

TABLE OF CONTENTS

January 24, 2020 Volume 44, Issue 4

PROPOSED RULES

| | |
|--|------|
| AGING, DEPARTMENT ON | |
| Community Care Program | |
| 89 Ill. Adm. Code 240..... | 1724 |
| AGRICULTURE, DEPARTMENT OF | |
| Animal Welfare Act | |
| 8 Ill. Adm. Code 25..... | 1745 |
| GAMING BOARD, ILLINOIS | |
| Riverboat Gambling | |
| 86 Ill. Adm. Code 3000..... | 1752 |
| POLLUTION CONTROL BOARD | |
| General Provisions | |
| 35 Ill. Adm. Code 1420..... | 1768 |
| PUBLIC HEALTH, DEPARTMENT OF | |
| Child and Student Health Examination and Immunization Code | |
| 77 Ill. Adm. Code 665..... | 1779 |
| REVENUE, DEPARTMENT OF | |
| Income Tax | |
| 86 Ill. Adm. Code 100..... | 1785 |
| Retailers' Occupation Tax | |
| 86 Ill. Adm. Code 130..... | 1811 |
| TRANSPORTATION, DEPARTMENT OF | |
| Procedures | |
| 92 Ill. Adm. Code 107..... | 1814 |

ADOPTED RULES

| | |
|--|------|
| CENTRAL MANAGEMENT SERVICES, DEPARTMENT OF | |
| Pay Plan | |
| 80 Ill. Adm. Code 310..... | 1819 |
| EDUCATION, ILLINOIS STATE BOARD OF | |
| Public Schools Evaluation, Recognition and Supervision | |
| 23 Ill. Adm. Code 1..... | 1929 |
| Early Childhood Block Grant | |
| 23 Ill. Adm. Code 235..... | 1942 |
| Illinois Purchased Care Review Board | |
| 89 Ill. Adm. Code 900..... | 1954 |
| GAMING BOARD, ILLINOIS | |
| Video Gaming (General) | |
| 11 Ill. Adm. Code 1800..... | 1961 |
| GUARDIANSHIP AND ADVOCACY COMMISSION, ILLINOIS | |
| Human Rights Authority | |
| 59 Ill. Adm. Code 310..... | 1976 |

| | |
|---|------|
| OFFICE OF THE SECRETARY OF STATE | |
| Departmental Duties | |
| 2 Ill. Adm. Code 552..... | 1981 |
| Illinois State Library, Government Documents Section | |
| 23 Ill. Adm. Code 3020..... | 1986 |
| Illinois State Library Grant Programs | |
| 23 Ill. Adm. Code 3035..... | 1990 |
| Public Library Non-Resident Services | |
| 23 Ill. Adm. Code 3050..... | 2010 |
| Certificate of Titles, Registration of Vehicles | |
| 92 Ill. Adm. Code 1010..... | 2014 |
| Dealers, Wreckers, Transporters and Rebuilders | |
| 92 Ill. Adm. Code 1020..... | 2037 |
| Issuance of Licenses | |
| 92 Ill. Adm. Code 1030..... | 2041 |
| EMERGENCY RULES | |
| REVENUE, DEPARTMENT OF | |
| Retailers' Occupation Tax | |
| 86 Ill. Adm. Code 130..... | 2055 |
| SECOND NOTICES RECEIVED | |
| JOINT COMMITTEE ON ADMINISTRATIVE RULES | |
| Second Notices Received..... | 2082 |
| OTHER INFORMATION REQUIRED BY LAW TO BE PUBLISHED IN THE ILLINOIS REGISTER | |
| INSURANCE, DEPARTMENT OF | |
| Mistake in Benefit under Article 3 and Article 4 Pensions (Agency Response) | |
| 50 Ill. Adm. Code 4450..... | 2084 |
| REGULATORY AGENDA | |
| COMMUNITY COLLEGE BOARD, ILLINOIS | |
| Administration of the Illinois Public Community College Act | |
| 23 Ill. Adm. Code 1501..... | 2085 |
| CORRECTIONS, DEPARTMENT OF | |
| Public Information, Rulemaking and Organization | |
| 2 Ill. Adm. Code 850..... | 2088 |
| EDUCATION, ILLINOIS STATE BOARD OF | |
| Student Records | |
| 23 Ill. Adm. Code 375..... | 2100 |
| ENVIRONMENTAL PROTECTION AGENCY, ILLINOIS | |
| Annual Emissions Report | |
| 35 Ill. Adm. Code 254..... | 2106 |
| FINANCIAL AND PROFESSIONAL REGULATION, DEPARTMENT OF | |
| Student Loan Servicing Rights Act | |
| 38 Ill. Adm. Code 101..... | 2113 |
| HEALTHCARE AND FAMILY SERVICES, DEPARTMENT OF | |

| | |
|---|------|
| Child Support Services | |
| 89 Ill. Adm. Code 160..... | 2125 |
| HOUSING DEVELOPMENT AUTHORITY, ILLINOIS | |
| Rental Housing Support Program | |
| 47 Ill. Adm. Code 380..... | 2129 |
| NATURAL RESOURCES, DEPARTMENT OF | |
| Public Use of State Parks and Other Properties of the Department of | |
| Natural Resources | |
| 17 Ill. Adm. Code 110..... | 2132 |
| OFFICE OF THE STATE FIRE MARSHAL | |
| Fire Sprinkler Contractor Licensing Rules | |
| 41 Ill. Adm. Code 109..... | 2154 |
| REVENUE, DEPARTMENT OF | |
| Income Tax | |
| 86 Ill. Adm. Code 100..... | 2173 |
| RACING BOARD, ILLINOIS | |
| Racing Rules | |
| 11 Ill. Adm. Code 1318..... | 2197 |
| STATE UNIVERSITIES RETIREMENT SYSTEM | |
| Universities Retirement | |
| 80 Ill. Adm. Code 1600..... | 2199 |

INTRODUCTION

The *Illinois Register* is the official state document for publishing public notice of rulemaking activity initiated by State governmental agencies. The table of contents is arranged categorically by rulemaking activity and alphabetically by agency within each category.

Rulemaking activity consists of proposed or adopted new rules; amendments to or repealers of existing rules; and rules promulgated by emergency or peremptory action. Executive Orders and Proclamations issued by the Governor; notices of public information required by State Statute; and activities (meeting agendas; Statements of Objection or Recommendation, etc.) of the Joint Committee on Administrative Rules (JCAR), a legislative oversight committee which monitors the rulemaking activities of State Agencies; is also published in the Register.

The Register is a weekly update of the Illinois Administrative Code (a compilation of the rules adopted by State agencies). The most recent edition of the Code, along with the Register, comprise the most current accounting of State agencies' rulemakings.

The *Illinois Register* is the property of the State of Illinois, granted by the authority of the Illinois Administrative Procedure Act [5 ILCS 100/1-1, et seq.].

ILLINOIS REGISTER PUBLICATION SCHEDULE FOR 2020

| | Issue# | Rules Due Date | Date of Issue | |
|--|---------------|-----------------------|----------------------|--|
| | 1 | December 23, 2019 | January 3, 2020 | |
| | 2 | December 30, 2019 | January 10, 2020 | |
| | 3 | January 6, 2020 | January 17, 2020 | |
| | 4 | January 13, 2020 | January 24, 2020 | |
| | 5 | January 21, 2020 | January 31, 2020 | |
| | 6 | January 27, 2020 | February 7, 2020 | |
| | 7 | February 3, 2020 | February 14, 2020 | |
| | 8 | February 10, 2020 | February 21, 2020 | |
| | 9 | February 18, 2020 | February 28, 2020 | |
| | 10 | February 24, 2020 | March 6, 2020 | |
| | 11 | March 2, 2020 | March 13, 2020 | |
| | 12 | March 9, 2020 | March 20, 2020 | |
| | 13 | March 16, 2020 | March 27, 2020 | |
| | 14 | March 23, 2020 | April 3, 2020 | |
| | 15 | March 30, 2020 | April 10, 2020 | |
| | 16 | April 6, 2020 | April 17, 2020 | |
| | 17 | April 13, 2020 | April 24, 2020 | |
| | 18 | April 20, 2020 | May 1, 2020 | |
| | 19 | April 27, 2020 | May 8, 2020 | |
| | 20 | May 4, 2020 | May 15, 2020 | |
| | 21 | May 11, 2020 | May 22, 2020 | |
| | 22 | May 18, 2020 | May 29, 2020 | |

| | | | |
|----|--------------------|--------------------|--|
| 23 | May 26, 2020 | June 5, 2020 | |
| 24 | June 1, 2020 | June 12, 2020 | |
| 25 | June 8, 2020 | June 19, 2020 | |
| 26 | June 15, 2020 | June 26, 2020 | |
| 27 | June 22, 2020 | July 6, 2020 | |
| 28 | June 29, 2020 | July 10, 2020 | |
| 29 | July 6, 2020 | July 17, 2020 | |
| 30 | July 13, 2020 | July 24, 2020 | |
| 31 | July 20, 2020 | July 31, 2020 | |
| 32 | July 27, 2020 | August 7, 2020 | |
| 33 | August 3, 2020 | August 14, 2020 | |
| 34 | August 10, 2020 | August 21, 2020 | |
| 35 | August 17, 2020 | August 28, 2020 | |
| 36 | August 24, 2020 | September 4, 2020 | |
| 37 | August 31, 2020 | September 11, 2020 | |
| 38 | September 8, 2020 | September 18, 2020 | |
| 39 | September 14, 2020 | September 25, 2020 | |
| 40 | September 21, 2020 | October 2, 2020 | |
| 41 | September 28, 2020 | October 9, 2020 | |
| 42 | October 5, 2020 | October 16, 2020 | |
| 43 | October 13, 2020 | October 23, 2020 | |
| 44 | October 19, 2020 | October 30, 2020 | |
| 45 | October 26, 2020 | November 6, 2020 | |
| 46 | November 2, 2020 | November 13, 2020 | |
| 47 | November 9, 2020 | November 20, 2020 | |
| 48 | November 16, 2020 | November 30, 2020 | |
| 49 | November 23, 2020 | December 4, 2020 | |
| 50 | November 30, 2020 | December 11, 2020 | |
| 51 | December 7, 2020 | December 18, 2020 | |
| 52 | December 14, 2020 | December 28, 2020 | |

DEPARTMENT ON AGING

NOTICE OF PROPOSED AMENDMENTS

- 1) Heading of the Part: Community Care Program
- 2) Code Citation: 89 Ill. Adm. Code 240
- 3)

| | |
|-------------------------|--------------------------|
| <u>Section Numbers:</u> | <u>Proposed Actions:</u> |
| 240.1505 | Amendment |
| 240.1520 | Amendment |
- 4) Statutory Authority: Implementing and authorized by Sections 4.01(11) and 4.02 of the Illinois Act on Aging [20 ILCS 105/4.01(11) and 4.02].
- 5) A Complete Description of the Subjects and Issues Involved: This Part is amended in order to propose amendments regarding certification of adult day services (ADS) providers.
- 6) Any published studies or reports, along with the sources of underlying data that were used when composing this rulemaking? None
- 7) Will this rulemaking replace any emergency rule currently in effect? No
- 8) Does this rulemaking contain an automatic repeal date? No
- 9) Does this rulemaking contain incorporations by reference? Yes
- 10) Are there any other rulemakings pending on this Part? Yes

| <u>Section Numbers:</u> | <u>Proposed Actions:</u> | <u>Illinois Register Citations:</u> |
|-------------------------|--------------------------|---------------------------------------|
| 240.237 | Amendment | 43 Ill. Reg. 12209; November 1, 2019 |
| 240.741 | Amendment | 43 Ill. Reg. 12209; November 1, 2019 |
| 240.1543 | Amendment | 43 Ill. Reg. 12209; November 1, 2019 |
| 240.1544 | Amendment | 43 Ill. Reg. 12209; November 1, 2019 |
| 240.728 | Amendment | 43 Ill. Reg. 14475; December 20, 2019 |
| 240.729 | Amendment | 43 Ill. Reg. 14475; December 20, 2019 |
- 11) Statement of Statewide Policy Objective: This rulemaking does not create or enlarge any State mandate.
- 12) Time, Place and Manner in which interested persons may comment on this proposed rulemaking:

DEPARTMENT ON AGING

NOTICE OF PROPOSED AMENDMENTS

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Aging.Rulemaking@illinois.gov

- 13) Initial Regulatory Flexibility Analysis:
- A) Types of small businesses, small municipalities and not-for-profit corporations affected: Provider agencies under the Community Care Program (CCP) ADS may be affected by this rulemaking.
 - B) Reporting, bookkeeping or other procedures required for compliance: CCP ADS provider agencies, the Department, and other entities will keep records relating to the ADS.
 - C) Types of professional skills necessary for compliance: Health Care and Social Assistance
- 14) Small Business Impact Analysis: This rulemaking will not have an adverse impact on small businesses.
- 15) Regulatory Agenda on which this rulemaking was summarized: January 2020

The full text of the Proposed Amendments begins on the next page:

DEPARTMENT ON AGING

NOTICE OF PROPOSED AMENDMENTS

TITLE 89: SOCIAL SERVICES
CHAPTER II: DEPARTMENT ON AGING

PART 240
COMMUNITY CARE PROGRAM

SUBPART A: GENERAL PROGRAM PROVISIONS

| | |
|---------|----------------------------------|
| Section | |
| 240.100 | Community Care Program |
| 240.110 | Department Prerogative |
| 240.120 | Services Provided |
| 240.130 | Maintenance of Effort |
| 240.140 | Program Limitations |
| 240.150 | Department Headquarters Location |
| 240.160 | Definitions |

SUBPART B: SERVICE DEFINITIONS

| | |
|---------|--|
| Section | |
| 240.210 | In-home Service |
| 240.230 | Adult Day Service (ADS) |
| 240.235 | Emergency Home Response Service |
| 240.237 | Automated Medication Dispenser Service |
| 240.240 | Information and Referral |
| 240.250 | Demonstration/Research Projects |
| 240.260 | Care Coordination Service |
| 240.270 | Alternative Provider |
| 240.280 | Individual Provider (Repealed) |

SUBPART C: RIGHTS AND RESPONSIBILITIES

| | |
|---------|--|
| Section | |
| 240.300 | Participant Rights and Responsibilities |
| 240.310 | Right to Request Services |
| 240.320 | Nondiscrimination |
| 240.330 | Freedom of Choice |
| 240.340 | Confidentiality/Safeguarding of Case Information |
| 240.350 | Participant /Authorized Representative Cooperation |

DEPARTMENT ON AGING

NOTICE OF PROPOSED AMENDMENTS

- 240.360 Reporting Changes
- 240.370 Voluntary Repayment

SUBPART D: APPEALS

- Section
- 240.400 Appeals and Fair Hearings
- 240.405 Representation
- 240.410 When the Appeal May Be Filed
- 240.415 What May Be Appealed
- 240.420 Group Appeals
- 240.425 Informal Review
- 240.430 Informal Review Findings
- 240.435 Withdrawing an Appeal
- 240.436 Cancelling an Appeal
- 240.440 Examining Department Records
- 240.445 Hearing Officer
- 240.450 The Hearing
- 240.451 Conduct of Hearing
- 240.455 Continuance of the Hearing
- 240.460 Postponement
- 240.465 Dismissal Due to Non-Appearance
- 240.470 Rescheduling the Appeal Hearing
- 240.475 Recommendations of Hearing Officer
- 240.480 The Appeal Decision
- 240.485 Reviewing the Official Report of the Hearing

SUBPART E: REQUEST FOR SERVICES

- Section
- 240.510 Participant Agreement for Community Care Program
- 240.520 Who May Make Application (Repealed)
- 240.530 Date of Application (Repealed)
- 240.540 Statement to be Included on Participant Agreement and Consent Form
- 240.550 Person-Centered Planning Process

SUBPART F: ELIGIBILITY FOR COMMUNITY CARE PROGRAM SERVICES

Section

DEPARTMENT ON AGING

NOTICE OF PROPOSED AMENDMENTS

| | |
|---------|----------------------------------|
| 240.600 | Eligibility Requirements |
| 240.610 | Establishing Initial Eligibility |
| 240.620 | Home Visit |
| 240.630 | Determination of Eligibility |
| 240.640 | Eligibility Decision |
| 240.650 | Continuous Eligibility |
| 240.655 | Redeterminations Process |
| 240.660 | Extension of Time Limit |

SUBPART G: NON-FINANCIAL REQUIREMENTS

| | |
|---------|--|
| Section | |
| 240.710 | Age |
| 240.715 | Determination of Need |
| 240.727 | Minimum Score Requirements |
| 240.728 | Maximum Payment Levels for Person-Centered Plans of Care Including In-home Service |
| 240.729 | Maximum Payment Levels for Person-Centered Plans of Care Including Adult Day Service |
| 240.730 | Person-Centered Plan of Care |
| 240.735 | Supplemental Information |
| 240.740 | Assessment of Need |
| 240.741 | Prerequisites for Automated Medication Dispenser Service |
| 240.750 | Citizenship |
| 240.755 | Residence |
| 240.760 | Social Security Number |

SUBPART H: FINANCIAL REQUIREMENTS

| | |
|---------|---|
| Section | |
| 240.800 | Financial Factors |
| 240.810 | Assets |
| 240.815 | Exempt Assets |
| 240.820 | Asset Transfers |
| 240.825 | Income |
| 240.830 | Unearned Income Exemptions |
| 240.835 | Earned Income |
| 240.840 | Potential Retirement, Disability and Other Benefits |
| 240.845 | Family |

DEPARTMENT ON AGING

NOTICE OF PROPOSED AMENDMENTS

| | |
|---------|---|
| 240.850 | Monthly Average Income |
| 240.855 | Applicant/Client Expense for Care (Repealed) |
| 240.860 | Change in Income |
| 240.865 | Application For Medical Assistance (Medicaid) |
| 240.870 | Determination of Applicant/Client Monthly Expense for Care (Repealed) |
| 240.875 | Participant Responsibility |

SUBPART I: DISPOSITION OF DETERMINATION

| | |
|---------|---|
| Section | |
| 240.905 | Prohibition of Institutionalized Individuals From Receiving Community Care Program Services |
| 240.910 | Written Notification |
| 240.915 | Service Provision |
| 240.920 | Reasons for Denial |
| 240.925 | Frequency of Redeterminations (Renumbered) |
| 240.930 | Suspension of Services |
| 240.935 | Discontinuance of Services to Clients (Repealed) |
| 240.940 | Penalty Payments |
| 240.945 | Notification |
| 240.950 | Reasons for Termination |
| 240.955 | Reasons for Reduction or Change |

SUBPART J: SPECIAL SERVICES

| | |
|----------|---|
| Section | |
| 240.1010 | Choices for Care Nursing Facility, Supportive Living Program, and Community-Based Screening |
| 240.1020 | Interim Services |
| 240.1040 | Intense Service Provision |
| 240.1050 | Temporary Service Increase |

SUBPART K: TRANSFERS

| | |
|----------|--|
| Section | |
| 240.1110 | Participant Transfer Request – Vendor to Vendor – No Change in Service |
| 240.1120 | Participant Transfer Request – Vendor to Vendor – With Change in Service |
| 240.1130 | Participant Transfers – Care Coordination Unit to Care Coordination Unit |
| 240.1140 | Transfers of Pending Requests for Services |

DEPARTMENT ON AGING

NOTICE OF PROPOSED AMENDMENTS

- 240.1150 Interagency Transfers
- 240.1160 Temporary Transfers – Care Coordination Unit to Care Coordination Unit
- 240.1170 Caseload Transfer – Vendor to Vendor
- 240.1180 Caseload Transfer – Care Coordination Unit to Care Coordination Unit

SUBPART L: ADMINISTRATIVE SERVICE CONTRACT

- Section
- 240.1210 Administrative Service Contract

SUBPART M: CARE COORDINATION UNITS AND PROVIDERS

- Section
- 240.1310 Standard Contractual Requirements for Care Coordination Units and Providers
- 240.1320 Vendor or Care Coordination Unit Fraud/Illegal or Criminal Acts
- 240.1399 Termination of a Vendor or Care Coordination Unit (CCU)

SUBPART N: CARE COORDINATION UNITS

- Section
- 240.1400 Community Care Program Care Coordination
- 240.1410 Care Coordination Unit Administrative Minimum Standards
- 240.1420 Care Coordination Unit Responsibilities
- 240.1430 Care Coordination Staff Positions, Qualifications and Responsibilities
- 240.1440 Training Requirements For Care Coordination Supervisors and Care Coordinators

SUBPART O: PROVIDERS

- Section
- 240.1505 Administrative Requirements for Certification
- 240.1510 Provider Administrative Minimum Standards
- 240.1520 Provider Responsibilities
- 240.1525 Standard Requirements for In-home Service Providers
- 240.1530 General In-home Service Staffing Requirements
- 240.1531 Electronic Visit Verification (EVV) Requirements for In-home Service Providers
- 240.1535 In-home Service Staff Positions, Qualifications, Training and Responsibilities
- 240.1541 Minimum Equipment Specifications for Emergency Home Response Service
- 240.1542 Administrative Requirements for Emergency Home Response Service Providers
- 240.1543 Minimum Equipment Specifications for Automated Medication Dispenser Service

DEPARTMENT ON AGING

NOTICE OF PROPOSED AMENDMENTS

- 240.1544 Administrative Requirements for Automated Medication Dispenser Service Providers
- 240.1550 Standard Requirements for Adult Day Service Providers
- 240.1555 General Adult Day Service Staffing Requirements
- 240.1560 Adult Day Service Staff
- 240.1565 Adult Day Service Satellite Sites
- 240.1570 Service Availability Expansion
- 240.1575 Adult Day Service Site Relocation
- 240.1580 Standards for Alternative Providers
- 240.1590 Standard Requirements for Individual Provider Services (Repealed)

SUBPART P: PROVIDER PROCUREMENT

Section

- 240.1600 Provider Agency Certification
- 240.1605 Emergency Certification
- 240.1607 Standard CCP Provider Agreement
- 240.1615 Provider Initiated Service Area Modifications
- 240.1645 Objection to Certification Decision
- 240.1650 Classification, Identification and Receipt of Provider Service Violations
- 240.1660 Provider Performance Reviews
- 240.1661 Provider and Care Coordination Unit Right to Appeal
- 240.1665 Contract Actions for Failure to Comply with Community Care Program Requirements

SUBPART Q: CARE COORDINATION UNIT PROCUREMENT

Section

- 240.1710 Procurement Cycle for Care Coordination Services
- 240.1720 Care Coordination Unit Performance Review

SUBPART R: ADVISORY COMMITTEE

Section

- 240.1800 Community Care Program Advisory Committee

SUBPART S: PROVIDER RATES

Section

DEPARTMENT ON AGING

NOTICE OF PROPOSED AMENDMENTS

| | |
|----------|--|
| 240.1910 | Establishment of Fixed Unit Rates |
| 240.1920 | Contract Specific Variations |
| 240.1930 | Fixed Unit Rate of Reimbursement for In-home Service |
| 240.1940 | Fixed Unit Rates of Reimbursement for Adult Day Service and Transportation |
| 240.1950 | Adult Day Service Fixed Unit Reimbursement Rates |
| 240.1955 | Fixed Unit Rates of Reimbursement for Emergency Home Response Service |
| 240.1957 | Fixed Unit Rates of Reimbursement for Automated Medication Dispenser Service |
| 240.1960 | Care Coordination Fixed Unit Reimbursement Rates |
| 240.1970 | Enhanced Rate for Health Insurance Costs |

SUBPART T: FINANCIAL REPORTING

| | |
|----------|---|
| Section | |
| 240.2020 | Financial Reporting of In-home Service |
| 240.2023 | Financial Reporting of Rate-Based Wage Increases for Direct Service Workers |
| 240.2030 | Unallowable Costs for In-home Service |
| 240.2040 | Minimum Direct Service Worker Costs for In-home Service |
| 240.2050 | Cost Categories for In-home Service |

AUTHORITY: Implementing Section 4.02 and authorized by Section 4.01(11) and 4.02 of the Illinois Act on the Aging [20 ILCS 105/4.02 and 4.01].

SOURCE: Emergency rules adopted at 4 Ill. Reg. 1, p. 67, effective December 20, 1979, for a maximum of 150 days; adopted at 4 Ill. Reg. 17, p. 151, effective April 25, 1980; amended at 4 Ill. Reg. 43, p. 86, effective October 15, 1980; emergency amendment at 5 Ill. Reg. 1900, effective February 18, 1981, for a maximum of 150 days; amended at 5 Ill. Reg. 12090, effective October 26, 1981; emergency amendment at 6 Ill. Reg. 8455, effective July 6, 1982, for a maximum of 150 days; amended at 6 Ill. Reg. 14953, effective December 1, 1982; amended at 7 Ill. Reg. 8697, effective July 20, 1983; codified at 8 Ill. Reg. 2633; amended at 9 Ill. Reg. 1739, effective January 29, 1985; amended at 9 Ill. Reg. 10208, effective July 1, 1985; emergency amendment at 9 Ill. Reg. 14011, effective August 29, 1985, for a maximum of 150 days; amended at 10 Ill. Reg. 5076, effective March 15, 1986; recodified at 12 Ill. Reg. 7980; amended at 13 Ill. Reg. 11193, effective July 1, 1989; emergency amendment at 13 Ill. Reg. 13638, effective August 18, 1989, for a maximum of 150 days; amended at 13 Ill. Reg. 17327, effective November 1, 1989; amended at 14 Ill. Reg. 1233, effective January 12, 1990; amended at 14 Ill. Reg. 10732, effective July 1, 1990; emergency amendment at 15 Ill. Reg. 2838, effective February 1, 1991, for a maximum of 150 days; amended at 15 Ill. Reg. 10351, effective July 1, 1991; emergency amendment at 15 Ill. Reg. 14593, effective October 1, 1991, for a maximum of 150 days; emergency amendment at 15 Ill. Reg. 17398, effective November 15, 1991, for a

DEPARTMENT ON AGING

NOTICE OF PROPOSED AMENDMENTS

maximum of 150 days; emergency amendment suspended at 16 Ill. Reg. 1744; emergency amendment modified in response to a suspension by the Joint Committee on Administrative Rules and reinstated at 16 Ill. Reg. 2943; amended at 15 Ill. Reg. 18568, effective December 13, 1991; emergency amendment at 16 Ill. Reg. 2630, effective February 1, 1992, for a maximum of 150 days; emergency amendment at 16 Ill. Reg. 2901, effective February 6, 1992, to expire June 30, 1992; emergency amendment at 16 Ill. Reg. 4069, effective February 28, 1992, to expire June 30, 1992; amended at 16 Ill. Reg. 11403, effective June 30, 1992; emergency amendment at 16 Ill. Reg. 11625, effective July 1, 1992, for a maximum of 150 days; amended at 16 Ill. Reg. 11731, effective June 30, 1992; emergency rule added at 16 Ill. Reg. 12615, effective July 23, 1992, for a maximum of 150 days; modified at 16 Ill. Reg. 16680; amended at 16 Ill. Reg. 14565, effective September 8, 1992; amended at 16 Ill. Reg. 18767, effective November 27, 1992; amended at 17 Ill. Reg. 224, effective December 29, 1992; amended at 17 Ill. Reg. 6090, effective April 7, 1993; amended at 18 Ill. Reg. 609, effective February 1, 1994; emergency amendment at 18 Ill. Reg. 5348, effective March 22, 1994, for a maximum of 150 days; amended at 18 Ill. Reg. 13375, effective August 19, 1994; amended at 19 Ill. Reg. 9085, effective July 1, 1995; emergency amendment at 19 Ill. Reg. 10186, effective July 1, 1995, for a maximum of 150 days; emergency amendment at 19 Ill. Reg. 12693, effective August 25, 1995, for a maximum of 150 days; amended at 19 Ill. Reg. 16031, effective November 20, 1995; amended at 19 Ill. Reg. 16523, effective December 1, 1995; amended at 20 Ill. Reg. 1493, effective January 10, 1996; emergency amendment at 20 Ill. Reg. 5388, effective March 22, 1996, for a maximum of 150 days; amended at 20 Ill. Reg. 8995, effective July 1, 1996; amended at 20 Ill. Reg. 10597, effective August 1, 1996; amended at 21 Ill. Reg. 887, effective January 10, 1997; amended at 21 Ill. Reg. 6183, effective May 15, 1997; amended at 21 Ill. Reg. 12418, effective September 1, 1997; amended at 22 Ill. Reg. 3415, effective February 1, 1998; amended at 23 Ill. Reg. 2496, effective February 1, 1999; amended at 23 Ill. Reg. 5642, effective May 1, 1999; amended at 26 Ill. Reg. 9668, effective July 1, 2002; emergency amendment at 26 Ill. Reg. 10829, effective July 1, 2002, for a maximum of 150 days; amended at 26 Ill. Reg. 17358, effective November 25, 2002; emergency amendment at 28 Ill. Reg. 923, effective December 26, 2003, for a maximum of 150 days; amended at 28 Ill. Reg. 7611, effective May 21, 2004; emergency amendment at 30 Ill. Reg. 10117, effective June 1, 2006, for a maximum of 150 days; emergency amendment at 30 Ill. Reg. 11767, effective July 1, 2006, for a maximum of 150 days; amended at 30 Ill. Reg. 16281, effective September 29, 2006; amended at 30 Ill. Reg. 17756, effective October 26, 2006; amended at 32 Ill. Reg. 7588, effective May 5, 2008; emergency amendment at 32 Ill. Reg. 10940, effective July 1, 2008, for a maximum of 150 days; emergency expired November 27, 2008; amended at 32 Ill. Reg. 17929, effective November 10, 2008; amended at 32 Ill. Reg. 19912, effective December 12, 2008; amended at 33 Ill. Reg. 4830, effective March 23, 2009; amended at 34 Ill. Reg. 3448, effective March 8, 2010; emergency amendment at 34 Ill. Reg. 10854, effective July 15, 2010, for a maximum of 150 days; emergency expired December 11, 2010; emergency amendment at 34 Ill. Reg. 12224, effective August 4, 2010, for a maximum of

DEPARTMENT ON AGING

NOTICE OF PROPOSED AMENDMENTS

150 days; emergency expired December 31, 2010; amended at 35 Ill. Reg. 8919, effective June 2, 2011; emergency amendment at 35 Ill. Reg. 13936, effective July 28, 2011, for a maximum of 150 days; amended at 35 Ill. Reg. 20130, effective December 6, 2011; emergency amendment at 37 Ill. Reg. 11381, effective July 1, 2013, for a maximum of 150 days; emergency expired November 27, 2013; amended at 38 Ill. Reg. 5800, effective February 21, 2014; amended at 38 Ill. Reg. 14230, effective June 25, 2014; amended at 41 Ill. Reg. 15233, effective January 1, 2018; recodified at 42 Ill. Reg. 817; amended at 42 Ill. Reg. 20653, effective January 1, 2019; amended at 44 Ill. Reg. _____, effective _____.

