST INDIES	ST AUGUSTINE	FN	00000
GMAC		BEAMAN	IA	50609
GMAC		FALLS CITY	OR	97344
GMAC		GEORGETOWN	TN	37336
GMAC		HOUSTON	TX	77263
GMAC		PEARL HARBOR	HI	96860
GMAC		STATEN ISLAND	NY	10310
GMBH ALAMO R	FRANKFURT GERMANY POST FACH 176 60549 FRANKFURT AM MAIN		FA	00000
GOETSCH BRENDA L	7756 E SUGARLOAF CIR	MESA	AZ	85207

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GOLDMAN IRVING	PO BOX 877	RICHMOND HILL	GA	31324
GOLDMAN JEFFREY E	PO BOX 877	RICHMOND HILL	GA	31324
GONE MARIA	PO DRAWER E	RICHMOND	TX	77469
GONZALES TRANPRTION SRVS	PO BOX 111014	CARROLLTON	TX	75011
GONZALEZ CONSUELO	NAUCALPAN 53050 VALLE JILOTEPEC 33	MEX	FN	00000
GONZALEZ JORGE E	763 INLAND 101	NAPERVILLE	TN	60540
GONZALEZ V	SAN SEBASTIAN DE LOS REYES	MADRID SPAIN	FN	
GONZALEZ VENTURA	APARTADO 129 28700 SAN SEBASTIAN DE LOS REY	MADRID SPAIN	FN	
GRADUATE HOUSE	6500 MAIN ST	HOUSTON	TX	77030
GRAHAM WILLIAM C	23 W 276TH ST	EMERSON	GA	30137
GREAT WEST INSURANCE CO	8505 E ORCHARD RD	ENGLEWOOD	CO	80111
GREAT WEST LIVE	7410 NORTHSIDE DRIVE 202	NO CHARLESTON	SC	29420
GREATER MANCHESTER MH CEN	401 CYPRESS	MANCHESTER	NH	03102
GREATR WEST INSURANCE CO	PO BOX 950	DENVER	CO	80201
GREEN GEORGE	340 INTREPID CUT	APPLING	GA	30802
GRITZMACHER RICHARD O	840 BOSTON POST RD	OLD SAYBROOK	CT	06475
GROSSE POINTE PUBLIC SCHO	389 SAINT CLAIR	GROSSE POINTE	MI	48230
GTE LEASING CORPORATION	PO BOX 650478	DALLAS	TX	75265
GUERRERO LETICIA L	LOMAS VIRREYES AGUSTIN AHUMADA 335		FN	00000
GUIDO DONALD W	1150 SYRACUSE ST	DENVER	CO	80220
HAGIN GINA L	5311 STARBOARD COURT	CONYERS	GA	30208
HALE KELLY	2101 HAYES ROAD APT 1114	HOUSTON	TX	77077
HAMER SHARON A	27 PARK FOREST DR	PITTSFORD	NY	14534

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HAMMAD AND PLATNER MD PC		ATLANTA	GA	30312
HANAIEI BAY RESORT & VILL	5380 HONOIKI RD	HANAIEI	HI	96722
HARMON WILLIAM	301 W 6TH STREET APT 44	DAVENPORT	IA	52803
HARRIS CONTRACTING	PO BOX 64	CONLEY	GA	30027
HARRIS LINDA L	12 CHEMIN DE LACOLAT 1226 THONEX		SW	00000
HARRIS SHARON	693 WASHINGTON ROAD	PRESCOTT	AR	71857
HARRISON EDWARD B	USS WASP LHDI	OEC DIV	AE	09556
HARTMANN MARIAN R	CHILLIWACK BC V2P6P1 45642 NELMES AVE		FO	
HATFIELD MELISA D	PO BOX 473	SEDALIA	CO	80135
HAWKINS LINDA D	742 CHURCH ROAD	SAINT DAVIDS	PA	19087
HAWTHORNE BEATRICE	17 MT VERNON RD	MONTCLAIR	NJ	07043
HAYS EVELYN	1040 PATWOOD APT B	KALAMAZOO	MI	49001
HAYWOOD DERBETH	ABERDEEN ABI 8SD	SCOTLAND	FN	00000
HAZELWOOD HAZEL T	6148 CATHERINE STREET	PHILADELPHIA	PA	19143
HEALTH SCIENCES CENTER DEPT	3601 4TH CT 1BC113	LUBBOCK	TX	79430
HEALTHCARE COMPARE CORP	PO BOX 8805	SCOTTSDALE	AZ	85252
HELLER RON E	USS DIXON AS 37	FPO	AP	96648
HERITAGE MANOR SOUTH	9712 MANSFIELD	SHREVEPORT	LA	71118
HERNANDEZ LUIS A	APDO POSTAL 37 ITF LUIS RODRIGO BLANCO ARAMBU		FN	00000
HIRAYAMA YOSHINORI	NRTHH		FN	00000
HLTH S REHAB HOSP OF AUSTIN		AUSTIN	TX	78701
HMO GREAT LAKES	PO BOX 49219	CO SPRINGS	CO	80949
HOLDER DONALD W	1701 KOLE	KILLEEN	TX	76541

