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December 16, 2016 Volume 40, Issue 51

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## INTRODUCTION

The Illinois Register is the official state document for publishing public notice of rulemaking activity initiated by State governmental agencies. The table of contents is arranged categorically by rulemaking activity and alphabetically by agency within each category.

Rulemaking activity consists of proposed or adopted new rules; amendments to or repealers of existing rules; and rules promulgated by emergency or preemptory action. Executive Orders and Proclamations issued by the Governor; notices of public information required by State Statute; and activities (meeting agendas; Statements of Objection or Recommendation, etc.) of the Joint Committee on Administrative Rules (JCAR), a legislative oversight committee which monitors the rulemaking activities of State Agencies; is also published in the Register.

The Register is a weekly update of the Illinois Administrative Code (a compilation of the rules adopted by State agencies). The most recent edition of the Code, along with the Register, comprise the most current accounting of State agencies' rulemakings.

The Illinois Register is the property of the State of Illinois, granted by the authority of the Illinois Administrative Procedure Act [5 ILCS 100/1-1, et seq.].

## ILLINOIS REGISTER PUBLICATION SCHEDULE FOR 2016

<b>Issue#</b>	<b>Rules Due Date</b>	<b>Date of Issue</b>
1	December 21, 2015	January 4, 2016
2	December 28, 2015	January 8, 2016
3	January 4, 2016	January 15, 2016
4	January 11, 2016	January 22, 2016
5	January 19, 2016	January 29, 2016
6	January 25, 2016	February 5, 2016
7	February 1, 2016	February 16, 2016
8	February 8, 2016	February 19, 2016
9	February 16, 2016	February 26, 2016
10	February 22, 2016	March 4, 2016
11	February 29, 2016	March 11, 2016
12	March 7, 2016	March 18, 2016
13	March 14, 2016	March 25, 2016
14	March 21, 2016	April 1, 2016
15	March 28, 2016	April 8, 2016
16	April 4, 2016	April 15, 2016
17	April 11, 2016	April 22, 2016
18	April 18, 2016	April 29, 2016
19	April 25, 2016	May 6, 2016
20	May 2, 2016	May 13, 2016
21	May 9, 2016	May 20, 2016
22	May 16, 2016	May 27, 2016

23	May 23, 2016	June 3, 2016
24	May 31, 2016	June 10, 2016
25	June 6, 2016	June 17, 2016
26	June 13, 2016	June 24, 2016
27	June 20, 2016	July 1, 2016
28	June 27, 2016	July 8, 2016
29	July 5, 2016	July 15, 2016
30	July 11, 2016	July 22, 2016
31	July 18, 2016	July 29, 2016
32	July 25, 2016	August 5, 2016
33	August 1, 2016	August 12, 2016
34	August 8, 2016	August 19, 2016
35	August 15, 2016	August 26, 2016
36	August 22, 2016	September 2, 2016
37	August 29, 2016	September 9, 2016
38	September 6, 2016	September 16, 2016
39	September 12, 2016	September 23, 2016
40	September 19, 2016	September 30, 2016
41	September 26, 2016	October 7, 2016
42	October 3, 2016	October 14, 2016
43	October 11, 2016	October 21, 2016
44	October 17, 2016	October 28, 2016
45	October 24, 2016	November 4, 2016
46	October 31, 2016	November 14, 2016
47	November 7, 2016	November 18, 2016
48	November 14, 2016	November 28, 2016
49	November 21, 2016	December 2, 2016
50	November 28, 2016	December 9, 2016
51	December 5, 2016	December 16, 2016
52	December 12, 2016	December 27, 2016
53	December 19, 2016	December 30, 2016

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Editor's Note: The Secretary of State Index Department is providing this opportunity to remind you that the next filing period for your Regulatory Agenda will occur from October 15, 2016 until January 3, 2017.

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## DEPARTMENT OF HEALTHCARE AND FAMILY SERVICES

## NOTICE OF WITHDRAWAL OF PROPOSED AMENDMENTS

- 1) Heading of the Part: Practice in Administrative Hearings
- 2) Code Citation: 89 Ill. Adm. Code 104
- 3) 

<u>Section Numbers:</u>	<u>Proposed Actions:</u>
104.1	Amendment
104.10	Repealed
104.11	Repealed
104.12	Repealed
104.20	Repealed
104.21	Repealed
104.22	Repealed
104.23	Repealed
104.30	Repealed
104.35	Repealed
104.40	Repealed
104.45	Repealed
104.50	Repealed
104.55	Repealed
104.60	Repealed
104.70	Repealed
104.74	Repealed
104.75	Repealed
104.80	Repealed
104.102	Amendment
104.275	New Section
104.276	New Section
- 4) Date Notice of Proposed Amendments published in the *Illinois Register*: 40 Ill. Reg. 15830; December 2, 2016
- 5) Reason for the Withdrawal: To ensure that all relevant content included in 89 Ill. Adm. Code 104 is contained in the Department of Human Services rules for Practice in Administrative Hearings at 89 Ill. Adm. Code 14 SUBPART A.

## ILLINOIS RACING BOARD

## NOTICE OF PROPOSED AMENDMENT

- 1) Heading of the Part: Programs
- 2) Code Citation: 11 Ill. Adm. Code 415
- 3) Section Number: 415.10                      Proposed Action: Amendment
- 4) Statutory Authority: 230 ILCS 5/9(b)
- 5) A Complete Description of the Subjects and Issues Involved: This proposed rulemaking makes technical changes that bring the Part into line with current practices.
- 6) Published studies or reports and sources of underlying data used to compose this rulemaking: None
- 7) Will this proposed rulemaking replace any emergency rule currently in effect? No
- 8) Does this rulemaking contain an automatic repeal date? No
- 9) Does this rulemaking contain incorporations by reference? No
- 10) Are there any other rulemakings pending in this Part? No
- 11) Statement of Statewide Policy Objective: No local governmental units will be required to increase expenditures.
- 12) Time, Place and Manner in which interested persons may comment on this proposed rulemaking: Written comments should be submitted within 45 days after this Notice to:

Mickey Ezzo  
Illinois Racing Board  
100 West Randolph  
Suite 5-700  
Chicago IL 60601

312/814-5017  
Mickey.ezzo@illinois.gov

- 13) Initial Regulatory Flexibility Analysis:

ILLINOIS RACING BOARD

NOTICE OF PROPOSED AMENDMENT

- A) Types of small businesses, small municipalities and not-for-profit corporations affected: None
- B) Reporting, bookkeeping or other procedures required for compliance:  
None
- C) Types of professional skills necessary for compliance: None

14) Regulatory Agenda which this rulemaking was summarized: July 2016

The full text of the Proposed Amendment begins on the next page:

## ILLINOIS RACING BOARD

## NOTICE OF PROPOSED AMENDMENT

TITLE 11: ALCOHOL, HORSE RACING, LOTTERY, AND VIDEO GAMING  
SUBTITLE B: HORSE RACING  
CHAPTER I: ILLINOIS RACING BOARD  
SUBCHAPTER b: RULES APPLICABLE TO ORGANIZATION LICENSEES

PART 415  
PROGRAMS

## Section

415.10	Required Information
415.20	Supply Information for Patrons (Repealed)
415.30	Thoroughbred Programs
415.40	Harness Programs
415.50	Quarterhorse Programs
415.60	Availability of Programs
415.70	Distribution of Programs

**AUTHORITY:** Authorized by Section 9(b) of the Illinois Horse Racing Act of 1975 [230 ILCS 5/9(b)].

**SOURCE:** Adopted at 4 Ill. Reg. 43, effective October 20, 1980; codified at 5 Ill. Reg. 10900; emergency amendment at 7 Ill. Reg. 16201, effective November 28, 1983, for a maximum of 150 days; amended at 8 Ill. Reg. 5698, effective April 16, 1984; amended at 14 Ill. Reg. 11314, effective July 3, 1990; amended at 16 Ill. Reg. 7486, effective April 24, 1992; amended at 18 Ill. Reg. 17756, effective November 28, 1994; amended at 19 Ill. Reg. 12691, effective September 1, 1995; amended at 21 Ill. Reg. 12208, effective September 1, 1997; amended at 22 Ill. Reg. 7046, effective May 1, 1998; amended at 41 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_.

**Section 415.10 Required Information**

Programs shall contain the following information:

- a) A statement that the race meeting is conducted pursuant to a license issued by the Board and pursuant to the rules and regulations of the Board.
- b) The address and telephone number of the central office of the Board.
- c) The names of the Board members, the officers and directors of the organization licensee, and Board and track racing officials.

## ILLINOIS RACING BOARD

## NOTICE OF PROPOSED AMENDMENT

- d) The post time of the first pari-mutuel race of each program.
- e) A symbol identifying the horses that have been administered furosemide prior to each race and, ~~where applicable,~~ a statement~~different symbol~~ identifying horses that have been administered furosemide for the first time.
- f) A notice specifying the exact location of information and/or complaint window or windows for the convenience of the patrons. A statement that the Illinois Racing Board rules and complaint forms are available at the information and/or complaint window and at the office of the Illinois Racing Board.
- g) A clear, conspicuous statement of the racing organization's election to impose a surcharge under Section 26.3 of the Horse Racing Act of 1975.
- h) A brief description of the pari-mutuel wagering system.
- i) A statement that wagers are to be made by program number and not by post position ~~or handicap rating number.~~
- j) A statement that pay-offs are not permitted until the stewards have notified the pari-mutuel department of the official order of finish. A statement that tickets should be retained until the official results have been posted.
- k) ~~A statement that tickets should be retained until the official results have been posted.~~
- l) ~~A statement that the Illinois Racing Board rules and complaint forms are available at the information and/or complaint window and at the office of the Illinois Racing Board.~~

(Source: Amended at 41 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

## ILLINOIS RACING BOARD

## NOTICE OF PROPOSED AMENDMENT

- 1) Heading of the Part: Race Track Operators and Their Duties
- 2) Code Citation: 11 Ill. Adm. Code 1305
- 3) Section Number: 1305.110                      Proposed Action:  
Amendment
- 4) Statutory Authority: 230 ILCS 5/9(b)
- 5) A Complete Description of the Subjects and Issues Involved: This proposed rulemaking removes the requirement that the locked box containing emergency medication be stored in the State Veterinarian's office in the detention barn. The locked box is continuously under the direct supervision of the State Veterinarian.
- 6) Published studies or reports and sources of underlying data used to compose this rulemaking: None
- 7) Will this proposed rulemaking replace any emergency rule currently in effect? No
- 8) Does this rulemaking contain an automatic repeal date? No
- 9) Does this rulemaking contain incorporations by reference? No
- 10) Are there any other rulemakings pending in this Part? No
- 11) Statement of Statewide Policy Objective: No local governmental units will be required to increase expenditures.
- 12) Time, Place and Manner in which interested persons may comment on this proposed rulemaking: Written comments should be submitted, within 45 days after this Notice, to:

Mickey Ezzo  
Illinois Racing Board  
100 West Randolph  
Suite 5-700  
Chicago IL 60601

312/814-5017  
Mickey.ezzo@illinois.gov

ILLINOIS RACING BOARD

NOTICE OF PROPOSED AMENDMENT

- 13) Initial Regulatory Flexibility Analysis:
- A) Types of small businesses, small municipalities and not-for-profit corporations affected: None
  - B) Reporting, bookkeeping or other procedures required for compliance: None
  - C) Types of professional skills necessary for compliance: None
- 14) Regulatory Agenda which this rulemaking was summarized: July 2016

The full text of the Proposed Amendment begins on the next page:

## ILLINOIS RACING BOARD

## NOTICE OF PROPOSED AMENDMENT

TITLE 11: ALCOHOL, HORSE RACING, LOTTERY, AND VIDEO GAMING  
SUBTITLE B: HORSE RACING  
CHAPTER I: ILLINOIS RACING BOARD  
SUBCHAPTER f: RULES AND REGULATIONS OF HARNESS RACING

PART 1305  
RACE TRACK OPERATORS AND THEIR DUTIES

Section	
1305.10	Definition of Race Track Operator
1305.20	Application
1305.30	Time for Filing Applications
1305.40	Conditions of License
1305.45	Lease of Race Track (Repealed)
1305.50	Written Disclosure
1305.55	Written Disclosure for Corporations
1305.60	Notice of Changes
1305.70	Political Contributions (Repealed)
1305.80	Termination of License
1305.90	Wagering On Races Conducted off of Premises
1305.100	Reciprocal Suspensions
1305.110	Horse Ambulance
1305.120	Ambulance of Racing Strip (Repealed)
1305.130	First Aid Station (Repealed)
1305.140	Emergency Medical Services
1305.150	Illinois Racing Board Office
1305.170	Moving Office (Repealed)
1305.180	Judges' Stand
1305.190	Drivers' Bench
1305.200	Stabling of Horses
1305.220	Stall Numbers and Distance Poles
1305.230	Licensed Outrider
1305.240	Drinking Fountains and Rest Rooms
1305.250	Telephones
1305.260	Broadcasting and Telecasting
1305.270	Pest Control
1305.280	Alcohol Sales
1305.290	Track Lights
1305.300	Fire Prevention

## ILLINOIS RACING BOARD

## NOTICE OF PROPOSED AMENDMENT

1305.310	Backstretch Paging System
1305.320	Admissions (Repealed)
1305.330	Inspection Report
1305.340	Lottery Events at Race Tracks (Repealed)
1305.350	Off-Track Betting Agencies of Other States
1305.370	Reporting of Horsemen's Purse Account
1305.380	Notification of Change

**AUTHORITY:** Authorized by Section 9(b) of the Illinois Horse Racing Act of 1975 [230 ILCS 5/9(b)].

**SOURCE:** Published in Rules and Regulations of Harness Racing, (original date not cited in publication); amended October 9, 1973, filed October 19, 1973; amended October 25, 1973, filed December 17, 1973; amended February 15, 1974, filed February 28, 1974; amended October 25, 1974, filed November 7, 1974; added May 9, 1975, filed May 15, 1975; amended August 21, 1976, filed August 21, 1976, filed August 30, 1976; amended at 2 Ill. Reg. 27, p. 275, effective July 10, 1978; amended at 4 Ill. Reg. 21, p. 85, effective May 9, 1980; codified at 5 Ill. Reg. 10923; amended at 6 Ill. Reg. 11063, effective September 1, 1982; amended at 9 Ill. Reg. 9165, effective May 30, 1985; amended at 14 Ill. Reg. 17661, effective October 16, 1990; amended at 14 Ill. Reg. 20052, effective December 4, 1990; amended at 17 Ill. Reg. 3034, effective February 23, 1993; emergency amendment at 23 Ill. Reg. 7776, effective June 28, 1999, for a maximum of 150 days; amended at 23 Ill. Reg. 13939, effective November 2, 1999; amended at 28 Ill. Reg. 6596, effective April 19, 2004; amended at 28 Ill. Reg. 11260, effective August 1, 2004; amended at 29 Ill. Reg. 8413, effective June 1, 2005; amended at 34 Ill. Reg. 7741, effective May 24, 2010; amended at 37 Ill. Reg. 20402, effective December 16, 2013; amended at 41 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_.