SUBPART O: PROVIDERS

Section 240.1505 Administrative Requirements for Certification

- a) In order to qualify for certification as a provider of CCP services, a provider agency must, to the satisfaction of the Department, meet the following administrative requirements:
 - 1) Serve an entire CCP geographic area.
 - A) Other than in Cook County, the geographic area will be the county.
 - B) In Cook County outside the City of Chicago, the geographic area will be the township.
 - C) Within the City of Chicago, the geographic area will be the following subareas, defined by Zip Code:
 - i) 60626, 60640, 60645, 60659, 60660
 - ii) 60625, 60630, 60631, 60646, 60656
 - iii) 60634, 60639, 60641
 - iv) 60613, 60614, 60618, 60647, 60657
 - v) 60601, 60602, 60603, 60604, 60605, 60606, 60607, 60610, 60611, 60622, 60642, 60654, 60661
 - vi) 60615, 60616, 60637, 60649, 60653

DEPARTMENT ON AGING

NOTICE OF PROPOSED AMENDMENTS

- vii) 60609, 60623, 60629, 60632, 60638
 - viii) 60619
 - ix) 60620, 60621, 60636, 60643, 60652, 60655
 - x) 60608, 60612, 60624, 60644, 60651.
 - xi) 60628
 - xii) 60617, 60633, 60827.
- 2) The Department reserves the right to adjust this geographic area requirement to assure that:
- A) no geographic area remains unserved.
 - B) the following entities are not excluded from participation as service providers in the CCP:
 - i) entities serving limited- or non-English-speaking participants;
 - ii) providers that are, or are controlled by, a unit of local government and cannot operate outside the jurisdiction of that local government; and
 - iii) regional benevolent, charitable, social or religious organizations that have as their charter providing services to a specific population or geographic area smaller than a county, township or CCP subarea.
 - C) transportation to/from adult day service facilities can be completed in a reasonable period of time.
- 3) Submit a request for certification providing the information described in this Section and Sections 240.1600 and 240.1605, in the form and manner prescribed by the Department, including all required supporting

DEPARTMENT ON AGING

NOTICE OF PROPOSED AMENDMENTS

compliance material or other information documenting its administrative and operational ability, and institute all necessary action based on the outcome of the Department's review.

- 4) Document the legal structure under which it is organized to do business as set forth in Section 240.1607(h).
- 5) Provide a list of the directors, officers or owners, as applicable to the legal structure of the provider agency.
- 6) Verify experience in providing service comparable to the CCP, as defined in Sections 240.210, 240.230, 240.235 and 240.237, for which certification is requested, and that is consistent with the requirements set forth in this Part.
 - A) Required Experience
 - i) For prospective emergency home response service provider agencies: A minimum of 5 years experience in business operations providing emergency home response service.
 - ii) For prospective adult day service provider agencies: A minimum of 2 years experience providing direct social services programming in business operations providing adult day service.
 - iii) For prospective in-home service providers: A minimum of 3 years experience in business operations providing in-home service, one of which must be in Illinois.
 - iv) For prospective AMD service provider agencies: a minimum of 5 years experience in business operation providing AMD services.
 - B) The Department reserves the right to:
 - i) adjust the experience requirements specified in subsection (a)(6)(A) if the provider agency submits proof of current accreditation or certification by an appropriate national

DEPARTMENT ON AGING

NOTICE OF PROPOSED AMENDMENTS

organization for the service for which Department certification is being requested.

ii) issue provisional certification to provider agencies, including, but not limited to, those that have not previously been certified or are not in operation at the time the application is made. The provisional certification shall not exceed two years and the Department will conduct additional oversight during the provisional period to protect participant health, safety and welfare.

- ~~For in-home services, the following national accreditation organizations are acceptable:~~

~~Accreditation Commission for Health Care (2005, no later amendments or editions included), 4700 Falls of Neuse Rd., Suite 280, Raleigh NC 27609;~~

~~Community Health Accreditation Program (2004, no later amendments or editions included), 1300 19th St., Suite 150, Washington DC 20036;~~

~~The Joint Commission (2009, no later amendments or editions included), One Renaissance Blvd., Oakbrook Terrace IL 60181.~~

- ~~For adult day services, accreditation from the Commission on Accreditation of Rehabilitation Facilities (CARF) (2009, no later amendments or editions included), CARF-CCAC, 1730 Rhode Island Ave. NW, Suite 209, Washington DC 20036 is acceptable.~~
- ~~Consideration of other accreditation organizations may be requested in writing with supporting documentation regarding the particular competency requirements for another designation. If approved by the Department, additional accreditation~~

DEPARTMENT ON AGING

NOTICE OF PROPOSED AMENDMENTS

~~organizations will be added to this subsection
(a)(6)(B)(i).~~

- ~~iii)ii)~~ adjust the experience requirement (e.g., substituting management team experience for agency experience) when it is in the best interests of the CCP. The Department will continue to assure that any adjustment of the experience requirement will occur only when the health, safety and welfare of CCP participants and the quality of services provided will not be adversely affected.
- ~~⊖) The Department will not adjust the experience requirement for any accredited provider agency that is not currently in operation and actually providing services.~~
- 7) Disclosure of information regarding past business practices of the provider agency and its affiliates, including the managers, directors or owners, relevant to the service applied for, involving, but not limited to, the following circumstances:
- A) denial, suspension, revocation or termination for cause of a license or Provider Agreement, or any other enforcement action, such as civil court or criminal action;
 - B) termination of a Provider Agreement or surrender of a license before expiration or allowing a contract or a license to expire in lieu of enforcement action;
 - C) any federal or state Medicaid or Medicare sanctions or penalties relating to the operation of the agency, including, but not limited to, Medicaid abuse or fraud;
 - D) any federal or state civil or criminal felony convictions;
 - E) operation of an agency that has been decertified in any state under Medicare or Medicaid; or
 - F) citations for participant abuse, neglect, injury, financial exploitation or inadequate care in any state.

DEPARTMENT ON AGING

NOTICE OF PROPOSED AMENDMENTS

- 8) Document its written policies and procedures in compliance with the applicable administrative standards imposed on provider agencies under the CCP, as set forth in Section 240.1510.
- 9) Document its ability to comply with all applicable responsibilities imposed on provider agencies under the CCP, as set forth in Section 240.1520, including proof of required insurance coverages.
- 10) Submit audited financial reports from the last complete business fiscal year, unless the provider agency is a newly established business entity.
 - A) Newly established for profit business entities, regardless of relationship to any other provider agency, shall:
 - i) submit proof that employee tax accounts are reestablished with the State of Illinois and the U.S. Treasury; and
 - ii) submit either:
 - a bank approved business plan with approved financial backing; or
 - if financial resources are from individuals, the most recent 2 years of tax returns, as well as any bank approved individual financial backing for use in the business.
 - B) Newly established not-for-profit business entities, regardless of relationship to any other provider agency, shall submit:
 - 1) Bank approved business plan with approved financial backing or a signed financial statement illustrating restricted and nonrestricted funding; and
 - 2) Proof that employee tax accounts are established with the State of Illinois and the U.S. Treasury.

DEPARTMENT ON AGING

NOTICE OF PROPOSED AMENDMENTS

- 11) Submit proof that it is fiscally sound, as that term is defined in Section 240.160, by verifying assets (e.g., audited financial statements with accompanying notes, bank statements, investment statements, ~~and~~ letters of credit from financial institutions) sufficient to cover 90 days of ~~CCP~~ operating expenses for the service line applied for (i.e., specifically ADS, In-Home Services, EHRIS or AMD),~~expenses,~~ as defined by the agency business plan. No more than 30 of the 90 days should be based on a line of credit.
- 12) Provide assurance that its business operations comply with the service, staffing and training requirements imposed on provider agencies under this Part.
- 13) Provide a minimum of 5 references from such entities as persons who have been served by the provider, nonprofit or business organizations or governmental bodies that have observed the operations and/or services of the provider, employees of the provider, an Area Agency on Aging, etc., attesting to the provider agency's qualifications relevant to providing CCP services. The references shall be from a diverse group of knowledgeable entities.
- 14) Comply with all applicable federal, State and local laws, regulations, rules, service standards and policies or procedures pertaining to the provider agency in its business operations and to the services provided under the CCP.
 - b) If a provider agency is not able or is unwilling to meet the administrative requirements in subsection (a), the Department shall deny its request for certification.
 - c) The Department reserves the right to accept documentation of Illinois Department of Public Health (DPH) home service licensure for applicable administrative requirements. (See 77 Ill. Adm. Code 245.Subpart B.)

(Source: Amended at 44 Ill. Reg. _____, effective _____)

Section 240.1520 Provider Responsibilities

- a) CCP services shall be purchased only from providers certified by the Department

DEPARTMENT ON AGING

NOTICE OF PROPOSED AMENDMENTS

to provide those services.

- b) Providers shall carry occurrence based general liability insurance in the single limit minimum amount of \$1,000,000 per occurrence, \$3,000,000 in the aggregate.
- c) Providers shall also carry the following insurance coverages:
 - 1) worker's compensation for direct service staff;
 - 2) volunteer protection equivalent to employees' coverage, including coverage for volunteer drivers/escorts, if applicable~~drivers/escorts~~; and
 - 3) motor vehicle liability, uninsured motorist and medical payments, if agency staff transport participants in agency vehicles, or proof of minimum motor vehicle liability, uninsured motorist and medical payments, if agency staff transport participants in the staffs' own vehicles.
- d) The policies or current letters documenting all provider agency insurance coverage and policies or current letters documenting staff coverage specified in subsection (b) or (c) shall be available to the Department upon request.
- e) All providers of CCP services must comply with all applicable local, State and federal statutes, rules and regulations.
- f) A provider shall provide services to all CCP participants referred by the CCU, with the following exceptions:
 - 1) The person-centered plan of care is determined to be inappropriate in the professional judgement of the provider.
 - A) The provider shall immediately notify the CCU of the provider's assessment and evaluation of the situation.
 - B) The provider and the CCU shall work together to determine if a person-centered plan of care that adequately meets the participant's needs can be developed.
 - C) In the event the provider and the CCU cannot reach an agreement,

DEPARTMENT ON AGING

NOTICE OF PROPOSED AMENDMENTS

the Department shall be contacted and shall determine the final resolution.

- 2) The provider is unable to accept all CCP referrals.
 - A) The provider shall request a cap on the number of participants to be served (service cap), in writing, to the Department.
 - B) The Department will not approve a service cap for a provider that is the only provider of in-home service in the service area or when it is not in the best interest of the program.
 - C) Upon approval of the request, the provider assumes responsibility for managing intake to maintain the cap.
- g) Any temporary change or deviation from the person-centered plan of care must be documented by the provider in the participant's file. A provider shall not deviate from the participant's person-centered plan of care without receipt of verbal (followed up, within 2 working days, with written instruction to be placed in the participant's file) or written instruction from the Department or the CCU, except in cases of emergency, refusal of service or failure of a participant to be home to receive service.
- h) It shall be the responsibility of the provider to advise the CCU of any change in the participant's physical/mental/environmental needs that the provider, through the direct service worker/supervisor, has observed, when the change would affect the participant's eligibility or service level or would necessitate a change in the person-centered plan of care.
- i) All providers shall reply to requests by a participant, by telephone or in writing, within 15 calendar days after the date of the request. The request and the response shall be documented in the participant's file.
- j) Providers shall electronically submit a Vendor Request for Payment (VRFP) that shall be received by the Department no later than the 15th day of the month following the month in which services were provided.
 - 1) The VRFP shall state the number of units of service provided to each identified participant during the service month.

DEPARTMENT ON AGING

NOTICE OF PROPOSED AMENDMENTS

- 2) Providers shall be reimbursed by the Department for the entire rate for each unit of service. Providers shall bill the Department for service rendered to participants in increments of quarter units.
- k) Providers shall provide the Department with an annual audit report to be completed by an independent Certified Public Accountant (CPA) and in accordance with 74 Ill. Adm. Code 420.Subpart D. The audit report shall be filed at the main office of the Illinois Department on Aging, Springfield, Illinois, within 6 months after the date of the close of the provider's business fiscal year.
- l) Providers must accept all correspondence from the Department. Failure to do so may lead to contract action (see Section 240.1665).
- m) Records
 - 1) Providers must maintain records for administration, audit, budgeting, evaluation, operation and planning efforts by the Department in offering CCP services, including:
 - A) records of all CCP referrals to the provider, including the disposition of each referral;
 - B) records for participants, which shall include, but are not limited to, applicable forms as required by the Department;
 - C) administrative records, including:
 - i) data used by the Department to provide information to the public;
 - ii) service utilization;
 - iii) complaint resolution; and
 - iv) billing and payment information, plus the underlying documentation to support the units of service submitted to the Department for reimbursement.

DEPARTMENT ON AGING

NOTICE OF PROPOSED AMENDMENTS

- 2) These records shall be available at all times to the Department, HFS, HHS, and/or any designees, and shall be maintained for at least 6 years after the termination date of the Provider Agreement. Any records being maintained under this subsection (m) by a provider who ceases to provide the agreed services shall be transmitted in accordance with Subpart K.
- n) Providers must notify the Department within 7 days after any change in agency information (e.g., acquisition, assignment, consolidation, merger, sale of assets, transfer, etc.) or contact information (e.g., address, telephone, fax, email address, contact person, authorized representative, etc.).
- 1) Providers must notify the Department at least 30 days in advance of any relocation of their administrative office.
 - 2) Providers must submit documentation of changes in provider name, corporate structure and/or Federal Employer Identification Number to the Office of General Counsel. This documentation shall be reviewed to determine if an assignment of the Provider Agreement has occurred (see Section 240.1607(k)).
- o) Providers must conduct a criminal background check, as required by the Illinois Healthcare Worker Background Check Act; an online check of the Adult Protective Services Registry, as required by the Adult Protective Services Act [320 ILCS 20/7.5(c)]; and a check of the HHS exclusion database and the HFS Office of Inspector General database on all agency staff and all regularly scheduled volunteers having access to financial information or one-on-one contact with CCP participants.
- 1) Provider agencies shall comply with the requirements of the Health Care Worker Background Check Act and the Adult Protective Services Act.
 - 2) Staff refusing to submit to a background check shall not have contact with CCP participants in any capacity.

(Source: Amended at 44 Ill. Reg. _____, effective _____)

DEPARTMENT OF AGRICULTURE

NOTICE OF PROPOSED AMENDMENTS

- 1) Heading of the Part: Animal Welfare Act
- 2) Code Citation: 8 Ill. Adm. Code 25
- 3)

| | |
|-------------------------|--------------------------|
| <u>Section Numbers:</u> | <u>Proposed Actions:</u> |
| 25.10 | Amendment |
| 25.145 | New Section |
- 4) Statutory Authority: Implementing and authorized by the Animal Welfare Act [225 ILCS 605].
- 5) A Complete Description of the Subjects and Issues Involved: PA 101-210 (effective January 1, 2020), amends the Animal Welfare Act, by creating new regulations for Kennel Operators that have dogs or cats on their premises. Pursuant to the Act, Kennel Operators now must have one of the following while dogs or cats are present: must be staffed at all times; have a fire alarm system; or have a fire sprinkler system.
- 6) Published studies or reports, and sources of underlying data, used to compose this rulemaking: None
- 7) Will this rulemaking replace any emergency rule currently in effect? No
- 8) Does this rulemaking contain an automatic repeal date? No
- 9) Does this rulemaking contain incorporations by reference? Yes
NFPA 13 (Standard for the Installation of Sprinkler Systems (NFPA 101 (2015 edition))
77 Ill. Adm. Code 890.1130 and 890.1200
- 10) Are there any other rulemakings pending on this Part? No
- 11) Statement of Statewide Policy Objective: This rulemaking does not create a State mandate.
- 12) Time, Place and Manner in which interested persons may comment on this proposed rulemaking: Interested persons may present their comments concerning this rulemaking within 45 days after this issue of the *Illinois Register* to:

Albert A. Coll
Illinois Department of Agriculture

DEPARTMENT OF AGRICULTURE

NOTICE OF PROPOSED AMENDMENTS

State Fairgrounds, P. O. Box 19281
Springfield IL 62794-9281

217/782-5051
fax: 217/785-4505

- 13) Initial Regulatory Flexibility Analysis:
- A) Types of small businesses, small municipalities and not-for-profit corporations affected: The safety requirements imposed by PA 101-210 will impact approximately 675 Kennel Operators licensed by the Department of Agriculture. It will not have a direct impact on small municipalities or not-for-profit corporations.
 - B) Reporting, bookkeeping or other procedures required for compliance: Kennel Operators must certify on an annual basis compliance with the Act and rules.
 - C) Types of professional skills necessary for compliance: None
- 14) Small Business Impact Analysis:
- A) Types of businesses subject to the proposed amendments:
 - 11 Agriculture, Forestry, Fishing and Hunting
 - B) Categories that the Agency reasonably believes the rulemaking will impact, including:
 - i. Hiring and additional staffing;
 - vi. Equipment and material needs;
 - viii Record keeping.
- 15) Regulatory Agenda on which this rulemaking was summarized: This rulemaking was not summarized in a regulatory agenda because it was not anticipated.

The full text of the Proposed Amendments begins on the next page:

DEPARTMENT OF AGRICULTURE

NOTICE OF PROPOSED AMENDMENTS

TITLE 8: AGRICULTURE AND ANIMALS
CHAPTER I: DEPARTMENT OF AGRICULTURE
SUBCHAPTER b: ANIMALS AND ANIMAL PRODUCTS
(EXCEPT MEAT AND POULTRY INSPECTION ACT REGULATIONS)

PART 25
ANIMAL WELFARE ACT

Section

| | |
|------------------------|---|
| 25.10 | Definitions |
| 25.15 | Incorporations By Reference |
| 25.20 | Buildings and Premises |
| 25.30 | General Care of Animals |
| 25.40 | Dogs and Other Companion Animals Brought into Illinois (Repealed) |
| 25.45 | Importation of Exotic or Non-Domestic Animals; Permit (Repealed) |
| 25.47 | Animals Imported Into Illinois |
| 25.50 | Shipment of Mammals and Birds |
| 25.60 | Health of Animals at Time of Release |
| 25.70 | Department May Restrict The Sale of Animals |
| 25.80 | Quarantine |
| 25.90 | Records |
| 25.100 | Consent Statement and Inspection |
| 25.110 | Animals Prohibited from Sale |
| 25.115 | Guard and Sentry Dogs |
| 25.120 | Boarding and Training |
| 25.130 | Animal Control Facilities and Animal Shelters |
| 25.140 | Foster Homes |
| 25.145 | Kennel Operators |
| 25.150 | Illinois Diseased Animals Act |

AUTHORITY: Implementing and authorized by the Animal Welfare Act [225 ILCS 605] and the Illinois Diseased Animals Act [510 ILCS 50].

SOURCE: Regulations Relating to the Animal Welfare Act, filed May 17, 1974, effective May 27, 1974; amended October 6, 1976, effective October 16, 1976; codified at 5 Ill. Reg. 10438; amended at 7 Ill. Reg. 1724, effective January 28, 1983; amended at 12 Ill. Reg. 8265, effective May 2, 1988; amended at 13 Ill. Reg. 3628, effective March 13, 1989; amended at 18 Ill. Reg. 14898, effective September 26, 1994; amended at 20 Ill. Reg. 265, effective January 1, 1996; amended at 23 Ill. Reg. 9758, effective August 9, 1999; amended at 28 Ill. Reg. 13376, effective

DEPARTMENT OF AGRICULTURE

NOTICE OF PROPOSED AMENDMENTS

October 1, 2004; amended at 30 Ill. Reg. 13124, effective July 24, 2006; amended at 31 Ill. Reg. 6904, effective May 1, 2007; amended at 43 Ill. Reg. 4505, effective March 26, 2019; amended at 44 Ill. Reg. _____, effective _____.

Section 25.10 Definitions

"Act" means the Animal Welfare Act [225 ILCS 605].

"Animal" as used in this Part means any mammal, bird, fish, or reptile offered for sale, trade, or adoption or for which a service is provided by any person licensed under this Act.

"Companion Animal" means an animal that is commonly considered to be, or is considered by the owner to be, a pet. Companion animal includes, but is not limited to, canines, felines and equines. [570 ILCS 70/2.01a]

"Equine Shelter" is an animal shelter as defined in Section 2 of the Act that is only for equines.

"Exotic or non-domesticated animals" means mammals (including non-human primates), reptiles and birds that are not native to North America and are not normally maintained livestock (llamas, ratites, cervids and similar animals are considered livestock under this definition) or native mammals that are not domesticated and normally maintained as pets (i.e., prairie dogs). Not included in this definition are hamsters, guinea pigs and gerbils or any member of the species *felis catus* that have been domesticated or *canis familiaris*.

"Fire Alarm System" means a system automatically activated by a device that detects smoke, heat or fire. The fire alarm system automatically triggers a notification to local emergency responders.

"Fire Sprinkler System" means one of the following systems that automatically trigger a notification to local emergency responders when activated:

Any water-based automatic fire extinguishing system employing fire sprinklers. The sprinkler system shall be designed in accordance with NFPA 13 (Standard for the Installation of Sprinkler Systems (2013)) and NFPA 101 (Life Safety Code (2015)), both available from the National Fire Protection Association, 1 Batterymarch Park, Quincy MA 02169.

DEPARTMENT OF AGRICULTURE

NOTICE OF PROPOSED AMENDMENTS

"Fire sprinkler system" includes accessory fire pumps and assorted piping, fire standpipes, or underground fire main systems starting at the connection to the water service after the approved backflow device is installed under the requirements of the Illinois Plumbing Code (77 Ill. Adm. Code 890) (Code) and ending at the most remote fire sprinkler; or

Sprinkler heads that are in a loop of the potable water system, as described in Sections 890.1130 and 890.1200 of the Code.

"Staffing Plan" means a plan to staff a kennel operating any time cats or dogs are on the premises. At a minimum, a staffing plan must include the kennel operator's hours of operation, number of staff, names of staff, and the staff's contact information. The staffing plan must show that at least one staff member is on site, alert and available any time dogs or cats are on the premises.

"Qualified Fire Inspector" means a local fire official or a building inspector, working for a unit of local government or fire protection district, who is qualified to inspect buildings for fire safety or building code compliance.

"Work Progress Form" is a form issued by the Department to licensees or applicants notifying them of deficiencies and the improvements required to be made by them within a specified period of time to comply with the Act.

(Source: Amended at 44 Ill. Reg. _____, effective _____)

Section 25.145 Kennel Operators

- a) All kennel operators shall comply with this Part, as applicable, and this Section.
- b) A kennel operator that maintains cats or dogs for boarding must meet one of the following:
 - 1) Be staffed at all times cats or dogs are on the premises. A staffing plan shall be maintained on file with the Department;
 - 2) Have an operational fire sprinkler system in every building where cats or dogs are located. The fire sprinkler system must communicate notifications directly to local emergency responders; or

DEPARTMENT OF AGRICULTURE

NOTICE OF PROPOSED AMENDMENTS

- 3) Have an operational fire alarm system in every building where cats and dogs are located. For the purposes of this Section, a fire alarm system includes any of the following:
- A) A hard-wired fire alarm system that communicates notifications directly to local emergency responders;
 - B) A wireless fire alarm system that communicates notifications directly to local emergency responders;
 - C) A fire alarm system that is monitored by a third-party security service, with an active service contract, when the security service will communicate notifications directly to local emergency responders.
- c) *A qualified fire inspector may inspect a kennel operator that maintains dogs and cats for boarding during the course of performing routine inspections. If, during a routine inspection, a qualified fire inspector determines that the kennel operator does not have a fire alarm system or fire sprinkler system, the inspector may inform the Department. [225 ILCS 605/18.2(c)]*
- d) A kennel operator shall certify in its license application, and annually certify in its license renewal application, one of the following:
- 1) The kennel is staffed at all times cats or dogs are on the premises. The kennel operator shall provide the Department with a staffing plan;
 - 2) The kennel has an operational fire sprinkler system in every building where cats and dogs are located. The kennel operator shall provide:
 - A) a picture and description of the make and model of the system; and
 - B) if the system has been inspected by a qualified fire inspector, a copy of the inspection report; or
 - 3) The kennel has an operational fire alarm system in every building where cats and dogs are located. The kennel operator shall provide:
 - A) a picture and description of the make and model of the system; and

DEPARTMENT OF AGRICULTURE

NOTICE OF PROPOSED AMENDMENTS

- B) if the system:
 - i) has been inspected by a qualified fire inspector, a copy of the inspection report; and/or
 - ii) is monitored by a third-party security service, a copy of the service contract evidencing that there is third-party monitoring of the system, or a statement evidencing that there is third-party monitoring and the service contract is effective and operational.

(Source: Added at 44 Ill. Reg. _____, effective _____)

ILLINOIS GAMING BOARD

NOTICE OF PROPOSED AMENDMENT

- 1) Heading of the Part: Riverboat Gambling
- 2) Code Citation: 86 Ill. Adm. Code 3000
- 3) Section Number: 3000.106 Proposed Action:
New Section
- 4) Statutory Authority: Section 5 (b) (14) of the Illinois Gambling Act (IGA) [230 ILCS 10/5 (b) (14)] provides that it is the duty of the Illinois Gaming Board (IGB or Board) to "adopt, by rule, a code of conduct governing Board members and employees that ensure, to the maximum extent possible, that persons subject to this Code avoid situations, relationships, or associations that may represent or lead to a conflict of interest."
- 5) A Complete Description of the Subjects and Issues Involved: This rulemaking contains the Code of Conduct mandated by Section 5 (b) (14) of the Illinois Gambling Act [230 ILCS 10/5 (b) (14)]. The Code of Conduct identifies and prohibits specific conducts that could lead to conflicts of interest or the appearance of conflicts of interest, and provides consequences for violations.
- 6) Published studies and reports, and underlying sources of data, used to compose this rulemaking: None
- 7) Will this rulemaking replace an emergency rule currently in effect? No
- 8) Does this rulemaking contain an automatic repeal date? No
- 9) Does this rulemaking contain incorporations by reference? The rulemaking incorporates the definition of "person with significant influence or control" contained in the Video Gaming (General) Part at 11 Ill. Adm. Code 1800.110.
- 10) Are there any rulemakings pending on this Part? Yes

| <u>Section Numbers:</u> | <u>Proposed Actions:</u> | <u>Illinois Register Citations:</u> |
|-------------------------|--------------------------|--------------------------------------|
| 3000.100 | Amendment | 43 Ill. Reg. 12784, November 1, 2019 |
| 3000.190 | New Section | 43 Ill. Reg. 12784, November 1, 2019 |
| 3000.200 | Amendment | 43 Ill. Reg. 12784, November 1, 2019 |
| 3000.201 | New Section | 43 Ill. Reg. 12784, November 1, 2019 |
| 3000.606 | Amendment | 43 Ill. Reg. 12784, November 1, 2019 |
| 3000.930 | Amendment | 43 Ill. Reg. 12784, November 1, 2019 |

ILLINOIS GAMING BOARD

NOTICE OF PROPOSED AMENDMENT

- 11) Statement of Statewide Policy Objective: This rulemaking does not create or expand a State mandate under 30 ILCS 805.
- 12) Time, Place and Manner in which interested persons may comment on this proposed rulemaking: Any interested person may submit comments in writing concerning this proposed rulemaking not later than 45 days after publication of this Notice in the *Illinois Register* to:

Agostino Lorenzini
General Counsel
Illinois Gaming Board
160 North LaSalle Street
Chicago IL 60601

fax: 312/814-7253
igb.legal@igb.illinois.gov
- 13) Initial Regulatory Flexibility Analysis:
 - A) Types of small businesses, small municipalities and not-for-profit corporations affected: Small businesses and not-for-profit corporations affected by this rulemaking are "Licensees," "Applicants," "Affiliates," or "Key Persons" as defined in 86 Ill. Adm. Code 3000.100, "persons with significant interest or control" as defined in 11 Ill. Adm. Code 1800.110, and representatives of any of the preceding categories of persons.
 - B) Reporting, bookkeeping or other procedures required for compliance: None
 - C) Types of professional skills necessary for compliance: No new professional skills will be required.
- 14) Small Business Impact Analysis:
 - A) Types of businesses subject to the proposed rule: None
 - B) Categories that the Agency reasonably believes the rulemaking will impact, including: None

ILLINOIS GAMING BOARD

NOTICE OF PROPOSED AMENDMENT

- 15) Regulatory agenda on which this rulemaking was summarized: This rulemaking was not summarized in a regulatory agenda because the need for it was unanticipated.