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HOROWITZ ESTELLE	100 W POND ROAD	WOODBIDGE	NJ	07095
HOROWITZ IRVING	100 W POND ROAD	WOODBIDGE	NJ	07095
HOSBEIN IRENE N	PO BOX 37	WATERVLIET	MI	49098
HUNT MANUFACTURING	PO BOX 100307	ATLANTA	GA	30384
HYATT CLINTON J	12527 PARKRANGE AVE	BATON ROUGE	LA	70816
ICING THE	72 SHAKER RD	ENFIELD	CT	06082
IDA CROWN JEWISH ACADEMY	300 WALTON ST	WEST HEMPSTED	NY	01155
IEEE MEXICO		MEX	FN	00000
ILE A LA CROSSEPUBLIC	BOX 70 ILEA LA CROSSE	SASK	FA	SOM 1
IMPAC DEVELOPMENT & CONTR	2 LIVE OAK CENT	ATLANTA	GA	30326
INDUSTRIAL TIME SYSTEMS	8 CAPITAL DRIVE	WALLINGFORD	CT	06492
INSURANCE CLAIMS SERVICE	PO BOX 43350	BIRMINGHAM	AL	35243
INTER SYS INC	5211 LINBAR DRIVE SUITE 508	NASHVILLE	TN	37211
INTERSTATE CARRIER EXP			NY	
IOWA CLINIC	1215 PLEASANT ST STE 618	DUBUQUE	IA	52001
ISSP	SWERNER HALSENLEBE WEG39 ISWS DER UNIV BWM	NEUBIBERG	FN	00000
ITO TAKESHI	3 8 19 KAMITSURUMA	SAGAMCHARA CITY	AA	228
IVAC CORP	PO BOX 10361	NEWARK	NJ	07193
IVONE LAHAYE LA UNIVERSAL	SANTIAGO 0177 EK BISQYUE		FN	00000
JACKSON CATHY	1337 AVENUE H	FORT MADISON	IA	52627
JACKSON LEONARD	67 GATES AVE	CENTRAL ISLIP	NY	01172
JACKSON THERESE	3501 BRITTANY CV	KENNESAW	GA	30152
JAJKO CHERYL	6610 N ONARGA	GUAYNABO 969	FA	
JAMES ABROMS & WALTER BRA		BIRMINGHAM	AL	35209

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JAMES EARL A	1616 MITHERING LN	SILVER SPRING	MD	20905
JARDINE INSURANCE BROKERS	1155 AVE OF THE AMERICAS	NEW YORK	NY	10036
JERRYS BUILDING MATERIAL JOHN DEERE CREDIT	PO BOX 2611 14156 28TH STREET	EUGENE WEST DES MOINES	OR IA	97402 50266
JOHN WILEY AND SONS INC	PO BOX 18684	NEWARK	NJ	07191
JOHNSON CONTROLS INC	PO BOX 730068	DALLAS	TX	75373
JOHNSON FLORENCE M	765 PENN AVENUE	PITTSBURGH	PA	15221
JONES EDWARD	PO BOX 2051	GLEN ROSE	TX	76043
JONES IRENE M	11875 SW BELVIDERE	PORTLAND	OR	97225
JUDGE ALFRED	2949 DAVENPORT AVENUE	DAVENPORT	IA	52803
KARNES JOHN	632 QUAIL RIDGE RD	ALEDO	TX	76008
KENTUCKY FRIED CHICKEN	20 0027 KFC PO BOX 789784	WICHITA	KS	67278
KERRIGAN KATHLEEN N	EXOWS		FN	00000
KEWADIN INN OF ST IGNACE	1140 N STATE ST	SAINT IGNACE	MI	49781
KEY BANK USA		WOODVILLE	TX	75979
KHALDOUN CITE I	8030 GROMBALIA	TUNISIA	FN	00000
KIM NAM S	10211 URA LANE 8 207	DENVER	CO	80221
KINDRED HOSPITAL ATLANTA		ATLANTA	GA	30308
KINDRED HOSPITAL DENVER	1920 HIGH STREET	DENVER	CO	80218
KINKOS	PO BOX 672085	DALLAS	TX	75267
KLOPFENSTEIN M H	PO BOX 59889	DALLAS	TX	75229
KMART	3100 W BIG BEAVER RD	CASCO	MI	48064
KNAB JOSEPH RAYMOND	70 CARAWAY LANE	SPENCERPORT	NY	14559
KWIATKOWSKA HENRYKA	27 600 SANDOMIERZ UL		FN	00000
L TECH SERVICE COMPANY	7502 SE 105	LAWTON	OK	73501

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LA STATE UNIV HOSPITAL	PO BOX 33932	SHREVEPORT	LA	71130
LADERA RESORT	489 DEVON PARK	WAYNE	PA	19087
LAKEVIEW REGIONAL MED CTR		MANDEVILLE	LA	70470
LANDRUM PHYLLIS	PO BOX 730	CLEVELAND	MS	38732
LARSEN JANELLA L	6610 N ONARGA	GUAYNABO 969	FA	
LAWRENCE JAMES	748 NE SEWARD AVE	BEND	OR	97701
LEAR CORP SALTILLO PLANT	PO BOX 2097	LAREDO	TX	78044
LECHNER ERIC JOHN	1504 REMINGTON ST	FORT COLLINS	CO	80524
LEITCH MARGARET	13941 N DESERT BUTTE DRI	TUCSON	AZ	85735
LEROY ESTHER		AMES	IA	50010
LEVE ROBERT	MONTREAL QUEBEC CANADA 5025 JEANNE MANCE 2		FO	
LEVENTHAL RICK	PO BOX 20506 CHEROKEE STATION	NEW YORK	NY	10021
LEXINGTON HOTEL SUITES	5401 28TH ST	GRAND RAPIDS	MI	49546
LHIW DBA AMERICAN PACIFIC	96931 PO BOX 10300	TAMUNING	GU	00000
LIBERTY MUTUAL	PO BOX 1525	DOVER	NH	03821
LICHTENSTEIN ANN	712 WAVELAND	MELLENVILLE	NY	12544
LINCARE	2827 PETERSON	NORCROSS	GA	30071
LIQUID CRYSTAL SCIENCE	PO BOX 670337	MARIETTA	GA	30067
LISMARC REALTY MANAGEMENT	55 E WASHINGTON ST	EAST ORANGE	NJ	07017
LIU YEZI	HAU WO ST 2 FL FLAT B MOON SAT BLD 6-18	HONG	KO	00000
LM AIR WASTE		LITTLETON	CO	80161
LOOK OUT INN	622 WATERVLIET	LATHAM	NY	01211
LOPEZ VICTOR	ONTARIO CANADA			