**Section 1305.110 Horse Ambulance**

There shall be a horse ambulance at all race tracks under the jurisdiction of the Board for the safe and expedient removal of crippled animals from the track. Horse ambulances must be equipped with a screen for use when an animal must be destroyed in view of the general public, a winch to lift dead or injured animals on to the ambulance, and a removable floor or other satisfactory device for the safe loading of a recumbent horse. Drugs for use by the State Veterinarians, when emergency medication is required, shall be stored in a locked box ~~in the State Veterinarian's office in the detention barn.~~

(Source: Amended at 41 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

## ILLINOIS RACING BOARD

## NOTICE OF PROPOSED AMENDMENT

- 1) Heading of the Part: Race Officials
- 2) Code Citation: 11 Ill. Adm. Code 1306
- 3) Section Number: 1306.130                      Proposed Action:  
Amendment
- 4) Statutory Authority: 230 ILCS 5/9(b)
- 5) A Complete Description of the Subjects and Issues Involved: This proposed rulemaking removes obsolete language to bring the Part into line with current practices in harness racing.
- 6) Published studies or reports and sources of underlying data used to compose this rulemaking: None
- 7) Will this proposed rulemaking replace any emergency rule currently in effect? No
- 8) Does this rulemaking contain an automatic repeal date? No
- 9) Does this rulemaking contain incorporations by reference? No
- 10) Are there any other rulemakings pending in this Part? No
- 11) Statement of Statewide Policy Objective: No local governmental units will be required to increase expenditures.
- 12) Time, Place and Manner in which interested persons may comment on this proposed rulemaking: Written comments should be submitted within 45 days after this Notice to:

Mickey Ezzo  
Illinois Racing Board  
100 West Randolph  
Suite 5-700  
Chicago IL 60601

312/814-5017  
Mickey.ezzo@illinois.gov

ILLINOIS RACING BOARD

NOTICE OF PROPOSED AMENDMENT

- 13) Initial Regulatory Flexibility Analysis:
- A) Types of small businesses, small municipalities and not-for-profit corporations affected: None
  - B) Reporting, bookkeeping or other procedures required for compliance: None
  - C) Types of professional skills necessary for compliance: None
- 14) Regulatory Agenda which this rulemaking was summarized: July 2016

The full text of the Proposed Amendment begins on the next page:

## ILLINOIS RACING BOARD

## NOTICE OF PROPOSED AMENDMENT

TITLE 11: ALCOHOL, HORSE RACING, LOTTERY, AND VIDEO GAMING  
SUBTITLE B: HORSE RACING  
CHAPTER I: ILLINOIS RACING BOARD  
SUBCHAPTER f: RULES AND REGULATIONS OF HARNESS RACING

PART 1306  
RACE OFFICIALS

Section	
1306.10	State Steward
1306.20	Additional Steward
1306.30	Judges
1306.50	Disqualification to Act as Official
1306.70	Participation Forbidden
1306.80	Judges' Stand Occupants
1306.90	Improper Conduct
1306.100	Presiding Judge
1306.110	Powers of the State Steward and Judges
1306.120	Duties of the Judges and State Steward
1306.130	Procedures of the Judges and State Steward
1306.140	Patrol Judge
1306.160	Starting Judges
1306.170	Duties of Starter
1306.180	Clerk of the Course
1306.190	Timers
1306.200	Paddock Judge
1306.210	Program Director
1306.220	Horse Identifier
1306.230	State Veterinarian (Repealed)
1306.240	Bribes Forbidden
1306.250	Drinking of Intoxicating Liquor Prohibited
1306.260	Arrival Time (Repealed)

**AUTHORITY:** Authorized by Section 9(b) of the Illinois Horse Racing Act of 1975 [230 ILCS 5/9(b)].

**SOURCE:** Published in Rules and Regulations of Harness Racing (original date not cited in publication); codified at 5 Ill. Reg. 10926; amended at 10 Ill. Reg. 10147, effective May 27,

## ILLINOIS RACING BOARD

## NOTICE OF PROPOSED AMENDMENT

1986; amended at 30 Ill. Reg. 16319, effective October 1, 2006; amended at 31 Ill. Reg. 16511, effective December 1, 2007; amended at 41 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_.

**Section 1306.130 Procedures of the Judges and State Steward**

It shall be the procedure of judges and state stewards~~these officials~~ to:

- a) ~~Be in the stand 15 minutes before the first race and remain in the stand 10 minutes after the last race, and at all times when the horses are upon the track.~~
- ab) Observe the preliminary warming up of horses and scoring, noting behavior of horses, lameness, equipment, conduct of drivers, changes in odds at pari-mutuel meetings, and any unusual incidents pertaining to horses or drivers participating in races.
- e) ~~Have the bell rung or give other notice at least 10 minutes before the race or heat. Any driver failing to obey this summons may be punished by a fine not exceeding \$100. and his horse may be ruled out by the judges and considered drawn.~~
- d) ~~The presiding judge shall designate the post time for each race and the horses will be called at such time as to preclude excessive delay after the completion of two scores.~~
- be) Be in communication with the starterpatrol judge from the time he or she~~the starter~~ picks up the horses until the finish of the race. Any violation or near violation of the Rules and Regulations shall be reported by the starterpatrol judge witnessing the incident and a written record made. At least one steward or judge shall observe the drivers throughout the stretch specifically noting changing course, interference, improper use of whips, breaks, and failure to contest the race to the finish.
- cf) Post the objection sign or inquiry sign on the odds board in the case of a complaint or possible rule violation, and immediately notify the announcer of the objection and the horse or horses involved. As soon as the judges have made a decision, the objection sign shall be removed, the correct placing displayed, and the "official" sign flashed. ~~The "official" sign shall not be displayed until all horses and drivers of the race have returned to the judges' stand and saluted, horses and drivers unable to finish the race excepted.~~ In all instances, the judges shall post the order of finish and the "official" sign as soon as they have made

## ILLINOIS RACING BOARD

## NOTICE OF PROPOSED AMENDMENT

their decision.

- dg) Display the photo sign if the order of finish among the contending horses is less than half-length or a contending horse is on a break at the finish. After the photo has been examined and a decision made, a copy or copies shall be made, checked by the presiding judge, and posted for public inspection.
- eh) Sign the judges' book ~~after each race,~~ verifying the correctness of the record by the clerk of the course.

(Source: Amended at 41 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

## ILLINOIS RACING BOARD

## NOTICE OF PROPOSED AMENDMENT

- 1) Heading of the Part: Regulations for Meetings (Thoroughbred)
- 2) Code Citation: 11 Ill. Adm. Code 1424
- 3) Section Number: 1424.90                      Proposed Action: Amendment
- 4) Statutory Authority: Section 9(b) of the Illinois Horse Racing Act of 1975 [230 ILCS 5/9(b)]
- 5) A Complete Description of the Subjects and Issues Involved: This proposed rulemaking removes the requirement that the locked box containing emergency medication be permanently attached to the ambulance. The locked box is continuously under the direct supervision of the State Veterinarian.
- 6) Published studies or reports and sources of underlying data used to compose this rulemaking: None
- 7) Will this rulemaking replace any emergency rulemaking currently in effect? No
- 8) Does this rulemaking contain an automatic repeal date? No
- 9) Does this rulemaking contain incorporations by reference? No
- 10) Are there any other rulemakings pending in this Part? No
- 11) Statement of Statewide Policy Objective: No local governmental units will be required to increase expenditures.
- 12) Time, Place and Manner in which interested persons may comment on this proposed rulemaking: Written comments should be submitted, within 45 days after this Notice, to:

Mickey Ezzo  
Illinois Racing Board  
100 West Randolph  
Suite 5-700  
Chicago IL 60601

312/814-5017

ILLINOIS RACING BOARD

NOTICE OF PROPOSED AMENDMENT

Mickey.ezzo@illinois.gov

- 13) Initial Regulatory Flexibility Analysis:
  - A) Types of small businesses, small municipalities and not-for-profit corporations affected: None
  - B) Reporting, bookkeeping or other procedures required for compliance: None
  - C) Types of professional skills necessary for compliance: None
- 14) Regulatory Agenda which this rulemaking was summarized: July 2016

The full text of the Proposed Amendment begins on the next page:

## ILLINOIS RACING BOARD

## NOTICE OF PROPOSED AMENDMENT

TITLE 11: ALCOHOL, HORSE RACING, LOTTERY, AND VIDEO GAMING  
SUBTITLE B: HORSE RACING  
CHAPTER I: ILLINOIS RACING BOARD  
SUBCHAPTER g: RULES AND REGULATIONS OF HORSE RACING  
(THOROUGHBRED)

PART 1424  
REGULATIONS FOR MEETINGS  
(THOROUGHBRED)

Section	
1424.10	Illinois Racing Board Right of Entry
1424.20	Office for Racing Board
1424.25	Moving Offices (Repealed)
1424.40	Inspections and Searches (Repealed)
1424.45	Investigative Authority
1424.50	Allocation of Stalls
1424.55	AGID (Coggins) Test (Repealed)
1424.60	Distance Poles
1424.70	Arrivals, Departures and Stabling
1424.80	Departure Slips
1424.90	Horse Ambulance
1424.100	Races Per Day (Repealed)
1424.110	Extra Races
1424.120	Clockers
1424.125	Outriders
1424.140	Safety Rails
1424.150	Backstretch Paging System
1424.160	Camera
1424.170	Emergency Medical Services
1424.175	Manned Ambulance (Repealed)
1424.180	Policing of Premises
1424.190	Stable Area Security
1424.200	Stable Area Security
1424.210	Security Reports
1424.220	Night Patrol
1424.230	Telephones
1424.240	Calls Through Switchboard (Repealed)
1424.250	Races for Illinois Horses

## ILLINOIS RACING BOARD

## NOTICE OF PROPOSED AMENDMENT

1424.260	Breeder Awards
1424.270	Admission to Parts of Premises
1424.280	Stable Areas Fenced
1424.290	Merchandise Selling
1424.300	Tip Sheets
1424.310	Alcoholic Beverages
1424.320	Jockey Quarters
1424.330	Water Supply and Washrooms
1424.340	Drug Vendors
1424.350	Seven Day Rule
1424.353	Penalty for Violation of Rules
1424.355	Stall Availability Prior to Meet
1424.360	Notification of Change
1424.370	Posting of Jockey Accident Insurance Coverage

**AUTHORITY:** Authorized by Section 9(b) of the Illinois Horse Racing Act of 1975 [230 ILCS 5/9(b)].

**SOURCE:** Published in Rules and Regulations of Horse Racing (original date not cited in publication); added October 25, 1973, filed November 26, 1973; added August 8, 1973; amended February 15, 1974, filed February 28, 1974; amended April 11, 1974, filed April 30, 1974; amended July 12, 1974, filed July 22, 1974; amended October 25, 1974, filed November 7, 1974; amended March 14, 1975, filed and effective March 27, 1975; amended May 9, 1975, filed May 15, 1975; amended June 19, 1976, filed June 25, 1976; amended December 9, 1977, filed December 29, 1977; amended at 4 Ill. Reg. 41, p. 164, effective September 26, 1980; codified at 5 Ill. Reg. 10996; amended at 8 Ill. Reg. 12460, effective June 27, 1984; amended at 9 Ill. Reg. 9166, effective May 30, 1985; amended at 14 Ill. Reg. 20545, effective December 7, 1990; amended at 16 Ill. Reg. 7493, effective April 24, 1992; amended at 16 Ill. Reg. 11193, effective June 25, 1992; amended at 17 Ill. Reg. 3038, effective February 23, 1993; emergency amendment at 23 Ill. Reg. 7779, effective June 28, 1999, for a maximum of 150 days; amended at 23 Ill. Reg. 13943, effective November 2, 1999; amended at 28 Ill. Reg. 6605, effective April 19, 2004; amended at 31 Ill. Reg. 16536, effective December 1, 2007; amended at 32 Ill. Reg. 16502, effective October 1, 2008; amended at 36 Ill. Reg. 12824, effective August 1, 2012; amended at 41 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_.