The full text of the Proposed Amendment begins on the next page:

ILLINOIS GAMING BOARD

NOTICE OF PROPOSED AMENDMENT

TITLE 86: REVENUE
CHAPTER IV: ILLINOIS GAMING BOARD

PART 3000
RIVERBOAT AND CASINO GAMBLING

SUBPART A: GENERAL PROVISIONS

| Section | |
|-----------------|--|
| 3000.100 | Definitions |
| 3000.101 | Invalidity |
| 3000.102 | Public Inquiries |
| 3000.103 | Organization of the Illinois Gaming Board |
| 3000.104 | Rulemaking Procedures |
| 3000.105 | Board Meetings |
| <u>3000.106</u> | <u>Code of Conduct</u> |
| 3000.110 | Disciplinary Actions |
| 3000.115 | Records Retention |
| 3000.120 | Place to Submit Materials |
| 3000.130 | No Opinion or Approval of the Board |
| 3000.140 | Duty to Disclose Changes in Information |
| 3000.141 | Applicant/Licensee Disclosure of Agents |
| 3000.150 | Owner's and Supplier's Duty to Investigate |
| 3000.155 | Investigatory Proceedings |
| 3000.160 | Duty to Report Misconduct |
| 3000.161 | Communication with Other Agencies |
| 3000.165 | Participation in Games by Owners, Directors, Officers, Key Persons or Gaming Employees |
| 3000.170 | Fair Market Value of Contracts |
| 3000.180 | Weapons on Riverboat |

SUBPART B: LICENSES

| Section | |
|----------|--|
| 3000.200 | Classification of Licenses |
| 3000.210 | Fees and Bonds |
| 3000.220 | Applications |
| 3000.221 | Other Required Forms |
| 3000.222 | Identification and Requirements of Key Persons |

ILLINOIS GAMING BOARD

NOTICE OF PROPOSED AMENDMENT

| | |
|----------|--|
| 3000.223 | Disclosure of Ownership and Control |
| 3000.224 | Economic Disassociation |
| 3000.225 | Business Entity and Personal Disclosure Filings |
| 3000.230 | Owner's Licenses |
| 3000.231 | Distributions |
| 3000.232 | Undue Economic Concentration |
| 3000.234 | Acquisition of Ownership Interest By Institutional Investors |
| 3000.235 | Transferability of Ownership Interest |
| 3000.236 | Owner's License Renewal |
| 3000.237 | Renewed Owner's Licenses, Term and Restrictions |
| 3000.238 | Appointment of Receiver for an Owner's License |
| 3000.240 | Supplier's Licenses |
| 3000.241 | Renewal of Supplier's License |
| 3000.242 | Amendment to Supplier's Product List |
| 3000.243 | Bankruptcy or Change in Ownership of Supplier |
| 3000.244 | Surrender of Supplier's License |
| 3000.245 | Occupational Licenses |
| 3000.250 | Transferability of Licenses |
| 3000.260 | Waiver of Requirements |
| 3000.270 | Certification and Registration of Electronic Gaming Devices |
| 3000.271 | Analysis of Questioned Electronic Gaming Devices |
| 3000.272 | Certification of Voucher Systems |
| 3000.280 | Registration of All Gaming Devices |
| 3000.281 | Transfer of Registration (Repealed) |
| 3000.282 | Seizure of Gaming Devices (Repealed) |
| 3000.283 | Analysis of Questioned Electronic Gaming Devices (Repealed) |
| 3000.284 | Disposal of Gaming Devices |
| 3000.285 | Certification and Registration of Voucher Validation Terminals |
| 3000.286 | Contracting Goals for Owners Licensees |
| 3000.287 | Independent Outside Testing Laboratories |
| 3000.288 | Minimum Duties of Independent Outside Testing Laboratories |

SUBPART C: OWNER'S INTERNAL CONTROL SYSTEM

| | |
|----------|--|
| Section | |
| 3000.300 | General Requirements – Internal Control System |
| 3000.310 | Approval of Internal Control System |
| 3000.320 | Minimum Standards for Internal Control Systems |
| 3000.330 | Review of Procedures (Repealed) |

ILLINOIS GAMING BOARD

NOTICE OF PROPOSED AMENDMENT

- 3000.340 Operating Procedures (Repealed)
3000.350 Modifications (Repealed)

SUBPART D: HEARINGS ON NOTICE OF DENIAL,
RESTRICTION OF LICENSE, PLACEMENT ON BOARD EXCLUSION LIST OR
REMOVAL FROM BOARD EXCLUSION LIST OR SELF-EXCLUSION LIST

Section

- 3000.400 Coverage of Subpart
3000.405 Requests for Hearings
3000.410 Appearances
3000.415 Discovery
3000.420 Motions for Summary Judgment
3000.424 Subpoena of Witnesses
3000.425 Proceedings
3000.430 Evidence
3000.431 Prohibition on Ex Parte Communication
3000.435 Sanctions and Penalties
3000.440 Transmittal of Record and Recommendation to the Board
3000.445 Status of Applicant for Licensure or Transfer Upon Filing Request for Hearing

SUBPART E: CRUISING

Section

- 3000.500 Riverboat Cruises
3000.510 Cancelled or Disrupted Cruises

SUBPART F: CONDUCT OF GAMING

Section

- 3000.600 Wagering Only with Electronic Credits, Approved Chips, Tokens and Electronic Cards
3000.602 Disposition of Unauthorized Winnings
3000.605 Authorized Games
3000.606 Gaming Positions
3000.610 Publication of Rules and Payout Ratio for Live Gaming Devices
3000.614 Tournaments, Enhanced Payouts and Give-aways
3000.615 Payout Percentage for Electronic Gaming Devices
3000.616 Cashing-In

ILLINOIS GAMING BOARD

NOTICE OF PROPOSED AMENDMENT

| | |
|----------|--|
| 3000.620 | Submission of Chips for Review and Approval |
| 3000.625 | Chip Specifications |
| 3000.630 | Primary, Secondary and Reserve Sets of Gaming Chips |
| 3000.631 | Tournament Chips |
| 3000.635 | Issuance and Use of Tokens for Gaming |
| 3000.636 | Distribution of Coupons for Complimentary Chips, Tokens, Vouchers, Cash and Electronic Credits |
| 3000.640 | Exchange of Chips, Tokens, and Vouchers |
| 3000.645 | Receipt of Gaming Chips or Tokens from Manufacturer or Distributor |
| 3000.650 | Inventory of Chips |
| 3000.655 | Destruction of Chips, Tokens, and Vouchers |
| 3000.660 | Minimum Standards for Electronic Gaming Devices |
| 3000.661 | Minimum Standards for Voucher Systems |
| 3000.665 | Integrity of Electronic Gaming Devices |
| 3000.666 | Bill Validator Requirements |
| 3000.667 | Integrity of Voucher Systems |
| 3000.670 | Computer Monitoring Requirements of Electronic Gaming Devices |
| 3000.671 | Computer Monitoring Requirements of Voucher Systems |

SUBPART G: EXCLUSION OF PERSONS

| | |
|----------|--|
| Section | |
| 3000.700 | Organization of Subpart |
| 3000.701 | Duty to Exclude |
| 3000.705 | Voluntary Self-Exclusion Policy (Repealed) |
| 3000.710 | Distribution and Availability of Board Exclusion List |
| 3000.720 | Criteria for Exclusion or Ejection and Placement on the Board Exclusion List |
| 3000.725 | Duty of Licensees |
| 3000.730 | Procedure for Entry of Names |
| 3000.740 | Petition for Removal from the Board Exclusion List |
| 3000.745 | Voluntary Self-Exclusion Policy |
| 3000.750 | Establishment of a Self-Exclusion List |
| 3000.751 | Locations to Execute Self-Exclusion Forms |
| 3000.755 | Information Required for Placement on the Self-Exclusion List |
| 3000.756 | Stipulated Sanctions for Failure to Adhere to Voluntary Self-Exclusion |
| 3000.760 | Distribution and Availability of Confidential Self-Exclusion List |
| 3000.770 | Duties of Licensees |
| 3000.780 | Request for Removal from the IGB Self-Exclusion List |
| 3000.782 | Required Information, Recommendations, Forms and Interviews |

ILLINOIS GAMING BOARD

NOTICE OF PROPOSED AMENDMENT

| | |
|----------|---|
| 3000.785 | Appeal of a Notice of Denial of Removal |
| 3000.786 | Duties of Owner Licensees to Persons Removed from the Self-Exclusion List |
| 3000.787 | Placement on the Self-Exclusion List Following Removal |
| 3000.790 | Duties of the Board |

SUBPART H: SURVEILLANCE AND SECURITY

Section

| | |
|----------|--|
| 3000.800 | Required Surveillance Equipment |
| 3000.810 | Riverboat and Board Surveillance Room Requirements |
| 3000.820 | Segregated Telephone Communication |
| 3000.830 | Surveillance Logs |
| 3000.840 | Storage and Retrieval |
| 3000.850 | Dock Site Board Facility |
| 3000.860 | Maintenance and Testing |

SUBPART I: LIQUOR LICENSES

Section

| | |
|----------|---------------------------|
| 3000.900 | Liquor Control Commission |
| 3000.910 | Liquor Licenses |
| 3000.920 | Disciplinary Action |
| 3000.930 | Hours of Sale |

SUBPART J: OWNERSHIP AND ACCOUNTING RECORDS AND PROCEDURES

Section

| | |
|-----------|---|
| 3000.1000 | Ownership Records |
| 3000.1010 | Accounting Records |
| 3000.1020 | Standard Financial and Statistical Records |
| 3000.1030 | Annual and Special Audits and Other Reporting Requirements |
| 3000.1040 | Accounting Controls Within the Cashier's Cage |
| 3000.1050 | Procedures for Exchange of Checks Submitted by Gaming Patrons and Granting Credit |
| 3000.1060 | Handling of Cash at Gaming Tables |
| 3000.1070 | Tips or Gratuities |
| 3000.1071 | Admission Tax and Wagering Tax |
| 3000.1072 | Cash Reserve Requirements |

ILLINOIS GAMING BOARD

NOTICE OF PROPOSED AMENDMENT

SUBPART K: SEIZURE AND DISCIPLINARY HEARINGS

Section

| | |
|-----------|---|
| 3000.1100 | Coverage of Subpart |
| 3000.1105 | Duty to Maintain Suitability |
| 3000.1110 | Board Action Against License or Licensee |
| 3000.1115 | Complaint |
| 3000.1120 | Appearances |
| 3000.1125 | Answer |
| 3000.1126 | Appointment of Hearing Officer |
| 3000.1130 | Discovery |
| 3000.1135 | Motions for Summary Disposition |
| 3000.1139 | Subpoena of Witnesses |
| 3000.1140 | Proceedings |
| 3000.1145 | Evidence |
| 3000.1146 | Prohibition of Ex Parte Communication |
| 3000.1150 | Sanctions and Penalties |
| 3000.1155 | Transmittal of Record and Recommendation to the Board |

AUTHORITY: Implementing and authorized by the Illinois Gambling Act [230 ILCS 10], Video Gaming Act [230 ILCS 40], Sports Wagering Act [230 ILCS 45], and Illinois Horse Racing Act of 1975 [230 ILCS 5].

SOURCE: Emergency rule adopted at 15 Ill. Reg. 11252, effective August 5, 1991, for a maximum of 150 days; adopted at 15 Ill. Reg. 18263, effective December 10, 1991; amended at 16 Ill. Reg. 13310, effective August 17, 1992; amended at 17 Ill. Reg. 11510, effective July 9, 1993; amended at 20 Ill. Reg. 5814, effective April 9, 1996; amended at 20 Ill. Reg. 6280, effective April 22, 1996; emergency amendment at 20 Ill. Reg. 8051, effective June 3, 1996, for a maximum of 150 days; amended at 20 Ill. Reg. 14765, effective October 31, 1996; amended at 21 Ill. Reg. 4642, effective April 1, 1997; emergency amendment at 21 Ill. Reg. 14566, effective October 22, 1997, for a maximum of 150 days; emergency amendment at 22 Ill. Reg. 978, effective December 29, 1997, for a maximum of 150 days; amended at 22 Ill. Reg. 4390, effective February 20, 1998; amended at 22 Ill. Reg. 10449, effective May 27, 1998; amended at 22 Ill. Reg. 17324, effective September 21, 1998; amended at 22 Ill. Reg. 19541, effective October 23, 1998; emergency amendment at 23 Ill. Reg. 8191, effective July 2, 1999 for a maximum of 150 days; emergency expired November 28, 1999; amended at 23 Ill. Reg. 8996, effective August 2, 1999; amended at 24 Ill. Reg. 1037, effective January 10, 2000; amended at 25 Ill. Reg. 94, effective January 8, 2001; amended at 25 Ill. Reg. 13292, effective October 5, 2001; proposed amended at 26 Ill. Reg. 9307, effective June 14, 2002; emergency amendment

ILLINOIS GAMING BOARD

NOTICE OF PROPOSED AMENDMENT

adopted at 26 Ill. Reg. 10984, effective July 1, 2002, for a maximum of 150 days; adopted at 26 Ill. Reg. 15296, effective October 11, 2002; amended at 26 Ill. Reg. 17408, effective November 22, 2002; emergency amendment at 27 Ill. Reg. 10503, effective June 30, 2003, for a maximum of 150 days; amended at 27 Ill. Reg. 15793, effective September 25, 2003; amended at 27 Ill. Reg. 18595, effective November 25, 2003; amended at 28 Ill. Reg. 12824, effective August 31, 2004; amended at 31 Ill. Reg. 8098, effective June 14, 2007; amended at 32 Ill. Reg. 2967, effective February 15, 2008; amended at 32 Ill. Reg. 3275, effective February 19, 2008; amended at 32 Ill. Reg. 7357, effective April 28, 2008; amended at 32 Ill. Reg. 8592, effective May 29, 2008; amended at 32 Ill. Reg. 8931, effective June 4, 2008; amended at 32 Ill. Reg. 13200, effective July 22, 2008; amended at 32 Ill. Reg. 17418, effective October 23, 2008; amended at 32 Ill. Reg. 17759, effective October 28, 2008; amended at 32 Ill. Reg. 17946, effective November 5, 2008; amended at 34 Ill. Reg. 3285, effective February 26, 2010; amended at 34 Ill. Reg. 3748, effective March 11, 2010; amended at 34 Ill. Reg. 4768, effective March 16, 2010; amended at 34 Ill. Reg. 5200, effective March 24, 2010; amended at 34 Ill. Reg. 15386, effective September 23, 2010; amended at 36 Ill. Reg. 13199, effective July 31, 2012; amended at 37 Ill. Reg. 12050, effective July 9, 2013; amended at 37 Ill. Reg. 18255, effective November 1, 2013; amended at 38 Ill. Reg. 2808, effective January 8, 2014; amended at 38 Ill. Reg. 21471, effective October 29, 2014; amended at 39 Ill. Reg. 4362, effective March 10, 2015; amended at 39 Ill. Reg. 12312, effective August 18, 2015; amended at 40 Ill. Reg. 12776, effective August 19, 2016; amended at 41 Ill. Reg. 380, effective December 29, 2016; amended at 41 Ill. Reg. 12840, effective September 28, 2017; emergency amendment at 43 Ill. Reg. 9801, effective August 23, 2019, for a maximum of 150 days; emergency amendment at 43 Ill. Reg. 10512, effective September 5, 2019, for a maximum of 150 days; emergency amendment at 43 Ill. Reg. 10733, effective September 13, 2019, for a maximum of 150 days; amended at 44 Ill. Reg. 521, effective December 30, 2019; amended at 44 Ill. Reg. _____, effective _____..

SUBPART A: GENERAL PROVISIONS

Section 3000.106 Code of Conduct

The purpose of this Code of Conduct is to assure, to the maximum extent possible, that persons subject to this Code avoid situations, relationships or associations that may lead to or represent an actual or potential conflict of interest.

a) Policy and Application1) Definitions

For purposes of this Section, the following terms shall have the following meanings:

ILLINOIS GAMING BOARD

NOTICE OF PROPOSED AMENDMENT

"Applicant": Any person that has submitted an application or has publicly expressed an intent to submit an application for licensure under the Illinois Gambling Act, Video Gaming Act, or Sports Wagering Act [230 ILCS 45].

"Covered Person": Any of the following:

Applicants;

Licensees;

Affiliates of an applicant or licensee;

Persons with significant influence or control of an applicant or licensee as defined in 11 Ill. Adm. Code 1800.110;

Key Persons of an applicant or licensee; or

Representatives of persons or entities included in this definition.

"Employee": Any person employed full-time, part-time, or pursuant to a contract of any kind and whose employment duties are subject to the direction and control of the Board with regard to the material details of how the work is to be performed, including any State employees detailed or assigned to the Board from other State entities, contract employees, and independent contractors in the service of the Board.

"Licensee": Any person who holds a license issued pursuant to the Illinois Gambling Act, Video Gaming Act, or Sports Wagering Act.

- 2) Members and employees of the Board are to discharge their duties and responsibilities with undivided loyalty to the Board and in such a manner as to promote and preserve public trust and confidence in the integrity of the conduct of gaming and in the integrity and impartiality of the Board.
- 3) No Board member or employee shall use or attempt to use his or her official position to secure, or attempt to secure, any privilege, advantage, favor or influence for himself, herself or others.

ILLINOIS GAMING BOARD

NOTICE OF PROPOSED AMENDMENT

- 4) Members and employees of the Board shall bear responsibility for adherence to the provisions of this Code of Conduct and all specific restrictions and prohibitions contained in this Code.
 - 5) Provisions of this Code of Conduct applying to relatives or household members of an employee or Board member apply regardless of the nature of the relationship, including, but not limited to, relationships by blood, marriage, adoption or other relationship.
 - 6) When evaluating the circumstances of a violation or potential violation of this Code of Conduct by a relative or household member of a Board member or employee, the level of influence held by the Board member or employee over that relative or household member shall be considered.
- b) Conflict of Interest
- 1) Board members and employees and their respective spouses, domestic partners, boyfriends, girlfriends, parents, children and household members shall have an affirmative duty to avoid relationships that may cause or have the appearance of causing an actual or potential conflict of interest.
 - 2) A "conflict of interest" means a situation in which a Board member's or a Board employee's private interest, whether personal, financial or otherwise, influences, or creates the appearance that it may influence, the Board member's or employee's judgment in the performance of his or her regulatory duties and responsibilities to act in a fair and impartial manner.
- c) Gambling
- 1) Except as may be required in the conduct of official duties, Board members and employees shall not engage in gambling conducted under the Illinois Gambling Act, Video Gaming Act, Sports Wagering Act, or Illinois Horse Racing Act of 1975 [230 ILCS 5].
 - 2) Board members and employees shall not engage in any other legalized gambling identified by Board action that, in the judgement of the Board, could represent a potential for, or the appearance of, a conflict of interest.

ILLINOIS GAMING BOARD

NOTICE OF PROPOSED AMENDMENT

d) Outside Employment

- 1) Board members and employees shall not hold or pursue employment, office, position, business or occupation that may conflict with the official duties of that Board member or employee.
- 2) Employees may engage in other gainful employment that does not interfere or conflict with their duties, provided that the employment is disclosed to the Administrator and the Board and approved by the Board or, as delegated, by the Administrator.
- 3) Disclosure of outside employment must be made at the time of appointment to the Board or at the time the employee is hired to work for the Board or prior to accepting the outside employment.
- 4) Any Board member who discloses outside employment to the Board shall recuse himself or herself from any discussion and decision made by the Board relative to his or her outside employment.
- 5) Outside employment that, despite recusal from Board action and discussion, presents recurring or continuing possibilities for potential or actual conflicts of interest shall be grounds for removal from the Board under Section 5(a)(6) of the Act.
- 6) No Board member shall hold any other public office.

e) Restrictions on Receiving Gifts

- 1) Board members and employees and their spouses, domestic partners, boyfriends or girlfriends, children, parents, and household members may not, directly or indirectly, accept any gift, gratuity, service, compensation, travel, lodging, or thing of value from a covered person, with the exception of unsolicited items of an incidental nature.
- 2) This prohibition may be extended by Board action to any person who, in the judgment of the Board, could represent a potential for, or the appearance of, a conflict of interest.

f) Prohibition on Holding or Acquiring Ownership

ILLINOIS GAMING BOARD

NOTICE OF PROPOSED AMENDMENT

- 1) Board members and employees of the Board, their spouses, domestic partners, boyfriends or girlfriends, children, parents, and household members may not, directly or indirectly, hold or acquire, or cause or encourage any other person to acquire, any actual or contingent form of ownership interest or other financial interest in a covered person.
 - 2) The prohibition in subsection (f)(1) may extend to the holding or acquisition of an interest in any entity identified by Board action that, in the judgment of the Board, could represent the potential for, or the appearance of, a conflict of interest.
 - 3) The holding or acquisition of an interest in these entities through an indirect means (e.g., through a mutual fund) shall not be prohibited, except that the Board may identify specific investments or funds that, in its judgment, are so influenced by gaming holdings as to represent the potential for, or the appearance of, a conflict of interest.
 - 4) Every Board member, Board employee, and spouse or immediate family member living with that person shall be subject to applicable provisions of Section 5-45 of the State Officials and Employees Ethics Act [5 ILCS 430] (the "revolving door prohibition") for a period of two years immediately after termination of Board appointment or employment.
- g) Prohibition of Economic Association
- 1) Notwithstanding subsections (d)(4) and (5), Board members and employees of the Board and their spouses, domestic partners, boyfriends, girlfriends, children, parents, and household members shall not have an economic association with a covered person or any race track, racing association, or any person engaged in the conducting of horse racing in the State of Illinois.
 - 2) An "economic association" shall mean any current economic relationship, direct or indirect, representing the potential for, or the appearance of, an actual or potential conflict of interest that may exist between a Board member or Board employee and a covered person.

ILLINOIS GAMING BOARD

NOTICE OF PROPOSED AMENDMENT

- 3) The prohibition of economic association provided by this subsection (g) may be extended to any person identified by Board action that, in the judgment of the Board, could represent the potential for, or the appearance of, a conflict of interest.
 - 4) This prohibition on economic association may be waived by Board action for a spouse, domestic partner, boyfriend, girlfriend, child, parent, or household member of an employee of the Board.
- h) Disclosure of Economic Association
- 1) Each Board member who owns or is employed by a firm or business entity shall, to the best of his or her ability, identify any economic association with any covered person.
 - 2) Subject to the prohibition contained in subsection (g)(1), the Board member shall declare his or her intention to refrain from deliberations and voting on questions related to the gaming entity or individual in that economic association. This requirement may be extended by Board action to any person who, in the judgment of the Board, could represent the potential for, or the appearance of, a conflict of interest.
 - 3) Board members shall refrain from working on any gaming-related matters on behalf of any person with whom the Board member has an economic association.
- i) Recusal Process
- 1) Board member duties of disclosure and recusal are ongoing.
 - 2) In advance of each Board meeting, and before engaging in any deliberations or voting, Board members must review lists provided by Board staff of all persons and locations that will come before the Board, at the upcoming Board meeting, for consideration for licensure, discipline or any other action. This review will assist the members in determining whether recusal from a particular Board deliberation or vote is required.
 - 3) To the extent practicable, each Board member who owns or is employed by a firm or entity shall employ a conflict check process, subject to

ILLINOIS GAMING BOARD

NOTICE OF PROPOSED AMENDMENT

approval by the Administrator, to determine whether any existing or new firm or entity client is, or has become, a covered person. This process will assist the Board member in discharging his or her disclosure and recusal duties.

- j) Restrictions on Professional Service Agents
- 1) Professional service agents of the Board may be subject to terms and conditions relating to restrictions or prohibitions in representation, employment and contracting with licensees, applicants, their affiliates, persons with significant influence and control, Key Persons, and their representatives. These restrictions and prohibitions shall be contained in the professional service contracts of the Board.
 - 2) For purposes of this subsection (j), professional service agents of the Board include any person, corporation or organization providing legal, accounting, financial, public relations, auditing, architectural, data processing, or management consulting services.
- k) Disclosure Statements. Board members and employees shall complete and timely file the Statement of Economic Interest required by Article 4A of the Illinois Governmental Ethics Act [5 ILCS 420], a copy of which shall be provided to the Administrator.
- l) Post-Board Affiliation or Employment. Every Board member, Board employee, and their spouse or immediate family members shall be subject to all applicable provisions of Section 5-45 of the State Officials and Employees Ethics Act [5 ILCS 430] (the "revolving door prohibition") for a period of one year after termination of Board appointment or employment.
- m) Violations
- 1) Violation of this Code of Conduct by a member of the Board may result in sanctions up to and including removal from the Board.
 - 2) Violation of this Code of Conduct by an employee or agent of the Board may result in discipline up to and including termination.

(Source: Added at 44 Ill. Reg. _____, effective _____)

POLLUTION CONTROL BOARD

NOTICE OF PROPOSED AMENDMENT

- 1) Heading of the Part: General Provisions
- 2) Code Citation: 35 Ill. Adm. Code 1420
- 3) Section Number: 1420.102 Proposed Action: Amendment
- 4) Statutory Authority: Implementing and authorized by Sections 56.2(e) and 27 of the Illinois Environmental Protection Act [415 ILCS 5/27 and 56.2(e)].
- 5) A Complete Description of the Subjects and Issues Involved: The following briefly describes the subjects and issues involved in the docket R20-17 rulemaking which proposes to amend Section 1420.102. A comprehensive description is contained in the Board's opinion and order of December 19, 2019, which is available from the address below.

The Board reserved this docket to amend Section 1420.102 to correspond with a Section 56.2(e) mandate that the Board adopt rules that are "identical in substance" to the list of "etiologic agents identified as Class 4 agents" in the "Classification of Etiologic Agents on the Basis of Hazard, 1974."

The National Institute of Health (NIH) recently updated that 1974 document by adding four Class 4 etiologic agents. Accordingly, under Section 56.2(e), the Board proposes adding those four agents to the viral agent list within the "Class 4 etiologic agent" definition at 35 Ill. Adm. Code 1420.102: Guaranirito virus; Sabia; Ebola Virus; and Equine Morbillivirus.

Section 56.2(e) of the Environmental Protection Act [415 ILCS 5/56.2(e)] provides that Section 5 of the Administrative Procedure Act [5 ILCS 100/5] does not apply to this rulemaking. Because this rulemaking is not subject to Section 5 of the APA, it is not subject to First Notice or to Second Notice review by the Joint Committee on Administrative Rules (JCAR).
- 6) Published studies or reports, and sources of underlying data, used to compose this rulemaking: None cited by IEPA.
- 7) Will this rulemaking replace an emergency rule currently in effect? No
- 8) Does this rulemaking contain an automatic repeal date? No

POLLUTION CONTROL BOARD

NOTICE OF PROPOSED AMENDMENT

- 9) Does this rulemaking contain incorporations by reference? No
- 10) Are there any other rulemakings pending on this Part? No
- 11) Statement of Statewide Policy Objective: This proposed rulemaking does not create or enlarge a State mandate, as defined in Section 3(b) of the State Mandates Act [30 ILCS 805/3(b)].
- 12) Time, Place, and Manner in which interested persons may comment on this proposed rulemaking: The Board will accept written public comments on this proposal for a period of at least 45 days after the date of publication in the *Illinois Register*. Public comments must be filed with the Clerk of the Board. Public comments should reference Docket R20-17 and be addressed to:

Clerk's Office
Illinois Pollution Control Board
JRTC
100 W. Randolph St., Suite 11-500
Chicago IL 60601

Public comments may also be filed electronically through the Clerk's Office On-Line (COOL) on the Board's website at www.ipcb.state.il.us.

Interested persons may request copies of the Board's opinion and order in R20-17 by calling the Clerk's office at 312/814-3620, or may download copies from the Board's Web site at www.ipcb.state.il.us.

- 13) Initial Regulatory Flexibility Analysis:
- A) Types of small businesses, small municipalities and not for profit corporations affected: None
- B) Reporting, bookkeeping or other procedures required for compliance: None
- C) Types of Professional skills necessary for compliance: None
- 14) Small Business Impact Analysis: The Board expects that this rulemaking will not have an adverse impact on small business.

POLLUTION CONTROL BOARD

NOTICE OF PROPOSED AMENDMENT

15) Regulatory Agenda on which this rulemaking was summarized: July 2019

The full text of the Proposed Amendment begins on the next page:

POLLUTION CONTROL BOARD

NOTICE OF PROPOSED AMENDMENT

TITLE 35: ENVIRONMENTAL PROTECTION
SUBTITLE M: BIOLOGICAL MATERIALS
CHAPTER I: POLLUTION CONTROL BOARD
SUBCHAPTER b: POTENTIALLY INFECTIOUS MEDICAL WASTES

PART 1420
GENERAL PROVISIONS

Section

| | |
|----------|---|
| 1420.101 | Scope and Applicability |
| 1420.102 | Definitions |
| 1420.103 | Incorporations by Reference |
| 1420.104 | Prohibitions |
| 1420.105 | Permit and Manifest Requirements and Exceptions |
| 1420.106 | Penalty Factor |
| 1420.107 | Cleaning and Disinfection |
| 1420.120 | Severability |

AUTHORITY: Implementing Section 56.2 and authorized by Section 27 of the Environmental Protection Act [415 ILCS 5/56.2 and 27].

SOURCE: Adopted in R91-19, at 16 Ill. Reg. 2594, effective February 3, 1992; amended in R91-20, at 17 Ill. Reg. 9947, effective June 21, 1993; amended in R18-29 at 43 Ill. Reg. 10044, effective August 30, 2019; amended in R20-17 at 44 Ill. Reg. _____, effective _____.

Section 1420.102 Definitions

All definitions in this Section have the following meanings throughout this Subtitle, unless specifically stated otherwise. Words and terms not defined have the meanings stated in the Act.

"6-log reduction" means a 6-decade reduction or a one millionth (0.000001) survival probability in a microbial population.

"Act" means the Environmental Protection Act [415 ILCS 5].

"Agency" means the Illinois Environmental Protection Agency.

"ATCC" means American Type Culture Collection.

POLLUTION CONTROL BOARD

NOTICE OF PROPOSED AMENDMENT

"Board" means the Pollution Control Board.

"CFU" means colony forming unit.

"Chemical treatment" means using disinfectants or chemicals as the primary means to eliminate the infectious potential of PIMW. Examples include treatment with ethylene oxide, chlorine, and ozone.

"Class 4 etiologic agent" means a pathogenic agent that is extremely hazardous to laboratory personnel or that may cause serious epidemic disease. Class 4 etiologic agent includes the following viral agents:

Alastrim, Smallpox, Monkey pox, and Whitepox (when used for transmission or animal inoculation experiments);

Hemorrhagic fever agents (including Crimean hemorrhagic fever (Congo), Junin, and Machupo viruses, and others not yet defined);

Herpes virus simiae (Monkey B virus);

Lassa virus;

Marburg virus;

Tick-borne encephalitis virus complex (including Absettarov, Hanzalova, HYPR, Kumlinge, Russian spring-summer encephalitis, Kyasanur forest disease, Omsk hemorrhagic fever, and Central European encephalitis viruses);

Venezuelan equine encephalitis virus (epidemic strains, when used for transmission or animal inoculation experiments); ~~and~~

Yellow fever virus (wild, when used for transmission or animal inoculation experiments);

Guanarito virus;

Sabia;

POLLUTION CONTROL BOARD

NOTICE OF PROPOSED AMENDMENT

[Ebola virus; and](#)

[Equine Morbillivirus.](#)

BOARD NOTE: [The definition of Class 4 agent is adopted under Section 56.2\(e\) of the Act to help](#)~~A Class 4 Agent helps~~ define an "isolation waste" for Section 3.360(a)(6) of the Act and this Subtitle. This listing is derived from the CDC document "Classification of ~~Human~~-Etiologic Agents on the Basis of Hazard, [1974](#)", and is supplemented from the CDC/NIH document "Biosafety in Microbiological and Biomedical Laboratories", [December 2009](#), and "[NIH Guidelines for Research Involving Recombinant or Synthetic Nucleic Acid Molecules \(NIH Guidelines\)](#)", [April 2019](#).