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	2015 S CAMPUS AVE		FA	91761
LUCCARELLI DOMENICA	7 LAUREL CR 309	KEANSBURG	NJ	
LUDINGTON AREA SCHOOLS	809 E TINKHAM A	LUDINGTON	MI	49431
LYNN BRYAN J	41 INNER CIRCLE	DOVER	DE	19901
LYON WILLIAM T	3191 MAPLE LN	ALPHARETTA	GA	30201
MACCLURE STEVEN L	2718 WEST 59TH STREET	DAVENPORT	IA	52806
MAGANA ANDREA	2158 W 18TH ST APT 21E	BRONX	NY	10471
MAGANA CARLOS R	PEDREGAL DE SAN ANGEL CANTIL 140 01900 D F	MEXICO	FN	
MAIOLO FRANCIS M	URB PALMAR SUR H64 CALLE F	CAROLINA	PR	09796
MALONE KIRA R	20788 EAST 44TH AVE	DENVER	CO	80226
MANDEVILLE PEDIATRIC CLINIC		MANDEVILLE	LA	70471
MARICOPA MEDICAL CENTER	MARICOPA COUNTY	PHOENIX	AZ	85010
MARRIOTT MANAGEMENT SERVI	PO BOX 352	BUFFALO	NY	01424
MARTINEZ MARIO P	PO BOX 76032	MEX	FN	00000
MARZULLI JU	APDD 497 SAN MIGUEL DEALLENDE GTO 37700	MEX	FO	00000
MARZULLI PASCAL A	APDD 497 SAN MIGUEL DEALLENDE GTO 37700	MEX	FN	00000
MASS. MUTUAL LIFE	700 VETERANS HIGHWAY	HAUPPAUGE	NY	11788
MAYANOT YESHUA	JERUSALEM RECHOV KAZELENBOGE 66	ISR	FN	00000
MAZDA AMERICAN CREDIT		DORCHESTER	IA	52140
MAZDA AMERICAN CREDIT		EAST NORWICH	NY	11732
MCCLURE DANIELLE	1409 ROPERMTN RD APT#576	GREENVIL	SC	29615
MCILVAIN TIMOTHY A	2169 S KITTREDGE WAY	AURORA	CO	80013

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MCKENNA PETER	51 W 52ND ST	NEW YORK	NY	01001
MEDICAL ASSN OF MEDICAL STAFF SERVICES	PO BOX 140647	AUSTIN	TX	78714
MEDICAL GROUP MANAGEMENT	PO BOX 17603	DENVER	CO	80217
MEDICAL PARK XRAY SVC	642 E 5TH AVE	ANCHORAGE	AK	99508
MELGAREJO SELMA SIMON	TENNESSEE 32-A	MEXICO 18	FN	
MEMORIAL HOSPITAL		NORTH CONWAY	NH	03860
MENDOZA TINA	104 THORNTON ST	COTULLA	TX	78014
MESSINA VIRGINIA L METAL RECOVERY SYSTEMS INC	1130 ROSEDALE DRIVE 16440 COMMON ROAD	ATLANTA ROSEVILLE	GA MI	30306 48066
METHODIST PRIMARY CARE		MEMPHIS	TN	38104
METHVEN WILLIAM C	PO BOX 919	CANON CITY	CO	81215
METRA HEALTH	1420 PEACHTREE ST NE	ATLANTA	GA	30309
METRA HEALTH	450 COLUMBUS BLVD 10NB	HARTFORD	CT	06115
METRA HEALTH	8369 SENECA TURNPIKE	NEW HARTFORD	NY	13413
METRA HEALTH	PO BOX 2960	ENGLEWOOD	CO	80110
METRA HEALTH	PO. BOX 672588	MARIETTA	GA	30067
METRAHEALTH	PO BOX 5629	NEWARK	DE	19714
METRAHEALTH	PO BOX 672588	MARIETTA	GA	30067
METRAHEALTH	PO BOX 740042	ATLANTA	GA	30374
METRAHEALTH	PO BOX 9818	NEW HAVEN	CT	06536
METROPOLITAN HEALTH	1700 ALMA DR	PLANO	TX	75075
MILLER ELAINE	620 BROCO RD	DENVERTON HGT	CO	80221
MILLER ELAINE	620 BROCO RD	DEVERTON HTS	CO	80221
MILLER JAMES R	P O BOX 5278	GREELEY	CO	80632

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MIMS LUCHEY	P O BOX 37284	SHREVEPORT	LA	71133
MINTON CHARLES	338 HICKORY BLUFF	JOHNSON CITY	TN	37601
MOMENTO MEDICO ITALIA			FN	00000
MONKMAN LORNE	CALGARY ALBERTA 404 MT CASCADE PLACE SE		FA	
MONKMAN SHARON	CALGARY ALBERTA 404 MT CASCADE PLACE SE		FA	
MONONGALIA CNTY HEALTH DEPT		MORGANTOWN	WV	26505
MONTANA HERNANDO	102 PACES BROOK AVE 1023	COLUMBIA	SC	29212
MONTEJANO ARMANDO	USS GEO WASH CVN73	FPO	AE	09550
MOORE MAURICE D	1967A CARABOA AVE.	YIGO	GU	00000
MORAN GERALD E	1586 E BEACH BLVD	PASS CHRISTIAN	MS	39571
MOTOROLA INC	PO BOX 29005	PHOENIX	AZ	85038
MUGICA MARIO P	PO BOX 76032	MEX	FN	00000
MURPHY JOHN R MD	301 SLEEPY HOLLOW	CLEVELAND	TX	77327
N SCOTTSDALE PEDTRC ASSOC		SCOTTSDALE	AZ	85258
NADEEM AMJAD	LORMSKOG ROLVSRUD VN 18		FN	00000
NATIONAL BENEFIT ADMIN	PO BOX 39118 5755 DABLIN	COLORADO SPRINGS	CO	80949
NATIONAL GROUP LIFE CLAIMS	PO BOX 619077	DALLAS	TX	75261
NATIONAL TARGET GRP	PO BOX 3400	PUEBLO	CO	81005
NELSON DEANNE	2609 DIEHR AVE	DAVENPORT	IA	52802
NETWORK CONSTRUCTION AND	2310 N TRIPHAMM	ITHACA	NY	01485
NEW ENGLAND	PO BOX 4015	ISELIN	NJ	08830
NEW YORK EYE AND EAR INFI		NEW YORK	NY	01000
NEW YORK LIFE	PO BOX 1700	DETROIT	MI	48231