**Section 1424.90 Horse Ambulance**

There shall be a horse ambulance at all race tracks under the jurisdiction of the Board for the safe and expedient removal of crippled animals from the track. Horse ambulances must be equipped

## ILLINOIS RACING BOARD

## NOTICE OF PROPOSED AMENDMENT

with a screen for use when an animal must be destroyed in view of the general public, a winch to lift dead or injured animals on to the ambulance, and a removable floor or other satisfactory device for the safe loading of a recumbent horse. Drugs for use by the State Veterinarians, when emergency medication is required, shall be stored in a locked box.~~Said ambulance shall also be equipped with a permanently attached and locked box containing drugs solely for the use of the state veterinarians when emergency medication is required.~~

(Source: Amended at 41 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

## ILLINOIS RACING BOARD

## NOTICE OF PROPOSED AMENDMENT

- 1) Heading of the Part: Admissions and Credentials
- 2) Code Citation: 11 Ill. Adm. Code 1428
- 3) Section Number: 1428.30                      Proposed Action: Amendment
- 4) Statutory Authority: Section 9(b) of the Illinois Horse Racing Act of 1975 [230 ILCS 5/9(b)]
- 5) A Complete Description of the Subjects and Issues Involved: This proposed rulemaking makes technical changes that bring the Part into line with current practices. Pursuant to the current Part, admission taxes are due on Thursday. The Board's current policy is that payments are due on either Monday or Tuesday after the close of the prior Sunday to Saturday week.
- 6) Published studies or reports and sources of underlying data used to compose this rulemaking: None
- 7) Will this proposed rulemaking replace any emergency rule currently in effect? No
- 8) Does this rulemaking contain an automatic repeal date? No
- 9) Does this rulemaking contain incorporations by reference? No
- 10) Are there any other rulemakings pending in this Part? No
- 11) Statement of Statewide Policy Objective: No local governmental units will be required to increase expenditures.
- 12) Time, Place and Manner in which interested persons may comment on this proposed rulemaking: Written comments should be submitted, within 45 days after this Notice, to:

Mickey Ezzo  
Illinois Racing Board  
100 West Randolph  
Suite 5-700  
Chicago IL 60601

ILLINOIS RACING BOARD

NOTICE OF PROPOSED AMENDMENT

312/814-5017  
Mickey.ezzo@illinois.gov

- 13) Initial Regulatory Flexibility Analysis:
  - A) Types of small businesses, small municipalities and not-for-profit corporations affected: None
  - B) Reporting, bookkeeping or other procedures required for compliance: None
  - C) Types of professional skills necessary for compliance: None
- 14) Regulatory Agenda which this rulemaking was summarized: July 2016

The full text of the Proposed Amendment begins on the next page:

## ILLINOIS RACING BOARD

## NOTICE OF PROPOSED AMENDMENT

TITLE 11: ALCOHOL, HORSE RACING, LOTTERY, AND VIDEO GAMING  
SUBTITLE B: HORSE RACING  
CHAPTER I: ILLINOIS RACING BOARD  
SUBCHAPTER g: RULES AND REGULATIONS OF HORSE RACING  
(THOROUGHBRED)

PART 1428  
ADMISSIONS AND CREDENTIALS

Section	
1428.10	Admissions Tax
1428.20	Admission Records
1428.30	Weekly Remittance of Tax
1428.40	Admission Statements
1428.50	Delivery of Reports
1428.60	Board Approval of Tickets and Credentials
1428.70	Control Numbers
1428.80	Revocation of Tickets, Credentials
1428.90	Notice of State Tax
1428.100	Credential and Ticket Specimens
1428.110	Gate Cards
1428.120	Tax Exempt Credentials
1428.130	Report on Tax Exempt Credentials (Repealed)
1428.140	Concessionaires, Employees Credentials
1428.150	Requisitions for Passes
1428.160	Tax Exempt Credentials Report (Repealed)
1428.170	Summary of Tickets and Credentials
1428.180	Track Responsible for Credentials
1428.190	Board Access to Records
1428.200	Turnstiles and Electronic Scanning Devices
1428.210	Admission to Track
1428.220	Revocation of Credentials
1428.230	Admissions for Licensees
1428.240	Intertrack Wagering Location Licensee Admission Fees

AUTHORITY: Authorized by Section 9(b) of the Illinois Horse Racing Act of 1975 [230 ILCS 5/9(b)].

## ILLINOIS RACING BOARD

## NOTICE OF PROPOSED AMENDMENT

SOURCE: Published in Rules and Regulations of Horse Racing (original date not cited in publication); amended March 14, 1975, filed and effective March 27, 1975; codified at 5 Ill. Reg. 11002; amended at 14 Ill. Reg. 17633, effective October 16, 1990; amended at 14 Ill. Reg. 20042, effective December 4, 1990; emergency amendment at 17 Ill. Reg. 3683, effective March 4, 1993, for a maximum of 150 days; amended at 17 Ill. Reg. 14049, effective August 16, 1993; amended at 19 Ill. Reg. 17187, effective January 1, 1996; amended at 31 Ill. Reg. 15103, effective November 1, 2007; amended at 35 Ill. Reg. 8508, effective May 23, 2011; amended at 41 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_.

**Section 1428.30 Weekly Remittance of Tax**

~~As prescribed by the Board, the admissions~~The tax ~~due hereunder~~ shall be paid to the Board weekly ~~and remittance made to the Board on Thursday following the close of each week~~. The operator shall ~~include a~~enclose with his remittance to the Board a sworn itemized statement ~~attested to~~signed by ~~the~~his treasurer, ~~or comptroller or designee that itemizes the paid and free admissions and admission tax payable using a form prescribed by the Board, showing the total attendance, the number of admissions, both paid and complimentary, the number of taxable and tax free admissions for each day of the preceding week.~~

(Source: Amended at 41 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

## SECRETARY OF STATE

## NOTICE OF PROPOSED AMENDMENT

- 1) Heading of the Part: Illinois State Library, Library Operations Division
- 2) Code Citation: 23 Ill. Adm. Code 3010
- 3) Section Number: 3010.160                      Proposed Action:  
Amendment
- 4) Statutory Authority: Implementing and authorized by the State Library Act [15 ILCS 320]
- 5) A Complete Description of the Subjects and Issues Involved: Updating the incorporation by reference of The Interlibrary Loan Code of the United States from the 2008 version to the 2016 version in Section 3010.160 (f).
- 6) Published studies or reports, and sources of underlying data, used to compose this rulemaking: None
- 7) Will this rulemaking replace any emergency rule currently in effect? No
- 8) Does this rulemaking contain any automatic repeal date? No
- 9) Does this rulemaking contain incorporations by reference? Yes.
- 10) Are there any other rulemakings pending on this Part? No
- 11) Statement of Statewide Policy Objective: The policy objective of this rulemaking is to allow the depositories more flexibility in the retention of government publications.
- 12) Time, Place and Manner in which interested persons may comment on this proposed rulemaking:

Joseph Natale  
Rules Coordinator  
Illinois State Library  
Gwendolyn Brooks Building  
Springfield IL 62701-1796

217/558-4185  
jnatale@ilsos.net

SECRETARY OF STATE

NOTICE OF PROPOSED AMENDMENT

- 13) Initial Regulatory Flexibility Analysis:
- A) Types of small businesses, small municipalities and not-for-profit corporations affected: None
  - B) Reporting, bookkeeping or other procedures required for compliance: None
  - C) Types of professional skills necessary for compliance: None
- 14) Regulatory Agenda on which these rules were summarized: July 2016

The full text of the Proposed Amendment begins on the next page:

SECRETARY OF STATE

NOTICE OF PROPOSED AMENDMENT

TITLE 23: EDUCATION AND CULTURAL RESOURCES  
SUBTITLE B: CULTURAL RESOURCES  
CHAPTER I: SECRETARY OF STATE

PART 3010

ILLINOIS STATE LIBRARY, LIBRARY OPERATIONS DIVISION

SUBPART A: USE OF THE ILLINOIS STATE LIBRARY

Section	
3010.10	Definitions
3010.20	Service Populations
3010.30	Hours of Service
3010.40	Library Stacks Area
3010.50	Use by the General Public
3010.60	Copies and Printouts

SUBPART B: COLLECTION ACCESS SECTION (CIRCULATION)

Section	
3010.110	Circulation of Materials
3010.120	Materials for Loan
3010.130	Loan Periods
3010.140	Holds and Renewals
3010.150	Return of Materials
3010.160	Replacement of Lost and/or Damaged Materials
3010.170	Theft of Materials
3010.180	Photocopies (Repealed)

SUBPART C: REFERENCE SERVICES SECTION

Section	
3010.210	Reference Service

SUBPART D: RESOURCE SHARING SECTION  
(INTERLIBRARY LOAN)

Section	
3010.310	Interlibrary Loan

## SECRETARY OF STATE

## NOTICE OF PROPOSED AMENDMENT

3010.320 Resource Sharing Agreements

3010.EXHIBIT A Stacks Pass Application (Repealed)

3010.EXHIBIT B Use of the Public Services Areas of the Illinois State Library: General Policies and Guidelines

3010.EXHIBIT C Illinois State Library Card Application Form: Courtesy Card

3010.EXHIBIT D Illinois State Library Card Application Form: Regular Card

3010.EXHIBIT E ILLINET Interlibrary Loan Code (Repealed)

AUTHORITY: Implementing and authorized by the State Library Act [15 ILCS 320].

SOURCE: Amended July 18, 1973; rules repealed, new rules adopted and codified at 7 Ill. Reg. 13679, effective October 4, 1983; amended at 25 Ill. Reg. 5412, effective April 1, 2001; amended at 30 Ill. Reg. 16324, effective October 2, 2006; amended at 36 Ill. Reg. 3217, effective February 16, 2012; amended at 39 Ill. Reg. 5119, effective March 20, 2015; amended at 41 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_.

## SUBPART B: COLLECTION ACCESS SECTION (CIRCULATION)

**Section 3010.160 Replacement of Lost and/or Damaged Materials**

- a) Direct and indirect borrowers who fail to return an item or damage an item beyond the point of usability will be billed for the current replacement cost of each item. The "replacement cost" is determined by the State Librarian or his/her designee and is equal to the cost to replace the item exactly in all physical aspects such as (but not limited to) edition, condition, preservation processes, binding type, paper quality, format and author inscription.
- b) The borrower will be billed a processing fee in addition to the replacement cost. The processing fee shall be determined by the State Librarian or his/her designee in accord with current statewide or national averages for library materials processing.
- c) Borrowers who are billed for a lost or damaged item may replace the item with a replica that is exact in every way within 30 days after the invoice date. The replacement must be exact in all qualities such as, but not limited to, edition, condition, preservation processes, binding type, paper quality, format, and author inscription. Replacements must be approved by the State Librarian or his/her designee. Extensions to the 30-day period for replacement may be granted by the

## SECRETARY OF STATE

## NOTICE OF PROPOSED AMENDMENT

State Librarian or his/her designee. Replacements that are not exact will be accepted pending approval by the State Librarian or his/her designee. Replacements will be submitted by the borrower directly to the State Librarian or his/her designee.

- d) Direct borrowers who fail to pay for items they have lost or damaged shall have State Library privileges suspended until payment is made or replacement is received.
- e) Payment for materials lost or damaged as a result of interlibrary loans through ILLINET is governed by the ILLINET Interlibrary Loan Code (see 23 Ill. Adm. Code 3030.Exhibit A).
- f) Payment for materials lost or damaged as a result of interlibrary loan to non-ILLINET libraries is governed by "The Interlibrary Loan Code of the United States. Revised ~~2016~~2008" published by the American Library Association, 50 E. Huron, Chicago IL 60611. No later amendments to this document are incorporated in this Section.

(Source: Amended at 41 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

## SECRETARY OF STATE

## NOTICE OF PROPOSED AMENDMENT

- 1) Heading of the Part: Illinois State Library, Government Documents Section
- 2) Code Citation: 23 Ill. Adm. Code 3020
- 3) Section Number: 3020.210                      Proposed Action:  
Amendment
- 4) Statutory Authority: Implementing Section 21 and authorized by Section 2 of the State Library Act [15 ILCS 320/2 and 21]
- 5) A Complete Description of the Subjects and Issues Involved: The Part changes allows government depository libraries to discard ephemeral materials before the mandatory five year retention period. "Ephemeral material" is defined in this Part as meaning any material that is of a short duration, for example, an announcement of a conference or seminar, applications and forms.
- 6) Published studies or reports, and sources of underlying data, used to compose this rulemaking: None
- 7) Will this rulemaking replace any emergency rule currently in effect? No
- 8) Does this rulemaking contain any automatic repeal date? No
- 9) Does this rulemaking contain incorporations by reference? No
- 10) Are there any other rulemakings pending on this Part? No
- 11) Statement of Statewide Policy Objective: The policy objective of this rulemaking is to allow the depositories more flexibility in the retention of government publications.
- 12) Time, Place and Manner in which interested persons may comment on this proposed rulemaking:

Joseph Natale  
Rules Coordinator  
Illinois State Library  
Gwendolyn Brooks Building  
Springfield IL 62701-1796

## SECRETARY OF STATE

## NOTICE OF PROPOSED AMENDMENT

217/558-4185  
jnatale@ilsos.net

- 13) Initial Regulatory Flexibility Analysis:
- A) Types of small businesses, small municipalities and not-for-profit corporations affected: The 22 libraries in the State designated as government publication depository libraries.
  - B) Reporting, bookkeeping or other procedures required for compliance: Section 3030.210 (b) states the procedure for discarding government publications is that a depository may send a list of unneeded publications on the Government Documents List to other depository libraries for their selection. If other libraries request any publications on the list, the discarding library will forward the publications to them, by the least expensive method, at the selecting library's expense. Publications not selected by other depositories may be disposed of or destroyed.
  - C) Types of professional skills necessary for compliance: To be designated as a government publication depository, a library must demonstrate the administrative capacity to participate in the program.
- 14) Regulatory Agenda on which these rules were summarized: July 2016

The full text of the Proposed Amendment begins on the next page:

## SECRETARY OF STATE

## NOTICE OF PROPOSED AMENDMENT

TITLE 23: EDUCATION AND CULTURAL RESOURCES  
SUBTITLE B: CULTURAL RESOURCES  
CHAPTER I: SECRETARY OF STATE

## PART 3020

## ILLINOIS STATE LIBRARY, GOVERNMENT DOCUMENTS SECTION

## SUBPART A: DEPOSIT OF PUBLICATIONS

## Section

3020.100	Definitions
3020.110	State Agency Publications
3020.120	State University Publications and Presses
3020.130	Delivery Cost and Responsibility
3020.140	Excess Copies (Repealed)
3020.150	Administrator of State Agency
3020.160	Lists of Published Materials

## SUBPART B: DEPOSITORY LIBRARIES

## Section

3020.200	Designation of Depositories
3020.210	Retention and Disposal of Publications
3020.220	Citizen Access to Publications
3020.230	Inspection of Depositories (Repealed)
3020.240	Termination of Depository Status

AUTHORITY: Implementing Section 21 and authorized by Section 2 of the State Library Act [15 ILCS 320/2 and 21].

SOURCE: Filed effective December 21, 1967; rules repealed, new rules adopted and codified at 8 Ill. Reg. 319, effective December 27, 1983; amended at 10 Ill. Reg. 4555, effective July 1, 1986; amended at 27 Ill. Reg. 219, effective January 1, 2003; amended at 33 Ill. Reg. 4169, effective February 27, 2009; amended at 34 Ill. Reg. 19115, effective November 22, 2010; amended at 36 Ill. Reg. 3248, effective February 16, 2012; amended at 37 Ill. Reg. 18918, effective November 7, 2013; amended at 41 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_.

## SUBPART B: DEPOSITORY LIBRARIES

## SECRETARY OF STATE

## NOTICE OF PROPOSED AMENDMENT

**Section 3020.210 Retention and Disposal of Publications**

- a) The Illinois State Library shall keep all depository materials indefinitely, except for ephemeral materials. The Illinois State Library shall retain one copy of superseded material. The Illinois State Library shall retain ownership of Illinois publications deposited in its depository and exchange libraries.
- b) Each depository must keep all publications for five years except superseded and ephemeral materials. At the end of that time, a depository may send a list of unneeded publications on the Government Documents List to other depository libraries for their selection. If other libraries request any publications on the list, the discarding library will forward the publications to them, by the least expensive method, at the selecting library's expense. Publications not selected by other depositories may be disposed of or destroyed.
- c) A depository is permitted to replace tangible versions with electronic equivalents of publications provided the electronic version is complete and permanently accessible. The Illinois State Library will provide a list on its website of titles that meet these requirements.