"Container" means a receptacle that does not contain PIMW.

"Detergent" means a cleansing substance that contains surface-active agents for rapid wetting, penetration, and emulsification of fats and oils, plus a sequestering agent.

"Detergent-sanitizer cleaner" means an agent that is both a detergent and sanitizer registered by the United States Environmental Protection Agency (USEPA), as identified on its label.

"Discharge" means the accidental or intentional spilling, leaking, pumping, pouring, emitting, emptying, or dumping of waste into or on any land or water. This does not include the normal loading and unloading of PIMW from a vehicle.

"Enclosed compartment" means a compartment that protects from the elements, prevents spillage and prevents containers from falling off the vehicle. The enclosed compartment cannot be used to meet the packaging requirements of 35 Ill. Adm. Code 1421.Subpart C.

"Equivalent log kill" means the logarithm of the indicator microorganisms that must be killed and correlates to a 6-log reduction of viable test microorganisms.

"Highly communicable disease" means a disease identified as a Class 4 etiologic agent under this Section.

POLLUTION CONTROL BOARD

NOTICE OF PROPOSED AMENDMENT

"Indicator microorganisms" means those microorganisms listed in 35 Ill. Adm. Code 1422.Appendix A, Table B, as classified by ATCC.

"International biohazard symbol" means the symbol that is shown in 35 Ill. Adm. Code 1421.Illustration A.

"Irradiation treatment" means using ionizing radiation as the primary means to eliminate the infectious potential of PIMW. Examples include treatment with gamma (cobalt 60) and electron beam.

"Log" means logarithm to the base 10.

"Log kill" means the difference between the logarithms of viable test microorganisms or indicator microorganisms before and after treatment.

"Oversized PIMW" means a single waste item that is too large to be placed into a 33 gallon bag or container.

"Package" means a receptacle that contains PIMW.

"Person" is any individual, partnership, co-partnership, firm, company, corporation, association, joint stock company, trust, estate, political subdivision, state agency, or any other legal entity, or their representative, agent, or assigns. (Section 3.315 of the Act)

"PFU" means plaque forming unit.

"Potentially infectious medical waste" or "PIMW" means the following types of waste generated in connection with the diagnosis, treatment (i.e., provision of medical services), or immunization of human beings or animals; research pertaining to the provision of medical services; or the production or testing of biologicals:

Cultures and stocks. This waste shall include but not be limited to cultures and stocks of agents infectious to humans, and associated biologicals; cultures from medical or pathological laboratories; cultures and stocks of infectious agents from research and industrial laboratories; wastes from the production of biologicals; discarded live or attenuated vaccines; or culture dishes and devices used to transfer, inoculate, or mix cultures.

POLLUTION CONTROL BOARD

NOTICE OF PROPOSED AMENDMENT

Human pathological wastes. This waste shall include tissue, organs, and body parts (except teeth and the contiguous structures of bone and gum); body fluids that are removed during surgery, autopsy, or other medical procedures; or specimens of body fluids and their containers.

Human blood and blood products. This waste shall include discarded human blood, blood components (e.g., serum and plasma), or saturated material containing free flowing blood or blood components.

Used sharps. This waste shall include but not be limited to discarded sharps used in animal or human patient care, medical research, or clinical or pharmaceutical laboratories; hypodermic, intravenous, or other medical needles; hypodermic or intravenous syringes; Pasteur pipettes; scalpel blades; or blood vials. This waste shall also include but not be limited to other types of broken or unbroken glass (including slides and cover slips) in contact with infectious agents.

Animal waste. Animal waste means discarded materials, including carcasses, body parts, body fluids, blood, or bedding originating from animals inoculated during research, production of biologicals, or pharmaceutical testing with agents infectious to humans.

Isolation waste. This waste shall include discarded materials contaminated with blood, excretions, exudates, and secretions from humans that are isolated to protect others from highly communicable diseases, as defined in this Section.

Unused sharps. This waste shall include but not be limited to the following unused, discarded sharps: hypodermic, intravenous, or other needles; hypodermic or intravenous syringes; or scalpel blades.

Potentially infectious medical waste does not include:

Waste generated as general household waste;

Waste (except for sharps) for which the infectious potential has been eliminated by treatment; or

POLLUTION CONTROL BOARD

NOTICE OF PROPOSED AMENDMENT

Sharps that meet both of the following conditions:

The infectious potential has been eliminated from the sharps by treatment; and

The sharps are rendered unrecognizable by treatment.

Sharps that are managed in accordance with the following requirements:

The infectious potential is eliminated from the sharps by treatment at a facility that is permitted by the Agency for the treatment of PIMW;

The sharps are certified by the treatment facility as non-special waste in accordance with Section 22.48 of the Act;

The sharps are packaged at the treatment facility the same as required under Board rules for PIMW;

The sharps are transported under the custody of the treatment facility to a landfill permitted by the Agency under Section 21 of the Act to accept municipal waste for disposal; and

The management of sharps is authorized in, and conducted in accordance with, a permit issued by the Agency to the treatment facility. (Section 3.360 of the Act)

"Putrescence" means the partial decomposition of organic matter by microorganisms that causes malodors, gases, or other offensive conditions, or that can provide food for vectors.

"Registered professional engineer" means a person registered under the Illinois Professional Engineering Practice Act [225 ILCS 325].

"Reusable container" means a receptacle that complies with 35 Ill. Adm. Code 1421.121(a) and (b); is made and repaired with materials that are corrosion resistant and non-absorbent; and designed and constructed to easily permit cleaning and disinfection in compliance with Section 1420.107.

POLLUTION CONTROL BOARD

NOTICE OF PROPOSED AMENDMENT

"Sanitizer" means an antimicrobial agent that is intended for application to inanimate objects or surfaces for reducing the microbial count to safe levels and that is registered by USEPA, as identified on its label.

"Sharps" mean unused sharps and used sharps as stated in the definition of PIMW with or without residual fluids.

"Significant mechanical change" means the substitution or addition of mechanical parts that result in different operating conditions. A significant mechanical change does not mean the replacement of a part that meets the same specifications as the original.

"Single-use container" means a container intended by the manufacturer for one use only (e.g., biohazard bags).

"Site" means any location, place, tract of land, and facilities, including but not limited to buildings, and improvements used for purposes subject to regulation or control by the Act or regulations thereunder. (Section 3.460 of the Act) For this Subtitle, every educational institution's campus is a single site.

"Storage" means the containment of waste, either on a temporary basis or for a period of years, in such a manner as not to constitute disposal. (Section 3.480 of the Act)

"Storage site" means a site at which waste is stored. "Storage site" includes transfer stations. (Section 3.485 of the Act)

"Test microorganisms" means those microorganisms listed in 35 Ill. Adm. Code 1422.Appendix A, Table A, as classified by ATCC.

"Thermal treatment" means using elevated temperatures as the primary means to eliminate the infectious potential of PIMW. Examples of thermal treatment are incineration, steam sterilization, microwaving, radiowaving, infrared heating, pyrolysis, plasma systems, and laser treatments.

"Transfer station" means a site or facility that accepts waste for temporary storage or consolidation and further transfer to a waste disposal, treatment or storage facility. "Transfer station" includes a site where waste is transferred from:

POLLUTION CONTROL BOARD

NOTICE OF PROPOSED AMENDMENT

a rail carrier to a motor vehicle or water carrier;

a water carrier to a rail carrier or motor vehicle;

a motor vehicle to a rail carrier, water carrier or motor vehicle;

a rail carrier to a rail carrier, if the waste is removed from a rail car; or

a water carrier to a water carrier, if the waste is removed from a vessel.
(Section 3.500 of the Act)

"Treatment" means any method, technique or process, including neutralization, designed to change the physical, chemical, or biological character or composition of any waste so as to neutralize it or render it nonhazardous, safer for transport, amenable for recovery, amenable for storage, or reduced in volume. Such term includes any activity or processing designed to change the physical form or chemical composition of hazardous waste so as to render it nonhazardous.
(Section 3.505 of the Act)

"Unrecognizable" means relating to a sharp that has undergone physical alteration (e.g., melting, charring, corroding, or grinding) so that the sharp may no longer be used for its intended purpose.

"Vector" means any living agent, other than human, capable of transmitting, directly or indirectly, an infectious disease.

"Vehicle" means any device used to transport special waste in bulk or in packages, tanks or other containers.

(Source: Amended at 44 Ill. Reg. _____, effective _____)

DEPARTMENT OF PUBLIC HEALTH

NOTICE OF PROPOSED AMENDMENTS

- 1) Heading of the Part: Child and Student Health Examination and Immunization Code
- 2) Code Citation: 77 Ill. Adm. Code 665
- 3)

| | |
|--------------------------|---------------------------|
| <u>Section Numbers</u> : | <u>Proposed Actions</u> : |
| 665.410 | Amendment |
| 665.420 | Amendment |
- 4) Statutory Authority: Implementing and authorized by Section 27-8.1 of the School Code [105 ILCS 5/27-8.1], Section 6.2 of the Lead Poisoning Prevention Act [410 ILCS 45/6.2], Section 2 of the Communicable Disease Prevention Act [410 ILCS 315/2] and Section 7 of the Child Care Act of 1969 [225 ILCS 10/7].
- 5) A Complete Description of the Subjects and Issues Involved: This rulemaking implements PA 100-829 which requires that students entering ninth grade to have a dental examination.

The economic effect of this proposed rulemaking is unknown. Therefore, the Department requests any information that would assist in calculating this effect.
- 6) Published studies or reports, and sources of underlying data, used to compose this rulemaking: None
- 7) Will this rulemaking replace any emergency rule currently in effect? No
- 8) Does this rulemaking contain an automatic repeal date? No
- 9) Does this rulemaking contain incorporations by reference? No
- 10) Are there any other rulemakings pending on this Part? No
- 11) Statement of Statewide Policy Objective: This rulemaking does not create a state mandate.
- 12) Time, Place and Manner in which interested persons may comment on this proposed rulemaking: Interested persons may present their comments concerning this rulemaking within 45 days after this issue of the *Illinois Register* to:

Erin Conley

DEPARTMENT OF PUBLIC HEALTH

NOTICE OF PROPOSED AMENDMENTS

Rules Coordinator
Division of Legal Services
Illinois Department of Public Health
Division of Legal Services
535 W. Jefferson St., 5th Floor
Springfield IL 62761

217/782-2043
email: dph.rules@illinois.gov

- 13) Initial Regulatory Flexibility Analysis:
 - A) Types of small businesses, small municipalities and not-for-profit corporations affected: None
 - B) Reporting, bookkeeping or other procedures required for compliance: None
 - C) Types of professional skills necessary for compliance: None
- 14) Small Business Impact Analysis: This rulemaking does not have an impact on small businesses.
- 15) Regulatory Agenda on which this rulemaking was summarized: July 2019

The full text of the Proposed Amendments begins on the next page:

DEPARTMENT OF PUBLIC HEALTH

NOTICE OF PROPOSED AMENDMENTS

TITLE 77: PUBLIC HEALTH
CHAPTER I: DEPARTMENT OF PUBLIC HEALTH
SUBCHAPTER i: MATERNAL AND CHILD HEALTH

PART 665
CHILD AND STUDENT HEALTH EXAMINATION AND IMMUNIZATION CODE

SUBPART A: GENERAL PROVISIONS

| | |
|---------|-----------------------------------|
| Section | |
| 665.100 | Statutory Authority (Repealed) |
| 665.105 | Definitions |
| 665.110 | General Considerations (Repealed) |
| 665.115 | Referenced Materials |

SUBPART B: HEALTH EXAMINATION

| | |
|---------|---|
| Section | |
| 665.120 | Health Examination Requirements |
| 665.130 | Performance of Health Examination and Verification of Certificate of Child Health Examination |
| 665.140 | Timetable for Examinations |
| 665.150 | Report Forms |
| 665.160 | Proof of Examination |
| 665.210 | Proof of Immunizations |
| 665.220 | Local School Authority (Repealed) |
| 665.230 | School Entrance |
| 665.240 | Basic Immunization |
| 665.250 | Proof of Immunity |
| 665.260 | Booster Immunizations |
| 665.270 | Compliance with the School Code |
| 665.280 | Health Care Provider Statement of Immunity |
| 665.290 | List of Non-immunized Child Care Facility Attendees or Students |

SUBPART C: VISION AND HEARING SCREENING

| | |
|---------|------------------------------|
| Section | |
| 665.310 | Vision and Hearing Screening |

DEPARTMENT OF PUBLIC HEALTH

NOTICE OF PROPOSED AMENDMENTS

SUBPART D: DENTAL EXAMINATION

Section

| | |
|---------|--|
| 665.410 | Dental Examination Requirement |
| 665.420 | Dental Examination Timetable |
| 665.430 | Dental Examination |
| 665.440 | Guidelines (Repealed) |
| 665.450 | Waiver of Dental Examination Requirement |

SUBPART E: EXCEPTIONS

Section

| | |
|---------|---------------------|
| 665.510 | Religious Objection |
| 665.520 | Medical Exemption |

SUBPART F: EYE EXAMINATION

Section

| | |
|---------|---------------------------------------|
| 665.610 | Eye Examination Requirement |
| 665.620 | Vision Examination (Repealed) |
| 665.630 | Eye Examination Report |
| 665.640 | Indigent Students (Repealed) |
| 665.650 | Waiver of Eye Examination Requirement |

SUBPART G: DIABETES SCREENING

Section

| | |
|---------|--------------------------------|
| 665.700 | Diabetes Screening Requirement |
| 665.710 | Diabetes Screening |
| 665.720 | Testing Recommendations |

| | |
|----------------|--|
| 665.APPENDIX A | Illinois Department of Public Health Eye Examination Report |
| 665.APPENDIX B | Vaccination Schedule for Haemophilus influenzae type b Conjugate Vaccines (Hib) (Repealed) |
| 665.APPENDIX C | Illinois Department of Public Health Eye Examination Waiver Form |
| 665.APPENDIX D | Illinois Department of Public Health Dental Examination Form |
| 665.APPENDIX E | Illinois Department of Public Health Dental Examination Waiver Form |
| 665.APPENDIX F | Vaccination Schedule for Pneumococcal Conjugate Vaccines (PCV13) (Repealed) |

DEPARTMENT OF PUBLIC HEALTH

NOTICE OF PROPOSED AMENDMENTS

AUTHORITY: Implementing and authorized by Section 27-8.1 of the School Code [105 ILCS 5], Section 6.2 of the Lead Poisoning Prevention Act [410 ILCS 45], Section 2 of the Communicable Disease Prevention Act [410 ILCS 315] and Section 7 of the Child Care Act of 1969 [225 ILCS 10].

SOURCE: Emergency rule adopted at 4 Ill. Reg. 38, p. 275, effective September 10, 1980, for a maximum of 150 days; emergency rule adopted at 4 Ill. Reg. 41, p. 176, effective October 1, 1980, for a maximum of 150 days; adopted at 5 Ill. Reg. 1403, effective January 29, 1981; codified at 8 Ill. Reg. 8921; amended at 11 Ill. Reg. 11791, effective June 29, 1987; amended at 13 Ill. Reg. 11565, effective July 1, 1989; amended at 13 Ill. Reg. 17047, effective November 1, 1989; emergency amendment at 14 Ill. Reg. 5617, effective March 30, 1990, for a maximum of 150 days; amended at 14 Ill. Reg. 14543, effective August 27, 1990; amended at 15 Ill. Reg. 7706, effective May 1, 1991; amended at 18 Ill. Reg. 4296, effective March 5, 1994; amended at 20 Ill. Reg. 11950, effective August 15, 1996; emergency amendment at 21 Ill. Reg. 11966, effective August 15, 1997, for a maximum of 150 days; emergency expired on January 1, 1998; amended at 26 Ill. Reg. 5921, effective July 1, 2002; amended at 26 Ill. Reg. 10689, effective July 1, 2002; amended at 29 Ill. Reg. 18127, effective October 24, 2005; emergency amendment at 32 Ill. Reg. 8778, effective May 30, 2008, for a maximum of 150 days; emergency expired October 26, 2008; emergency amendment at 32 Ill. Reg. 9055, effective June 6, 2008, for a maximum of 150 days; emergency expired November 2, 2008; amended at 33 Ill. Reg. 7011, effective May 11, 2009; amended at 33 Ill. Reg. 8459, effective June 8, 2009; amended at 35 Ill. Reg. 16723, effective September 27, 2011; amended at 37 Ill. Reg. 13912, effective August 16, 2013; amended at 38 Ill. Reg. 18766, effective August 26, 2014; amended at 41 Ill. Reg. 2973, effective February 27, 2017; amended at 44 Ill. Reg. _____, effective _____.

SUBPART D: DENTAL EXAMINATION

Section 665.410 Dental Examination Requirement

Except as otherwise provided in this Subpart, all children in kindergarten and the second, ~~and~~ sixth, and ninth grades of any public, private, or parochial school shall have a dental examination in accordance with the timetable set forth in Section 665.420. The examination shall be performed by a licensed dentist. Each public, private, and parochial school must give notice of this dental examination requirement to the parents and guardians of students at least 60 days before May 15 of each school year. (Section 27-8.1(1.5) of the School Code)

(Source: Amended at 44 Ill. Reg. _____, effective _____)

DEPARTMENT OF PUBLIC HEALTH

NOTICE OF PROPOSED AMENDMENTS

Section 665.420 Dental Examination Timetable

- a) *Before May 15 of the school year, each child in kindergarten and the second, ~~and~~ sixth, and ninth grades shall present to the school proof of having been examined by a dentist in accordance with Section 27-8.1(1.5) of the School Code and the requirements of this Part. (Section 27-8.1(1.5) of the School Code) The examination must have taken place within 18 months prior to May 15 of the school year.*
- b) For the purposes of subsection (a), "proof of having been examined by a dentist" means submission of a Department-prescribed dental examination form, signed and dated by a licensed dentist.
- c) *If a child in the second, ~~or~~ sixth, or ninth grade fails to present proof of having been examined by a dentist by May 15, the school may hold the child's report card until one of the following occurs:*
- 1) *the child presents proof of a completed dental examination. (Section 27-8.1(1.5) of the School Code) Submission of a completed examination form, in accordance with subsection (b), constitutes proof of a completed dental examination;*
 - 2) *the child presents proof that a dental examination will take place within 60 days after May 15. (Section 27-8.1(1.5) of the School Code) A written statement or appointment card, prepared by a dentist, dental hygienist, or his or her designee and signed by the child's parent or guardian, indicating the name of the child and the date and time of the scheduled dental examination, constitutes proof that a dental examination will take place. The child must present proof of a completed dental examination at the beginning of the following school year; or*
 - 3) the child presents a dental examination waiver form, in accordance with Section 665.450 of this Part.

(Source: Amended at 44 Ill. Reg. _____, effective _____)

DEPARTMENT OF REVENUE

NOTICE OF PROPOSED AMENDMENT

- 1) Heading of the Part: Income Tax
- 2) Code Citation: 86 Ill. Adm. Code 100
- 3) Section Number: 100.2430 Proposed Action: Amendment
- 4) Statutory Authority: 35 ILCS 5/203(a)(2)(D-17), (D-18) and (D-19), (b)(2)(E-12), (E-13) and (E-14), (c)(2)(G-12), (G-13) and (G-14), and (d)(2)(D-7), (D-8) and (D-9)
- 5) A Complete Description of the Subjects and Issues Involved: This rulemaking amends Section 100.2430 of the Illinois Income Tax regulations to reflect the repeal by the PA 100-22 of the prohibition on persons who are required to use different apportionment methods from being members of the same unitary business group. After the December 31, 2017, effective date of this repeal, the provisions in the IITA dealing with payments of interest and intangible expenses between taxpayers who would be members of the same unitary business group if not for this prohibition will no longer apply. This rulemaking also amends Section 100.2430 to take into account the limitations on the deduction of business interest expense under Internal Revenue Code Section 163(j) in taxable years beginning after December 31, 2017.
- 6) Published studies or reports, and sources of underlying data, used to compose this rulemaking: None
- 7) Will this rulemaking replace an emergency rule currently in effect? No
- 8) Does this rulemaking contain an automatic repeal date? No
- 9) Does this rulemaking contain incorporations by reference? No
- 10) Are there any other rulemakings pending on this Part? Yes

| <u>Section Numbers:</u> | <u>Proposed Actions:</u> | <u>Illinois Register Citations:</u> |
|-------------------------|--------------------------|--|
| 100.4100 | New Section | 43 Ill. Reg. 10387; September 20, 2019 |
| 100.2055 | Amendment | 43 Ill. Reg. 10682; September 27, 2019 |
| 100.2160 | Amendment | 43 Ill. Reg. 10682; September 27, 2019 |
| 100.2165 | Amendment | 43 Ill. Reg. 10682; September 27, 2019 |
| 100.2171 | Amendment | 43 Ill. Reg. 10682; September 27, 2019 |
| 100.2180 | Amendment | 43 Ill. Reg. 10682, September 27, 2019 |

DEPARTMENT OF REVENUE

NOTICE OF PROPOSED AMENDMENT

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| 100.2181 | New Section | 43 Ill. Reg. 10682; September 27, 2019 |
| 100.2185 | Amendment | 43 Ill. Reg. 10682; September 27, 2019 |
| 100.2199 | Amendment | 43 Ill. Reg. 10682; September 27, 2019 |
| 100.2470 | Amendment | 43 Ill. Reg. 11073; October 11, 2019 |
| 100.2197 | Amendment | 44 Ill. Reg. 97; January 3, 2020 |
| 100.2590 | Amendment | 44 Ill. Reg. 97; January 3, 2020 |
| 100.3100 | Amendment | 44 Ill. Reg. 97; January 3, 2020 |
| 100.3120 | Amendment | 44 Ill. Reg. 97; January 3, 2020 |
| 100.7010 | Amendment | 44 Ill. Reg. 97; January 3, 2020 |

- 11) Statement of Statewide Policy Objective: This rulemaking does not create a State mandate, nor does it modify any existing State mandates.
- 12) Time, Place, and Manner in which interested persons may comment on this proposed rulemaking: Persons who wish to submit comments on this proposed rulemaking may submit them in writing by no later than 45 days after publication of this Notice to:

Brian Fliflet
Acting General Counsel
Illinois Department of Revenue
Legal Services Office
101 West Jefferson St. MC 5-500
Springfield IL 62794

217/782-2844

- 13) Initial Regulatory Flexibility Analysis:
- A) Types of small businesses, small municipalities and not-for-profit corporations affected: This rulemaking provides guidance for small businesses on the changes to the add-back for deductions for interest and intangible expenses resulting from recent changes to the IITA and the Internal Revenue Code.
- B) Reporting, bookkeeping or other procedures required for compliance: None
- C) Types of professional skills necessary for compliance: None
- 14) Small Business Impact Analysis: None

DEPARTMENT OF REVENUE

NOTICE OF PROPOSED AMENDMENT

15) Regulatory Agenda on which this rulemaking was summarized: July 2019

The full text of the Proposed Amendment begins on the next page:

DEPARTMENT OF REVENUE

NOTICE OF PROPOSED AMENDMENT

TITLE 86: REVENUE
CHAPTER I: DEPARTMENT OF REVENUEPART 100
INCOME TAX

SUBPART A: TAX IMPOSED

Section

| | |
|----------|---|
| 100.2000 | Introduction |
| 100.2050 | Net Income (IITA Section 202) |
| 100.2055 | Standard Exemption (IITA Section 204) |
| 100.2060 | Compassionate Use of Medical Cannabis Pilot Program Act Surcharge (IITA Section 201(o)) |

SUBPART B: CREDITS

Section

| | |
|----------|---|
| 100.2100 | Replacement Tax Investment Credit Prior to January 1, 1994 (IITA Section 201(e)) |
| 100.2101 | Replacement Tax Investment Credit (IITA 201(e)) |
| 100.2110 | Investment Credit; Enterprise Zone and River Edge Redevelopment Zone (IITA Section 201(f)) |
| 100.2120 | Jobs Tax Credit; Enterprise Zone and Foreign Trade Zone or Sub-Zone and River Edge Redevelopment Zone (IITA Section 201(g)) |
| 100.2130 | Investment Credit; High Impact Business (IITA 201(h)) |
| 100.2140 | Credit Against Income Tax for Replacement Tax (IITA 201(i)) |
| 100.2150 | Training Expense Credit (IITA 201(j)) |
| 100.2160 | Research and Development Credit (IITA Section 201(k)) |
| 100.2163 | Environmental Remediation Credit (IITA 201(l)) |
| 100.2165 | Education Expense Credit (IITA 201(m)) |
| 100.2170 | Tax Credits for Coal Research and Coal Utilization Equipment (IITA 206) |
| 100.2171 | Angel Investment Credit (IITA 220) |
| 100.2175 | Invest in Kids Credit (IITA 224) |
| 100.2180 | Credit for Residential Real Property Taxes (IITA 208) |
| 100.2185 | Film Production Services Credit (IITA Section 213) |
| 100.2190 | Tax Credit for Affordable Housing Donations (IITA Section 214) |
| 100.2193 | Student-Assistance Contributions Credit (IITA 218) |
| 100.2195 | Dependent Care Assistance Program Tax Credit (IITA 210) |

DEPARTMENT OF REVENUE

NOTICE OF PROPOSED AMENDMENT

- 100.2196 Employee Child Care Assistance Program Tax Credit (IITA Section 210.5)
- 100.2197 Foreign Tax Credit (IITA Section 601(b)(3))
- 100.2198 Economic Development for a Growing Economy Credit (IITA 211)
- 100.2199 Illinois Earned Income Tax Credit (IITA Section 212)

SUBPART C: NET OPERATING LOSSES OF UNITARY BUSINESS GROUPS
OCCURRING PRIOR TO DECEMBER 31, 1986

Section

- 100.2200 Net Operating Losses Occurring Prior to December 31, 1986, of Unitary Business Groups: Treatment by Members of the Unitary Business Group. (IITA Section 202) – Scope
- 100.2210 Net Operating Losses Occurring Prior to December 31, 1986, of Unitary Business Groups: Treatment by Members of the Unitary Business Group (IITA Section 202) – Definitions
- 100.2220 Net Operating Losses Occurring Prior to December 31, 1986, of Unitary Business Groups: Treatment by Members of the Unitary Business Group. (IITA Section 202) – Current Net Operating Losses: Offsets Between Members
- 100.2230 Net Operating Losses Occurring Prior to December 31, 1986, of Unitary Business Groups: Treatment by Members of the Unitary Business Group. (IITA Section 202) – Carrybacks and Carryforwards
- 100.2240 Net Operating Losses Occurring Prior to December 31, 1986, of Unitary Business Groups: Treatment by Members of the Unitary Business Group: (IITA Section 202) – Effect of Combined Net Operating Loss in Computing Illinois Base Income
- 100.2250 Net Operating Losses Occurring Prior to December 31, 1986, of Unitary Business Groups: Treatment by Members of the Unitary Business Group: (IITA Section 202) – Deadline for Filing Claims Based on Net Operating Losses Carried Back From a Combined Apportionment Year

SUBPART D: ILLINOIS NET LOSS DEDUCTIONS FOR LOSSES
OCCURRING ON OR AFTER DECEMBER 31, 1986

Section

- 100.2300 Illinois Net Loss Deduction for Losses Occurring On or After December 31, 1986 (IITA 207)
- 100.2310 Computation of the Illinois Net Loss Deduction for Losses Occurring On or After December 31, 1986 (IITA 207)
- 100.2320 Determination of the Amount of Illinois Net Loss for Losses Occurring On or

DEPARTMENT OF REVENUE

NOTICE OF PROPOSED AMENDMENT

- After December 31, 1986
- 100.2330 Illinois Net Loss Carrybacks and Net Loss Carryovers for Losses Occurring On or After December 31, 1986 (IITA Section 207)
- 100.2340 Illinois Net Losses and Illinois Net Loss Deductions for Losses Occurring On or After December 31, 1986, of Corporations that are Members of a Unitary Business Group: Separate Unitary Versus Combined Unitary Returns
- 100.2350 Illinois Net Losses and Illinois Net Loss Deductions, for Losses Occurring On or After December 31, 1986, of Corporations that are Members of a Unitary Business Group: Changes in Membership
- 100.2360 Illinois Net Losses and Illinois Net Loss Deductions for Losses of Cooperatives Occurring On or After December 31, 1986 (IITA Section 203(e)(2)(F))

SUBPART E: ADDITIONS TO AND SUBTRACTIONS FROM TAXABLE INCOME OF INDIVIDUALS, CORPORATIONS, TRUSTS AND ESTATES AND PARTNERSHIPS

Section

- 100.2405 Gross Income, Adjusted Gross Income, Taxable Income and Base Income Defined; Double Deductions Prohibited; Legislative Intention (IITA Section 203(e), (g) and (h))
- 100.2410 Net Operating Loss Carryovers for Individuals, and Capital Loss and Other Carryovers for All Taxpayers (IITA Section 203)
- 100.2430 Addition and Subtraction Modifications for Transactions with ~~80/2080-20~~ and Noncombination Rule Companies
- 100.2435 Addition Modification for Student-Assistance Contribution Credit (IITA Sections 203(a)(2)(D-23), (b)(2)(E-16), (c)(2)(G-15), (d)(2)(D-10))
- 100.2450 IIT Refunds (IITA Section 203(a)(2)(H), (b)(2)(F), (c)(2)(J) and (d)(2)(F))
- 100.2455 Subtraction Modification: Federally Disallowed Deductions (IITA Sections 203(a)(2)(M), 203(b)(2)(I), 203(c)(2)(L) and 203(d)(2)(J))
- 100.2465 Claim of Right Repayments (IITA Section 203(a)(2)(P), (b)(2)(Q), (c)(2)(P) and (d)(2)(M))
- 100.2470 Subtraction of Amounts Exempt from Taxation by Virtue of Illinois Law, the Illinois or U.S. Constitutions, or by Reason of U.S. Treaties or Statutes (IITA Sections 203(a)(2)(N), 203(b)(2)(J), 203(c)(2)(K) and 203(d)(2)(G))
- 100.2480 Enterprise Zone and River Edge Redevelopment Zone Dividend Subtraction (IITA Sections 203(a)(2)(J), 203(b)(2)(K), 203(c)(2)(M) and 203(d)(2)(K))
- 100.2490 Foreign Trade Zone/High Impact Business Dividend Subtraction (IITA Sections 203(a)(2)(K), 203(b)(2)(L), 203(c)(2)(O), 203(d)(2)(M))