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NEW YORK LIFE	PO BOX 30769	JAMAICA	NY	30769
NEWLAND MEDICAL ASSOCIATES		SOUTHFIELD	MI	48075
NIC INFOR A S	PO BOX 6512 ETTERSTAD BERTRAND NAVESSENS VEI 2	OSLO	FN	00000
NICHOLS ELECTRIC	PO BOX 408 128 STEWART ST	DURANGO	CO	81301
NISSAN MOTOR ACCEPTANCE CORP		GIRVIN	TX	79740
NORA H G	COLONIA MIXCOAC	MEX	FN	00000
NORDSTROM INC	715 SW MORRISON SUITE 800	PORTLAND	OR	97205
NORMAN REGIONAL HOSPITAL	901 N PORTER AV	NORMAN	OK	73071
NORTH AMERICAN ADM INC	PO BOX 9501	AMHERST	NY	14226
NORTH SHORE HEALTH S	150 COMMUNITY DRIVE	GREAT NECK	NY	11021
NORTH YORKBOARD OF EDU	5050 YOUNG ST	NORTH YOURONT	FA	M2N 5
NORTHCUTT JOE P NORWALK HOSPITAL	1115 LOUISE LANE 24 STEVENS STREET	NORMAN NORWALK	OK CT	73071 06851
NORWICHTOWN CONVALESCENT	93 W TOWN ST	NORWICH	CT	06360
OAKDALE MANOR	1025 N ADAMS RD	SAND SPRINGS	OK	74063
OCMULGEE FIELDS INC	PO BOX 7006	MACON	GA	31298
ODDAFLUG	400 ISAFJORDUR	ICELAND	FA	
OJEDA CARLOS B	EDO DE 54060 TLALNEPANTLA	MEX	FN	00000
OJEDA KARLA G	EDO DE 54060 TLALNEPANTLA	MEX	FN	00000
OJEDA TANIA R	EDO DE 54060 TLALNEPANTLA	MEX	FN	00000
OKE BENJAMIN A	109 24 175TH ST	JAMAICA	NY	01143
OLD KENT BANK		HARRISON CITY	PA	15636
OLIVERA MATILDE S	315 CONANT ST	HILLSIDE	NJ	07205
OLSON KARYN N	AUTSTRALIA NATHAN QUEENSLAND 4111 P2 2 CARNARVON RESID GRIFFITH		FO	

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OLYMPIC FINANCIAL LTD		AUGUSTA	KS	67010
OLYMPIC FINANCIAL LTD.		CODEN	AL	36523
OMC EL PASO	11130 ROJAS DR BULLAING A SUITE C	EL PASO	TX	79935
OMCA	234 EGLINTON AVENUE E SUITE 412	TORONTO	CP	
ONTARIO MINISTRY OF HEALT	PO BOX 2017	BUFFALO	NY	01424
ONYX ACCEPTANCE CORP		JAYTON	TX	79528
OPAWIKOCIKAN SCHOOL	BOX 100 PELICAN NARROWS SAS		FA	SOP O
ORCHARD MANOR NURSING HOM	20 ORCHARD DR	GROVE CITY	PA	16127
ORGILL INC	2100 LATHAM STREET	MEMPHIS	TN	38101
ORION WEST LLC	1508 SANTA FE SUITE E	WEATHERFORD	TX	76086
ORTHOPEDIC NETWORK NEWS	P O BOX 83040	BIRMINGHAM	AL	35283
OYSTILA ANN K	STOCKHOLM SWEDEN SCHEELE GATAN 8 2TR		FA	
OYSTILA HANS E	STOCKHOLM SWEDEN SCHEELE GATAN 8 2TR		FA	
P MERRITT MD	113 VA CLINICAL LAB	PITTSBURGH	PA	15206
PACILEO BERTHA	2316 E 70TH ST	BROOKLYN	NY	01123
PALACIO NORBERTO P	EL MIRADOR NAUCALPAN EDO VALLE JILOTEPEC NO 33	MEX	FN	00000
PASANTES ELENA S	COL LOMAS DE CHAPULTEPEC	MEX	FN	00000
PATHFINDER	PO BOX 647	JACKSONVILLE	AR	72076
PATRICK CROWLEY TDA NABIS CO BISCUIT CO	200 DEFOREST AVE.	EAST HONOVER	NJ	07936
PATRONA ELENI K	HANDACOS 4	GRC	FN	00000
PEARLS OF THE CARIBBEAN INC	MERIDA YUCATAN AVENIDA PEREZ PONCE #407	MEX	FN	00000