(Source: Amended at 41 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

## CHIEF PROCUREMENT OFFICER FOR GENERAL SERVICES

## NOTICE OF ADOPTED AMENDMENT

- 1) Heading of the Part: Chief Procurement Officer for General Services Standard Procurement
- 2) Code Citation: 44 Ill. Adm. Code 1
- 3) Section Number: 1.2020                      Adopted Action: Amendment
- 4) Statutory Authority: The Illinois Procurement Code [30 ILCS 500]
- 5) Effective Date of Rule: December 5, 2016
- 6) Does this rulemaking contain an automatic repeal date? No
- 7) Does this rulemaking contain incorporations by reference? No
- 8) A copy of the adopted rule, including any material incorporated by reference, is on file in the Agency's principal office and is available for public inspection.
- 9) Notice of Proposal published in the *Illinois Register*: 40 Ill. Reg. 8884; July 8, 2016
- 10) Has JCAR issued a Statement of Objection to this rulemaking? No
- 11) Differences between Proposal and Final Version: None
- 12) Have all the changes agreed upon by the Agency and JCAR been made as indicated in the agreements issued by JCAR? None were made.
- 13) Will this rulemaking replace an emergency rule currently in effect? No
- 14) Are there any rulemakings pending on this Part? No
- 15) Summary and Purpose of Rulemaking: The amendment implements the changes to the small purchase threshold as recommended by the Procurement Policy Board at their December 2, 2015 meeting. The amendment raises the small purchase threshold for supplies and services from \$50,000 (currently \$50,900 as adjusted by CPI increases) to \$80,000 and the threshold for construction from \$70,000 (currently \$71,300 as adjusted by CPI increases) to \$100,000.

CHIEF PROCUREMENT OFFICER FOR GENERAL SERVICES

NOTICE OF ADOPTED AMENDMENT

- 16) Information and questions regarding this adopted rule shall be directed to:

Michelle Casey  
Special Advisor and State Purchasing Officer  
Chief Procurement Office for General Services  
712 Stratton Office Building  
Springfield IL 62706

217/494-5577  
michelle.casey@illinois.gov

The full text of the Adopted Amendment begins on the next page:

CHIEF PROCUREMENT OFFICER FOR GENERAL SERVICES

NOTICE OF ADOPTED AMENDMENT

TITLE 44: GOVERNMENT CONTRACTS, GRANTMAKING,  
PROCUREMENT AND PROPERTY MANAGEMENT  
SUBTITLE A: PROCUREMENT AND CONTRACT PROVISIONS  
CHAPTER I: CHIEF PROCUREMENT OFFICER FOR GENERAL SERVICES

PART 1  
CHIEF PROCUREMENT OFFICER FOR GENERAL SERVICES  
STANDARD PROCUREMENT

SUBPART A: GENERAL

Section

- 1.1 Title
- 1.3 Authority
- 1.5 Policy
- 1.8 Implementation of This Part
- 1.10 Application
- 1.12 Additional Exemptions Applicable to Artistic and Musical Services
- 1.13 Additional Exemptions Applicable to Illinois Finance Authority
- 1.15 Definition of Terms Used in This Part
- 1.25 Property Rights
- 1.30 Constitutional Officers, and Legislative and Judicial Branches (Repealed)

SUBPART B: PROCUREMENT RULES, POLICIES AND PROCEDURES

Section

- 1.525 Rules
- 1.530 Policies and Procedures

SUBPART C: PROCUREMENT AUTHORITY

Section

- 1.1005 Procurement Authority
- 1.1010 Appointment of State Purchasing Officer (Repealed)
- 1.1040 Central Procurement Authority of the CPO-GS
- 1.1050 Procurement Authority of the SPO; Limitations (Repealed)
- 1.1060 Delegation
- 1.1070 Toll Highway Authority
- 1.1075 Department of Natural Resources (Repealed)

## CHIEF PROCUREMENT OFFICER FOR GENERAL SERVICES

## NOTICE OF ADOPTED AMENDMENT

1.1080 Illinois Mathematics and Science Academy (Repealed)

## SUBPART D: PUBLICIZING PROCUREMENT ACTIONS

## Section

1.1501 Illinois Procurement Bulletin  
1.1510 Publication of Illinois Procurement Bulletin (Repealed)  
1.1515 Registration  
1.1525 Bulletin Content  
1.1535 Vendor Portal  
1.1550 Official State Newspaper (Repealed)  
1.1560 Alternate and Supplemental Notice  
1.1570 Error in Notice  
1.1580 Direct Solicitation  
1.1585 Notice Time  
1.1590 Retention of Bulletin Information (Repealed)  
1.1595 Availability of Solicitation Document

## SUBPART E: SOURCE SELECTION AND CONTRACT FORMATION

## Section

1.2005 General Provisions  
1.2010 Competitive Sealed Bidding  
1.2012 Multi-Step Sealed Bidding  
1.2013 Reverse Auctions  
1.2015 Competitive Sealed Proposals  
1.2020 Small Purchase Limits  
1.2025 Sole Source or Sole Economically Feasible Source Procurement  
1.2030 Emergency Procurements  
1.2035 Competitive Selection Procedures for Professional and Artistic Services  
1.2036 Other Methods of Source Selection  
1.2037 Tie Bids and Proposals  
1.2038 Modification or Withdrawal of Bids or Proposals  
1.2039 Mistakes  
1.2040 Cancellation of Solicitations; Rejection of Bids or Proposals

## SUBPART F: SUPPLIERS, PREQUALIFICATION AND RESPONSIBILITY

## Section

CHIEF PROCUREMENT OFFICER FOR GENERAL SERVICES

NOTICE OF ADOPTED AMENDMENT

- 1.2043 Suppliers
- 1.2044 Vendor List
- 1.2045 Vendor Prequalification
- 1.2046 Responsibility

SUBPART G: BID, PROPOSAL AND PERFORMANCE SECURITY

- Section 1.2047 Security Requirements

SUBPART H: SPECIFICATIONS AND SAMPLES

- Section 1.2050 Specifications and Samples

SUBPART I: CONTRACTS

- Section 1.2055 Types of Contracts
- 1.2060 Duration of Contracts – General
- 1.2065 Cancellation of Contracts
- 1.2067 Contract Amendments and Change Orders

SUBPART J: PROCUREMENT FILES

- Section 1.2080 Public Procurement File
- 1.2084 Record Retention
- 1.2086 Filing with the Comptroller

SUBPART K: WORKING CONDITIONS

- Section 1.2560 Prevailing Wage
- 1.2570 Equal Employment Opportunity; Affirmative Action
- 1.2575 Subcontractors (Repealed)

SUBPART L: CONTRACT PRICING

## CHIEF PROCUREMENT OFFICER FOR GENERAL SERVICES

## NOTICE OF ADOPTED AMENDMENT

Section  
1.2800 All Costs Included (Repealed)

SUBPART M: CONSTRUCTION AND  
CONSTRUCTION RELATED PROFESSIONAL SERVICES

Section  
1.3005 Construction and Construction Related Professional Services

## SUBPART N: REAL PROPERTY LEASES AND CAPITAL IMPROVEMENT LEASES

Section  
1.4005 Real Property Leases and Capital Improvement Leases  
1.4010 Authority  
1.4015 Method of Source Selection  
1.4020 Request for Information – Real Property and Capital Improvement Leases  
1.4025 Lease Requirements  
1.4030 Purchase Option  
1.4035 Rent Without Occupancy  
1.4040 Local Site Preferences  
1.4042 Historic Area Preferences  
1.4044 Emergency Lease Procurement

## SUBPART O: PREFERENCES

Section  
1.4505 Procurement Preferences  
1.4510 Resident Vendor Preference  
1.4515 Soybean Oil-Based Ink  
1.4520 Recycled Materials  
1.4525 Recyclable Paper  
1.4526 Environmentally Preferable Procurement  
1.4530 Correctional Industries  
1.4535 Qualified Not-for-Profit Agencies for Persons with Severe Disabilities  
1.4540 Gas Mileage and Flex-Fuel Requirements  
1.4545 Small Business  
1.4550 Illinois Agricultural Products  
1.4555 Corn-Based Plastic Products  
1.4557 Disabled Veterans

CHIEF PROCUREMENT OFFICER FOR GENERAL SERVICES

NOTICE OF ADOPTED AMENDMENT

- 1.4570 Contracting with Businesses Owned and Controlled by Minorities, Females and Persons with Disabilities
- 1.4575 Domestic Products
- 1.4578 Bio-Based Products
- 1.4579 Notice of Preferences

SUBPART P: ETHICS

Section

- 1.5002 Continuing Disclosure; False Certification
- 1.5005 Bribery
- 1.5010 Felons
- 1.5011 Debt Delinquency
- 1.5012 Collection and Remittance of Illinois Use Tax
- 1.5013 Conflicts of Interest Prohibited by the Code
- 1.5014 Environmental Protection Act Violations
- 1.5015 Negotiations for Future Employment
- 1.5020 Exemptions
- 1.5021 Bond Issuances
- 1.5023 Other Conflicts of Interest
- 1.5030 Revolving Door Prohibition
- 1.5035 Disclosure of Financial Interests and Potential Conflicts of Interest
- 1.5036 Disclosures of Business in Iran
- 1.5037 Vendor Registration, Certification and Prohibition on Political Contributions
- 1.5038 Lobbying Restrictions
- 1.5039 Procurement Communication Reporting Requirement
- 1.5055 Supply Inventory
- 1.5060 Prohibited Bidders and Contractors
- 1.5065 Lead Poisoning Prevention Act Violations

SUBPART Q: CONCESSIONS

Section

- 1.5310 Concessions

SUBPART R: COOPERATIVE PURCHASING

Section

- 1.5400 General

## CHIEF PROCUREMENT OFFICER FOR GENERAL SERVICES

## NOTICE OF ADOPTED AMENDMENT

1.5420	Governmental Joint Purchasing Act Contracts
1.5440	Non-Governmental Joint Purchasing
1.5460	No Agency Relationship
1.5510	Complaints Against Vendors (Repealed)
1.5520	Suspension (Repealed)
1.5530	Resolution of Contract Controversies (Repealed)
1.5540	Violation of Law or Rule (Repealed)

## SUBPART S: PROTESTS

Section	
1.5550	Protests

## SUBPART T: SUSPENSION AND DEBARMENT

Section	
1.5560	Suspension and Debarment

## SUBPART U: VIOLATION OF STATUTE OR RULE

Section	
1.5620	Violation of Statute or Rule

## SUBPART V: HEARING PROCEDURES

Section	
1.5700	General
1.5710	Informal Process
1.5720	Hearing Officers
1.5730	Notice of Hearing
1.5740	Written Comments and Oral Testimony
1.6010	Supply Management and Dispositions (Repealed)
1.6500	General (Repealed)
1.6510	No Agency Relationship (Repealed)
1.6520	Obligations of Participating Governmental Units (Repealed)
1.6530	Centralized Contracts – Estimated Quantities (Repealed)
1.6535	Centralized Contracts – Definite Quantities (Repealed)

## SUBPART W: MISCELLANEOUS PROVISIONS OF GENERAL APPLICABILITY

## CHIEF PROCUREMENT OFFICER FOR GENERAL SERVICES

## NOTICE OF ADOPTED AMENDMENT

## Section

1.7000	Severability
1.7010	Government Furnished Property
1.7015	Inspections
1.7020	Taxes, Licenses, Assessments and Royalties
1.7025	Written Determinations (Repealed)
1.7030	No Waiver of Sovereign Immunity

SUBPART X: SELECTION OF A SUCCESSOR ILLINOIS LOTTERY  
PRIVATE MANAGER IF A PRIVATE MANAGEMENT  
AGREEMENT HAS BEEN TERMINATED

## Section

1.8000	Authority
1.8005	General
1.8010	Selection Process
1.8015	Lottery Advisors
1.8020	Public Hearing (Repealed)
1.8025	Award
1.8030	Action to Contest Selection

**AUTHORITY:** The Illinois Procurement Code [30 ILCS 500] and the Illinois Lottery Law [20 ILCS 1605].

**SOURCE:** Adopted at 7 Ill. Reg. 100, effective December 17, 1982; amended at 7 Ill. Reg. 13481, effective October 4, 1983; amended at 7 Ill. Reg. 13844, effective October 12, 1983; codified at 8 Ill. Reg. 14941; Sections 1.2210, 1.2220, 1.2230, 1.2240 recodified to Section 1.2210 at 9 Ill. Reg. 6118; amended at 10 Ill. Reg. 923, effective January 2, 1986; amended at 10 Ill. Reg. 18707, effective October 22, 1986; amended at 11 Ill. Reg. 7225, effective April 6, 1987; amended at 11 Ill. Reg. 7595, effective April 14, 1987; amended at 13 Ill. Reg. 17804, effective November 7, 1989; emergency amendment at 16 Ill. Reg. 13118, effective August 7, 1992, for a maximum of 150 days; amended at 17 Ill. Reg. 600, effective January 5, 1993; amended at 17 Ill. Reg. 14576, effective August 27, 1993; amended at 20 Ill. Reg. 9015, effective July 1, 1996; old Part repealed by emergency rulemaking at 22 Ill. Reg. 12632, effective July 1, 1998, for a maximum of 150 days, and new Part adopted by emergency rulemaking at 22 Ill. Reg. 12726, effective July 1, 1998, for a maximum of 150 days; old Part repealed and new Part adopted at 22 Ill. Reg. 20875, effective November 25, 1998; emergency amendment at 23 Ill. Reg. 2812, effective February 16, 1999, for a maximum of 150 days;

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emergency expired on July 15, 1999; emergency amendment at 23 Ill. Reg. 5869, effective April 29, 1999, for a maximum of 150 days; amended at 23 Ill. Reg. 7075, effective June 7, 1999; amended at 24 Ill. Reg. 1900, effective January 21, 2000; amended at 26 Ill. Reg. 13189, effective August 23, 2002; emergency amendment at 29 Ill. Reg. 20540, effective December 2, 2005, for a maximum of 150 days; emergency amendment repealed by emergency rulemaking at 30 Ill. Reg. 5673, effective March 7, 2006, for the balance of the 150 days; emergency expired August 3, 2006; amended at 30 Ill. Reg. 138, effective December 22, 2005; amended at 30 Ill. Reg. 13378, effective July 25, 2006; amended at 30 Ill. Reg. 17305, effective October 20, 2006; amended at 30 Ill. Reg. 18635, effective November 17, 2006; emergency amendment at 33 Ill. Reg. 3205, effective January 28, 2009, for a maximum of 150 days; amended at 33 Ill. Reg. 9607, effective June 25, 2009; recodified, pursuant to PA 96-795, from Department of Central Management Services to Chief Procurement Officer for General Services at 35 Ill. Reg. 10143; amended at 36 Ill. Reg. 10729, effective August 6, 2012; amended at 36 Ill. Reg. 16319, effective November 1, 2012; emergency amendment at 37 Ill. Reg. 1319, effective January 22, 2013, for a maximum of 150 days; amended at 37 Ill. Reg. 8123, effective June 5, 2013; amended at 38 Ill. Reg. 20884, effective October 31, 2014; recodified Title of the Part at 39 Ill. Reg. 5903; amended at 40 Ill. Reg. 16126, effective December 5, 2016.