SUBPART F: BASE INCOME OF INDIVIDUALS

DEPARTMENT OF REVENUE

NOTICE OF PROPOSED AMENDMENT

| | |
|----------|--|
| Section | |
| 100.2510 | Subtraction for Contributions to Illinois Qualified Tuition Programs (Section 529 Plans) (IITA Section 203(a)(2)(Y)) |
| 100.2565 | Subtraction for Recovery of Itemized Deductions (IITA Section 203(a)(2)(I)) |
| 100.2580 | Medical Care Savings Accounts (IITA Sections 203(a)(2)(D-5), 203(a)(2)(S) and 203(a)(2)(T)) |
| 100.2590 | Taxation of Certain Employees of Railroads, Motor Carriers, Air Carriers and Water Carriers |

SUBPART G: BASE INCOME OF CORPORATIONS

| | |
|----------|---|
| Section | |
| 100.2655 | Subtraction Modification for Enterprise Zone and River Edge Redevelopment Zone Interest (IITA Section 203(b)(2)(M)) |
| 100.2657 | Subtraction Modification for High Impact Business Interest (IITA Section 203(b)(2)(M-1)) |
| 100.2665 | Subtraction for Payments to an Attorney-in-Fact (IITA Section 203(b)(2)(R)) |
| 100.2668 | Subtraction for Dividends from Controlled Foreign Corporations (IITA Section 203(b)(2)(Z)) |

SUBPART H: BASE INCOME OF TRUSTS AND ESTATES

| | |
|----------|--|
| Section | |
| 100.2770 | Subtraction for Recovery of Itemized Deductions of a Decedent (IITA Section 203(c)(2)(W)) |
| 100.2775 | Subtraction for Refunds of Taxes Paid to Other States for Which a Credit Was Claimed (IITA Section 203(c)(2)(X)) |

SUBPART I: BASE INCOME OF PARTNERSHIPS

| | |
|----------|---|
| Section | |
| 100.2850 | Subtraction Modification for Personal Service Income or Reasonable Allowance for Compensation to Partners (IITA Section 203(d)(2)(H)) |

SUBPART J: GENERAL RULES OF ALLOCATION AND APPORTIONMENT OF BASE INCOME

| | |
|----------|--|
| Section | |
| 100.3000 | Terms Used in Article 3 (IITA Section 301) |

DEPARTMENT OF REVENUE

NOTICE OF PROPOSED AMENDMENT

- 100.3010 Business and Nonbusiness Income (IITA Section 301)
- 100.3015 Business Income Election (IITA Section 1501)
- 100.3020 Resident (IITA Section 301)

SUBPART K: COMPENSATION

Section

- 100.3100 Compensation (IITA Section 302)
- 100.3110 State (IITA Section 302)
- 100.3120 Allocation of Compensation Paid to Nonresidents (IITA Section 302)

SUBPART L: NON-BUSINESS INCOME OF PERSONS OTHER THAN RESIDENTS

Section

- 100.3200 Taxability in Other State (IITA Section 303)
- 100.3210 Commercial Domicile (IITA Section 303)
- 100.3220 Allocation of Certain Items of Nonbusiness Income by Persons Other Than Residents (IITA Section 303)

SUBPART M: BUSINESS INCOME OF PERSONS OTHER THAN RESIDENTS

Section

- 100.3300 Allocation and Apportionment of Base Income (IITA Section 304)
- 100.3310 Business Income of Persons Other Than Residents (IITA Section 304) – In General
- 100.3320 Business Income of Persons Other Than Residents (IITA Section 304) – Apportionment (Repealed)
- 100.3330 Business Income of Persons Other Than Residents (IITA Section 304) – Allocation
- 100.3340 Business Income of Persons Other Than Residents (IITA Section 304)
- 100.3350 Property Factor (IITA Section 304)
- 100.3360 Payroll Factor (IITA Section 304)
- 100.3370 Sales Factor (IITA Section 304)
- 100.3371 Sales Factor for Telecommunications Services
- 100.3373 Sales Factor for Publishing
- 100.3380 Special Rules (IITA Section 304)
- 100.3390 Petitions for Alternative Allocation or Apportionment (IITA Section 304(f))
- 100.3400 Apportionment of Business Income of Financial Organizations for Taxable Years Ending Prior to December 31, 2008 (IITA Section 304(c))

DEPARTMENT OF REVENUE

NOTICE OF PROPOSED AMENDMENT

- 100.3405 Apportionment of Business Income of Financial Organizations for Taxable Years Ending on or after December 31, 2008 (IITA Section 304(c))
- 100.3420 Apportionment of Business Income of Insurance Companies (IITA Section 304(b))
- 100.3450 Apportionment of Business Income of Transportation Companies (IITA Section 304(d))
- 100.3500 Allocation and Apportionment of Base Income by Nonresident Partners
- 100.3600 Combined Apportionment for Taxpayers Using Different Apportionment Formulas (IITA Section 1501(a)(27))

SUBPART N: ACCOUNTING

Section

- 100.4500 Carryovers of Tax Attributes (IITA Section 405)

SUBPART O: TIME AND PLACE FOR FILING RETURNS

Section

- 100.5000 Time for Filing Returns (IITA Section 505)
- 100.5010 Place for Filing Returns: All Taxpayers (IITA Section 505)
- 100.5020 Extensions of Time for Filing Returns: All Taxpayers (IITA Section 505)
- 100.5030 Taxpayer's Notification to the Department of Certain Federal Changes Arising in Federal Consolidated Return Years, and Arising in Certain Loss Carryback Years (IITA Section 506)
- 100.5040 Innocent Spouses
- 100.5050 Frivolous Returns
- 100.5060 Reportable Transactions (IITA Section 501(b))
- 100.5070 List of Investors in Potentially Abusive Tax Shelters and Reportable Transactions
- 100.5080 Registration of Tax Shelters (IITA Section 1405.5)

SUBPART P: COMPOSITE RETURNS

Section

- 100.5100 Composite Returns: Eligibility (IITA Section 502(f))
- 100.5110 Composite Returns: Responsibilities of Authorized Agent
- 100.5120 Composite Returns: Individual Liability
- 100.5130 Composite Returns: Required forms and computation of Income (IITA Section 502(f))
- 100.5140 Composite Returns: Estimated Payments

DEPARTMENT OF REVENUE

NOTICE OF PROPOSED AMENDMENT

| | |
|----------|--|
| 100.5150 | Composite Returns: Tax, Penalties and Interest |
| 100.5160 | Composite Returns: Credits on Separate Returns |
| 100.5170 | Composite Returns: Definition of a "Lloyd's Plan of Operation" |
| 100.5180 | Composite Returns: Overpayments and Underpayments |

SUBPART Q: COMBINED RETURNS

Section

| | |
|----------|---|
| 100.5200 | Filing of Combined Returns |
| 100.5201 | Definitions and Miscellaneous Provisions Relating to Combined Returns |
| 100.5205 | Election to File a Combined Return |
| 100.5210 | Procedures for Elective and Mandatory Filing of Combined Returns |
| 100.5215 | Filing of Separate Unitary Returns (IITA Section 304(e)) |
| 100.5220 | Designated Agent for the Members (IITA Section 304(e)) |
| 100.5230 | Combined Estimated Tax Payments |
| 100.5240 | Claims for Credit of Overpayments |
| 100.5250 | Liability for Combined Tax, Penalty and Interest |
| 100.5260 | Combined Amended Returns |
| 100.5265 | Common Taxable Year |
| 100.5270 | Computation of Combined Net Income and Tax (IITA Section 304(e)) |
| 100.5280 | Combined Return Issues Related to Audits |

SUBPART R: PAYMENTS

Section

| | |
|----------|--|
| 100.6000 | Payment on Due Date of Return (IITA Section 601) |
|----------|--|

SUBPART S: REQUIREMENT AND AMOUNT OF WITHHOLDING

Section

| | |
|----------|---|
| 100.7000 | Requirement of Withholding (IITA Section 701) |
| 100.7010 | Compensation Paid in this State (IITA Section 701) |
| 100.7020 | Transacting Business Within this State (IITA Section 701) |
| 100.7030 | Payments to Residents (IITA Section 701) |
| 100.7035 | Nonresident Partners, Subchapter S Corporation Shareholders, and Trust Beneficiaries (IITA Section 709.5) |
| 100.7040 | Employer Registration (IITA Section 701) |
| 100.7050 | Computation of Amount Withheld (IITA Section 702) |
| 100.7060 | Additional Withholding (IITA Section 701) |

DEPARTMENT OF REVENUE

NOTICE OF PROPOSED AMENDMENT

| | |
|----------|--|
| 100.7070 | Voluntary Withholding (IITA Section 701) |
| 100.7080 | Correction of Underwithholding or Overwithholding (IITA Section 701) |
| 100.7090 | Reciprocal Agreement (IITA Section 701) |
| 100.7095 | Cross References |

SUBPART T: AMOUNT EXEMPT FROM WITHHOLDING

Section

| | |
|----------|---|
| 100.7100 | Withholding Exemption (IITA Section 702) |
| 100.7110 | Withholding Exemption Certificate (IITA Section 702) |
| 100.7120 | Exempt Withholding Under Reciprocal Agreements (IITA Section 702) |

SUBPART U: INFORMATION STATEMENT

Section

| | |
|----------|---|
| 100.7200 | Reports for Employee (IITA Section 703) |
|----------|---|

SUBPART V: EMPLOYER'S RETURN AND PAYMENT OF TAX WITHHELD

Section

| | |
|----------|---|
| 100.7300 | Returns and Payments of Income Tax Withheld from Wages (IITA Sections 704 and 704A) |
| 100.7310 | Returns Filed and Payments Made on Annual Basis (IITA Sections 704 and 704A) |
| 100.7320 | Time for Filing Returns and Making Payments for Taxes Required to Be Withheld Prior to January 1, 2008 (IITA Section 704) |
| 100.7325 | Time for Filing Returns and Making Payments for Taxes Required to Be Withheld On or After January 1, 2008 (IITA Section 704A) |
| 100.7330 | Payment of Tax Required to be Shown Due on a Return (IITA Sections 704 and 704A) |
| 100.7340 | Correction of Underwithholding or Overwithholding (IITA Section 704) |
| 100.7350 | Domestic Service Employment (IITA Sections 704 and 704A) |
| 100.7360 | Definitions and Special Provisions Relating to Reporting and Payment of Income Tax Withheld (IITA Sections 704 and 704A) |
| 100.7370 | Penalty and Interest Provisions Relating to Reporting and Payment of Income Tax Withheld (IITA Sections 704 and 704A) |
| 100.7380 | Economic Development for a Growing Economy (EDGE) and Small Business Job Creation Credit (IITA Section 704A(g) and (h)) |

DEPARTMENT OF REVENUE

NOTICE OF PROPOSED AMENDMENT

SUBPART W: ESTIMATED TAX PAYMENTS

Section

- 100.8000 Payment of Estimated Tax (IITA Section 803)
- 100.8010 Failure to Pay Estimated Tax (IITA Sections 804 and 806)

SUBPART X: COLLECTION AUTHORITY

Section

- 100.9000 General Income Tax Procedures (IITA Section 901)
- 100.9010 Collection Authority (IITA Section 901)
- 100.9020 Child Support Collection (IITA Section 901)

SUBPART Y: NOTICE AND DEMAND

Section

- 100.9100 Notice and Demand (IITA Section 902)

SUBPART Z: ASSESSMENT

Section

- 100.9200 Assessment (IITA Section 903)
- 100.9210 Waiver of Restrictions on Assessment (IITA Section 907)

SUBPART AA: DEFICIENCIES AND OVERPAYMENTS

Section

- 100.9300 Deficiencies and Overpayments (IITA Section 904)
- 100.9310 Application of Tax Payments Within Unitary Business Groups (IITA Section 603)
- 100.9320 Limitations on Notices of Deficiency (IITA Section 905)
- 100.9330 Further Notices of Deficiency Restricted (IITA Section 906)

SUBPART BB: CREDITS AND REFUNDS

Section

- 100.9400 Credits and Refunds (IITA Section 909)
- 100.9410 Limitations on Claims for Refund (IITA Section 911)
- 100.9420 Recovery of Erroneous Refund (IITA Section 912)

SUBPART CC: INVESTIGATIONS AND HEARINGS

DEPARTMENT OF REVENUE

NOTICE OF PROPOSED AMENDMENT

Section

| | |
|----------|--|
| 100.9500 | Access to Books and Records (IITA Section 913) |
| 100.9505 | Access to Books and Records – 60-Day Letters (IITA Section 913) (Repealed) |
| 100.9510 | Taxpayer Representation and Practice Requirements |
| 100.9520 | Conduct of Investigations and Hearings (IITA Section 914) |
| 100.9530 | Books and Records |

SUBPART DD: JUDICIAL REVIEW

Section

| | |
|----------|---|
| 100.9600 | Administrative Review Law (IITA Section 1201) |
|----------|---|

SUBPART EE: DEFINITIONS

Section

| | |
|----------|--|
| 100.9700 | Unitary Business Group Defined (IITA Section 1501) |
| 100.9710 | Financial Organizations (IITA Section 1501) |
| 100.9715 | Transportation Companies (IITA Section 304(d)) |
| 100.9720 | Nexus |
| 100.9730 | Investment Partnerships (IITA Section 1501(a)(11.5)) |
| 100.9750 | Corporation, Subchapter S Corporation, Partnership and Trust Defined (IITA Section 1501) |

SUBPART FF: LETTER RULING PROCEDURES

Section

| | |
|----------|--------------------------|
| 100.9800 | Letter Ruling Procedures |
|----------|--------------------------|

SUBPART GG: MISCELLANEOUS

Section

| | |
|----------|---|
| 100.9900 | Tax Shelter Voluntary Compliance Program |
| 100.9910 | State Tax Preparer Oversight Act [35 ILCS 35] |

| | |
|----------------|--|
| 100.APPENDIX A | Business Income Of Persons Other Than Residents (Repealed) |
| 100.TABLE A | Example of Unitary Business Apportionment (Repealed) |
| 100.TABLE B | Example of Unitary Business Apportionment for Groups Which Include Members Using Three-Factor and Single-Factor Formulas |

DEPARTMENT OF REVENUE

NOTICE OF PROPOSED AMENDMENT

(Repealed)

AUTHORITY: Implementing the Illinois Income Tax Act [35 ILCS 5] and authorized by Section 1401 of the Illinois Income Tax Act [35 ILCS 5].

SOURCE: Filed July 14, 1971, effective July 24, 1971; amended at 2 Ill. Reg. 49, p. 84, effective November 29, 1978; amended at 5 Ill. Reg. 813, effective January 7, 1981; amended at 5 Ill. Reg. 4617, effective April 14, 1981; amended at 5 Ill. Reg. 4624, effective April 14, 1981; amended at 5 Ill. Reg. 5537, effective May 7, 1981; amended at 5 Ill. Reg. 5705, effective May 20, 1981; amended at 5 Ill. Reg. 5883, effective May 20, 1981; amended at 5 Ill. Reg. 6843, effective June 16, 1981; amended at 5 Ill. Reg. 13244, effective November 13, 1981; amended at 5 Ill. Reg. 13724, effective November 30, 1981; amended at 6 Ill. Reg. 579, effective December 29, 1981; amended at 6 Ill. Reg. 9701, effective July 26, 1982; amended at 7 Ill. Reg. 399, effective December 28, 1982; amended at 8 Ill. Reg. 6184, effective April 24, 1984; codified at 8 Ill. Reg. 19574; amended at 9 Ill. Reg. 16986, effective October 21, 1985; amended at 9 Ill. Reg. 685, effective December 31, 1985; amended at 10 Ill. Reg. 7913, effective April 28, 1986; amended at 10 Ill. Reg. 19512, effective November 3, 1986; amended at 10 Ill. Reg. 21941, effective December 15, 1986; amended at 11 Ill. Reg. 831, effective December 24, 1986; amended at 11 Ill. Reg. 2450, effective January 20, 1987; amended at 11 Ill. Reg. 12410, effective July 8, 1987; amended at 11 Ill. Reg. 17782, effective October 16, 1987; amended at 12 Ill. Reg. 4865, effective February 25, 1988; amended at 12 Ill. Reg. 6748, effective March 25, 1988; amended at 12 Ill. Reg. 11766, effective July 1, 1988; amended at 12 Ill. Reg. 14307, effective August 29, 1988; amended at 13 Ill. Reg. 8917, effective May 30, 1989; amended at 13 Ill. Reg. 10952, effective June 26, 1989; amended at 14 Ill. Reg. 4558, effective March 8, 1990; amended at 14 Ill. Reg. 6810, effective April 19, 1990; amended at 14 Ill. Reg. 10082, effective June 7, 1990; amended at 14 Ill. Reg. 16012, effective September 17, 1990; emergency amendment at 17 Ill. Reg. 473, effective December 22, 1992, for a maximum of 150 days; amended at 17 Ill. Reg. 8869, effective June 2, 1993; amended at 17 Ill. Reg. 13776, effective August 9, 1993; recodified at 17 Ill. Reg. 14189; amended at 17 Ill. Reg. 19632, effective November 1, 1993; amended at 17 Ill. Reg. 19966, effective November 9, 1993; amended at 18 Ill. Reg. 1510, effective January 13, 1994; amended at 18 Ill. Reg. 2494, effective January 28, 1994; amended at 18 Ill. Reg. 7768, effective May 4, 1994; amended at 19 Ill. Reg. 1839, effective February 6, 1995; amended at 19 Ill. Reg. 5824, effective March 31, 1995; emergency amendment at 20 Ill. Reg. 1616, effective January 9, 1996, for a maximum of 150 days; amended at 20 Ill. Reg. 6981, effective May 7, 1996; amended at 20 Ill. Reg. 10706, effective July 29, 1996; amended at 20 Ill. Reg. 13365, effective September 27, 1996; amended at 20 Ill. Reg. 14617, effective October 29, 1996; amended at 21 Ill. Reg. 958, effective January 6, 1997; emergency amendment at 21 Ill. Reg. 2969, effective February 24, 1997, for a maximum of 150 days; emergency expired July 24, 1997; amended at 22 Ill. Reg. 2234, effective January 9, 1998;

DEPARTMENT OF REVENUE

NOTICE OF PROPOSED AMENDMENT

amended at 22 Ill. Reg. 19033, effective October 1, 1998; amended at 22 Ill. Reg. 21623, effective December 15, 1998; amended at 23 Ill. Reg. 3808, effective March 11, 1999; amended at 24 Ill. Reg. 10593, effective July 7, 2000; amended at 24 Ill. Reg. 12068, effective July 26, 2000; emergency amendment at 24 Ill. Reg. 17585, effective November 17, 2000, for a maximum of 150 days; amended at 24 Ill. Reg. 18731, effective December 11, 2000; amended at 25 Ill. Reg. 4640, effective March 15, 2001; amended at 25 Ill. Reg. 4929, effective March 23, 2001; amended at 25 Ill. Reg. 5374, effective April 2, 2001; amended at 25 Ill. Reg. 6687, effective May 9, 2001; amended at 25 Ill. Reg. 7250, effective May 25, 2001; amended at 25 Ill. Reg. 8333, effective June 22, 2001; amended at 26 Ill. Reg. 192, effective December 20, 2001; amended at 26 Ill. Reg. 1274, effective January 15, 2002; amended at 26 Ill. Reg. 9854, effective June 20, 2002; amended at 26 Ill. Reg. 13237, effective August 23, 2002; amended at 26 Ill. Reg. 15304, effective October 9, 2002; amended at 26 Ill. Reg. 17250, effective November 18, 2002; amended at 27 Ill. Reg. 13536, effective July 28, 2003; amended at 27 Ill. Reg. 18225, effective November 17, 2003; emergency amendment at 27 Ill. Reg. 18464, effective November 20, 2003, for a maximum of 150 days; emergency expired April 17, 2004; amended at 28 Ill. Reg. 1378, effective January 12, 2004; amended at 28 Ill. Reg. 5694, effective March 17, 2004; amended at 28 Ill. Reg. 7125, effective April 29, 2004; amended at 28 Ill. Reg. 8881, effective June 11, 2004; emergency amendment at 28 Ill. Reg. 14271, effective October 18, 2004, for a maximum of 150 days; amended at 28 Ill. Reg. 14868, effective October 26, 2004; emergency amendment at 28 Ill. Reg. 15858, effective November 29, 2004, for a maximum of 150 days; amended at 29 Ill. Reg. 2420, effective January 28, 2005; amended at 29 Ill. Reg. 6986, effective April 26, 2005; amended at 29 Ill. Reg. 13211, effective August 15, 2005; amended at 29 Ill. Reg. 20516, effective December 2, 2005; amended at 30 Ill. Reg. 6389, effective March 30, 2006; amended at 30 Ill. Reg. 10473, effective May 23, 2006; amended by 30 Ill. Reg. 13890, effective August 1, 2006; amended at 30 Ill. Reg. 18739, effective November 20, 2006; amended at 31 Ill. Reg. 16240, effective November 26, 2007; amended at 32 Ill. Reg. 872, effective January 7, 2008; amended at 32 Ill. Reg. 1407, effective January 17, 2008; amended at 32 Ill. Reg. 3400, effective February 25, 2008; amended at 32 Ill. Reg. 6055, effective March 25, 2008; amended at 32 Ill. Reg. 10170, effective June 30, 2008; amended at 32 Ill. Reg. 13223, effective July 24, 2008; amended at 32 Ill. Reg. 17492, effective October 24, 2008; amended at 33 Ill. Reg. 1195, effective December 31, 2008; amended at 33 Ill. Reg. 2306, effective January 23, 2009; amended at 33 Ill. Reg. 14168, effective September 28, 2009; amended at 33 Ill. Reg. 15044, effective October 26, 2009; amended at 34 Ill. Reg. 550, effective December 22, 2009; amended at 34 Ill. Reg. 3886, effective March 12, 2010; amended at 34 Ill. Reg. 12891, effective August 19, 2010; amended at 35 Ill. Reg. 4223, effective February 25, 2011; amended at 35 Ill. Reg. 15092, effective August 24, 2011; amended at 36 Ill. Reg. 2363, effective January 25, 2012; amended at 36 Ill. Reg. 9247, effective June 5, 2012; amended at 37 Ill. Reg. 5823, effective April 19, 2013; amended at 37 Ill. Reg. 20751, effective December 13, 2013; recodified at 38 Ill. Reg. 4527; amended at 38 Ill. Reg. 9550, effective April 21, 2014; amended at 38 Ill. Reg. 13941, effective

DEPARTMENT OF REVENUE

NOTICE OF PROPOSED AMENDMENT

June 19, 2014; amended at 38 Ill. Reg. 15994, effective July 9, 2014; amended at 38 Ill. Reg. 17043, effective July 23, 2014; amended at 38 Ill. Reg. 18568, effective August 20, 2014; amended at 38 Ill. Reg. 23158, effective November 21, 2014; emergency amendment at 39 Ill. Reg. 483, effective December 23, 2014, for a maximum of 150 days; amended at 39 Ill. Reg. 1768, effective January 7, 2015; amended at 39 Ill. Reg. 5057, effective March 17, 2015; amended at 39 Ill. Reg. 6884, effective April 29, 2015; amended at 39 Ill. Reg. 15594, effective November 18, 2015; amended at 40 Ill. Reg. 1848, effective January 5, 2016; amended at 40 Ill. Reg. 10925, effective July 29, 2016; amended at 40 Ill. Reg. 13432, effective September 7, 2016; amended at 40 Ill. Reg. 14762, effective October 12, 2016; amended at 40 Ill. Reg. 15575, effective November 2, 2016; amended at 41 Ill. Reg. 4193, effective March 27, 2017; amended at 41 Ill. Reg. 6379, effective May 22, 2017; amended at 41 Ill. Reg. 10662, effective August 3, 2017; amended at 41 Ill. Reg. 12608, effective September 21, 2017; amended at 41 Ill. Reg. 14217, effective November 7, 2017; emergency amendment at 41 Ill. Reg. 15097, effective November 30, 2017, for a maximum of 150 days; amended at 42 Ill. Reg. 4953, effective February 28, 2018; amended at 42 Ill. Reg. 6451, effective March 21, 2018; recodified Subpart H to Subpart G at 42 Ill. Reg. 7980; amended at 42 Ill. Reg. 17852, effective September 24, 2018; amended at 42 Ill. Reg. 19190, effective October 12, 2018; amended at 43 Ill. Reg. 727, effective December 18, 2018; amended at 43 Ill. Reg. 10124, effective August 27, 2019; amended at 44 Ill. Reg. _____, effective _____.

SUBPART E: ADDITIONS TO AND SUBTRACTIONS FROM TAXABLE INCOME OF
INDIVIDUALS, CORPORATIONS, TRUSTS AND ESTATES AND PARTNERSHIPS

Section 100.2430 Addition and Subtraction Modifications for Transactions with ~~80/2080-20~~ and Noncombination Rule Companies

- a) For taxable years ending on or after December 31, 2004, IITA Section 203 requires a taxpayer, in computing base income, to add back deductions allowed in computing federal taxable income or adjusted gross income for interest expenses and intangible expenses incurred in transactions with a person who would be a member of a unitary business group with the taxpayer, if not for the ~~80/2080-20~~ test. These provisions were expanded by Public Act 95-233 and Public Act 95-707 to also require the add-back of deductions for interest expenses, intangible expenses and insurance premium expenses when incurred in taxable years ending on or after December 31, 2008, in transactions with a person who would be a member of a unitary business group with a taxpayer if not for the prohibition in IITA Section 1501(a)(27) against including in a single unitary business group taxpayers who use different apportionment formulas under IITA Section 304 (the "noncombination rule"). The noncombination rule was repealed by Public Act

DEPARTMENT OF REVENUE

NOTICE OF PROPOSED AMENDMENT

100-22, so that the expansions of the add-back provisions in Public Act 95-233 and Public Act 95-707 have no application for taxable years ending on or after December 31, 2017. Taxpayers are also allowed subtraction modifications that would ensure that the addition modifications do not result in double taxation. Exceptions are provided for instances in which requiring the addition modifications would not be appropriate.

b) Definitions

- 1) Dividend Included in Base Income. "Dividend" means any item defined as a dividend under 26 USC 316 and any other item of income characterized or treated as a dividend under the Internal Revenue Code, and includes any item *included in gross income under Sections 951 through 964 of the Internal Revenue Code and amounts included in gross income under Section 78 of the Internal Revenue Code.* (IITA Section 203(a)(2)(D-17), (D-18) and (D-19), (b)(2)(E-12), (E-13) and (E-14), (c)(2)(G-12), (G-13) and (G-14), and (d)(2)(D-7), (D-8) and (D-9)) A dividend is included in base income of a taxpayer only to the extent the dividend is neither deducted in computing the federal taxable or adjusted gross income of the taxpayer nor subtracted from federal taxable income or adjusted gross income under IITA Section 203.
- 2) Foreign Person. A "foreign person" is any person who would be included in a unitary business group with the taxpayer if not for the fact that *80% or more of that person's business activities are conducted outside the United States.* (IITA Section 1501(a)(30))
- 3) Interest. "Interest" means "compensation for the use or forbearance of money". (See Deputy v. du Pont, 308 U.S. 488, 498 (1940).) Interest includes the amortization of any discount at which an obligation is purchased and is net of the amortization of any premium at which an obligation is purchased.
- 4) Intangible Expense. "Intangible expense" includes *expenses, losses, and costs for, or related to, the direct or indirect acquisition, use, maintenance or management, ownership, sale, exchange, or any other disposition of intangible property; losses incurred, directly or indirectly, from factoring transactions or discounting transactions; royalty, patent, technical, and*

DEPARTMENT OF REVENUE

NOTICE OF PROPOSED AMENDMENT

copyright fees; licensing fees; and other similar expenses and costs. (IITA Section 203(a)(2)(D-18), (b)(2)(E-13), (c)(2)(G-13) and (d)(2)(D-8))

- 5) Intangible Income. "Intangible income" means the income received or accrued by a person from a transaction that generates intangible expense for the other party to the transaction.
- 6) Intangible Property. "Intangible property" includes *patents, patent applications, trade names, trademarks, service marks, copyrights, mask works, trade secrets, and similar types of intangible assets.* (IITA Section 203(a)(2)(D-18), (b)(2)(E-13), (c)(2)(G-13) and (d)(2)(D-8))
- 7) Related Party. "Related parties" means persons disallowed a deduction for losses by section 267(b), (c) and (f) of the Internal Revenue Code, as well as a partner and its partnership and each of the other partners in that partnership.
- 8) Noncombination Rule Company. "Noncombination rule company" means any person who would be a member of a unitary business group with a taxpayer if not for the prohibition in IITA Section 1501(a)(27) against including in a single unitary business group persons who use different apportionment formulas under IITA Section 304.
- 9) Insurance Premiums. "Insurance premiums" means the total amount paid or accrued during the taxable year, net of refunds or abatement, for coverage against any risk under a policy issued by an entity that is required to apportion its business income under the provisions of IITA Section 304(b) or that would be required to do so if it were subject to Illinois income taxation.
- 10) [Federal Deduction Allowed for Interest Paid to a Foreign Person](#)
 - A) [Under 26 USC 163\(j\), for taxable years beginning after December 31, 2017, a taxpayer's federal income tax deduction for business interest paid is limited to an amount equal to the sum of the taxpayer's business interest income plus 30% of its adjusted taxable income plus its floor plan financing interest. Business interest in excess of that limitation is carried forward under 26 USC 163\(j\)\(2\) and treated as interest paid in the following taxable](#)

DEPARTMENT OF REVENUE

NOTICE OF PROPOSED AMENDMENT

year. For purposes of this subsection (b)(10), in the case of a taxpayer whose federal income tax deduction for business interest expense for a taxable year beginning after December 31, 2017 is subject to limitation by 26 USC 163(j):

- i) the deduction allowed in computing federal taxable income for business interest paid to a foreign person for that taxable year equals the business interest paid to that foreign person for that taxable year (including any amount of business interest paid to that foreign person in the preceding taxable year and carried forward from the preceding taxable year under 26 USC 163(j)(2)) times a fraction equal to the deduction allowed under 26 USC 163(j) in computing federal taxable income for business interest paid for that taxable year divided by the total business interest paid for that taxable year (including any amount of business interest paid in the preceding taxable year and carried forward from the preceding taxable year under 26 USC 163(j)(2)); and
- ii) the amount of business interest paid to a foreign person for a taxable year and carried forward to the next taxable year under 26 USC 163(j)(2) equals the business interest paid to that foreign person for that taxable year (including any amount of business interest carried forward from the preceding taxable year under 26 USC 163(j)(2)) times a fraction equal to the total amount of business interest to be carried forward to the next taxable year under 26 USC 163(j)(2) divided by the total business interest paid for that taxable year (including any amount of business interest carried forward from the preceding taxable year and carried forward to that taxable year under 26 USC 163(j)(2)).