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PELLETIER ROBERT	ON KIN 6N5 UNIVERSITY OF OTTAWA		FN	00000
PELLITERI PAT	WOODBRIIDGE ONTARIO L4L 2P6 115 WAYMAR HEIGHTS BLVD	CAN	FN	00000
PENLEY STEPHEN	210 WALKER ST NW	ATLANTA	GA	30313
PENNSYLVANIA DEPT OF INSURANCE			PA	
PENNWELL CORPORATION PENN WELL CONFERENC	3050 POST OAK BOULEVARD	HOUSTON	TX	77056
PERALTA ALBERTO	13740 45TH 1A	FLUSHING	NY	11355
PEREZ G	104 HARDENBURG AVE	DEMAREST	NJ	07627
PHARMICIA AND UPJOHN		KALAMAZOO	MI	49001
PHILIP O SHILLINGLAW		SAINT STEPHEN	SC	29479
PHOENIX CHILDRENS HOSPITAL		PHOENIX	AZ	85006
PHYSICAL EDUCATION RESEARCH	PO BOX 19395/4834	TEHRAN	FN	00000
PIKESVILLE HILTON	1726 REISTERSTOWN ROAD	BALTIMORE	MD	21208
PINEHAVEN CARE CENTER	1251 PINEHAVEN	CLINTON	MS	39056
PITNEY BOWES SUPPLY LINE	40 LINDEMAN DRIVE	TRUMBULL	CT	06611
PLASENCIA MARIA N	LERDO DE TEJADA 2626	GUADALAJARA J	FN	00000
PLAYERS ISLAND HTL AND CA	505 N LAKE SHORE DR	LAKE CHARLES	LA	70601
POHLE GERTRUD J	SUEDWESTKORSO 1000 BERLIN 41	DEU	FN	00000
POREX SURGICAL INC.	4715 ROOSEVELT HIGHWAY	ATLANTA	GA	30349
PORTER JON E	5908 W BEVERLY DR 1166	FORT WORTH	TX	76132
POWE PAMILA	2010 10TH STREET	CORALVILLE	IA	52247
POYASTRO JOSE	GUADALAJARA ENRIQUE RODO 3045	MEX	FN	00000
PRATER MICHAEL		JACKSON	MI	49201

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PRESTON EASTIN INC	PO BOX 582288	TULSA	OK	74158
PRIEST LAKE MEDICAL CLINIC		NASHVILLE	TN	37227
PRINCE ALBERTE RCSSB NO 6	118 11TH ST E PRINCE ALBERT	SASK	FA	S6V 1
PRINCIPAL MUTUAL	711 HIGH STREET	DES MOINES	IA	50392
PRINCIPAL MUTUAL LIFE	711 HIGH ST	DES MOINES	IA	50392
PRINCIPLE MUTUAL INS	4050 RIVER RIDGE DR NE	CEDAR RAPIDS	IA	52402
PROGRESSIVE PLAN	PO BOX 2019 MURRAY HILL	NEW YORK	NY	10156
PRONET MEDICAL COMMUNICAT	PO BOX 200457	DALLAS	TX	75320
PROVIDENT BANK OF MARYLAND		ROCK	KS	67131
PROVIDENT LIFE	PO BOX 30151	LANSING	MI	48909
PROVIDENT LIFE & ACCIDENT	PO BOX 30151	LANSING	MI	48909
PRUDENTIAL	PO BOX 6200	LINWOOD	NJ	04322
PRUDENTIAL INSURANCE	PO BOX 950 250 GIBRATHER ROAD	HORSHAM	PA	19044
PRUDENTIAL INSURANCE CO	56 N LIVINGSTON AVE	ROSELAND	NJ	07068
PRUDENTIAL INSURANCE CO	PO BOX 5060	MILLVILLE	NJ	08332
PUENTE MANUEL	AVE VERTIZ 1479 COL PORTALES	MEX	FN	00000
QSD			AA	
QUEST MEDICAL INC	ONE ALLENTOWN PARKWAY	ALLEN	TX	75002
RABANES ESCOLASTIC	PILAP STA CRUZ ILOCOS SUR PI F		FA	
RADIO COMMUNICATIONS CO	1816 PAPPAS STREET	LAREDO	TX	78041
RADIO COMMUNICATIONS OF C	50TH ST & BEALLWOOD CONNE	COLUMBUS	GA	31904
RADIO SHACK PREMIUM INCEN	1600 ONE TANDY CENTER	FORT WORTH	TX	76102
RALOID TOOL CO INC	PO BOX 551	MECHANICVILLE	NY	01211
RAM TIRE SERVICES	9560 B NORTH LOOP	EL PASO	TX	79927

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RAMOT YESHIVAH T	JERUSALEM PO BOX 23074	ISR	FN	00000
REDDIX BETTY	209 JEFFRIES ST B	HOT SPRINGS	AR	71913
REFLECTOR HARDWARE CORP	233 12TH STREET	COLUMBUS	GA	31901
RENAL TREATMENT CENTER	4528 FRERET ST	NEW ORLEANS	LA	70115
RHOUMA TAREK B	8030 GROMBALIA	TUNISIA	FN	00000
RICHARD DAVID L	38360 HOLDRIDGE AVE	COLORADO SPRINGS CO		80919
RIERE MICHAEL	128 E HARWOOD TERRACE	PALISADES PARK	NJ	07650
RIVERA SABINA	PO BOX 64	CAGUAS	PR	00625
RIVERLAND MEDICAL CENTER		FERRIDAY	LA	71334
RMSCO	731 JAMES ST SUITE 309	SYRACUSE	NY	13203
ROBERT GOLD MD PFSP	ELDORADO ONTARIO CANADA RR 1 SUITE 636022		FO	
ROBERT MILLS 355474	P O BOX 4500	TENN COLONY	TX	
ROBERT MILLS 355474	P O BOX 4500	TENN COLONY	TX	75856
RODGERS KRISTIE	2807 12TH AVENUE 1	CHATTANOOGA	TN	37407
RODRIGUEZ ALMA A	TIZIPAN SAN ANGEL	MEX	FN	00000
ROLDAN ANTILEN M	0177 EL BOSQUE 19TH FL	SANTIAGO	FN	00000
ROWE JOHN	644 IND PARK BLVD APT 6J	N RICHLAND HILLS	TX	76180
SADLER CLINIC		CONROE	TX	77304
SAFFARINI MONTASER A	DUBAI PO BOX 4000	ARE	FN	00000
SAGE MEMORIAL HOSPITAL	PO BOX 457	GANADO	AZ	86505
SAIZ ANTONIO	REO PIEDRAS 00926 CALLE TREVI F4		FA	
SAIZ MARIA	REO PIEDRAS 00926 CALLE TREVI F4		FA	
SALDIVAR MAURA	GUADALAJARA JALISCO 44100 LERDO DE TEJADA #2626		FN	00000
SALVATORE STEPHEN R	330 HERITAGE ROAD	SEWELL	NJ	08080