## SUBPART E: SOURCE SELECTION AND CONTRACT FORMATION

**Section 1.2020 Small Purchase Limits**

- a) Application
  - 1) Individual procurements of ~~\$80,000~~\$50,000 or less for supplies or services, other than professional and artistic, may be made without notice or competition. These small purchase maximums shall be subject to the annual cost of living increases set forth in subsection (a)(3).
  - 2) Procurements for construction and construction related services of ~~\$100,000~~70,000 or less, or as increased to reflect increases in the consumer price index as determined by the CPO-GS.
  - 3) The CPO-GS shall announce any change identified by the United States Department of Labor in the Consumer Price Index for All Urban Consumers for the period ending each December 31. That percentage change shall be used to recalculate the small purchase maximums applicable for the fiscal year beginning the following July 1.

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- 4) Procurements of less than \$20,000 for professional and artistic services and that have a non-renewable term of one year or less may be made without notice of competition. Notice of award of professional and artistic service contracts must be published in the Bulletin prior to contract execution and shall include the name of the SPO and a brief explanation of the procurement.
- b) Determination of Small Purchase Status
    - 1) In determining whether a contract is under the small purchase limit, the stated value of the supplies or services plus any optional supplies and services, determined in good faith, shall be utilized. When the value is calculated month-to-month or in a similar fashion, the amount shall be calculated for a 12 month period.
    - 2) If only a unit price or hourly rate is known, the contract shall be considered small and shall have a not to exceed limit applicable to the type of procurement (see subsection (a)).
    - 3) If, after signing the contract, the actual cost of completing the contract is determined to exceed the small purchase amount, and the SPO determines that a supplemental procurement is not economically feasible or practicable because of the immediacy of the State agency's needs or other circumstances, the SPO must follow the procedures for sole source or emergency procurement, whichever is applicable, to complete the contract.
  - c) The CPO-GS may establish policies and procedures to manage the use of the small purchase method of source selection. The SPO may establish additional policies and procedures applicable to State agencies under the SPO's jurisdiction.
  - d) If there is a repetitive need for small procurements of the same type, the State agency shall notify the SPO who shall consider whether issuing a competitive sealed bid or proposal for procurement of those needs is in the best interests of the State.

(Source: Amended at 40 Ill. Reg. 16126, effective December 5, 2016)

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- 1) Heading of the Part: Medical Professional Liability Database
- 2) Code Citation: 50 Ill. Adm. Code 928
- 3) 

<u>Section Numbers:</u>	<u>Adopted Actions:</u>
928.43	Amendment
928.44	Amendment
928.EXHIBIT B	Amendment
928.EXHIBIT E	Amendment
- 4) Statutory Authority: Implementing Section 155.19 and authorized by Section 401 of the Illinois Insurance Code [215 ILCS 5/155.19 and 401]
- 5) Effective Date of Rules: November 30, 2016
- 6) Does this rulemaking contain an automatic repeal date? No
- 7) Does this rulemaking contain incorporations by reference? No
- 8) A copy of the adopted rules, including any material incorporated by reference, is on file in the principal office of the Department of Insurance and is available for public inspection.
- 9) Notice of Proposal published in the *Illinois Register*: 40 Ill. Reg. 5783; April 8, 2016
- 10) Has JCAR issued a Statement of Objection to this Rulemaking? No
- 11) Differences between Proposal and Final Version: None
- 12) Have all changes agreed upon by the Agency and JCAR been made as indicated in the agreements issued by JCAR? None were made.
- 13) Will this rulemaking replace an emergency rule currently in effect? No
- 14) Are there any rulemakings pending on this Part? No
- 15) Summary and Purpose of Rulemaking: Part 928 establishes a uniform format for the reporting of information relating to allegations of provider liability. The proposed changes will clarify what the user should input for the Supplement A to Schedule T

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reconciliation form, as well as instructing users where to submit reports for claims and lawsuits and data reconciliation forms. Additionally, under Section 928 Exhibit B regarding the reporting instructions, the insured's Social Security number is no longer being requested when the Illinois license number is unavailable.

- 16) Information and questions regarding these adopted rules shall be directed to:

Judy Mottar, ACAS, MAAA  
Casualty Actuary  
Department of Insurance  
320 West Washington Street  
Springfield IL 62767-0001

217/524-5376

The full text of the Adopted Amendments begins on the next page:

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TITLE 50: INSURANCE  
CHAPTER I: DEPARTMENT OF INSURANCE  
SUBCHAPTER I: PROVISIONS APPLICABLE TO ALL COMPANIESPART 928  
MEDICAL PROFESSIONAL LIABILITY DATABASE

## Section

928.10	Authority
928.20	Purpose and Scope
928.30	Definitions
928.40	Reports
928.42	Circuit Court Clerk Reporting Requirements (Repealed)
928.43	Supplement A to Schedule T Reconciliation
928.44	General Submission Deadlines
928.50	Effective Date (Repealed)
928.EXHIBIT A	Illinois Medical Professional Liability Insurance Uniform Claims Data Entry Reporting Screen (Repealed)
928.EXHIBIT B	Illinois Medical Professional Liability Insurance Uniform Claims Report – Reporting Instructions
928.EXHIBIT C	Illinois Medical Professional Liability Insurance Uniform Claims Reporting (IMPLIUCR) – Data Entry Navigational User's Guide (Repealed)
928.EXHIBIT D	Illinois Medical Professional Liability Insurance Uniform Claims Reporting (IMPLIUCR) – Batch Reporting Requirements and Navigational User's Guide (Repealed)
928.EXHIBIT E	Supplement A to Schedule T Reconciliation Form

AUTHORITY: Implementing Section 155.19 and authorized by Section 401 of the Illinois Insurance Code [215 ILCS 5/155.19 and 401].

SOURCE: Filed February 2, 1977, effective March 1, 1977; codified at 7 Ill. Reg. 892; amended at 24 Ill. Reg. 19133, effective January 1, 2001; amended at 30 Ill. Reg. 19288, effective December 4, 2006; amended at 36 Ill. Reg. 18677, effective December 17, 2012; amended at 40 Ill. Reg. 16137, effective November 30, 2016.

**Section 928.43 Supplement A to Schedule T Reconciliation**

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- a) To ensure completeness of the data reported by insurers, medical professional liability losses and claim counts shall be reconciled for claims using NAIC Annual Statement Exhibit of Supplement A to Schedule T as seen in Exhibit E of this Part. Specifically, the claims numbers reported under this Section shall correspond to the claims numbers reported in Column "Number of Claims Direct Losses Paid", ~~and "Number of Claims Direct Losses Unpaid"~~. Also, loss amounts filed under this Section shall correspond to the amounts reported under "Amount Direct Losses Paid". The amounts listed on the reconciliation are the sum of all the Supplement A to Schedule T Exhibits for the State of Illinois, and "Direct Losses Incurred".
- b) If there are discrepancies in claim counts and/or losses for a particular year, the insurer must provide an explanation of discrepancies to the Department and make any necessary amendments to the effected claims.

(Source: Amended at 40 Ill. Reg. 16137, effective November 30, 2016)

**Section 928.44 General Submission Deadlines**

- a) **Data Submission**  
Reports are due on a quarterly basis no later than 45 days after the quarter's end. ~~The reports shall quarterly report will~~ be submitted electronically to the Illinois Department of Insurance through the following website:  
~~<http://insurance2.illinois.gov/applications/IMPLIUCR>, Casualty Actuarial Unit, 320 W. Washington St., Springfield, Illinois 62767-0001.~~ Insurers are responsible for contacting the Casualty Actuarial Unit for further detail on electronic submissions.
- b) **Data Reconciliation Submission**  
Supplement A to Schedule T Data Reconciliation Forms for the prior year shall be submitted no later than April 30 of the current year to [DOI.MedMal@Illinois.gov](mailto:DOI.MedMal@Illinois.gov) or through mail addressed to the Illinois Department of Insurance, Casualty Actuarial Unit, 320 W. Washington St., Springfield, Illinois 62767-0001.

(Source: Amended at 40 Ill. Reg. 16137, effective November 30, 2016)

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**Section 928.EXHIBIT B Illinois Medical Professional Liability Insurance Uniform Claims Report – Reporting Instructions**

As required by Section 155.19 of the Insurance Code [215 ILCS 5/155.19] and 50 Ill. Adm. Code 928:

1. File all opened, closed, re-opened, and re-closed medical professional liability insurance claims and lawsuits, including any updates, with the DOI on a quarterly basis. For closed claims, include claims closed without payment. Insurance claim means a formal or written demand for compensation under a medical professional liability insurance policy relating to allegations of liability on the part of one or more providers for any act, error or omission in the rendering of, or failure to render, medical services for medically related injuries. Insurance claim includes any instance for which benefits or compensation are payable or eligible to be paid under any coverage under the policy. Lawsuit means a complaint filed in any court in this State alleging liability on the part of one or more providers for any act, error or omission in the rendering of, or failure to render, medical services for medically related injuries.
2. File separate reports for each defendant you insure. Each filing of a claim or lawsuit report shall be identified with a unique claim number. If more than one defendant/insured is associated with an incident, a unique claim number is required for each defendant/insured. If more than one claimant/injured party is associated with an incident, a unique claim number is required for each claimant/injured party. When there are multiple associated claims/lawsuits, report the incident identifier in the other claims information section.
3. **RESPONSES TO ALL FIELDS ARE REQUIRED.** For open claim reports, complete Insurer Information through Contact Person Information. When updating reports, any information may be updated. For closed claim reports, all fields are required.

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**Insurer Information**

- 1a. Insurer Name (not group name) (Maximum = 40 characters).
- 1b. Insurer 9-digit FEIN. Entities without a Federal Employer Identification Number (FEIN), contact the DOI for assigned number.

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**Initial Claim Information**

- 2a. Claim ID. For each open claim report, assign a distinguishing claim number sufficient to enable the Department of Insurance (DOI) to track a particular claim over a period of years. This claim number should consist of a unique sequence of letters and/or numbers. Once a claim number has been assigned, it should not be repeated for any future claim. One claim record should be reported for each named individual or entity formally alleged to have contributed to an injury or grievance and from whom a malpractice payment is being sought. On re-opened claims, use the same claim number as the original claim file that is being re-opened.
- 2b. Date of Principal or Alleged Injury (MM/DD/YYYY). Report the date of the earliest alleged error or omission that was the first necessary if not sufficient cause of the alleged medical injury.
- 2c. Date Incident First Reported to Insurer (MM/DD/YYYY). Date of alleged injury first reported to the insurer.
- 2d. Date Claim Opened by Insurer (MM/DD/YYYY).
- 2e. Date Claim Re-Opened by Insurer (MM/DD/YYYY).
- 2f. Date of Original Closure (MM/DD/YYYY). Only applicable if claim was re-opened.
- 2g. Date of Final Closure (MM/DD/YYYY). The date of final disposition or settlement of a claim. Payments for defense costs or indemnity may occur after the date of closure (as in a structured settlement).

**Insured Information**

- 3a. Profession or Business Code. (1) Physician or Surgeon\*; (2) Hospital; (3) Nurse\*; (4) Nursing Home; (5) Dentist\*; (6) Pharmacy; (7) Optometrist\*; (8) Chiropractor\*; (9) Podiatrist/Chiropodist\*; (10) Clinic/Corporation; (11) Other\* – Employee (Maximum = 25 characters). A code with an asterisk (\*) requires a "Type of Practice Code" as well.
- 3b. Type of Practice Code. (1) Institutional, including Academic; (2) Professional Corporation, Partnership, or Group; (3) Self-Employed; (4) Hospital; (5) Nursing Home; (6) All Other Employees; (7) Intern or Resident.

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- 3c. Insured's Name, including suffix such as MD, DO, etc.
- 3d. Insured's Illinois License Number. ~~If unavailable, enter insured's Social Security Number.~~ Enter FEIN for clinics and corporations.
- 3e. Medical Specialty Codes. Select the most relevant specialty code from the following table.

Code	Description
<b>Physician Specialties</b>	
01	Allergy and Immunology
03	Aerospace Medicine
05	Anesthesiology
10	Cardiovascular Diseases
13	Child Psychiatry
20	Dermatology
23	Diagnostic Radiology
25	Emergency Medicine
29	Forensic Pathology
30	Gastroenterology
33	General/Family Practice
35	General Preventive Medicine
37	Hospitalist
39	Internal Medicine
40	Neurology
43	Neurology, Clinical Neurophysiology
45	Nuclear Medicine
50	Obstetrics & Gynecology
53	Occupational Medicine
55	Ophthalmology
59	Otolaryngology
60	Pediatrics
63	Psychiatry
65	Public Health
67	Clinical Pharmacology
69	Physical Medicine & Rehabilitation
70	Pulmonary Diseases
73	Anatomic/Clinical Pathology

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75	Radiology
76	Radiation Oncology
80	Colon & Rectal Surgery
81	General Surgery
82	Neurological Surgery
83	Orthopedic Surgery
84	Plastic Surgery
85	Thoracic Surgery
86	Urological Surgery
98	Other Specialty – not classified
99	Unspecified

<b>Dental Specialties</b>	
D1	General Dentistry (no specialty)
D2	Dental: Public Health
D3	Endodontics
D4	Oral and Maxillofacial Surgery
D5	Oral and Maxillofacial Pathology, Orthodontics and Dentofacial
D6	Orthopedics
D7	Pediatric Dentistry
D8	Periodontics
D9	Prosthodontics
DA	Oral and Maxillofacial Radiology
DB	Unknown

- 3f. County of Insured's Principal Place of Practice for Rating Purposes.
- 3g. Policy Limits Available, Primary Coverage. Policy limits available for the claim being reported under the insured's primary coverage.
- 3h. Policy Limits Available, Excess Coverage. Policy limits available for the claim being reported under the insured's excess coverage.