B) EXAMPLE:

- i) In Year 1, Taxpayer paid \$100 in business interest to Foreign Person and \$1,000 in total business interest. There was no carryforward under 26 USC 163(j)(2) from the prior year. Under 26 USC 163(j), Taxpayer's federal income tax

DEPARTMENT OF REVENUE

NOTICE OF PROPOSED AMENDMENT

deduction for business interest in Year 1 was limited to \$800. In Year 2, Taxpayer paid \$130 in business interest to Foreign Person and \$1,800 in total business interest, and Taxpayer's federal income tax deduction for business interest in Year 2 was limited under 26 USC 163(j) to \$1,200.

- ii) For purposes of this subsection (b)(10), the federal income tax deduction allowed to Taxpayer for interest paid to Foreign Person in Year 1 equals \$80: the \$100 actually paid multiplied by 80% (the \$800 federal income tax deduction allowed for business interest divided by the \$1,000 in total business interest paid). The carryforward of interest paid to Foreign Person in Year 1 to Year 2 equals \$20: the \$100 actually paid to Foreign Person multiplied by 20% (the \$200 carryforward to Year 2 divided by the \$1,000 in total business interest paid in Year 1).
- iii) For purposes of this subsection (b)(10), the federal income tax deduction allowed to Taxpayer for interest paid to Foreign Person in Year 2 equals \$90: the \$130 in interest actually paid to Foreign Person in Year 2 plus the \$20 paid to Foreign Person in Year 1 and carried forward to Year 2, or \$150, multiplied by 60% (the \$1,200 federal income tax deduction allowed for business interest divided by the \$2,000 in total business interest for Year 2, which equals the \$1,800 actually paid plus the \$200 carryover from Year 1). The carryforward of interest paid to Foreign Person in Year 2 to Year 3 equals \$60: the \$150 paid to Foreign Person in Year 2 or carried forward from Year 1, multiplied by 40% (the \$800 carryforward to Year 3 divided by the \$2,000 in total business interest paid in Year 2 or carried forward from Year 1).

c) Addition Modifications

- 1) Interest. Except as otherwise provided in this subsection (c)(1), every taxpayer must add back to its base income any deduction otherwise allowed in the taxable year for interest paid to a foreign person or (for

DEPARTMENT OF REVENUE

NOTICE OF PROPOSED AMENDMENT

taxable years ending on or after December 31, 2008 and prior to December 31, 2017) to a noncombination rule company, to the extent the interest exceeds the amount of dividends received from the foreign person or noncombination rule company by the taxpayer and included in base income for the same taxable year. (See IITA Section 203(a)(2)(D-17), (b)(2)(E-12), (c)(2)(G-12) and (d)(2)(D-7).) This addition modification shall not apply to an item of interest expense if:

- A) The foreign person or noncombination rule company is subject in a foreign country or state, other than a state that requires mandatory unitary reporting by the taxpayer and the foreign person or noncombination rule company, to a tax on or measured by net income with respect to the interest. The foreign person or noncombination rule company is subject to a tax on or measured by net income with respect to the interest if the interest is included in its tax base, even if the tax base is offset in whole or in part by deductions for expenses incurred in the production of income or by generally-applicable exemptions, or if the tax imposed by the foreign country or state is offset in whole or in part by credits that are not contingent on the receipt of the interest. If the foreign person or noncombination rule company is a partnership, subchapter S corporation or trust, the foreign person or noncombination rule company is subject to a tax on or measured by net income with respect to the interest to the extent that the interest is included in the tax base of a partner, shareholder or beneficiary who is subject to a tax on or measured by net income in a foreign country or state. For purposes of this provision, it is irrelevant that, under the laws of the foreign country or state, the interest is included in the tax base in a period other than the taxable year in which the deduction is otherwise allowable.
- B) The taxpayer can establish, based on a preponderance of the evidence, both of the following:
- i) the foreign person or noncombination rule company; (during the same taxable year in which the taxpayer paid the interest); paid, accrued, or incurred the interest to a person that is not a related party; and

DEPARTMENT OF REVENUE

NOTICE OF PROPOSED AMENDMENT

- ii) the transaction giving rise to the interest expense between the taxpayer and the foreign person or noncombination rule company did not have as a principal purpose the avoidance of Illinois income tax, and interest is paid pursuant to a contract or agreement that reflects an arms-length interest rate and terms.
 - C) The taxpayer can establish, based on clear and convincing evidence, that the item of interest relates to a contract or agreement entered into at arms-length rates and terms and the principal purpose for the payment is not federal or Illinois tax avoidance.
 - D) The taxpayer establishes by clear and convincing evidence that the adjustment would be unreasonable.
 - E) The taxpayer has received permission under Section 100.3390-~~of this Part~~ to use an alternative method of apportionment allowing the deduction of the item.
- 2) Intangible Expenses. Except as otherwise provided in this subsection (c)(2), every taxpayer must add back to its base income any deduction otherwise allowed in the taxable year for intangible expenses incurred with respect to transactions with a foreign person or (for taxable years ending on or after December 31, 2008 and prior to December 31, 2017) with a noncombination rule company, to the extent the intangible expenses exceed the amount of dividends received from the foreign person or noncombination rule company by the taxpayer and included in base income for the same taxable year. If a taxpayer incurs both interest and intangible expenses with the same foreign person or noncombination rule company, any dividends received from that foreign person or noncombination rule company shall be applied first against interest under subsection (c)(1) and only the excess (if any) of the dividends over the interest expenses shall be applied against intangible expenses under this subsection (c)(2). (See IITA Section 203(a)(2)(D-18), (b)(2)(E-13), (c)(2)(G-13) and (d)(2)(D-8).) This addition modification shall not apply to an item of intangible expense if:
- A) The item arises from a transaction with a foreign person or noncombination rule company who is subject in a foreign country

DEPARTMENT OF REVENUE

NOTICE OF PROPOSED AMENDMENT

or state, other than a state that requires mandatory unitary reporting by the taxpayer and the foreign person or noncombination rule company, to a tax on or measured by net income with respect to the intangible income related to the item. The foreign person or noncombination rule company is subject to a tax on, or measured by net income with respect to, the intangible income if the intangible income is included in its tax base, even if the tax base is offset in whole or in part by deductions for expenses incurred in the production of income or by generally-applicable exemptions or if the tax imposed by the foreign country or state is offset in whole or in part by credits that are not contingent on the receipt of the intangible income. If the foreign person or noncombination rule company is a partnership, subchapter S corporation or trust, the foreign person or noncombination rule company is subject to a tax on or measured by net income with respect to the intangible income to the extent that the intangible income is included in the tax base of a partner, shareholder or beneficiary who is subject to a tax on or measured by net income in a foreign country or state. For purposes of this provision, it is irrelevant that, under the laws of the foreign country or state, the intangible income is included in the tax base in a period other than the taxable year in which the deduction for the intangible expense is otherwise allowable.

- B) The taxpayer can establish, based on a preponderance of the evidence, both of the following:
- i) the foreign person or noncombination rule company (during the same taxable year in which the taxpayer paid the intangible expense) paid, accrued, or incurred the intangible expense to a person that is not a related party; and
 - ii) the transaction giving rise to the intangible expense between the taxpayer and the foreign person or noncombination rule company did not have as a principal purpose the avoidance of Illinois income tax, and is paid pursuant to a contract or agreement that reflects arms-length terms.

DEPARTMENT OF REVENUE

NOTICE OF PROPOSED AMENDMENT

- C) If the taxpayer establishes, by clear and convincing evidence, that the adjustments are unreasonable.
- D) The taxpayer has received permission under Section 100.3390 ~~of this Part~~ to use an alternative method of apportionment, allowing the deduction of the item.
- 3) **Insurance Premiums.** For taxable years ending on or after December 31, 2008 and prior to December 31, 2017, everyEvery taxpayer must add back to its base income any deduction otherwise allowed in the taxable year for insurance premiums paid to a noncombination rule company, to the extent the insurance premium expense exceeds the amount of dividends received from the noncombination rule company by the taxpayer and included in base income for the same taxable year. If a taxpayer incurs both interest or intangible expenses and insurance premium expenses with the same noncombination rule company, any dividends received from that noncombination rule company shall be applied first against interest under subsection (c)(1), then against intangibles expenses under subsection (c)(2), and only the excess (if any) of the dividends over the interest expenses and intangible expenses shall be applied against insurance premium expenses under this subsection (c)(3). (See IITA Section 203(a)(2)(D-19), (b)(2)(E-14), (c)(2)(G-14) and (d)(2)(D-9).)
- d) **Subtraction Modifications**
- 1) **Interest Income of a Foreign Person or Noncombination Rule Company.** If interest paid to a foreign person or noncombination rule company is added back by a taxpayer under subsection (c)(1), the foreign person or noncombination rule company is allowed a subtraction for the amount of that interest included in its base income for the taxable year, net of deductions allocable to that income. The subtraction allowed under this subsection (d)(1) shall not exceed the amount of the corresponding addition under subsection (c)(1). (See IITA Section 203(a)(2)(CC), (b)(2)(V), (c)(2)(T) and (d)(2)(Q).)
- 2) **Intangible Income of a Foreign Person or Noncombination Rule Company.** If intangible expense incurred in a transaction with a foreign person or noncombination rule company is added back by a taxpayer under subsection (c)(2), the foreign person or noncombination rule company is

DEPARTMENT OF REVENUE

NOTICE OF PROPOSED AMENDMENT

allowed a subtraction for the amount of the intangible income from that transaction included in its base income for the taxable year, net of deductions allocable to that income. The subtraction allowed under this subsection (d)(2) shall not exceed the amount of the corresponding addition under subsection (c)(2). (See IITA Section 203(a)(2)(CC), (b)(2)(V), (c)(2)(T) and (d)(2)(Q).)

- 3) **Interest Income from a Foreign Person or Noncombination Rule Company.** A taxpayer who receives interest income from a foreign person or noncombination rule company is allowed a subtraction for the amount of that interest income, net of deductions allocable to that income. The subtraction allowed in this subsection (d)(3) for a taxable year may not exceed the amount of the addition modification for the taxable year under subsection (c)(1) for interest paid by the taxpayer to the foreign person or noncombination rule company. (See IITA Section 203(a)(2)(DD), (b)(2)(W), (c)(2)(U) and (d)(2)(R).)
- 4) **Intangible Income from a Foreign Person or Noncombination Rule Company.** A taxpayer who receives intangible income from a transaction with a foreign person or noncombination rule company is allowed a subtraction for the amount of the intangible income, net of deductions allocable to that income. The subtraction allowed in this subsection (d)(4) for the taxable year may not exceed the amount of the addition modification for the taxable year under subsection (c)(2) for intangible expenses incurred by the taxpayer in transactions with the foreign person or noncombination rule company. (See IITA Section 203(a)(2)(EE), (b)(2)(X), (c)(2)(V) and (d)(2)(S).)
- 5) **Insurance Premium Income of a Noncombination Rule Company.** If insurance premium expense incurred in a transaction with a noncombination rule company is added back by a taxpayer under subsection (c)(3), the noncombination rule company is allowed a subtraction for the amount of the insurance premium income from that transaction included in its base income for the taxable year, net of deductions allocable to that income. The subtraction allowed under this subsection (d)(5) shall not exceed the amount of the corresponding addition under subsection (c)(3). (See IITA Section 203(b)(2)(V).)

DEPARTMENT OF REVENUE

NOTICE OF PROPOSED AMENDMENT

- 6) Insurance Paid by a Noncombination Rule Company. For taxable years ending on or after December 31, 2011, in the case of a taxpayer who added back any insurance premiums under subsection (c)(3), the taxpayer may elect to subtract that part of a reimbursement received from the insurance company to which the premiums were paid equal to the amount of the expense or loss (including expenses incurred by the insurance company) that would have been taken into account as a deduction for federal income tax purposes if the expense or loss had not been insured by the policy for which the premiums were paid. If a taxpayer makes the election provided for by this subsection (d)(6), the insurer to which the premiums were paid must (if required to file an Illinois income tax return) add back to its taxable income the amount subtracted by the taxpayer under this subsection (d)(6). (See IITA Section 203(a)(2)(GG), (b)(2)(Y) (c)(2)(Y) and (d)(2)(T).)
- e) Unitary Business Groups. The provisions of this Section apply both to persons who are members of a unitary business group and to persons who are not members of a unitary business group because of the application of the 80/2080-20 rule or (for taxable years ending on or after December 31, 2008 and prior to December 31, 2017) because of the prohibition in IITA Section 1501(a)(27) against including in a single unitary business group taxpayers using different apportionment formulas under IITA Section 304(a) through (d). In applying the provisions of this Section in the case of a unitary business group, any reference to the "taxpayer" in this Section shall be deemed to refer to the unitary business group.

(Source: Amended at 44 Ill. Reg. _____, effective _____)

DEPARTMENT OF REVENUE

NOTICE OF PROPOSED AMENDMENT

- 1) Heading of the Part: Retailers' Occupation Tax
- 2) Code Citation: 86 Ill. Adm. Code 130
- 3) Section Number: 130.310 Proposed Action: Amendment
- 4) Statutory Authority: 35 ILCS 120/12; 20 ILCS 2505/2505-795
- 5) A Complete Description of the Subjects and Issues Involved: PA 101-593 amends Section 2-10 of the Retailers' Occupation Tax Act. The amendments to Section 2-10 clarify that that food consisting of or infused with adult use cannabis is taxed at the general merchandise rate of 6.25%, not the 1% rate imposed on food for human consumption that is to be consumed off the premises where it is sold. "Adult use cannabis" is defined as cannabis subject to tax under the Cannabis Cultivation Privilege Tax Law and the Cannabis Purchaser Excise Tax Law and does not include cannabis subject to tax under the Compassionate Use of Medical Cannabis Program Act.
- 6) Published studies or reports, and sources of underlying data, used to compose this rulemaking: None
- 7) Will this rulemaking replace an emergency rule currently in effect? Yes
- 8) Does this rulemaking contain an automatic repeal date? No
- 9) Does this rulemaking contain incorporations by reference? No
- 10) Are there any other rulemakings pending on this Part? Yes

| <u>Section Numbers:</u> | <u>Proposed Actions:</u> | <u>Illinois Register Citations:</u> |
|-------------------------|--------------------------|---------------------------------------|
| 130.330 | Amendment | 43 Ill. Reg. 13190; November 15, 2019 |
| 130.1957 | New Section | 43 Ill. Reg. 13190; November 15, 2019 |
| 130.425 | Amendment | 44 Ill. Reg. 485; January 10, 2020 |
| 130.455 | Amendment | 44 Ill. Reg. 485; January 10, 2020 |
- 11) Statement of Statewide Policy Objective: This amendment does not create or enlarge a mandate as described in Section 3(b) of the State Mandates Act.

DEPARTMENT OF REVENUE

NOTICE OF PROPOSED AMENDMENT

- 12) Time, Place, and Manner in which interested persons may comment on this proposed rulemaking: Persons who wish to submit comments on this proposed rulemaking may submit them in writing by no later than 45 days after publication of this Notice to:

Richard S. Wolters
Associate Counsel
Illinois Department of Revenue
Legal Services Office
101 West Jefferson
Springfield IL 62794

217/782-2844

- 13) Initial Regulatory Flexibility Analysis:
- A) Types of small businesses, small municipalities and not-for-profit corporations affected: None
 - B) Reporting, bookkeeping or other procedures required for compliance: General bookkeeping, accounting and computer skills
 - C) Types of professional skills necessary for compliance: General bookkeeping, accounting and computer skills
- 14) Small Business Impact Analysis:
- A) Types of businesses subject to the proposed rule:
44-45 Retail Trade
 - B) Categories that the Agency reasonably believes the rulemaking will impact, including:
 - ii. regulatory requirements;
 - iii. record keeping;
- 15) Regulatory Agenda on which this rulemaking was summarized: January 2020

DEPARTMENT OF REVENUE

NOTICE OF PROPOSED AMENDMENT

The full text of the Proposed Amendment is identical to that of the text of the Emergency Amendment for this rulemaking, and begins in this issue of the *Illinois Register* on page 2055.

DEPARTMENT OF TRANSPORTATION

NOTICE OF PROPOSED AMENDMENT

- 1) Heading of the Part: Procedures
- 2) Code Citation: 92 Ill. Adm. Code 107
- 3) Section Number: 107.1020 Proposed Action: Amendment
- 4) Statutory Authority: 430 ILCS 30/4(a) and 9(a)
- 5) A Complete Description of the Subjects and Issues Involved: The Motor Carrier Safety Assistance Program (MCSAP) is a federal grant program that provides financial assistance to states to help reduce the number and severity of accidents, and hazardous materials incidents, involving commercial motor vehicles. To receive MCSAP funding, 49 CFR 350.201 requires the Department to adopt the federal registration requirements of subparts F and G of 49 CFR 107. In sum, subpart F requires the registration of those who manufacture, assemble, inspect, certify, or repair cargo tank or cargo tank motor vehicles under US DOT specifications or special permits; whereas, subpart G requires registration of any person who offers for transportation or transports hazardous materials in foreign, interstate, or intrastate commerce. Through this rulemaking, the Department proposes to adopt those subparts as of the October 1, 2019 edition of the CFR so that the State may continue to receive funding for this important program. Those currently required to register under the federal regulations will not be impacted by the addition of these subparts to the Department's regulations as no new registration requirements are being added.
- 6) Published studies or reports, and sources of underlying data, used to compose this rulemaking: None
- 7) Will this rulemaking replace an emergency rule currently in effect? No
- 8) Does this rulemaking contain an automatic repeal date? No
- 9) Does this rulemaking contain incorporations by reference? Yes
- 10) Are there any other rulemakings pending on this Part? No
- 11) Statement of Statewide Policy Objective: This rulemaking does not create or expand a State mandate under the State Mandates Act.

DEPARTMENT OF TRANSPORTATION

NOTICE OF PROPOSED AMENDMENT

- 12) Time, Place, and Manner in which interested persons may comment on this proposed rulemaking: Any interested party may submit written comments or arguments concerning these proposed amendments. Written submissions shall be filed with:

Greg Stucka, Rules Manager
Illinois Department of Transportation
Office of Chief Counsel
2300 South Dirksen Parkway, Room 317
Springfield IL 62764

217/524-2638

Comments received within 45 days after the date of publication of this *Illinois Register* will be considered. Comments received after that time will be considered, time permitting.

- 13) Initial Regulatory Flexibility Analysis:
- A) Types of small businesses, small municipalities, and not-for-profit corporations affected: Those small businesses, small municipalities, and not-for-profit corporations which are required to register under 49 CFR 107 subparts f and g; however, no impact is anticipated because no new requirements are being proposed.
 - B) Reporting, bookkeeping, or other procedures required for compliance: None
 - C) Types of professional skills necessary for compliance: None
- 14) Small Business Impact Analysis: This rulemaking will not have an adverse impact on small businesses.
- 15) Regulatory Agenda on which this rulemaking was summarized: This rulemaking was not included on either of the two most recent agendas because the need for it was unanticipated.

The full text of this Proposed Amendment begins on the next page:

DEPARTMENT OF TRANSPORTATION

NOTICE OF PROPOSED AMENDMENT

TITLE 92: TRANSPORTATION
CHAPTER I: DEPARTMENT OF TRANSPORTATION
SUBCHAPTER c: HAZARDOUS MATERIALS TRANSPORTATION REGULATIONSPART 107
PROCEDURES

SUBPART A: GENERAL PROVISIONS

Section

| | |
|----------|--|
| 107.1000 | Purpose and Scope |
| 107.1010 | Definitions |
| 107.1020 | Incorporation by Reference of 49 CFR 107, subpart G |
| 107.1030 | Request for Confidential Treatment |
| 107.1040 | Persons Holding Federal Exemptions |
| 107.1050 | Service |
| 107.1060 | Subpoenas |

SUBPART B: ENFORCEMENT

Section

| | |
|----------|--|
| 107.1100 | Responsibility for Enforcement |
| 107.1110 | Purpose and Scope |
| 107.1120 | Investigations |
| 107.1130 | Inspection and Examination of Records and Properties |
| 107.1140 | Notice of Apparent Violation |
| 107.1150 | Stopping of Vehicles |
| 107.1160 | Department Review of Notice of Apparent Violation |
| 107.1170 | Warning Letter |
| 107.1180 | Civil Penalties Generally |
| 107.1190 | Maximum Penalties |
| 107.1200 | Commencement of Civil Penalty Proceeding |
| 107.1210 | Reply |
| 107.1220 | Payment of Penalty |
| 107.1230 | Request for Hearing |
| 107.1240 | Hearing |
| 107.1250 | Presiding Officer's Decision |
| 107.1260 | Assessment Considerations |
| 107.1270 | Appeal |

DEPARTMENT OF TRANSPORTATION

NOTICE OF PROPOSED AMENDMENT

| | |
|----------|---|
| 107.1280 | Failure to Pay Civil Penalty |
| 107.1290 | Compliance Orders Generally |
| 107.1300 | Notice of Probable Violation |
| 107.1310 | Reply |
| 107.1320 | Consent Order |
| 107.1330 | Hearing |
| 107.1340 | Presiding Officer's Decision |
| 107.1350 | Compliance Order For Immediate Compliance |
| 107.1360 | Appeal |
| 107.1370 | Injunctions and Other Equitable Relief |
| 107.1380 | Imminent Hazards |
| 107.1390 | Criminal Penalties Generally |
| 107.1400 | Referral for Prosecution |

107.APPENDIX A Standard Conditions Applicable to Exemptions, Packages, Containers, Shipments

AUTHORITY: Implementing Section 4(a) and authorized by Section 9(a) of the Illinois Hazardous Materials Transportation Act [430 ILCS 30] and Section 3-704(b) of the Illinois Vehicle Code [625 ILCS 5].

SOURCE: Adopted at 3 Ill. Reg. 5, p. A1, effective February 1, 1979; amended at 3 Ill. Reg. 49, p. 273, effective December 10, 1979; amended at 6 Ill. Reg. 4287, effective April 16, 1982; codified at 8 Ill. Reg. 17979; amended at 10 Ill. Reg. 5876, effective April 1, 1986; amended at 14 Ill. Reg. 2633, effective February 1, 1990; amended at 14 Ill. Reg. 8189, effective May 15, 1990; amended at 18 Ill. Reg. 7881, effective May 6, 1994; amended at 20 Ill. Reg. 6554, effective April 30, 1996; amended at 22 Ill. Reg. 5708, effective March 4, 1998; amended at 22 Ill. Reg. 17023, effective September 30, 1998; amended at 25 Ill. Reg. 7298, effective May 19, 2001; amended at 26 Ill. Reg. 8919, effective June 5, 2002; amended at 28 Ill. Reg. 10066, effective July 1, 2004; amended at 29 Ill. Reg. 660, effective December 20, 2004; recodified at 41 Ill. Reg. 11470; amended at 44 Ill. Reg. _____, effective _____.

SUBPART A: GENERAL PROVISIONS

Section 107.1020 Incorporation by Reference of 49 CFR 107, subpart G

- a) 49 CFR 107, subparts F and G, ~~are~~ subpart G is hereby incorporated by reference as those subparts of 49 CFR 107 were ~~that subpart of the Hazardous Materials Transportation Regulations was~~ in effect on October 1, 2019. ~~October 1, 2004~~. No

DEPARTMENT OF TRANSPORTATION

NOTICE OF PROPOSED AMENDMENT

later amendments to or editions of 49 CFR 107, subparts F and G~~subpart G~~ are incorporated.

- b) Copies of the materials incorporated by reference are available for inspection at the Illinois Department of Transportation, 2300 S. Dirksen Parkway, Springfield, Illinois 62764 or online via the U. S. Government Publishing Office at <http://www.ecfr.gov>.
- b) ~~The following interpretations of, additions to and deletions from 49 CFR 107, subpart G shall apply for the purposes of this Subpart.~~
- 1) ~~Any reference to "this part" in the incorporated material shall mean 92 Ill. Adm. Code 107.~~
 - 2) ~~Any reference to "this chapter" or "this subchapter" in the incorporated material shall mean 92 Ill. Adm. Code: Chapter I, Subchapter e.~~
 - 3) ~~Any reference to a section in the incorporated material shall be read to refer to that Section in the Illinois Hazardous Materials Transportation Regulations.~~

(Source: Amended at 44 Ill. Reg. _____, effective _____)

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

- 1) Heading of the Part: Pay Plan
- 2) Code Citation: 80 Ill. Adm. Code 310
- 3)

| <u>Section Numbers:</u> | <u>Adopted Actions:</u> |
|-------------------------|-------------------------|
| 310.260 | Amendment |
| 310.410 | Amendment |
| 310.Appendix A Table L | Amendment |
| 310.Appendix A Table W | Amendment |
| 310.Appendix A Table X | Amendment |
| 310. Appendix D | Amendment |
- 4) Statutory Authority: Authorized by Sections 8, 8a and 9(7) of the Personnel Code [20 ILCS 415/8, 20 ILCS 415/8a, 20 ILCS 415/8c, 20 ILCS 415/8e, 20 ILCS 415/9(7) and 20 ILCS 415/9(14)], subsection (d) of Section 1-5 of the Illinois Administrative Procedure Act [5 ILCS 100/1-5(d)] and by Sections 4, 6, 15 and 21 of the Illinois Public Labor Relations Act [5 ILCS 315/4, 5 ILCS 315/6, 5 ILCS 315/15 and 5 ILCS 315/21].
- 5) Effective Date of Rules: January 1, 2020
- 6) Does this rulemaking contain an automatic repeal date? No
- 7) Does this rulemaking contain incorporations by reference? No
- 8) A copy of the adopted rule, including any material incorporated by reference, is on file in the Agency's principal office and is available for public inspection. Copies of all Pay Plan amendments and collective bargaining contracts are available upon request from the Division of Technical Services.
- 9) Notice of Proposal published in the *Illinois Register*: 43 Ill. Reg. 10527, September 27, 2019
- 10) Has JCAR issued a Statement of Objection to this rulemaking? No
- 11) Differences between Proposal and Final Version: Since the First Notice, the changes are based on intervening rulemaking, the JCAR Delta or to assist with easier access to the existing information. In the table of contents, Section 310.Appendix A Table M heading is followed by (Repealed) because the Section was repealed in rulemaking at 43 Ill. Reg. 11734. The heading for Section 310.Appendix A Table Q is changed to that of the new

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

bargaining unit RC-061 because of the rulemaking at 43 Ill. Reg. 11734. The description of the CU-500 bargaining unit in the heading for the Section 310.Appendix A Table V is changed because of the rulemaking at 43 Ill. Reg. 10811.

In the Authority Note for the Pay Plan, the redundant reference is struck.

In the Main Source Notes, duplicated reference to a single rulemaking is struck because of preemptory amendments filed October 25, 2019. References to the adopted rulemakings at 43 Ill. Reg. 10811, 43 Ill. Reg. 11734, 43 Ill. Reg. 12119, and preemptory amendments filed October 25, 2019 are included.

In Section 310.260, the bargaining unit for the Conservation Police Officer Trainee title is changed to RC-061 because of rulemaking at 43 Ill. Reg. 11734.

In Section 310.Appendix A Table L, the rate for the Boiler Safety Audit Specialist, title 04900 title code, Northern region, B pay plan code and hired on or after December 1, 2013 is corrected because of the JCAR Delta.

Section 310.Appendix A Table S is removed from the rulemaking because the proposed changes were adopted by preemptory amendment at 43 Ill. Reg. 12119.

In Section 310.Appendix A Table W, the Notes for Maximum Security Pay, Stipend, Sub-Steps and General Increases are added. The rate table effective July 1, 2014 is removed. The rate tables effective July 1, 2019, January 1, 2020, July 1, 2020, July 1, 2021 and July 1, 2022 are added. These changes are because of the rulemaking at 43 Ill. Reg. 10811.

In Section 310.Appendix A Table X, the Notes for Maximum Security Pay, Stipend, Sub-Steps and General Increases are added. The rate table effective July 1, 2014 has the pay grade 11.5, pay plan code B, Step 1a rate changed from "3224" to "3324". The rate tables effective July 1, 2019, January 1, 2020, July 1, 2020, July 1, 2021 and July 1, 2022 are added. These changes are because of the rulemaking at 43 Ill. Reg. 10811. The Notes existing prior to the proposed amendments are indented for easier access.

Since the First Notice Changes, there are Second Notice Changes. In Section 310.Appendix A Table W for RC-062 and its title table, the change is from "Museum Theater Systems Technician (Historic Preservation Agency)" title to "Museum Theater Systems Technician (Abraham Lincoln Presidential Library and Museum)". In Sections 310.Appendix A Tables W and X (for RC-063) and Maximum Security Pay Note, the

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

dash is removed from maximum-security in two places in the Note. In Section 310.Appendix A Table W and X and Sub-Steps Note, the reference to the rate tables "below" is changed to "in this Section". In Section 310.Appendix A Table W and X and General Increases Note, the language is made more concise so that the Note reads:

General Increases – Pay rates for all bargaining unit classifications and Steps shall be increased by the specified percentage amounts effective on the following dates: January 1, 2020, 1.50%; July 1, 2020, 2.10%; July 1, 2021, 3.95%; July 1, 2022, 3.95%. Pay rates for each Step and their effective dates are listed in the rate tables in this Section.