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SANDBAR MOTOR INN & RESTA	US RTE 2	SOUTH HERO	VT	05486
SANDERS BRENDA	20 A STREET	NIAGARA FALLS	NY	14303
SANDERS HDWE	160 W 6TH ST	SILVERTHORNE	CO	80498
SANDERS MICHAEL B	9104 W PROGRESS AVE	LITTLETON	CO	80123
SANTOS FRANCISCO J	COL LIMAS DE CHAPULTEPEC ALCAZAR DE TOLEDO NO 405 1	MEX	FN	00000
SATHASIVAM CHAKRAVARTHY	PO BOX 10857		FN	00000
SATHASIVAM MANONMONEY	PO BOX 10857	50728 KUALA L	FN	00000
SAVANNAH INSTITUTE SISTER OF MERCY	207 EAST LIBERTY STREET	SAVANNAH	GA	31401
AVILLE KELLY J	11724 E BASSWOOD LN	FRANKTOWN	CO	80116
SCHLESKE MIGUEL R	COL CIUDAD SATELITE CIRCUITO CIRCUMBALACION PONIEN	MEXICO	FN	
SCHLUNK FRANZ R	TOKYO 151 MOTOHACHI MANSION MOTOYOYGI CHO 16 2 SHIBUYA KU	JPN	FN	00000
SCHOOL DIST 16 KEREMEOS	PO BOX 10	KEREMEOS BC	FA	VOX 1
SCHROD SHIRLEY	RT 1 BOX 174	HALLS	TN	38040
SCOTIAMCLEOD INC	TORONTO ONTARIO M5K 1M2 BOX 433 STN TORONTO DOM	CAN	FN	00000
SEAL JACK F	403 HOMEWOOD ROAD	LINTHICUM HEIGHTS	MD	21090
SEEHUS MARGARET	461 MORGAN ROAD	LONGMONT	CO	80501
SEMINARS INTERNATIONAL	PO BOX 25037	SHAWNEE MISSION	KS	66202
SENTINEL SECURITY COMM	3899 ONEIDA ST	NEW HARTFORD	NY	13413
SEVERIN JOEL B	1307 N CLAYTON ST	WILMINGTON	DE	19806
SHAHEED SAEED			DE	
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SHELL OIL CO/SBMS		DALLAS	TX	75379
SHUHUI VICTOR	TAIPEI CHUNG CHING S RD 12TH FL APT 51	TWN	FN	00000
SIDDIQUI SALEEMA	PO BOX 110731	CARROLLTON	TX	75011
SIDES DOUGLAS	VAN MIERVELD STR 5 1071 DW		FN	
SILLER ROLAND	DEU SCHWARYBURG STREET 30		FN	00000
SILVA DOLORES G	IGNACIO ESTEVA 44 S MIGUEL CHA	MEX	FN	00000
SILVA ELISA G	IGNACIO ESTEVA 44 S MIGUEL CHA	MEX	FN	00000
SILVERTON GENERAL HOSPITA	342 FAIRVIEW ST	SILVERTON	OR	97381
SIMMONS ARNETTE	MS2 SIMMONS VAQ 138 UNIT25412	FPO	AP	96601
SIMMONS BETTY S	HARVEY RD PAGET WEST	BERMUDA	FN	
SIMON LUIS J	TENNESSEE 32-A		FN	
SIMONE DEVELOPMENT CO	100 MAIN ST	NEW ROCHELLE	NY	10801
SIMONSON MARLYS J	3995 560TH AVE	RODMAN	IA	50580
SINAR BRON INC	PO BOX 4015	ISELIN	NJ	08830
SLIWA VICTOR	PO BOX 59889	DALLAS	TX	75229
SMART MERCHANDISING & DIS	PO BOX 4098 46 10 SKILLMAN AVE	SUNNYSIDE	NY	11104
SMITH AARON R	15917 E MERCER CIR	AURORA	CO	80013
SMITH AMY L		BILOXI	MS	39530
SMITH BILL	3200 PARKWOOD BL #502	PLANO	TX	75093
SMITH ELISHA R	15917 E MERCER CIR	AURORA	CO	80013
SMITH JESSICA R	15917 E MERCER CIR	AURORA	CO	80013
SMITH JOANNA	3025 GUNNISON TRL	FT WORTH	TX	76116