**Place of Injury Information**

- 4a. Place Where Alleged Injury Occurred Code. Enter only one. (1) Hospital Inpatient Facility\*; (2) Emergency Room; (3) Hospital Outpatient Facility\*; (4) Nursing Home\*;

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(5) Physician's Office; (6) Patient's Home; (7) Other Outpatient Facility, including Clinics\*; (U) Unknown\*; (X) Other\* – describe place (Maximum = 25 characters). A code with an asterisk (\*) requires a "Location Within Institution Code" as well.

- 4b. Location Within Institution Code. (1) Patient's Room; (2) Labor/Delivery Room; (3) Operating Suite; (4) Recovery Room; (5) Critical Care Unit; (6) Special Procedure Room; (7) Nursery; (8) Radiology; (9) Physical Therapy Department; (U) Unknown; (X) Other – describe (Maximum = 25 characters).
- 4c. County Where Alleged Injury Occurred. Full name of the county in which the injury is alleged to have occurred.

**Injured Person Information**

- 5a. Injured Person's Name.
- 5b. Injured Person's Gender. M F
- 5c. Injured Person's Age. Enter age of injured person at the date of injury.

**Other Claim Information**

- 6a. Total Number of Defendants. Enter total number of persons or corporations that you insure that are involved in the incident relating to this claim.
- 6b. Incident Identifier. Each reporting entity should assign a unique numeric identifier for each incident or occurrence. An occurrence is an event or series of events leading to an allegation of malpractice, and that may involve allegations against multiple individuals and entities. An occurrence is defined causally and may or may not be constrained in time. For example, multiple failures to diagnose a given illness may occur over a period of years. Such a series of events would be considered a single occurrence. Each claim submitted for providers involved in a single occurrence should be assigned the same incident identifier.

**Contact Person Information**

- 7a. Name of Person Responsible for Preparing this Report.
- 7b. Title of Person Responsible for Preparing this Report.

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- 7c. Contact Person Name (if different than Name of Person Responsible for Preparing this Report).
- 7d. Contact Person Telephone Number.
- 7e. Contact Person Email Address.

**Plaintiff Attorney Information**

- 8a. Plaintiff Attorney's Name or Name of Law Firm.
- 8b. Plaintiff Attorney's Office City.
- 8c. Plaintiff's Attorney's Office State.

**Claim Data Information**

- 9a. Nature and Substance of Claim. Give complete description of all actions and circumstances causing the claim, including allegations made by claimant. (Maximum = 250 characters)
- 9b. Allegation Codes Related to Claim. Enter as many codes as needed. Use DOI 3-digit codes listed below. (1) Diagnosis Related; (2) Anesthesia Related; (3) Surgery Related; (4) Medication Related; (5) Intravenous and Blood Products Related; (6) Obstetrics Related; (7) Treatment Related; (8) Monitoring Related; (9) Biomedical Equipment/Product Medication Related; (10) Miscellaneous Related.

DOI 3-digit Allegation Code choices:

- Diagnosis-Related
- 010 – Failure to Diagnose (e.g., concluding that patient has no disease or condition worthy of follow-up or observation)
  - 020 – Wrong Diagnosis or Misdiagnosis (e.g., original diagnosis is incorrect)
  - 030 – Improper Performance of Test
  - 040 – Unnecessary Diagnostic Test
  - 050 – Delay in Diagnosis
  - 060 – Failure to Obtain Consent/Lack of Informed Consent
  - 070 – Diagnosis Related – Not Otherwise Classified

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- Anesthesia-Related
- 110 – Failure to Complete Patient Assessment
  - 120 – Failure to Monitor
  - 130 – Failure to Test Equipment
  - 140 – Improper Choice of Anesthesia Agent or Equipment
  - 150 – Improper Technique/Induction
  - 160 – Improper Equipment Use
  - 170 – Improper Intubation
  - 180 – Improper Positioning
  - 185 – Failure to Obtain Consent/Lack of Informed Consent
  - 190 – Anesthesia Related – Not Otherwise Classified
- Surgery-Related
- 210 – Failure to Perform Surgery
  - 220 – Improper Positioning
  - 230 – Retained Foreign Body
  - 240 – Wrong Body Part
  - 250 – Improper Performance of Surgery
  - 260 – Unnecessary Surgery
  - 270 – Delay in Surgery
  - 280 – Improper Management of Surgical Patient
  - 285 – Failure to Obtain Consent/Lack of Informed Consent
  - 290 – Surgery Related – Not Otherwise Classified
- Medication-Related
- 305 – Failure to Order Appropriate Medication
  - 310 – Wrong Medication Ordered
  - 315 – Wrong Dosage Ordered of Correct Medication
  - 320 – Failure to Instruct on Medication
  - 325 – Improper Management of Medication Regimen
  - 330 – Failure to Obtain Consent/Lack of Informed Consent
  - 340 – Medication Error – Not Otherwise Classified
  - 350 – Failure to Medicate
  - 355 – Wrong Medication Administered
  - 360 – Wrong Dosage Administered
  - 365 – Wrong Patient
  - 370 – Wrong Route
  - 380 – Improper Technique/Induction
  - 390 – Medication Administration Related – Not Otherwise Classified

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Intravenous & Blood Products- Related	410 – Failure to Monitor
	420 – Wrong Solution
	430– Improper Performance
	440 – I.V. Related – Not Otherwise Classified
	450 – Failure to Ensure Contamination Free
	460 – Wrong Type
	470 – Improper Administration
	480 – Failure to Obtain Consent/Lack of Informed Consent
	490 – Blood Product Related – Not Otherwise Classified
Obstetrics-Related	505 – Failure to Manage Pregnancy
	510 – Improper Choice of Delivery Method
	520 – Improperly Performed Vaginal Delivery
	530 – Improperly Performed C-Section
	540 – Delay in Delivery (Induction or Surgery)
	550 – Failure to Obtain Consent/Lack of Informed Consent
	555 – Improperly Managed Labor – Not Otherwise Classified
	560 – Delay in Treatment of Fetal Distress (i.e., identified but treated in untimely manner)
	570 – Retained Foreign Body/Vaginal/Uterine
	575 – Abandonment
580 – Wrongful Life/Birth	
590 – Obstetrics Related – Not Otherwise Classified	
Treatment-Related	610 – Failure to Treat
	620 – Wrong Treatment/Procedure Performed
	630 – Failure to Instruct Patient on Self-Care
	640 – Improper Performance of Treatment/Practice
	650 – Improper Management of Course of Treatment
	660 – Unnecessary Treatment
	665 – Delay in Treatment
	670 – Premature End of Treatment (Also Abandonment)
	675 – Failure to Supervise Treatment/Procedure
	680 – Failure to Obtain Consent/Lack of Informed Consent
685 – Failure to Refer or Seek Consultation	
690 – Treatment Related – Not Otherwise Classified	
Monitoring-Related	710 – Failure to Monitor
	720 – Failure to Respond to Patient

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- 730 – Failure to Report on Patient Condition
- 790 – Monitoring Related – Not Otherwise Classified

Biomedical  
Equipment/  
Product-Related

- 810 – Failure to Inspect/Monitor
- 820 – Improper Maintenance
- 830 – Improper Use
- 840 – Failure to Respond to Warning
- 850 – Failure to Instruct Patient on Use of Equipment/Product
- 860 – Malfunction/Failure
- 890 – Biomedical Equipment/Product-Related – Not Otherwise Classified

Miscellaneous-  
Related

- 920 – Failure to Protect Third Parties (e.g., failure to warn/protect from violent patient behavior)
- 930 – Breach of Confidentiality/Privacy
- 940 – Failure to Maintain Appropriate Infection Control
- 950 – Failure to Follow Institutional Policy or Procedure
- 960 – Other (Provide Detailed Description)
- 990 – Failure to Review Providing Performance

9c. Severity of Injury Code. Select only one – Select code for principal injury if several injuries are involved.

Temporary:	1. Emotional Only (e.g., fright, no physical damage)
	2. Insignificant (e.g., lacerations, contusions, minor scars, rash; no delay)
	3. Minor (e.g., infections, misset fracture, fall in hospital; recovery delayed)
	4. Major (e.g., burns, surgical material left, drug side effect, brain damage; recovery delayed)
Permanent:	5. Minor (e.g., loss of fingers, loss or damage to organs; includes non-disabling injuries)
	6. Significant (e.g., deafness, loss of limb, loss of eye, loss of one kidney or lung)
	7. Major (e.g., paraplegia, blindness, loss of two limbs, brain damage)
	8. Grave (e.g., quadriplegia, severe brain damage, lifelong care or fatal prognosis)
	9. Death

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- 9d. Claim Disposition Code. Enter code representing the final disposition of the claim. (1) Settled by Parties\*; (2) Disposed of by a Court\*\*; (3) Disposed of by Binding Arbitration\*\*\*; (4) Suit Abandoned\*\*\*\*; (5) Claim Abandoned.  
A code with an (\*) requires a "Settlement Code" as well.  
A code with an (\*\*) requires "Court Information" to be completed as well.  
A code with an (\*\*\*) requires a "Binding Arbitration Code" as well.  
A code with an (\*\*\*\*) requires a "County of Circuit Court" and "Docket Number" as well.
- 9e. Settlement Code. (1) Before Filing Suit or Demanding Arbitration Hearing; (2) Before Trial or Hearing; (3) During Trial or Hearing; (4) After Trial or Hearing but Before Judgment or Decision/Award; (5) After Judgment or Decision but Before Appeal; (6) During Appeal; (7) After Appeal; (8) As a result of Review Panel or Non-Binding Arbitration\*\*; (9) As a Result of Mediation; (10) As a Result of High/Low Settlement\*\*\*.  
A code with an (\*\*) requires a "Review Panel or Non-Binding Arbitration Code" as well.  
A code with an (\*\*\*) requires all applicable "Court Information" except "Court Code".
- 9f. Review Panel or Non-Binding Arbitration Code. (1) Finding for Plaintiff; (2) Finding for Defendant.
- 9g. Binding Arbitration Code (1) Award for Plaintiff; (2) Award for Defendant.

**Court Information**

- 10a. Court Code. (1) Directed Verdict for Plaintiff; (2) Directed Verdict for Defendant; (3) Judgment Notwithstanding Verdict for Plaintiff (judgment for defendant); (4) Judgment Notwithstanding Verdict for Defendant (judgment for plaintiff); (5) Judgment for Plaintiff; (6) Judgment for Defendant; (7) Decision for Plaintiff on Appeal; (8) Decision for Defendant on Appeal; (9) Voluntary Dismissal; (10) Involuntary Dismissal; (11) All Other Actions.
- 10b. County of Circuit Court. County of Circuit Court where lawsuit occurred.
- 10c. Docket Number.
- 10d.- Date of Award. (MM/DD/YYYY)

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- 10e. Was the Circuit Court decision appealed? Y or N  
If "Y", Describe the Result of the Appeal. (Maximum = 25 characters)
- 10f. Describe any Other Post Trial Motions. (Maximum = 25 characters)
- 10g. Economic Damages. Amount of economic damages awarded by the court. (whole dollar amounts only)
- 10h. Non-economic Damages. Amount of non-economic damages awarded by the court. (whole dollar amounts only)
- 10i. Liability Doctrine. Indicate whether liability was governed by the doctrine of joint and several liability (J) or whether liability was separate (S).

**Claim Payment Information**

- 11a. Total Direct Indemnity Paid/Payable by You Under this Policy on Behalf of this Insured/Defendant. (whole dollar amounts only)
- 11b. Economic Damages. If 9d Claim Disposition Code is (2) Disposed of by a Court, enter the amount that was paid/payable by you for economic damages, as indicated by the court award. This amount plus 11c. Non-Economic Damages must equal amount reported in 11a. Total Direct Indemnity Paid/Payable by You Under this Policy on Behalf of this Insured/Defendant. (whole dollar amounts only)
- 11c. Non-Economic Damages. If 9d Claim Disposition Code is (2) Disposed of by a Court, enter amount that was paid/payable by you for non-economic damages, as indicated by the court award. This amount plus 11b. Economic Damages must equal amount reported in 11a. Total Direct Indemnity Paid/Payable by You Under this Policy on Behalf of this Insured/Defendant. (whole dollar amounts only)
- 11d. Direct Loss Adjustment Expense Paid/Payable by You under this Policy to Defense Counsel. (whole dollar amounts only)
- 11e. All Other Allocated Loss Adjustment Expenses Paid/Payable by You for this Insured/Defendant for this claim, including filing fees, telephone charges, photocopy fees, expenses of defense counsel, etc. (whole dollar amounts only)

## DEPARTMENT OF INSURANCE

## NOTICE OF ADOPTED AMENDMENTS

- 11f. Direct Indemnity Paid/Payable by You Under All Policies for this Insured/Defendant. (whole dollar amounts only)
- 11g. Other Indemnity Paid by or on Behalf of this Insured/Defendant. (whole dollar amounts only)
- D) Deductibles paid by insured/defendant for this claim under this policy;
  - E) Indemnity paid under any excess limits policy issued by you;
  - R) Amount paid by insured/defendant under self-insured retention;
  - S) Amount you paid above any stop loss limit.
- 11h. Claimed Medical Expense. Amount of medical expense claimed by the plaintiff/injured party. (whole dollar amounts only)
- 11i. Claimed Wage Loss. Amount of wage loss claimed by the plaintiff/injured party. (whole dollar amounts only)
- 11j. Trial Type. If trial was started, indicate whether it was a bench trial (B) or jury trial (J).