- 12) Have the changes agreed upon by the Agency and JCAR been made as indicated in the agreements issued by JCAR? Yes
- 13) Will this rulemaking replace an emergency rule currently in effect? No
- 14) Are there any rulemakings pending on this Part? Yes

| <u>Section Numbers:</u> | <u>Proposed Actions:</u> | <u>Illinois Register Citations:</u> |
|-------------------------|--------------------------|--------------------------------------|
| 310.47 | Amendment | 43 Ill. Reg. 13835; December 6, 2019 |
| 310.50 | Amendment | 43 Ill. Reg. 13835; December 6, 2019 |
| 310.100 | Amendment | 43 Ill. Reg. 13835; December 6, 2019 |
| 310.260 | Amendment | 43 Ill. Reg. 13835; December 6, 2019 |
| 310.460 | Amendment | 43 Ill. Reg. 13835; December 6, 2019 |
| 310.490 | Amendment | 43 Ill. Reg. 13835; December 6, 2019 |
| 310.500 | Amendment | 43 Ill. Reg. 13835; December 6, 2019 |
| 310.530 | Amendment | 43 Ill. Reg. 13835; December 6, 2019 |
| 310.550 | New Section | 43 Ill. Reg. 13835; December 6, 2019 |
| 310.560 | Repealed | 43 Ill. Reg. 13835; December 6, 2019 |
| 310.570 | Repealed | 43 Ill. Reg. 13835; December 6, 2019 |
| 310.Appendix D | Amendment | 43 Ill. Reg. 13835; December 6, 2019 |
| 310.Appendix G | Amendment | 43 Ill. Reg. 13835; December 6, 2019 |

- 15) Summary and Purpose of Rule: In Section 310.260, the following titles have their pay grade or salary range assignment updated: Firearms Eligibility Analyst Trainee RC-062-11 and MS-08; Meat and Poultry Inspector Trainee RC-029-09; Mental Health Administrator Trainee MS-14; and Retirement Benefits Representative Trainee RC-062-10. The American Federation of State, County and Municipal Employees (AFSCME) Memorandum of Understanding (MOU) assignment of the RC-062-11 pay grade to the

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

Firearms Eligibility Analyst Trainee title was effective April 1, 2014. The Meat and Poultry Trainee title change is based on the clarification of the pay grade assignment previously adopted in Section 310.Appendix A Table P. The AFSCME MOU assignment of the RC-062-10 pay grade to the Retirement Benefits Representative Trainee title was effective July 19, 2017. The MS- salary ranges were assigned previously adopted in Section 310.410.

In Section 310.410, the Child Welfare Staff Development Coordinator I, II and III titles are removed. The Child Welfare Staff Development Coordinator I, II and III titles were abolished as approved by the Civil Service Commission effective June 1, 2018. The Child Welfare Staff Development Coordinator titles noted above were abolished as approved by the Civil Service Commission effective June 1, 2018. The MS- salary range assigned to the Gaming Licensing Specialist title is changed to MS-12. This parallels the upgrade of the pay grade assignment to the Gaming Licensing Specialist title contained in the Agreement between the State of Illinois – Department of Central Management Services and AFSCME signed August 21, 2019.

In Section 310.Appendix A Table L, the Boiler Safety Audit Specialist and Boiler Safety Specialist titles' Northern regional rates effective May 1, 2019 are added. The Northern regional rates effective May 1, 2018 are removed. This is based on three prior agreements and a Negotiated Prevailing Wage Certification Form for the Boiler Safety Specialist title's positions in the Northern Region received March 25, 2019. The first prior agreement is the Agreement between CMS and the International Brotherhood of Boiler Makers - Iron Shipbuilders, Blacksmiths, Forgers, and Helpers was signed March 12, 2014 and effective July 1, 2012 through June 30, 2015. The agreement states that the Illinois Department of Labor shall notify CMS of the certified prevailing rate. The agreement states that, effective January 1, 2006, the Boiler Safety Specialist title shall be paid an additional 4% above the prevailing rate for the employees on the standard pension formula or an additional 5.5% above the prevailing rate established for the employees on the alternative pension formula. The agreement states that effective December 1, 2013, newly hired employees shall be paid the appropriate prevailing rate, which means paid without the additional 4% above the prevailing rate for the employees on the standard pension formula or the additional 5.5% above the prevailing rate for the employees on the alternative pension formula. The agreement states that, while in positions in maximum security institutions, the employee who has seven or more years of continuous service with the Department of Corrections receives a \$50.00 adjustment a month that is applied as an additional \$0.29 per hour. The second prior agreement is the Agreement between the Department of Central Management Services of the State of Illinois and the International Brotherhood of Boilermakers - Iron Shipbuilders,

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

Blacksmiths, Forgers, and Helpers effective July 1, 2015 through June 30, 2019 with an unknown signing date. The agreement contained the first agreement's above provisions except that the rate certification process changed, no longer involving the Department of Labor. The third prior agreement is the Memorandum of Agreement between the State of Illinois and the International Brotherhood of Boilermakers - Iron Shipbuilders, Blacksmiths, Forgers, and Helpers signed June 22, 2016. The agreement establishes each rate for the Boiler Safety Audit Specialist title at 4.5% above that of the corresponding rate for the Boiler Safety Specialist title. Corresponding refers to the rate's region, Pay Plan Code and when the employee was hired.

In Section 310.Appendix A Table W and title table, Child Welfare Staff Development Coordinator I, Child Welfare Staff Development Coordinator II, Child Welfare Staff Development Coordinator III, Health Services Investigator I – Opt. B, Health Services Investigator II – Opt. B, Health Services Investigator II – Opt. D, and Senior Public Service Administrator, Option 7 Gaming Board and Department of Revenue, titles are removed. The Health Services Investigator titles noted above were abolished as approved by the Civil Service Commission effective April 1, 2016 and removed from Section 310.410. The Child Welfare Staff Development Coordinator titles noted above were abolished as approved by the Civil Service Commission effective June 1, 2018. The Senior Public Service Administrator, Option 7 Gaming Board and Department of Revenue, is removed from the title table. No Senior Public Service Administrator title position is represented by a bargaining unit. The Illinois Public Labor Relations Act was amended by the PA 097-1172 that added the Section 6.1. In subsection (b)(2) is the exclusion of the Senior Public Service Administrator title. In the Notes, the sentence about the Senior Public Service Administrator title is removed from the Option Clarification Note.

In Section 310.Appendix A Table X and rate table, the RC-063-11.5 Pay Plan Code S Step 5 rate is changed to 4315. In the title table and for the Manuscripts Manager title to which the RC-063-19 pay grade is assigned, Historic Preservation Agency is changed to Abraham Lincoln Presidential Library and Museum. In the title table, the Senior Public Service Administrator title Option 4 Departments of Public Health, Human Services and Commerce and Economic Opportunity and Environmental Protection Agency is removed. In the Notes, the sentence about the Senior Public Service Administrator title is removed from the Option Clarification Note. No Senior Public Service Administrator title position is represented by a bargaining unit. The Abraham Lincoln Presidential Library and Museum was established and the Historic Preservation Agency abolished July 1, 2017 by Executive Order 2017-1.

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

In Section 310.Appendix D, the minimum salary of the MS-01 salary range is changed to 1509. This change is based on the Public Act 101-0001. The PA amended the Minimum Wage Law (820 ILCS 105) Section 4 subsection (a)(1) to state that "from January 1, 2020 through June 30, 2020, every employer shall pay to each of his or her employees who is 18 years of age or older in every occupation wages not less than \$9.25 per hour". The MS-01 is assigned to the following titles: Clerical Trainee (title code 08050); Conservation/Historic Preservation Worker (title code 09317); Office Occupations Trainee (title code 30075); Student Intern (title code 43190); and Student Worker (title code 43200).

16) Information and questions regarding these adopted rules shall be directed to:

Lisa Fendrich
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Department of Central Management Services
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The full text of the Adopted Amendments begins on the next page:

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

TITLE 80: PUBLIC OFFICIALS AND EMPLOYEES
SUBTITLE B: PERSONNEL RULES, PAY PLANS, AND
POSITION CLASSIFICATIONS

CHAPTER I: DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

PART 310
PAY PLAN

SUBPART A: NARRATIVE

| | |
|---------|--|
| Section | |
| 310.20 | Policy and Responsibilities |
| 310.30 | Jurisdiction |
| 310.40 | Pay Schedules |
| 310.45 | Comparison of Pay Grades or Salary Ranges Assigned to Classifications |
| 310.47 | In-Hire Rate |
| 310.50 | Definitions |
| 310.60 | Conversion of Base Salary to Pay Period Units |
| 310.70 | Conversion of Base Salary to Daily or Hourly Equivalents |
| 310.80 | Increases in Pay |
| 310.90 | Decreases in Pay |
| 310.100 | Other Pay Provisions |
| 310.110 | Implementation of Pay Plan Changes (Repealed) |
| 310.120 | Interpretation and Application of Pay Plan |
| 310.130 | Effective Date |
| 310.140 | Reinstitution of Within Grade Salary Increases (Repealed) |
| 310.150 | Fiscal Year 1985 Pay Changes in Schedule of Salary Grades, effective July 1, 1984 (Repealed) |

SUBPART B: SCHEDULE OF RATES

| | |
|---------|--|
| Section | |
| 310.205 | Introduction |
| 310.210 | Prevailing Rate |
| 310.220 | Negotiated Rate |
| 310.230 | Part-Time Daily or Hourly Special Services Rate (Repealed) |
| 310.240 | Daily or Hourly Rate Conversion |
| 310.250 | Member, Patient and Inmate Rate |
| 310.260 | Trainee Rate |

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

| | |
|---------|---|
| 310.270 | Legislated Rate (Repealed) |
| 310.280 | Designated Rate |
| 310.290 | Out-of-State Rate (Repealed) |
| 310.295 | Foreign Service Rate (Repealed) |
| 310.300 | Educator Schedule for RC-063 and HR-010 |
| 310.310 | Physician Specialist Rate |
| 310.320 | Annual Compensation Ranges for Executive Director and Assistant Executive Director, State Board of Elections (Repealed) |
| 310.330 | Excluded Classes Rate (Repealed) |

SUBPART C: MERIT COMPENSATION SYSTEM

| | |
|---------|--|
| Section | |
| 310.410 | Jurisdiction |
| 310.415 | Merit Compensation Salary Range Assignments |
| 310.420 | Objectives |
| 310.430 | Responsibilities |
| 310.440 | Merit Compensation Salary Schedule |
| 310.450 | Procedures for Determining Annual Merit Increases and Bonuses |
| 310.455 | Intermittent Merit Increase (Repealed) |
| 310.456 | Merit Zone (Repealed) |
| 310.460 | Other Pay Increases |
| 310.470 | Adjustment |
| 310.480 | Decreases in Pay |
| 310.490 | Other Pay Provisions |
| 310.495 | Broad-Band Pay Range Classes |
| 310.500 | Definitions |
| 310.510 | Conversion of Base Salary to Pay Period Units (Repealed) |
| 310.520 | Conversion of Base Salary to Daily or Hourly Equivalents |
| 310.530 | Implementation |
| 310.540 | Annual Merit Increase and Bonus Guidechart |
| 310.550 | Fiscal Year 1985 Pay Changes in Merit Compensation System, effective July 1, 1984 (Repealed) |
| 310.560 | Merit Incentive Program |
| 310.570 | Gain Sharing Program |

SUBPART D: FROZEN NEGOTIATED-RATES-OF-PAY DUE TO
FISCAL YEAR APPROPRIATIONS AND EXPIRED SALARY SCHEDULES IN
COLLECTIVE BARGAINING UNIT AGREEMENTS

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

Section

| | |
|----------------|---|
| 310.600 | Jurisdiction (Repealed) |
| 310.610 | Pay Schedules (Repealed) |
| 310.620 | In-Hiring Rate (Repealed) |
| 310.630 | Definitions (Repealed) |
| 310.640 | Increases in Pay (Repealed) |
| 310.650 | Other Pay Provisions (Repealed) |
| 310.660 | Effective Date (Repealed) |
| 310.670 | Negotiated Rate (Repealed) |
| 310.680 | Trainee Rate (Repealed) |
| 310.690 | Educator Schedule for Frozen RC-063 and Frozen HR-010 (Repealed) |
| 310.APPENDIX A | Negotiated Rates of Pay |
| 310.TABLE A | RC-104 (Conservation Police Supervisors, Illinois Fraternal Order of Police Labor Council) |
| 310.TABLE B | VR-706 (Assistant Automotive Shop Supervisors, Automotive Shop Supervisors and Meat and Poultry Inspector Supervisors, Laborers' – ISEA Local #2002) |
| 310.TABLE C | RC-056 (Site Superintendents and Departments of Veterans' Affairs, Natural Resources, Human Services and Agriculture and Historic Preservation Agency Managers, IFPE) |
| 310.TABLE D | HR-001 (Teamsters Local #700) |
| 310.TABLE E | RC-020 (Teamsters Locals #330 and #705) |
| 310.TABLE F | RC-019 (Teamsters Local #25) |
| 310.TABLE G | RC-045 (Automotive Mechanics, IFPE) |
| 310.TABLE H | RC-006 (Corrections Employees, AFSCME) |
| 310.TABLE I | RC-009 (Institutional Employees, AFSCME) |
| 310.TABLE J | RC-014 (Clerical Employees, AFSCME) |
| 310.TABLE K | RC-023 (Registered Nurses, INA) |
| 310.TABLE L | RC-008 (Boilermakers) |
| 310.TABLE M | RC-110 (Conservation Police Lodge) (Repealed) |
| 310.TABLE N | RC-010 (Professional Legal Unit, AFSCME) |
| 310.TABLE O | RC-028 (Paraprofessional Human Services Employees, AFSCME) |
| 310.TABLE P | RC-029 (Paraprofessional Investigatory and Law Enforcement Employees, Meat and Poultry Inspectors and Meat and Poultry Inspector Trainees, IFPE) |
| 310.TABLE Q | RC-061 (Conservation Police Officer Trainees and Conservation Police Officer I's and II's, Illinois Fraternal Order of Police Labor Council) |

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

| | |
|----------------|--|
| 310.TABLE R | RC-042 (Residual Maintenance Workers, AFSCME) |
| 310.TABLE S | VR-704 (Departments of Corrections, Financial and Professional Regulation, Juvenile Justice and State Police Supervisors, Laborers' – ISEA Local #2002) |
| 310.TABLE T | HR-010 (Teachers of Deaf, IFT) |
| 310.TABLE U | HR-010 (Teachers of Deaf, Extracurricular Paid Activities) |
| 310.TABLE V | CU-500 (Supervisory Employees in Corrections and Juvenile Justice, AFSCME) |
| 310.TABLE W | RC-062 (Technical Employees, AFSCME) |
| 310.TABLE X | RC-063 (Professional Employees, AFSCME) |
| 310.TABLE Y | RC-063 (Educators, Juvenile Justice School Counselors and Special Education Resources Coordinators, AFSCME) |
| 310.TABLE Z | RC-063 (Physicians, AFSCME) |
| 310.TABLE AA | NR-916 (Departments of Central Management Services, Natural Resources and Transportation, Teamsters) |
| 310.TABLE AB | RC-150 (Public Service Administrators Option 6, AFSCME) (Repealed) |
| 310.TABLE AC | RC-036 (Public Service Administrators Option 8L Department of Healthcare and Family Services, INA) |
| 310.TABLE AD | RC-184 (Blasting Experts, Blasting Specialists and Blasting Supervisors Department of Natural Resources, SEIU Local 73) |
| 310.TABLE AE | RC-090 (Internal Security Investigators, Metropolitan Alliance of Police Chapter 294) (Repealed) |
| 310.APPENDIX B | Frozen Negotiated-Rates-of-Pay (Repealed) |
| 310.TABLE A | Frozen RC-104-Rates-of-Pay (Conservation Police Supervisors, Laborers' – ISEA Local #2002) (Repealed) |
| 310.TABLE C | Frozen RC-056-Rates-of-Pay (Site Superintendents and Departments of Veterans' Affairs, Natural Resources, Human Services and Agriculture and Historic Preservation Agency Managers, IFPE) (Repealed) |
| 310.TABLE H | Frozen RC-006-Rates-of-Pay (Corrections Employees, AFSCME) (Repealed) |
| 310.TABLE I | Frozen RC-009-Rates-of-Pay (Institutional Employees, AFSCME) (Repealed) |
| 310.TABLE J | Frozen RC-014-Rates-of-Pay (Clerical Employees, AFSCME) (Repealed) |
| 310.TABLE K | Frozen RC-023-Rates-of-Pay (Registered Nurses, INA) (Repealed) |
| 310.TABLE M | Frozen RC-110-Rates-of-Pay (Conservation Police Lodge) (Repealed) |

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

| | |
|--------------------|--|
| 310.TABLE N | Frozen RC-010 (Professional Legal Unit, AFSCME) (Repealed) |
| 310.TABLE O | Frozen RC-028-Rates-of-Pay (Paraprofessional Human Services Employees, AFSCME) (Repealed) |
| 310.TABLE P | Frozen RC-029-Rates-of-Pay (Paraprofessional Investigatory and Law Enforcement Employees, IFPE) (Repealed) |
| 310.TABLE R | Frozen RC-042-Rates-of-Pay (Residual Maintenance Workers, AFSCME) (Repealed) |
| 310.TABLE S | Frozen VR-704-Rates-of-Pay (Departments of Corrections, Financial and Professional Regulation, Juvenile Justice and State Police Supervisors, Laborers' – ISEA Local #2002) (Repealed) |
| 310.TABLE T | Frozen HR-010-Rates-of-Pay (Teachers of Deaf, IFT) (Repealed) |
| 310.TABLE V | Frozen CU-500-Rates-of-Pay (Corrections Meet and Confer Employees) (Repealed) |
| 310.TABLE W | Frozen RC-062-Rates-of-Pay (Technical Employees, AFSCME) (Repealed) |
| 310.TABLE X | Frozen RC-063-Rates-of-Pay (Professional Employees, AFSCME) (Repealed) |
| 310.TABLE Y | Frozen RC-063-Rates-of-Pay (Educators and Educator Trainees, AFSCME) (Repealed) |
| 310.TABLE Z | Frozen RC-063-Rates-of-Pay (Physicians, AFSCME) (Repealed) |
| 310.TABLE AB | Frozen RC-150-Rates-of-Pay (Public Service Administrators Option 6, AFSCME) (Repealed) |
| 310.TABLE AD | Frozen RC-184-Rates-of-Pay (Public Service Administrators Option 8X Department of Natural Resources, SEIU Local 73) (Repealed) |
| 310.TABLE AE | Frozen RC-090-Rates-of-Pay (Internal Security Investigators, Metropolitan Alliance of Police Chapter 294) (Repealed) |
| 310.APPENDIX C | Comparison of Pay Grades or Salary Ranges Assigned to Classifications |
| 310.ILLUSTRATION A | Classification Comparison Flow Chart: Both Classes are Whole |
| 310.ILLUSTRATION B | Classification Comparison Flow Chart: One Class is Whole and One is Divided |
| 310.ILLUSTRATION C | Classification Comparison Flow Chart: Both Classes are Divided |
| 310.APPENDIX D | Merit Compensation System Salary Schedule |
| 310.APPENDIX E | Teaching Salary Schedule (Repealed) |
| 310.APPENDIX F | Physician and Physician Specialist Salary Schedule (Repealed) |
| 310.APPENDIX G | Broad-Band Pay Range Classes Salary Schedule |

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

AUTHORITY: Implementing and authorized by Sections 8 and 8a of the Personnel Code [20 ILCS 415].

SOURCE: Filed June 28, 1967; codified at 8 Ill. Reg. 1558; emergency amendment at 8 Ill. Reg. 1990, effective January 31, 1984, for a maximum of 150 days; amended at 8 Ill. Reg. 2440, effective February 15, 1984; emergency amendment at 8 Ill. Reg. 3348, effective March 5, 1984, for a maximum of 150 days; emergency amendment at 8 Ill. Reg. 4249, effective March 16, 1984, for a maximum of 150 days; emergency amendment at 8 Ill. Reg. 5704, effective April 16, 1984, for a maximum of 150 days; emergency amendment at 8 Ill. Reg. 7290, effective May 11, 1984, for a maximum of 150 days; amended at 8 Ill. Reg. 11299, effective June 25, 1984; emergency amendment at 8 Ill. Reg. 12616, effective July 1, 1984, for a maximum of 150 days; emergency amendment at 8 Ill. Reg. 15007, effective August 6, 1984, for a maximum of 150 days; amended at 8 Ill. Reg. 15367, effective August 13, 1984; emergency amendment at 8 Ill. Reg. 21310, effective October 10, 1984, for a maximum of 150 days; amended at 8 Ill. Reg. 21544, effective October 24, 1984; amended at 8 Ill. Reg. 22844, effective November 14, 1984; emergency amendment at 9 Ill. Reg. 1134, effective January 16, 1985, for a maximum of 150 days; amended at 9 Ill. Reg. 1320, effective January 23, 1985; amended at 9 Ill. Reg. 3681, effective March 12, 1985; emergency amendment at 9 Ill. Reg. 4163, effective March 15, 1985, for a maximum of 150 days; emergency amendment at 9 Ill. Reg. 9231, effective May 31, 1985, for a maximum of 150 days; amended at 9 Ill. Reg. 9420, effective June 7, 1985; amended at 9 Ill. Reg. 10663, effective July 1, 1985; emergency amendment at 9 Ill. Reg. 15043, effective September 24, 1985, for a maximum of 150 days; amended at 10 Ill. Reg. 3230, effective January 24, 1986; preemptory amendment at 10 Ill. Reg. 3325, effective January 22, 1986; emergency amendment at 10 Ill. Reg. 8904, effective May 13, 1986, for a maximum of 150 days; preemptory amendment at 10 Ill. Reg. 8928, effective May 13, 1986; emergency amendment at 10 Ill. Reg. 12090, effective June 30, 1986, for a maximum of 150 days; preemptory amendment at 10 Ill. Reg. 13675, effective July 31, 1986; preemptory amendment at 10 Ill. Reg. 14867, effective August 26, 1986; amended at 10 Ill. Reg. 15567, effective September 17, 1986; emergency amendment at 10 Ill. Reg. 17765, effective September 30, 1986, for a maximum of 150 days; preemptory amendment at 10 Ill. Reg. 19132, effective October 28, 1986; preemptory amendment at 10 Ill. Reg. 21097, effective December 9, 1986; amended at 11 Ill. Reg. 648, effective December 22, 1986; preemptory amendment at 11 Ill. Reg. 3363, effective February 3, 1987; preemptory amendment at 11 Ill. Reg. 4388, effective February 27, 1987; preemptory amendment at 11 Ill. Reg. 6291, effective March 23, 1987; amended at 11 Ill. Reg. 5901, effective March 24, 1987; emergency amendment at 11 Ill. Reg. 8787, effective April 15, 1987, for a maximum of 150 days; emergency amendment at 11 Ill. Reg. 11830, effective July 1, 1987, for a maximum of 150 days; preemptory amendment at 11 Ill. Reg. 13675, effective July 29, 1987; amended at 11 Ill. Reg. 14984, effective August 27, 1987; preemptory amendment at 11 Ill. Reg. 15273, effective September 1, 1987; preemptory amendment at 11 Ill. Reg. 17919,

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

effective October 19, 1987; peremptory amendment at 11 Ill. Reg. 19812, effective November 19, 1987; emergency amendment at 11 Ill. Reg. 20664, effective December 4, 1987, for a maximum of 150 days; amended at 11 Ill. Reg. 20778, effective December 11, 1987; peremptory amendment at 12 Ill. Reg. 3811, effective January 27, 1988; peremptory amendment at 12 Ill. Reg. 5459, effective March 3, 1988; amended at 12 Ill. Reg. 6073, effective March 21, 1988; emergency amendment at 12 Ill. Reg. 7734, effective April 15, 1988, for a maximum of 150 days; peremptory amendment at 12 Ill. Reg. 7783, effective April 14, 1988; peremptory amendment at 12 Ill. Reg. 8135, effective April 22, 1988; peremptory amendment at 12 Ill. Reg. 9745, effective May 23, 1988; emergency amendment at 12 Ill. Reg. 11778, effective July 1, 1988, for a maximum of 150 days; emergency amendment at 12 Ill. Reg. 12895, effective July 18, 1988, for a maximum of 150 days; peremptory amendment at 12 Ill. Reg. 13306, effective July 27, 1988; corrected at 12 Ill. Reg. 13359; amended at 12 Ill. Reg. 14630, effective September 6, 1988; amended at 12 Ill. Reg. 20449, effective November 28, 1988; peremptory amendment at 12 Ill. Reg. 20584, effective November 28, 1988; peremptory amendment at 13 Ill. Reg. 8080, effective May 10, 1989; amended at 13 Ill. Reg. 8849, effective May 30, 1989; peremptory amendment at 13 Ill. Reg. 8970, effective May 26, 1989; emergency amendment at 13 Ill. Reg. 10967, effective June 20, 1989, for a maximum of 150 days; emergency amendment expired November 17, 1989; amended at 13 Ill. Reg. 11451, effective June 28, 1989; emergency amendment at 13 Ill. Reg. 11854, effective July 1, 1989, for a maximum of 150 days; corrected at 13 Ill. Reg. 12647; peremptory amendment at 13 Ill. Reg. 12887, effective July 24, 1989; amended at 13 Ill. Reg. 16950, effective October 20, 1989; amended at 13 Ill. Reg. 19221, effective December 12, 1989; amended at 14 Ill. Reg. 615, effective January 2, 1990; peremptory amendment at 14 Ill. Reg. 1627, effective January 11, 1990; amended at 14 Ill. Reg. 4455, effective March 12, 1990; peremptory amendment at 14 Ill. Reg. 7652, effective May 7, 1990; amended at 14 Ill. Reg. 10002, effective June 11, 1990; emergency amendment at 14 Ill. Reg. 11330, effective June 29, 1990, for a maximum of 150 days; amended at 14 Ill. Reg. 14361, effective August 24, 1990; emergency amendment at 14 Ill. Reg. 15570, effective September 11, 1990, for a maximum of 150 days; emergency amendment expired February 8, 1991; corrected at 14 Ill. Reg. 16092; peremptory amendment at 14 Ill. Reg. 17098, effective September 26, 1990; amended at 14 Ill. Reg. 17189, effective October 2, 1990; amended at 14 Ill. Reg. 17189, effective October 19, 1990; amended at 14 Ill. Reg. 18719, effective November 13, 1990; peremptory amendment at 14 Ill. Reg. 18854, effective November 13, 1990; peremptory amendment at 15 Ill. Reg. 663, effective January 7, 1991; amended at 15 Ill. Reg. 3296, effective February 14, 1991; amended at 15 Ill. Reg. 4401, effective March 11, 1991; peremptory amendment at 15 Ill. Reg. 5100, effective March 20, 1991; peremptory amendment at 15 Ill. Reg. 5465, effective April 2, 1991; emergency amendment at 15 Ill. Reg. 10485, effective July 1, 1991, for a maximum of 150 days; amended at 15 Ill. Reg. 11080, effective July 19, 1991; amended at 15 Ill. Reg. 13080, effective August 21, 1991; amended at 15 Ill. Reg. 14210, effective September 23, 1991; emergency amendment at 16 Ill. Reg. 711, effective December 26,

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

1991, for a maximum of 150 days; amended at 16 Ill. Reg. 3450, effective February 20, 1992; preemptory amendment at 16 Ill. Reg. 5068, effective March 11, 1992; preemptory amendment at 16 Ill. Reg. 7056, effective April 20, 1992; emergency amendment at 16 Ill. Reg. 8239, effective May 19, 1992, for a maximum of 150 days; amended at 16 Ill. Reg. 8382, effective May 26, 1992; emergency amendment at 16 Ill. Reg. 13950, effective August 19, 1992, for a maximum of 150 days; emergency amendment at 16 Ill. Reg. 14452, effective September 4, 1992, for a maximum of 150 days; amended at 17 Ill. Reg. 238, effective December 23, 1992; preemptory amendment at 17 Ill. Reg. 498, effective December 18, 1992; amended at 17 Ill. Reg. 590, effective January 4, 1993; amended at 17 Ill. Reg. 1819, effective February 2, 1993; amended at 17 Ill. Reg. 6441, effective April 8, 1993; emergency amendment at 17 Ill. Reg. 12900, effective July 22, 1993, for a maximum of 150 days; amended at 17 Ill. Reg. 13409, effective July 29, 1993; emergency amendment at 17 Ill. Reg. 13789, effective August 9, 1993, for a maximum of 150 days; emergency amendment at 17 Ill. Reg. 14666, effective August 26, 1993, for a maximum of 150 days; amended at 17 Ill. Reg. 19103, effective October 25, 1993; emergency amendment at 17 Ill. Reg. 21858, effective December 1, 1993, for a maximum of 150 days; amended at 17 Ill. Reg. 22514, effective December 15, 1993; amended at 18 Ill. Reg. 227, effective December 17, 1993; amended at 18 Ill. Reg. 1107, effective January 18, 1994; amended at 18 Ill. Reg. 5146, effective March 21, 1994; preemptory amendment at 18 Ill. Reg. 9562, effective June 13, 1994; emergency amendment at 18 Ill. Reg. 11299, effective July 1, 1994, for a maximum of 150 days; preemptory amendment at 18 Ill. Reg. 13476, effective August 17, 1994; emergency amendment at 18 Ill. Reg. 14417, effective September 9, 1994, for a maximum of 150 days; amended at 18 Ill. Reg. 16545, effective October 31, 1994; preemptory amendment at 18 Ill. Reg. 16708, effective October 28, 1994; amended at 18 Ill. Reg. 17191, effective November 21, 1994; amended at 19 Ill. Reg. 1024, effective January 24, 1995; preemptory amendment at 19 Ill. Reg. 2481, effective February 17, 1995; preemptory amendment at 19 Ill. Reg. 3073, effective February 17, 1995; amended at 19 Ill. Reg. 3456, effective March 7, 1995; preemptory amendment at 19 Ill. Reg. 5145, effective March 14, 1995; amended at 19 Ill. Reg. 6452, effective May 2, 1995; preemptory amendment at 19 Ill. Reg. 6688, effective May 1, 1995; amended at 19 Ill. Reg. 7841, effective June 1, 1995; amended at 19 Ill. Reg. 8156, effective June 12, 1995; amended at 19 Ill. Reg. 9096, effective June 27, 1995; emergency amendment at 19 Ill. Reg. 11954, effective August 1, 1995, for a maximum of 150 days; preemptory amendment at 19 Ill. Reg. 13979, effective September 19, 1995; preemptory amendment at 19 Ill. Reg. 15103, effective October 12, 1995; amended at 19 Ill. Reg. 16160, effective November 28, 1995; amended at 20 Ill. Reg. 308, effective December 22, 1995; emergency amendment at 20 Ill. Reg. 4060, effective February 27, 1996, for a maximum of 150 days; preemptory amendment at 20 Ill. Reg. 6334, effective April 22, 1996; preemptory amendment at 20 Ill. Reg. 7434, effective May 14, 1996; amended at 20 Ill. Reg. 8301, effective June 11, 1996; amended at 20 Ill. Reg. 8657, effective June 20, 1996; amended at 20 Ill. Reg. 9006, effective June 26, 1996; amended at 20 Ill. Reg. 9925, effective July 10, 1996; emergency amendment at 20 Ill. Reg.