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SMITH THOMAS P		BILOXI	MS	39530
SMOCK STEVEN O	330 LOS NOGALES CT	SEGUIN	TX	78155
SNFMAN	PO BOX 40609	PORTLAND	OR	97240
SNOWMASS LODGE AND CLUB	PO BOX 1248	ASPEN	CO	81612
SOTER MARK D	624 CLARK ST	CLAY CENTER	KS	67432
SOTER SUSAN J	624 CLARK ST	CLAY CENTER	KS	67432
SOTO PATRICIA	2205 CALES DRIVE	ARLINGTON	TX	76013
SOUTH DOWN CARE CENTER	1386 W TUNNEL B	HOUMA	LA	70360
SOUTHWEST HOSPITAL & ME	501 FAIRBURN RD SW	ATLANTA	GA	30331
SPRINGER VERLAG NEW YORK INC	175 FIFTH AVE	NEW YORK	NY	10010
ST FRANCIS VILLAGE INC		CROWLEY	TX	76036
ST MARYS HOSPITAL	89 GENESEE ST	ROCHESTER	NY	14611
ST REGIS HOTEL	17TH AND CHESTN	PHILADELPHIA	PA	19103
STAM CLAZINA	151 GRAHAM AVE	HALEDON	NJ	07508
STARR WOOD CARDIAC GRP	9155 SW BARNES RD STE 240	PORTLAND	OR	97225
STATE FARM	PO BOX 8430	SCOTTSDALE	AZ	85252
STATE OF WEST VIRGINIA	PO BOX 5607	CHARLESTON	WV	25362
STATEN ISLAND ADVANCE	950 FINGERBOARD ROAD	STATEN ISLAND	NY	10305
STATEN ISLAND UNV HSPTL	475 SEAVIEW AVENUE	STATEN ISLAND	NY	10305
STATLER HOTEL		ITHACA	NY	01485
STEDMAN LISA	20359 NE 63RD	HARRAH	OK	73045
STEUICY THEODORE	12000 MARTIN LUTHER KING DR	HOUSTON	TX	77048
STEVENS PUBLISHING	PO BOX 2604	WACO	TX	76702
SUAREZ ENRIQUE A	NORTE 35 1028	MEX	FN	00000

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SUAREZ JOAQUIN D	NORTE 35 1028	MEX	FN	00000
SUAREZ MARIA T	NORTE 35 1028	MEX	FN	00000
SUN TSUNG HSU J	655 W IRVING PARK RD APT 18 A	NEW YORK	NY	10016
SUNNY ACRES VILLA	2501 E 104TH AV	DENVER	CO	80233
SUNSHINE SALERNO INC	PO BOX 248	BRATTLEBORO	VT	05302
SUPPLY DISTRUBUTION CENTER	PO BOX 5685	DENVER	CO	80217
SUTHERLAND PETER A	61307	APO	AE	09803
SUTHERLAND RUSSELL P	TORONTO ON M4G 2N1 219 DONLEA DRIVE	CANADA	FO	00000
SVC PRIMUS AUTOMOBILE FIN		ROCK HILL	SC	29732
SVC PRIMUSAUTOMOTI FIN		N CHARLESTON	SC	29405
SYKES JOHN L	CANADA K1H 2111 AL TAVISTA DR ONTARIO		FA	
TAKE CARE HEALTH PLAN	5725 MARK SABLING BLVD	COLORADO SPRINGS	CO	80919
TAKECARE GREAT LAKES	PO BOX 35715	COLORADO SPRINGS	CO	80935
TAMURA KATSUNORI	TOKYO JAPAN UNIV OF TOKYO		FA	
TATEISHI YUKIKO	TOKYO 108 APT 101 2741 SHIRKANE MINATO KU	JPN	FN	00000
TAYLOR GEORGE M			WV	
TELETRONICS PAGING SYSTEM	7400 S TUCSON WAY	ENGLEWOOD	CO	80112
TEMPORARY VIP SUITES	590 MILLSBEE LN	ROSWELL	GA	30075
THALER STEVEN	PO BOX 251	LAKESWOOD	NJ	08701
THE YASUDA FIRE & MARINE INS	255 LUBERTY STREET	NEW YORK	NY	10281
THOMAS & BETTS CARIBE	CABOCARIBE IND PK LOT 34 PO BOX 4058	VEGA BAJA	PR	0694
THOMPSON GEORGE L	511 NORWOOD CIRCLE EAST	ARLINGTON	TX	76013

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THUROW JOANNE	2117 ARCHER CT	FLOWER MOUND	TX	75028
TOYOTA MOTOR CREDIT		MACUNGIE	PA	18062
TPA	116 WASHINGTON AVE	NORTH HAVEN	CT	06473
TR PAUL GROUP SERVICE INC	PO BOX 5508	NEWTOWN	CT	06470
TRAVELERS	1 TAVEL SQUARE	HARTFORD	CT	06183
TREASURER STATE OF CT	165 CAPITOL AVE	HARTFORD	CT	06106
TREVINO LEONELO	SAN NICOLAS N L PO BOX 5	MEXICO	FN	
TREVINO ROLANDO	PO BOX 5 PO BOX 5	SAN NICOLAS N MX	FN	
TTI EXPOTRANS TRANSPORTES	2850 MARKET LOOP	SOUTHLAKE	TX	76092
TUBELITE NCAS MIDWEST	525 N WOODWARD AV STE 10	BLOOMFIELD HILLS	MI	48304
TUT SYSTEMS	18277 S.W. BOONES FERRY	PORTLAND	OR	97224
UAB HSF		BIRMINGHAM	AL	35255
UAW NCAS MIDWEST	525 N WOODWARD AVE STE 1	BLOOMFIELD HILLS	MI	48304
UMEMOTO HARRY H	4702 W 35TH AVE.	DENVER	CO	80212
UNGER HORTENSE	2807 W ARLINGTON LOOP	HATTIESBURG	MS	39401
UNITED PRESSTEK INC	3801 TRENTON AVENUE	DETROIT	MI	48210
UNIV OF IOWA	200 HAWKINS DR ROOM C41 GH	IOWA CITY	IA	52242
UNIV OF TENNESEE MED CTR	DT STAFFORD PHD TOX LAB	MEMPHIS	TN	38163
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UNIVERSITY OF MICHIGAN	MATT HOSPITAL	ANN ARBOR	MI	48109
UNIVERSITY OF OKLAHOMA	COLLEGE OF MEDICINE	OKLAHOMA CITY	OK	73126
UPMCST MARGERET	815 FREEPORT RD	PITTSBURGH	PA	15215
US AIR FORCE ACCTG & FINANCE	AFMFTC ACFAC			