(Source: Amended at 40 Ill. Reg. 16137, effective November 30, 2016)

## DEPARTMENT OF INSURANCE

## NOTICE OF ADOPTED AMENDMENTS

**Section 928.EXHIBIT E Supplement A to Schedule T Reconciliation Form****SCHEDULE T DATA RECONCILIATION FORM**

<u>Company Name:</u>			
Line Number	Line	Loss paid – number of claims	Losses paid – dollar amount
1	Schedule T, Supplement A		
2	Part 928 claim report totals		
3	Difference (line 1 - line 2)		
<b>Adjustments to Schedule T</b>			
4	Sch T – payments reported in current year on claims closed in prior years		
5	Sch T – claims not reported in Part 928 claims data for other reasons (claim not reportable in this State, etc.; specify in separate explanation)		
6	Correction for other discrepancies (occurrence vs. claims reporting, other accounting issues, etc.; specify in separate explanation)		
7	Adjustments to Schedule T (line 1 - line 4 - line 5 - line 6)		
<b>Adjustments to 928 Claims Data</b>			
8	Claims not reported on Schedule T for other reasons (reported for another state, etc.; specify in separate explanation)		
9	Other adjustments needed for claims data to reconcile to Schedule T		
10	Adjustments to claims data (line 2 - line 8 - line 9)		
<b>Reconciled Amounts</b>			
11	Difference in adjusted amounts (line 7 - line 10) - this line should equal 0.		
Explanation for adjustments on line 5:			

DEPARTMENT OF INSURANCE

NOTICE OF ADOPTED AMENDMENTS

Explanation for adjustments on line 6:
Explanation for adjustments on line 8:
Explanation for adjustments on line 9:

(Source: Amended at 40 Ill. Reg. 16137, effective November 30, 2016)

## ILLINOIS STATE TOLL HIGHWAY AUTHORITY

## NOTICE OF ADOPTED AMENDMENTS

- 1) Heading of the Part: State Toll Highway Rules
- 2) Code Citation: 92 Ill. Adm. Code 2520
- 3) 

<u>Section Numbers:</u>	<u>Adopted Actions:</u>
2520.410	Amendment
2520.420	Amendment
- 4) Statutory Authority: 605 ILCS 10/10; 605 ILCS 5/11-601; 605 ILCS 5/11-603
- 5) Effective Date of Rules: December 1, 2016
- 6) Does this rulemaking contain an automatic repeal date? No
- 7) Does this rulemaking contain incorporations by reference? No
- 8) A copy of the adopted rules, including any material incorporated by reference, is on file in the Agency's principal office and is available for public inspection.
- 9) Notice of Proposal published in the *Illinois Register*: 40 Ill. Reg. 2440; February 5, 2016
- 10) Has JCAR issued a Statement of Objection to this rulemaking? No
- 11) Differences between Proposal and Final Version: There are no differences between the proposal and final version.
- 12) Have all the changes agreed upon by the Agency and JCAR been made as indicated in the agreements issued by JCAR? No changes to the proposed version of the rulemaking were suggested by JCAR.
- 13) Will this rulemaking replace an emergency rule currently in effect? No
- 14) Are there any rulemakings pending on this Part? No
- 15) Summary and Purpose of Rulemaking: The rulemaking increases Tollway speed limits on portions of I-90 and I-355.
- 16) Information and questions regarding these adopted rules shall be directed to:

ILLINOIS STATE TOLL HIGHWAY AUTHORITY

NOTICE OF ADOPTED AMENDMENTS

Robert T. Lane  
Senior Assistant Attorney General  
2700 Ogden Avenue  
Downers Grove IL 60515

630/241-6800 x1530  
630/271-7559

The full text of the Adopted Amendments begins on the next page:

## ILLINOIS STATE TOLL HIGHWAY AUTHORITY

## NOTICE OF ADOPTED AMENDMENTS

TITLE 92: TRANSPORTATION  
CHAPTER IV: ILLINOIS STATE TOLL HIGHWAY AUTHORITYPART 2520  
STATE TOLL HIGHWAY RULES

## SUBPART A: AUTHORITY AND DEFINITIONS

## Section

2520.100	Authority
2520.110	Authority Rulemaking
2520.120	Related Statutes
2520.130	Definitions

## SUBPART B: GENERAL TRAFFIC RULES AND REGULATIONS

## Section

2520.200	Illinois Vehicle Code
2520.203	Use of Tollway Prohibited or Restricted
2520.206	Vehicles Excepted from Provisions of Section 2520.203
2520.209	Transportation of Hazardous Materials
2520.212	Special Usage Toll
2520.215	Loading or Unloading of Vehicles
2520.218	Full Stop at All Toll Plazas
2520.221	Entering and Leaving the Tollway
2520.224	"U" Turns, Etc.
2520.227	Backing Up of Vehicles
2520.230	Parking, Standing or Stopping
2520.233	Relocating of Vehicles
2520.236	Pushing or Towing of Vehicles
2520.239	Stopping or Halting Vehicles by the Authority
2520.242	Destruction of Authority Property
2520.245	Picnics
2520.248	Aircraft
2520.251	Sale of Goods and Services
2520.254	Solicitation of Rides
2520.257	Loitering or Interfering with Traffic
2520.260	Approaching/Departing a Toll Plaza
2520.263	Compliance with Orders or Directions of State Troopers, Etc.

## ILLINOIS STATE TOLL HIGHWAY AUTHORITY

## NOTICE OF ADOPTED AMENDMENTS

2520.266	Duty Upon Striking Fixtures, Structures or Other Property on Tollway
2520.269	Payment of Tolls
2520.272	I-Pass Registration
2520.275	Prohibited and Restricted Lanes
2520.278	Traffic Control Devices
2520.281	Penalty for Violation

## SUBPART C: TRESPASS

Section	
2520.300	Authority
2520.310	Restriction of Vehicles Using the Tollway
2520.320	Restriction on Nature of Use of Tollway
2520.340	Persons and Vehicles Excepted from the Requirements of Subpart C
2520.350	Penalties

## SUBPART D: SPEED RESTRICTIONS

Section	
2520.410	Maximum Speed Limits for Passenger Cars
2520.420	Maximum Speed Limits for Trucks, Buses, Passenger Cars Towing Trailers, House Trailers and Campers
2520.430	Maximum Speed Limits for Designated I-Pass Lanes, Service Areas, Parking Areas, Access Roads and Ramps, and Barrier Toll Plaza Approaches
2520.440	Road Hazards and Construction Zones
2520.450	Special Road Conditions
2520.460	Minimum Speed Limits

## SUBPART E: FINES AND PENALTIES

Section	
2520.510	Violations
2520.520	Littering – Penalty
2520.530	Spurious or Counterfeit Tickets, Coupons or Tokens – Penalty
2520.540	Toll Collection Devices – Penalty for Breaking
2520.550	I-PASS Customer – Penalties

## SUBPART F: TOLL VIOLATIONS – ADMINISTRATIVE ADJUDICATION SYSTEM

## ILLINOIS STATE TOLL HIGHWAY AUTHORITY

## NOTICE OF ADOPTED AMENDMENTS

## Section

2520.700	Authority
2520.705	Notice of Violation to Respondent
2520.710	Effective Date of Notices
2520.715	Establishment of the Toll-Free Telephone Number
2520.720	Timely Request for Hearing
2520.725	Hearing Officers – Appointment, Disqualification, Powers and Duties
2520.730	Discovery
2520.735	Continuance
2520.740	Hearings Format
2520.745	Failure to Respond to Notice of Violation – Default
2520.750	Penalties
2520.755	Liability of Lessor
2520.760	Liability of Registered Owner
2520.765	Enforcement of Final Order
2520.770	Judicial Review

## SUBPART G: EMPLOYMENT

## Section

2520.800	Tollway Employees
2520.APPENDIX A	Rules and Regulations for Overweight and Overdimension Vehicles and Loads

AUTHORITY: Implementing and authorized by the Toll Highway Act [605 ILCS 10].

SOURCE: Filed January 3, 1973; effective February 1, 1973; codified at 8 Ill. Reg. 19884; Part repealed, new Part adopted at 17 Ill. Reg. 8539, effective May 27, 1993; amended at 20 Ill. Reg. 10200, effective July 12, 1996; emergency amendment at 24 Ill. Reg. 2737, effective February 4, 2000, for a maximum of 150 days; emergency expired July 2, 2000; emergency amendment at 24 Ill. Reg. 4234, effective February 29, 2000, for a maximum of 150 days; emergency expired July 27, 2000; amended at 24 Ill. Reg. 16078, effective October 11, 2000; emergency amendment at 26 Ill. Reg. 16325, effective October 31, 2002, for a maximum of 150 days ; amended at 27 Ill. Reg. 6325, effective April 1, 2003; emergency amendment at 27 Ill. Reg. 18238, effective November 6, 2003, for a maximum of 150 days; emergency expired April 5, 2004; emergency amendment at 28 Ill. Reg. 1780, effective January 14, 2004, for a maximum of 150 days; amended at 28 Ill. Reg. 6911, effective April 23, 2004; emergency amendment at 28 Ill. Reg. 7688, effective May 24, 2004, for a maximum of 150 days; emergency expired October 20,

## ILLINOIS STATE TOLL HIGHWAY AUTHORITY

## NOTICE OF ADOPTED AMENDMENTS

2004; amended at 28 Ill. Reg. 14530, effective October 25, 2004; old Part repealed at 30 Ill. Reg. 11261 and new Part adopted at 30 Ill. Reg. 11264, effective June 9, 2006; amended at 35 Ill. Reg. 535, effective December 27, 2010; emergency amendment at 38 Ill. Reg. 2433, effective January 7, 2014, for a maximum of 150 days; amended at 38 Ill. Reg. 4037, effective January 27, 2014; amended at 38 Ill. Reg. 11369, effective May 9, 2014; amended at 38 Ill. Reg. 19780, effective September 25, 2014; amended at 39 Ill. Reg. 12640, effective August 28, 2015; amended at 40 Ill. Reg. 3762, effective February 25, 2016; amended at 40 Ill. Reg. 16155, effective December 1, 2016.

## SUBPART D: SPEED RESTRICTIONS

**Section 2520.410 Maximum Speed Limits for Passenger Cars**

The following shall be the maximum speed limits for passenger cars (includes motorcycles) on the Tollway, except as provided by Sections 2520.430 and 2520.440:

## a) Tri-State Tollway (I-94 and I-294):

70 miles per hour north of I-94 M.P.7.5 (Stearns School Road).

65 miles per hour between I-94 M.P.7.5 (Stearns School Road) and I-94 M.P.24.2 (Deerfield Road).

60 miles per hour between I-94 M.P.24.2 (Deerfield Road) and M.P.25.2 (Lake-Cook Road).

60 miles per hour between I-294 M.P.52.7 (Lake-Cook Road) and M.P. 42.2 (Touhy Avenue).

60 miles per hour between I-294 M.P.23.1 (I-55) and M.P.7.6 (I-57).

55 miles per hour on the remainder of I-294.

## b) Jane Addams Memorial Tollway (I-90):

55 miles per hour east of M.P.51.8 (west of Randall Road).

~~65 miles per hour between M.P.51.8 (west of Randall Road) and M.P.17.4 (west of the I-39 Interchange).~~

## ILLINOIS STATE TOLL HIGHWAY AUTHORITY

## NOTICE OF ADOPTED AMENDMENTS

70 miles per hour west of ~~M.P.51.8 (west of Randall Road)~~~~M.P.17.4 (west of the I 39 Interchange).~~

## c) Reagan Memorial Highway (I-88):

60 miles per hour between M.P.140.4 (I-290/Eisenhower Expressway) and M.P.17.1 (Illinois Route 31).

65 miles per hour between M.P.117.1 (Illinois Route 31) and M.P.109.0 (west of Illinois Route 47).

70 miles per hour west of M.P.109.0 (west of Illinois Route 47).

## d) Veterans Memorial Tollway (I-355):

60 miles per hour between M.P.12.0 (I-55) and M.P.29.8 (Army Trail Road).

~~70~~65 miles per hour south of M.P.12.0 (I-55).

(Source: Amended at 40 Ill. Reg. 16155, effective December 1, 2016)

**Section 2520.420 Maximum Speed Limits for Trucks, Buses, Passenger Cars Towing Trailers, House Trailers and Campers**

## a) The following shall be the maximum speed limits for trucks and passenger cars towing trailers, house trailers and campers on the Tollway, except as provided by Sections 2520.430 and 2520.440:

## 1) Tri-State Tollway (I-94 and I-294):

60 miles per hour north of I-94 M.P.25.2 (Lake-Cook Road).

60 miles per hour between I-294 M.P.52.7 (Lake-Cook Road) and M.P.42.2 (Touhy Avenue).

60 miles per hour between I-294 M.P.23.1 (I-55) and M.P.7.6 (I-57).

## ILLINOIS STATE TOLL HIGHWAY AUTHORITY

## NOTICE OF ADOPTED AMENDMENTS

55 miles per hour on the remainder of I-294.

2) Jane Addams Memorial Tollway (I-90):

55 miles per hour east of M.P.51.8 (west of Randall Road).

60 miles per hour between M.P.51.8 (west of Randall Road) to M.P. 31.2 (McHenry County Line).

~~65 miles per hour between M.P.31.2 (McHenry County Line) and M.P.17.4 (west of the I-39 Interchange).~~

70 miles per hour west of M.P.31.2 (McHenry County Line)~~M.P.17.4 (west of the I-39 Interchange).~~

3) Reagan Memorial Highway (I-88):

60 miles per hour between M.P.140.4 (I-290/Eisenhower Expressway) and M.P.101.0 (Kane/DeKalb County Line).

70 miles per hour west of M.P.101.0 (Kane/DeKalb County Line).

4) Veterans Memorial Tollway (I-355):

60 miles per hour between M.P.0.0 (I-80) and M.P.29.8 (Army Trail Road).

b) The following shall be the maximum speed limits for buses on the Tollway, except as provided by Sections 2520.430 and 2520.440:

1) Tri-State Tollway (I-94 and I-294):

65 miles per hour north of I-94 M.P.24.2 (Deerfield Road).

60 miles per hour between I-94 M.P.24.2 (Deerfield Road) and M.P.25.2 (Lake-Cook Road).

60 miles per hour between I-294 M.P.52.7 (Lake-Cook Road) and

## ILLINOIS STATE TOLL HIGHWAY AUTHORITY

## NOTICE OF ADOPTED AMENDMENTS

M.P.42.2 (Touhy Avenue).

60 miles per hour between I-294 M.P. 23.1 (I-55) and M.P.7.6 (I-57).

55 miles per hour on the remainder of I-294.

2) Jane Addams Memorial Tollway (I-90):

55 miles per hour east of M.P.51.8 (west of Randall Road).

65 miles per hour between M.P.51.8 (west of Randall Road) to [M.P.31.2 \(McHenry County Line\)](#)~~M.P.17.4 (west of the I-39 Interchange)~~.

70 miles per hour west of [M.P.31.2 \(McHenry County Line\)](#)~~M.P.17.4 (west of the I-39 Interchange)~~.

3) Reagan Memorial Highway (I-88):

60 miles per hour between M.P.140.4 (I-290/Eisenhower Expressway) and M.P.117.1 (Illinois Route 31).