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	LACKLAND AIR FORCE BASE		TX	78236
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US ARMY FINANCE & ACCOUNTING		FORT SHAFTER	HI	96858
US LIFE INSURANCE CO	PO BOX 15205	READING	PA	19612
VAIL MARY	5K BARRETT DR	KENDALL PARK	NJ	08824
VALASSIS COMMUNICATIONS	525 N WOODWARD AV STE 15	BLOOMFIELD HILLS	MI	48304
VALENTINES	616 BEACH DR	SAINT SIMONS ISLAND	GA	31522
VALENZUELA MANUEL R	TIZIPAN SAN ANGEL IGLESIA 2 DESP 706	MEX	FN	00000
VALOZZI FRED	TORONTO ONTARIO M3K 2W2 1170 SHEPARD AVE W UNIT 35	CAN	FN	00000
VANCOUVER STOCK EXC SVCS	VANCOUVER BC V7Y 1J9 BOX 10338 609 GRANVILLE ST	CANADA	FO	00000
VANCOUVER STOCK EXCHANGE	VANCOUVER BC BOX 10333 609 GRANVILLE ST	CAN	FN	00000
VANREE ERIK	6131 AA SITTARD HOLLEWEG 13		FN	
VARGHESE THERESA M	4280 NORTHERN PIKE 100-431	MONOROEVILLE	TX	
VASSALLO REGINALD	22C AMATO DR	SOUTH WINDSOR	CT	06074
VILLASENOR GUADALUPE	QUERETARO QUERETARO CALLE 19 DE JULIO 4 DPTO 4		FN	
VITRAMON INC	PO BOX 544	BRIDGEPORT	CT	06601
VOLUNTEERS OF AMERICA	600 AZALEA RD	MOBILE	AL	36609
VORISZETZSCHE DARLENE	11919 W FOX CHASE CR	KNOXVILLE	TN	37922
WALLOCH LILLIAN	PO BOX 88 425 CEDAR ST	WATERLOO	IA	50704
WARNER LAMBERT COMPANY	201 TABOR ROAD	MORRIS PLAINS	NJ	07950
WARREN COUNTY BOARD OF ED	107 ACADEMY STREET	WARRENTON	GA	30828

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WATON JUDITH C	20 RUE DE LA PLAINE	92140 CLAMART	FN	00000
WATON NICHOLAS	20 RUE DE LA PLAINE		FN	00000
WATSON RUBY	9108 DAILEY DR	LITTLE ROCK	AR	72209
WEEGAR GAIL	PO BOX 62	OSWEGO	NY	01312
WEHMANN MARGARET A WEILER HOSP OCC HEALTH CTR	11 FREDONMARKSBORO RD 1825 E CHESTER RD 7TH FL	NEWTON BRONX	NJ NY	07860 10461
WEST CANADA DEPOSITORY	VANCOUVER BC V7Y 1J9 BOX 10338 609 GRANVILLE ST	CAN	FN	00000
WESTCHESTER UROLOGICAL		WHITE PLAINS	NY	01060
WHALIN VIRGIE C	217 VELMA AVENUE	SPRINGDALE	AR	72764
WHITE FRANCES	P O BOX 31294	JACKSON	MS	39286
WHITENER BETH	1000 W 25TH ST 210	AUSTIN	TX	
WHITNEY MUSEUM OF ART	945 MADISON AVE	NEW YORK	NY	10001
WIEBE ALFRED H	14267 NW PURITY SPRINGS ROAD	BURNS	KS	66840
WIEGAND MARIA L	RIO MIXCOAC 88 PENTHOUSE SILVIA L DE MARTINEZ		FN	
WILHELM KATHRYN T	328 W 83RD STREET UNIT 6F	NEW YORK	NY	10024
WILLIAMS MOSES	PO BOX 1152	TALLULAH	LA	71284
WILLIAMS ROHANNA	1701 PEARLIE DR #3H	WICHITA FLS	TX	76306
WILLIS CORROON ADM SERVICES	PO BOX 305154	NASHVILLE	TN	37230
WILSON LUCY M	4628 LANCASTER ROAD	BOLTON	MS	39041
WINCHESTER SAVINGS BANK		PINOPOLIS	SC	29469
WINTERS PERRY M	PO BOX 573	DURANT	MS	39063
WITTER LAWRENCE	716 IRVING PLACE	SECAUCUS	NJ	07094
WJ JONES ADM SERVICE	1983 MARCUS AVE	NEW HYDE PARK	NY	11042

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WORKMAN PAMELA	BRACEBRIDGE ONTARIO P1L 1T9 P O BOX 747	CANADA	FO	00000
WORLD WIDE PUBLISHERS LTD	VICTORIA BC 13 547 ESZULTMANT RD	CANADA	FO	00000
WYMAN P SANDLIN DO		BRYAN	TX	77802
YAMAGUDI MASATOSHI	KIHARA, KIYOTAKECHO MIYAZAKI MED COLLEGE DEPARTMENT OF OB/GYN		FN	
YASUNAGA CHERYL N	933 GREEN ST 2	HONOLULU	HI	96822
YATES BETTY	142 WASHINGTON AVE	MONTGOMERY	AL	36104
YATES BETTY	3848 C SMILEY CIRCLE	MONTGOMERY	AL	36104
YELLOW PAGES	1407 W 84TH AVE SUITE 417	DENVER	CO	80221
ZIMMERMAN NORMA A	RUBEN DARIO 1529-3-A COL PROVIGUADALUPE SHEHAB DE	MEXICO	FN	

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