65 miles per hour between M.P.117.1 (Illinois Route 31) and M.P.101.0 (Kane/DeKalb County Line).

70 miles per hour west of M.P.101.0 (Kane/DeKalb County Line).

4) Veterans Memorial Tollway (I-355):

60 miles per hour between M.P.12.0 (I-55) and M.P.29.8 (Army Trail Road).

65 miles per hour between M.P.0.0 (I-80) and M.P.12.0 (I-55).

(Source: Amended at 40 Ill. Reg. 16155, effective December 1, 2016)

## DEPARTMENT OF INSURANCE

## NOTICE OF RECODIFICATION

- 1) Heading of the Part: Small Employer Carrier Actuarial Certification and Documentation Requirements
- 2) Code Citation: 50 Ill. Adm. Code 5101
- 3) Date of Administrative Code Division Review: November 30, 2016
- 4) Reason for the Recodification: The Index Department requested the re-codification of Part 5101 which was formerly found in Subchapter hhh: Small Employer Health Insurance Rating Act. Part 5101 is being recodified to Subchapter pp: Small Employer Health Insurance Rating Act Part 3801 to update the codification format.
- 5) Headings and Section Numbers of the Part Being Recodified:

## SUBCHAPTER hhh: SMALL EMPLOYER HEALTH INSURANCE RATING ACT

Section Numbers:

5101.10

5101.20

5101.30

5101.40

5101.50

5101.60

5101.ILLUSTRATION A

5101.ILLUSTRATION B

Headings:

Purpose

Applicability and Scope

Definitions

Pre-approval of an Individual Who is Not a Member of the American Academy of Actuaries for the purpose of filing an Actuarial Certification

Small Employer Carrier Rating and Underwriting Record Maintenance

Actuarial Certification and Format

Actuarial Certification

Statement of the Company Officer

- 6) Outline of the Section Numbers and Headings of the Part as Recodified:

## SUBCHAPTER g: INSURANCE HOLDING COMPANY SYSTEMS

Section Numbers:

3801.10

3801.20

3801.30

Headings:

Purpose

Applicability and Scope

Definitions

## DEPARTMENT OF INSURANCE

## NOTICE OF RECODIFICATION

3801.40	Pre-approval of an Individual Who is Not a Member of the American Academy of Actuaries for the purpose of filing an Actuarial Certification
3801.50	Small Employer Carrier Rating and Underwriting Record Maintenance
3801.60	Actuarial Certification and Format
3801.ILLUSTRATION A	Actuarial Certification
3801.ILLUSTRATION B	Statement of the Company Officer

7) Conversion Table of Present and Recodified Parts:

<u>Present Parts:</u>	<u>Recodified Parts:</u>
5101.10	3801.10
5101.20	3801.20
5101.30	3801.30
5101.40	3801.40
5101.50	3801.50
5101.60	3801.60
5101.ILLUSTRATION A	3801.ILLUSTRATION A
5101.ILLUSTRATION B	3801.ILLUSTRATION B

JOINT COMMITTEE ON ADMINISTRATIVE RULES  
ILLINOIS GENERAL ASSEMBLY

SECOND NOTICES RECEIVED

The following second notices were received during the period of November 29, 2016 through December 5, 2016. The rulemakings are scheduled for review at the Committee's December 13, 2016 and January 10, 2017 meetings. Other items not contained in this published list may also be considered. Members of the public wishing to express their views with respect to a rulemaking should submit written comments to the Committee at the following address: Joint Committee on Administrative Rules, 700 Stratton Bldg., Springfield IL 62706.

<u>Second Notice Expires</u>	<u>Agency and Rule</u>	<u>Start of First Notice</u>	<u>JCAR Meeting</u>
1/14/17	<u>Health Facilities and Services Review Board</u> , Health Facilities and Services Review Board Operational Rules (77 Ill. Adm. Code 1130)	10/7/16 40 Ill. Reg. 13795	1/10/17
1/14/17	<u>Department of Financial and Professional Regulation</u> , Illinois Athletic Trainers Practice Act (68 Ill. Adm. Code 1160)	10/7/16 40 Ill. Reg. 13750	1/10/17
1/14/17	<u>Department of Financial and Professional Regulation</u> , Recording of Proceedings at Meetings and Hearings (68 Ill. Adm. Code 1120)	10/7/16 40 Ill. Reg. 13745	1/10/17
1/14/17	<u>Department of Public Health</u> , Regionalized Perinatal Health Care Code (77 Ill. Adm. Code 640)	8/12/16 40 Ill. Reg. 10728	1/10/17
1/18/17	<u>Department of Human Services</u> , Temporary Assistance for Needy Families (89 Ill. Adm. Code 112)	10/21/16 40 Ill. Reg. 14311	12/13/16

## ILLINOIS COMMUNITY COLLEGE BOARD

## JANUARY 2017 REGULATORY AGENDA

- a) Part (Heading and Code Citations): Administration of the Illinois Public Community College Act, 23 Ill. Adm. Code 1501
- 1) Rulemaking:
- A) Description: PA 99-655 focuses on reducing data redundancy and eliminating data collections that are not vital to the goals established by the Illinois Community College Board. In addition the legislation updates the Public Community College Act by removing statutes that are outdated and/or expired. As such, the Board proposes amendatory rules to eliminate the uniform financial reporting system data collections and Board approval of community college locally funded construction projects; locally funded purchase or lease of sites, buildings, equipment, machinery or land; and installment loan agreements.
- B) Statutory Authority: Public Community College Act [110 ILCS 805]
- C) Scheduled meeting/hearing dates: None have been scheduled.
- D) Date agency anticipates First Notice: Winter 2017
- E) Effect on small businesses, small municipalities or not-for-profit corporations: The Board believes this rulemaking will not affect small business, small municipalities, and not-for-profit corporations.
- F) Agency contact person for information:
- Matt Berry  
Rules Coordinator  
Illinois Community College Board  
401 East Capitol Avenue  
Springfield IL 62701
- 217/785-7411  
fax: 217/524-4981
- G) Related rulemakings and other pertinent information: None
- 2) Rulemaking:

## ILLINOIS COMMUNITY COLLEGE BOARD

## JANUARY 2017 REGULATORY AGENDA

- A) Description: The Board proposes the adoption of new community college rules pursuant to the reporting requirements outlined in PA 98-792.
- B) Statutory Authority: Public Community College Act [110 ILCS 805]
- C) Scheduled meeting/hearing dates: None have been scheduled.
- D) Date agency anticipates First Notice: Spring 2017
- E) Effect on small businesses, small municipalities or not-for-profit corporations: The Board believes this rulemaking will not affect small business, small municipalities, and not-for-profit corporations.
- F) Agency contact person for information:

Matt Berry  
Rules Coordinator  
Illinois Community College Board  
401 East Capitol Avenue  
Springfield IL 62701

217/785-7411  
fax: 217/524-4981

- G) Related rulemakings and other pertinent information: None

3) Rulemaking:

- A) Description: The Board proposes the adoption of new community college rules pursuant PA 99-309 and PA 99-845 that establishes in-district residency for tuition purposes for certain veterans and youth in the care of the Department of Children and Family Services.
- B) Statutory Authority: Public Community College Act [110 ILCS 805]
- C) Scheduled meeting/hearing dates: None have been scheduled.
- D) Date agency anticipates First Notice: Spring 2017

## ILLINOIS COMMUNITY COLLEGE BOARD

## JANUARY 2017 REGULATORY AGENDA

E) Effect on small businesses, small municipalities or not-for-profit corporations: The Board believes this rulemaking will not affect small business, small municipalities, and not-for-profit corporations.

F) Agency contact person for information:

Matt Berry  
Rules Coordinator  
Illinois Community College Board  
401 East Capitol Avenue  
Springfield IL 6270

217/785-7411  
fax: 217/524-4981

G) Related rulemakings and other pertinent information: None

b) Part (Heading and Code Citations): Public Information, Rulemaking and Organization, 2 Ill. Adm. Code 5175

1) Rulemaking:

A) Description: The Board plans to review administrative rules related to public information and organization in 2017. The board anticipates updating existing rules and adopting new rules pertaining to board policy.

B) Statutory Authority: Public Community College Act [110 ILCS 805]

C) Scheduled meeting/hearing dates: None have been scheduled.

D) Date agency anticipates First Notice: Winter 2017

E) Effect on small businesses, small municipalities or not-for-profit corporations: The Board believes this rulemaking will not affect small business, small municipalities, and not-for-profit corporations.

F) Agency contact person for information:

## ILLINOIS COMMUNITY COLLEGE BOARD

## JANUARY 2017 REGULATORY AGENDA

Matt Berry  
Rules Coordinator  
Illinois Community College Board  
401 East Capitol Avenue  
Springfield IL 62701

217/785-7411  
fax: 217/524-4981

G) Related rulemakings and other pertinent information: None

c) Part (Heading and Code Citations): Public Information, Rulemaking and Organization, 2 Ill. Adm. Code 5100; Administration of the Illinois Public Community College Act 23 Ill. Adm. Code 1501; and State Community College of East St. Louis 23 Ill. Adm. Code 1600

1) Rulemaking:

- A) Description: The State Community College of East St. Louis has been dissolved. The board anticipates repeal of these rules.
- B) Statutory Authority: Public Community College Act [110 ILCS 805]
- C) Scheduled meeting/hearing dates: None have been scheduled.
- D) Date agency anticipates First Notice: Spring 2017
- E) Effect on small businesses, small municipalities or not-for-profit corporations: The Board believes this rulemaking will not affect small business, small municipalities, and not-for-profit corporations.
- F) Agency contact person for information:

Matt Berry  
Rules Coordinator  
Illinois Community College Board  
401 East Capitol Avenue  
Springfield IL 62701

ILLINOIS COMMUNITY COLLEGE BOARD

JANUARY 2017 REGULATORY AGENDA

217/785-7411

fax: 217/524-4981

- G) Related rulemakings and other pertinent information: None

## OFFICE OF THE ATTORNEY GENERAL

## JANUARY 2017 REGULATORY AGENDA

a) Part (Heading and Code Citations): Hospital Financial Assistance under the Fair Patient Billing Act (77 Ill. Adm. Code 4500)

1) Rulemaking:

- A) Description: The Attorney General intends to propose amendments that will update the federal poverty income guidelines found in Appendix A.
- B) Statutory Authority: Fair Patient Billing Act [210 ILCS 88/27]
- C) Scheduled meeting/hearing dates: No meetings or hearings are scheduled or anticipated at this time.
- D) Date agency anticipates First Notice: February 2017
- E) Effect on small businesses, small municipalities or not-for-profit corporations: The proposed amendments may affect small businesses, small municipalities, and not-for-profit corporations that operate hospitals in Illinois by requiring the modification of their forms to reflect updated federal poverty income guideline information.
- F) Agency contact person for information:

David F. Buysse  
Deputy Chief, Public Interest Division  
Office of the Illinois Attorney General  
100 West Randolph Street, 12th Floor  
Chicago IL 60601

312/814-7236

- G) Related rulemakings and other pertinent information: None

b) Part (Heading and Code Citations): Rulemaking and Organization (2 Ill. Adm. Code 575)

1) Rulemaking:

## OFFICE OF THE ATTORNEY GENERAL

## JANUARY 2017 REGULATORY AGENDA

- A) Description: The Attorney General intends to propose amendments that will update the office's rulemaking and organizational rules to make them consistent with the current rulemaking and organizational structure of the office. The proposed amendments will update the office's organizational chart, the rulemaking procedure flow chart, the divisions of the office and other ancillary provisions.
- B) Statutory Authority: Illinois Administrative Procedure Act [5 ILCS 100/5-15]
- C) Scheduled meeting/hearing dates: No meetings or hearings are scheduled or anticipated at this time.
- D) Date agency anticipates First Notice: February 2017
- E) Effect on small businesses, small municipalities or not-for-profit corporations: The proposed amendments should not affect small businesses, small municipalities or not-for-profit corporations.
- F) Agency contact person for information:
- Lynn E. Patton  
Rules Coordinator  
Office of the Attorney General  
500 South Second Street  
Springfield IL 62706
- 217/524-1504
- G) Related rulemakings and other pertinent information: None

## PROPERTY TAX APPEAL BOARD

## JANUARY 2017 REGULATORY AGENDA

- a) Part (Heading and Code Citations): Practice and Procedure for Appeals Before the Property Tax Appeal Board (86 Ill. Adm. Code 1910)

1) Rulemaking:

- A) Description: The Property Tax Appeal Board anticipates amending the following rules:

Section 1910.30 – Provide for the electronic filing of the petition and evidence by the appellant as well as the electronic service of the appellant's petition and evidence to the board of review.

Section 1910.31 – Provide for the electronic filing of amendments.

Section 1910.40 – Provide for the electronic filing of the board of review notes on appeal and supporting documentation as well as the electronic service of the board of review notes on appeal and supporting documentation to the appellant.

Section 1910.60 – Provide for the electronic filing of the request to intervene and supporting evidence. Allow for the electronic service of the request to intervene and supporting evidence to the appellant and board of review.

Section 1910.66 – Provide for the electronic filing of rebuttal evidence. Clarify that the Clerk shall cause the rebuttal evidence to become part of the appeal proceeding and record. Provide that the Clerk shall send a copy of the rebuttal evidence to the opposing parties by either United States mail or electronic means.

Section 1910.90 – Provide that decisions of the Property Tax Appeal Board may be served on the appellant, board of review and intervenor, if any, by either United States mail or electronic means.

Section 1910.95 – Allow for the electronic service of documents by the parties in those appeals where a change in assessed valuation of \$1 million or more is sought.

## PROPERTY TAX APPEAL BOARD

## JANUARY 2017 REGULATORY AGENDA

- B) Statutory Authority: [35 ILCS 200/Art. 7] and [35 ILCS 200/16-160 through 16-195]
- C) Scheduled meeting/hearing dates: None
- D) Date agency anticipates First Notice: July 1, 2017
- E) Effect on small businesses, small municipalities or not-for-profit corporations: None
- F) Agency contact person for information:
- Louis G. Apostol, JD, CAE  
Executive Director & General Counsel  
Property Tax Appeal Board  
Stratton Office Building, Room 402  
401 South Spring Street  
Springfield IL 62706
- 217/785-4456 or 847/294-4399  
fax: 217/785-4425  
email: louis.apostol@illinois.gov
- G) Related rulemakings and other pertinent information: None

**ILLINOIS ADMINISTRATIVE CODE**  
**Issue Index - With Effective Dates**

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