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

10213, effective July 15, 1996, for a maximum of 150 days; amended at 20 Ill. Reg. 10841, effective August 5, 1996; preemptory amendment at 20 Ill. Reg. 13408, effective September 24, 1996; amended at 20 Ill. Reg. 15018, effective November 7, 1996; preemptory amendment at 20 Ill. Reg. 15092, effective November 7, 1996; emergency amendment at 21 Ill. Reg. 1023, effective January 6, 1997, for a maximum of 150 days; amended at 21 Ill. Reg. 1629, effective January 22, 1997; amended at 21 Ill. Reg. 5144, effective April 15, 1997; amended at 21 Ill. Reg. 6444, effective May 15, 1997; amended at 21 Ill. Reg. 7118, effective June 3, 1997; emergency amendment at 21 Ill. Reg. 10061, effective July 21, 1997, for a maximum of 150 days; emergency amendment at 21 Ill. Reg. 12859, effective September 8, 1997, for a maximum of 150 days; preemptory amendment at 21 Ill. Reg. 14267, effective October 14, 1997; preemptory amendment at 21 Ill. Reg. 14589, effective October 15, 1997; preemptory amendment at 21 Ill. Reg. 15030, effective November 10, 1997; amended at 21 Ill. Reg. 16344, effective December 9, 1997; preemptory amendment at 21 Ill. Reg. 16465, effective December 4, 1997; preemptory amendment at 21 Ill. Reg. 17167, effective December 9, 1997; preemptory amendment at 22 Ill. Reg. 1593, effective December 22, 1997; amended at 22 Ill. Reg. 2580, effective January 14, 1998; preemptory amendment at 22 Ill. Reg. 4326, effective February 13, 1998; preemptory amendment at 22 Ill. Reg. 5108, effective February 26, 1998; preemptory amendment at 22 Ill. Reg. 5749, effective March 3, 1998; amended at 22 Ill. Reg. 6204, effective March 12, 1998; preemptory amendment at 22 Ill. Reg. 7053, effective April 1, 1998; preemptory amendment at 22 Ill. Reg. 7320, effective April 10, 1998; preemptory amendment at 22 Ill. Reg. 7692, effective April 20, 1998; emergency amendment at 22 Ill. Reg. 12607, effective July 2, 1998, for a maximum of 150 days; preemptory amendment at 22 Ill. Reg. 15489, effective August 7, 1998; amended at 22 Ill. Reg. 16158, effective August 31, 1998; preemptory amendment at 22 Ill. Reg. 19105, effective September 30, 1998; preemptory amendment at 22 Ill. Reg. 19943, effective October 27, 1998; preemptory amendment at 22 Ill. Reg. 20406, effective November 5, 1998; amended at 22 Ill. Reg. 20581, effective November 16, 1998; amended at 23 Ill. Reg. 664, effective January 1, 1999; preemptory amendment at 23 Ill. Reg. 730, effective December 29, 1998; emergency amendment at 23 Ill. Reg. 6533, effective May 10, 1999, for a maximum of 150 days; amended at 23 Ill. Reg. 7065, effective June 3, 1999; emergency amendment at 23 Ill. Reg. 8169, effective July 1, 1999, for a maximum of 150 days; amended at 23 Ill. Reg. 11020, effective August 26, 1999; amended at 23 Ill. Reg. 12429, effective September 21, 1999; preemptory amendment at 23 Ill. Reg. 12493, effective September 23, 1999; amended at 23 Ill. Reg. 12604, effective September 24, 1999; amended at 23 Ill. Reg. 13053, effective September 27, 1999; preemptory amendment at 23 Ill. Reg. 13132, effective October 1, 1999; amended at 23 Ill. Reg. 13570, effective October 26, 1999; amended at 23 Ill. Reg. 14020, effective November 15, 1999; amended at 24 Ill. Reg. 1025, effective January 7, 2000; preemptory amendment at 24 Ill. Reg. 3399, effective February 3, 2000; amended at 24 Ill. Reg. 3537, effective February 18, 2000; amended at 24 Ill. Reg. 6874, effective April 21, 2000; amended at 24 Ill. Reg. 7956, effective May 23, 2000; emergency amendment at 24 Ill. Reg. 10328, effective

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

July 1, 2000, for a maximum of 150 days; emergency expired November 27, 2000; preemptory amendment at 24 Ill. Reg. 10767, effective July 3, 2000; amended at 24 Ill. Reg. 13384, effective August 17, 2000; preemptory amendment at 24 Ill. Reg. 14460, effective September 14, 2000; preemptory amendment at 24 Ill. Reg. 16700, effective October 30, 2000; preemptory amendment at 24 Ill. Reg. 17600, effective November 16, 2000; amended at 24 Ill. Reg. 18058, effective December 4, 2000; preemptory amendment at 24 Ill. Reg. 18444, effective December 1, 2000; amended at 25 Ill. Reg. 811, effective January 4, 2001; amended at 25 Ill. Reg. 2389, effective January 22, 2001; amended at 25 Ill. Reg. 4552, effective March 14, 2001; preemptory amendment at 25 Ill. Reg. 5067, effective March 21, 2001; amended at 25 Ill. Reg. 5618, effective April 4, 2001; amended at 25 Ill. Reg. 6655, effective May 11, 2001; amended at 25 Ill. Reg. 7151, effective May 25, 2001; preemptory amendment at 25 Ill. Reg. 8009, effective June 14, 2001; emergency amendment at 25 Ill. Reg. 9336, effective July 3, 2001, for a maximum of 150 days; amended at 25 Ill. Reg. 9846, effective July 23, 2001; amended at 25 Ill. Reg. 12087, effective September 6, 2001; amended at 25 Ill. Reg. 15560, effective November 20, 2001; preemptory amendment at 25 Ill. Reg. 15671, effective November 15, 2001; amended at 25 Ill. Reg. 15974, effective November 28, 2001; emergency amendment at 26 Ill. Reg. 223, effective December 21, 2001, for a maximum of 150 days; amended at 26 Ill. Reg. 1143, effective January 17, 2002; amended at 26 Ill. Reg. 4127, effective March 5, 2002; preemptory amendment at 26 Ill. Reg. 4963, effective March 15, 2002; amended at 26 Ill. Reg. 6235, effective April 16, 2002; emergency amendment at 26 Ill. Reg. 7314, effective April 29, 2002, for a maximum of 150 days; amended at 26 Ill. Reg. 10425, effective July 1, 2002; emergency amendment at 26 Ill. Reg. 10952, effective July 1, 2002, for a maximum of 150 days; amended at 26 Ill. Reg. 13934, effective September 10, 2002; amended at 26 Ill. Reg. 14965, effective October 7, 2002; emergency amendment at 26 Ill. Reg. 16583, effective October 24, 2002, for a maximum of 150 days; emergency expired March 22, 2003; preemptory amendment at 26 Ill. Reg. 17280, effective November 18, 2002; amended at 26 Ill. Reg. 17374, effective November 25, 2002; amended at 26 Ill. Reg. 17987, effective December 9, 2002; amended at 27 Ill. Reg. 3261, effective February 11, 2003; expedited correction at 28 Ill. Reg. 6151, effective February 11, 2003; amended at 27 Ill. Reg. 8855, effective May 15, 2003; amended at 27 Ill. Reg. 9114, effective May 27, 2003; emergency amendment at 27 Ill. Reg. 10442, effective July 1, 2003, for a maximum of 150 days; emergency expired November 27, 2003; preemptory amendment at 27 Ill. Reg. 17433, effective November 7, 2003; amended at 27 Ill. Reg. 18560, effective December 1, 2003; preemptory amendment at 28 Ill. Reg. 1441, effective January 9, 2004; amended at 28 Ill. Reg. 2684, effective January 22, 2004; amended at 28 Ill. Reg. 6879, effective April 30, 2004; preemptory amendment at 28 Ill. Reg. 7323, effective May 10, 2004; amended at 28 Ill. Reg. 8842, effective June 11, 2004; preemptory amendment at 28 Ill. Reg. 9717, effective June 28, 2004; amended at 28 Ill. Reg. 12585, effective August 27, 2004; preemptory amendment at 28 Ill. Reg. 13011, effective September 8, 2004; preemptory amendment at 28 Ill. Reg. 13247, effective September 20, 2004; preemptory amendment at 28 Ill. Reg. 13656, effective September

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

27, 2004; emergency amendment at 28 Ill. Reg. 14174, effective October 15, 2004, for a maximum of 150 days; emergency expired March 13, 2005; preemptory amendment at 28 Ill. Reg. 14689, effective October 22, 2004; preemptory amendment at 28 Ill. Reg. 15336, effective November 15, 2004; preemptory amendment at 28 Ill. Reg. 16513, effective December 9, 2004; preemptory amendment at 29 Ill. Reg. 726, effective December 15, 2004; amended at 29 Ill. Reg. 1166, effective January 7, 2005; preemptory amendment at 29 Ill. Reg. 1385, effective January 4, 2005; preemptory amendment at 29 Ill. Reg. 1559, effective January 11, 2005; preemptory amendment at 29 Ill. Reg. 2050, effective January 19, 2005; preemptory amendment at 29 Ill. Reg. 4125, effective February 23, 2005; amended at 29 Ill. Reg. 5375, effective April 4, 2005; preemptory amendment at 29 Ill. Reg. 6105, effective April 14, 2005; preemptory amendment at 29 Ill. Reg. 7217, effective May 6, 2005; preemptory amendment at 29 Ill. Reg. 7840, effective May 10, 2005; amended at 29 Ill. Reg. 8110, effective May 23, 2005; preemptory amendment at 29 Ill. Reg. 8214, effective May 23, 2005; preemptory amendment at 29 Ill. Reg. 8418, effective June 1, 2005; amended at 29 Ill. Reg. 9319, effective July 1, 2005; preemptory amendment at 29 Ill. Reg. 12076, effective July 15, 2005; preemptory amendment at 29 Ill. Reg. 13265, effective August 11, 2005; amended at 29 Ill. Reg. 13540, effective August 22, 2005; preemptory amendment at 29 Ill. Reg. 14098, effective September 2, 2005; amended at 29 Ill. Reg. 14166, effective September 9, 2005; amended at 29 Ill. Reg. 19551, effective November 21, 2005; emergency amendment at 29 Ill. Reg. 20554, effective December 2, 2005, for a maximum of 150 days; preemptory amendment at 29 Ill. Reg. 20693, effective December 12, 2005; preemptory amendment at 30 Ill. Reg. 623, effective December 28, 2005; preemptory amendment at 30 Ill. Reg. 1382, effective January 13, 2006; amended at 30 Ill. Reg. 2289, effective February 6, 2006; preemptory amendment at 30 Ill. Reg. 4157, effective February 22, 2006; preemptory amendment at 30 Ill. Reg. 5687, effective March 7, 2006; preemptory amendment at 30 Ill. Reg. 6409, effective March 30, 2006; amended at 30 Ill. Reg. 7857, effective April 17, 2006; amended at 30 Ill. Reg. 9438, effective May 15, 2006; preemptory amendment at 30 Ill. Reg. 10153, effective May 18, 2006; preemptory amendment at 30 Ill. Reg. 10508, effective June 1, 2006; amended at 30 Ill. Reg. 11336, effective July 1, 2006; emergency amendment at 30 Ill. Reg. 12340, effective July 1, 2006, for a maximum of 150 days; preemptory amendment at 30 Ill. Reg. 12418, effective July 1, 2006; amended at 30 Ill. Reg. 12761, effective July 17, 2006; preemptory amendment at 30 Ill. Reg. 13547, effective August 1, 2006; preemptory amendment at 30 Ill. Reg. 15059, effective September 5, 2006; preemptory amendment at 30 Ill. Reg. 16439, effective September 27, 2006; emergency amendment at 30 Ill. Reg. 16626, effective October 3, 2006, for a maximum of 150 days; preemptory amendment at 30 Ill. Reg. 17603, effective October 20, 2006; amended at 30 Ill. Reg. 18610, effective November 20, 2006; preemptory amendment at 30 Ill. Reg. 18823, effective November 21, 2006; preemptory amendment at 31 Ill. Reg. 230, effective December 20, 2006; emergency amendment at 31 Ill. Reg. 1483, effective January 1, 2007, for a maximum of 150 days; preemptory amendment at 31 Ill. Reg. 2485, effective January 17, 2007; preemptory amendment at 31 Ill. Reg. 4445, effective February 28,

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

2007; amended at 31 Ill. Reg. 4982, effective March 15, 2007; preemptory amendment at 31 Ill. Reg. 7338, effective May 3, 2007; amended at 31 Ill. Reg. 8901, effective July 1, 2007; emergency amendment at 31 Ill. Reg. 10056, effective July 1, 2007, for a maximum of 150 days; preemptory amendment at 31 Ill. Reg. 10496, effective July 6, 2007; preemptory amendment at 31 Ill. Reg. 12335, effective August 9, 2007; emergency amendment at 31 Ill. Reg. 12608, effective August 16, 2007, for a maximum of 150 days; emergency amendment at 31 Ill. Reg. 13220, effective August 30, 2007, for a maximum of 150 days; preemptory amendment at 31 Ill. Reg. 13357, effective August 29, 2007; amended at 31 Ill. Reg. 13981, effective September 21, 2007; preemptory amendment at 31 Ill. Reg. 14331, effective October 1, 2007; amended at 31 Ill. Reg. 16094, effective November 20, 2007; amended at 31 Ill. Reg. 16792, effective December 13, 2007; preemptory amendment at 32 Ill. Reg. 598, effective December 27, 2007; amended at 32 Ill. Reg. 1082, effective January 11, 2008; preemptory amendment at 32 Ill. Reg. 3095, effective February 13, 2008; preemptory amendment at 32 Ill. Reg. 6097, effective March 25, 2008; preemptory amendment at 32 Ill. Reg. 7154, effective April 17, 2008; expedited correction at 32 Ill. Reg. 9747, effective April 17, 2008; preemptory amendment at 32 Ill. Reg. 9360, effective June 13, 2008; amended at 32 Ill. Reg. 9881, effective July 1, 2008; preemptory amendment at 32 Ill. Reg. 12065, effective July 9, 2008; preemptory amendment at 32 Ill. Reg. 13861, effective August 8, 2008; preemptory amendment at 32 Ill. Reg. 16591, effective September 24, 2008; preemptory amendment at 32 Ill. Reg. 16872, effective October 3, 2008; preemptory amendment at 32 Ill. Reg. 18324, effective November 14, 2008; preemptory amendment at 33 Ill. Reg. 98, effective December 19, 2008; amended at 33 Ill. Reg. 2148, effective January 26, 2009; preemptory amendment at 33 Ill. Reg. 3530, effective February 6, 2009; preemptory amendment at 33 Ill. Reg. 4202, effective February 26, 2009; preemptory amendment at 33 Ill. Reg. 5501, effective March 25, 2009; preemptory amendment at 33 Ill. Reg. 6354, effective April 15, 2009; preemptory amendment at 33 Ill. Reg. 6724, effective May 1, 2009; preemptory amendment at 33 Ill. Reg. 9138, effective June 12, 2009; emergency amendment at 33 Ill. Reg. 9432, effective July 1, 2009, for a maximum of 150 days; amended at 33 Ill. Reg. 10211, effective July 1, 2009; preemptory amendment at 33 Ill. Reg. 10823, effective July 2, 2009; preemptory amendment at 33 Ill. Reg. 11082, effective July 10, 2009; preemptory amendment at 33 Ill. Reg. 11698, effective July 23, 2009; preemptory amendment at 33 Ill. Reg. 11895, effective July 31, 2009; preemptory amendment at 33 Ill. Reg. 12872, effective September 3, 2009; amended at 33 Ill. Reg. 14944, effective October 26, 2009; preemptory amendment at 33 Ill. Reg. 16598, effective November 13, 2009; preemptory amendment at 34 Ill. Reg. 305, effective December 18, 2009; emergency amendment at 34 Ill. Reg. 957, effective January 1, 2010, for a maximum of 150 days; preemptory amendment at 34 Ill. Reg. 1425, effective January 5, 2010; preemptory amendment at 34 Ill. Reg. 3684, effective March 5, 2010; preemptory amendment at 34 Ill. Reg. 5776, effective April 2, 2010; preemptory amendment at 34 Ill. Reg. 6214, effective April 16, 2010; amended at 34 Ill. Reg. 6583, effective April 30, 2010; preemptory amendment at 34 Ill. Reg. 7528, effective May 14, 2010; amended at 34 Ill.

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

Reg. 7645, effective May 24, 2010; preemptory amendment at 34 Ill. Reg. 7947, effective May 26, 2010; preemptory amendment at 34 Ill. Reg. 8633, effective June 18, 2010; amended at 34 Ill. Reg. 9759, effective July 1, 2010; preemptory amendment at 34 Ill. Reg. 10536, effective July 9, 2010; preemptory amendment at 34 Ill. Reg. 11864, effective July 30, 2010; emergency amendment at 34 Ill. Reg. 12240, effective August 9, 2010, for a maximum of 150 days; preemptory amendment at 34 Ill. Reg. 13204, effective August 26, 2010; preemptory amendment at 34 Ill. Reg. 13657, effective September 8, 2010; preemptory amendment at 34 Ill. Reg. 15897, effective September 30, 2010; preemptory amendment at 34 Ill. Reg. 18912, effective November 15, 2010; preemptory amendment at 34 Ill. Reg. 19582, effective December 3, 2010; amended at 35 Ill. Reg. 765, effective December 30, 2010; emergency amendment at 35 Ill. Reg. 1092, effective January 1, 2011, for a maximum of 150 days; preemptory amendment at 35 Ill. Reg. 2465, effective January 19, 2011; preemptory amendment at 35 Ill. Reg. 3577, effective February 10, 2011; emergency amendment at 35 Ill. Reg. 4412, effective February 23, 2011, for a maximum of 150 days; preemptory amendment at 35 Ill. Reg. 4803, effective March 11, 2011; emergency amendment at 35 Ill. Reg. 5633, effective March 15, 2011, for a maximum of 150 days; preemptory amendment at 35 Ill. Reg. 5677, effective March 18, 2011; amended at 35 Ill. Reg. 8419, effective May 23, 2011; amended at 35 Ill. Reg. 11245, effective June 28, 2011; emergency amendment at 35 Ill. Reg. 11657, effective July 1, 2011, for a maximum of 150 days; emergency expired November 27, 2011; preemptory amendment at 35 Ill. Reg. 12119, effective June 29, 2011; preemptory amendment at 35 Ill. Reg. 13966, effective July 29, 2011; preemptory amendment at 35 Ill. Reg. 15178, effective August 29, 2011; emergency amendment at 35 Ill. Reg. 15605, effective September 16, 2011, for a maximum of 150 days; preemptory amendment at 35 Ill. Reg. 15640, effective September 15, 2011; preemptory amendment at 35 Ill. Reg. 19707, effective November 23, 2011; amended at 35 Ill. Reg. 20144, effective December 6, 2011; amended at 36 Ill. Reg. 153, effective December 22, 2011; preemptory amendment at 36 Ill. Reg. 564, effective December 29, 2011; preemptory amendment at 36 Ill. Reg. 3957, effective February 24, 2012; preemptory amendment at 36 Ill. Reg. 4158, effective March 5, 2012; preemptory amendment at 36 Ill. Reg. 4437, effective March 9, 2012; amended at 36 Ill. Reg. 4707, effective March 19, 2012; amended at 36 Ill. Reg. 8460, effective May 24, 2012; preemptory amendment at 36 Ill. Reg. 10518, effective June 27, 2012; emergency amendment at 36 Ill. Reg. 11222, effective July 1, 2012, for a maximum of 150 days; preemptory amendment at 36 Ill. Reg. 13680, effective August 15, 2012; preemptory amendment at 36 Ill. Reg. 13973, effective August 22, 2012; preemptory amendment at 36 Ill. Reg. 15498, effective October 16, 2012; amended at 36 Ill. Reg. 16213, effective November 1, 2012; preemptory amendment at 36 Ill. Reg. 17138, effective November 20, 2012; preemptory amendment at 37 Ill. Reg. 3408, effective March 7, 2013; amended at 37 Ill. Reg. 4750, effective April 1, 2013; preemptory amendment at 37 Ill. Reg. 5925, effective April 18, 2013; preemptory amendment at 37 Ill. Reg. 9563, effective June 19, 2013; amended at 37 Ill. Reg. 9939, effective July 1, 2013; emergency amendment at 37 Ill. Reg. 11395, effective July 1, 2013, for a maximum of 150 days;

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

peremptory amendment at 37 Ill. Reg. 11524, effective July 3, 2013; peremptory amendment at 37 Ill. Reg. 12588, effective July 19, 2013; peremptory amendment at 37 Ill. Reg. 13762, effective August 8, 2013; peremptory amendment at 37 Ill. Reg. 14219, effective August 23, 2013; amended at 37 Ill. Reg. 16925, effective October 8, 2013; peremptory amendment at 37 Ill. Reg. 17164, effective October 18, 2013; peremptory amendment at 37 Ill. Reg. 20410, effective December 6, 2013; peremptory amendment at 38 Ill. Reg. 2974, effective January 9, 2014; amended at 38 Ill. Reg. 5250, effective February 4, 2014; peremptory amendment at 38 Ill. Reg. 6725, effective March 6, 2014; emergency amendment at 38 Ill. Reg. 9080, effective April 11, 2014, for a maximum of 150 days; peremptory amendment at 38 Ill. Reg. 9136, effective April 11, 2014; amended at 38 Ill. Reg. 9207, effective April 21, 2014; peremptory amendment at 38 Ill. Reg. 13416, effective June 11, 2014; amended at 38 Ill. Reg. 14818, effective July 1, 2014; peremptory amendment at 38 Ill. Reg. 15739, effective July 2, 2014; peremptory amendment at 38 Ill. Reg. 17481, effective July 29, 2014; amended at 38 Ill. Reg. 17556, effective August 6, 2014; peremptory amendment at 38 Ill. Reg. 18791, effective August 26, 2014; peremptory amendment at 38 Ill. Reg. 19806, effective September 26, 2014; amended at 38 Ill. Reg. 20695, effective October 14, 2014; amended at 38 Ill. Reg. 24005, effective December 9, 2014; peremptory amendment at 39 Ill. Reg. 728, effective December 23, 2014; emergency amendment at 39 Ill. Reg. 708, effective December 26, 2014, for a maximum of 150 days; peremptory amendment at 39 Ill. Reg. 6964, effective April 29, 2015; amended at 39 Ill. Reg. 7878, effective May 22, 2015; amended at 39 Ill. Reg. 11220, effective July 28, 2015; peremptory amendment at 39 Ill. Reg. 12004, effective August 13, 2015; peremptory amendment at 39 Ill. Reg. 15807, effective November 25, 2015; amended at 40 Ill. Reg. 5893, effective March 28, 2016; peremptory amendment at 40 Ill. Reg. 8462, effective June 1, 2016; peremptory amendment at 40 Ill. Reg. 9658, effective June 30, 2016; amended at 40 Ill. Reg. 9356, effective July 1, 2016; peremptory amendment at 40 Ill. Reg. 11207, effective August 5, 2016; peremptory amendment at 41 Ill. Reg. 1210, effective January 19, 2017; amended at 41 Ill. Reg. 1695, effective January 25, 2017; peremptory amendment at 41 Ill. Reg. 2078, effective February 2, 2017; amended at 41 Ill. Reg. 3191, effective March 6, 2017; amended at 41 Ill. Reg. 4615, effective April 24, 2017; peremptory amendment at 41 Ill. Reg. 5822, effective May 15, 2017; peremptory amendment at 41 Ill. Reg. 6695, effective May 24, 2017; peremptory amendment at 41 Ill. Reg. 7227, effective June 9, 2017; amended at 41 Ill. Reg. 8314, effective July 1, 2017; peremptory amendment at 41 Ill. Reg. 10974, effective August 10, 2017; peremptory amendment at 41 Ill. Reg. 11447, effective August 25, 2017; peremptory amendment at 41 Ill. Reg. 12179, effective September 13, 2017; peremptory amendment at 41 Ill. Reg. 15837, effective December 12, 2017; amended at 42 Ill. Reg. 712, effective December 28, 2017; amended at 42 Ill. Reg. 5357, effective March 9, 2018; peremptory amendment at 42 Ill. Reg. 8967, effective May 16, 2018; amended at 42 Ill. Reg. 13464, effective July 1, 2018; amended at 42 Ill. Reg. 16651, effective September 4, 2018; peremptory amendment at 43 Ill. Reg. 3999, effective March 15, 2019; amended at 43 Ill. Reg. 8746, effective July 31, 2019; peremptory amendment at 43 Ill. Reg. 9886, effective August 21,

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

2019; peremptory amendment at 43 Ill. Reg. 10811, effective September 20, 2019; peremptory amendment at 43 Ill. Reg. 11734, effective September 27, 2019; peremptory amendment at 43 Ill. Reg. 12119, effective October 8, 2019; peremptory amendment at 43 Ill. Reg. 13031, effective October 25, 2019; amended by emergency rulemaking at 43 Ill. Reg. 14216, effective November 22, 2019, for a maximum of 150 days; amended at 44 Ill. Reg. 1819, effective January 1, 2020.

SUBPART B: SCHEDULE OF RATES

Section 310.260 Trainee Rate

Rates of pay for employees working in classes pursuant to a Trainee Program (80 Ill. Adm. Code 302.170) shall conform to those set forth in negotiated pay grades within Negotiated Rates of Pay (Appendix A) unless the rate is red-circled (Section 310.220(e)) or salary ranges within the Merit Compensation System Salary Schedule (Appendix D). The process of assigning merit compensation salary ranges to Trainee Program classifications is in Section 310.415. The Trainee Program classifications are:

| Title | Title Code | Negotiated Pay Grade | Merit Compensation Salary Range |
|---|------------|----------------------|---------------------------------|
| Account Technician Trainee | 00118 | None | MS-04 |
| Accounting and Fiscal Administration Career Trainee | 00140 | RC-062-12 | MS-09 |
| Actuarial Examiner Trainee | 00196 | RC-062-13 | MS-10 |
| Administrative Services Worker Trainee | 00600 | RC-014-02 | MS-02 |
| Animal and Animal Products Investigator Trainee | 01075 | None | MS-09 |
| Appraisal Specialist Trainee | 01255 | None | MS-09 |
| Arson Investigations Trainee | 01485 | None | MS-12 |
| Behavioral Analyst Associate | 04355 | RC-062-15 | MS-12 |
| Child Support Specialist Trainee | 07200 | RC-062-12 | MS-09 |
| Children and Family Service Intern, Option 1 | 07241 | RC-062-12 | MS-09 |
| Children and Family Service Intern, Option 2 | 07242 | RC-062-15 | MS-12 |

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

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|---|-------|---|-----------------------|
| Civil Engineer Trainee | 07607 | NR-916 | MS-16 |
| Clerical Trainee | 08050 | RC-014-TR | MS-01 |
| Clinical Laboratory Technologist Trainee | 08229 | RC-062-14 | MS-11 |
| Clinical Psychology Associate | 08255 | RC-063-18 | MS-19 |
| Commerce Commission Police Officer Trainee | 08455 | None | MS-10 |
| Conservation Police Officer Trainee | 09345 | RC-061 | MS-06 |
| Correctional Officer Trainee | 09676 | RC-006-05 | MS-08 |
| Corrections Nurse Trainee | 09836 | RC-023-17 | MS-16 |
| Corrections Treatment Officer Trainee | 09866 | RC-006-11 | MS-13 |
| Criminal Justice Specialist Trainee | 10236 | RC-062-13 | MS-10 |
| Data Processing Operator Trainee | 11428 | RC-014-02 | MS-02 |
| Data Processing Technician Trainee | 11443 | RC-028-06 | MS-04 |
| Disability Claims Adjudicator Trainee | 12539 | RC-062-13 | MS-10 |
| Economist Associate | 12940 | None | MS-12 |
| Economic Development Representative Trainee | 12939 | None | MS-10 |
| Educator Intern | 13135 | None | MS-10 |
| Energy and Natural Resources Specialist Trainee | 13715 | RC-062-12 | MS-09 |
| Environmental Health Specialist I | 13768 | RC-062-14 | MS-11 |
| Financial Institutions Examiner Trainee | 14978 | RC-062-13 | MS-10 |
| Fingerprint Technician Trainee | 15209 | None | MS-05 |
| Fire Prevention Inspector Trainee | 15320 | RC-029-12 RC-062-11 Pending | MS-10 |
| Firearms Eligibility Analyst Trainee | 15375 | Negotiations | MS-08 |
| Forensic Scientist Trainee | 15897 | RC-062-15 | MS-12 |
| Gaming Special Agent Trainee | 17195 | RC-062-14 | MS-11 |

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

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| Geographic Information Trainee | 17276 | RC-063-15 | MS-12 |
| Governmental Career Trainee | 17325 | None | MS-09 |
| Graduate Pharmacist | 17345 | RC-063-20 | MS-23 |
| Hearing and Speech Associate | 18231 | RC-063-18 | MS-19 |
| Human Resources Trainee | 19694 | RC-014-07 | MS-04 |
| Human Rights Investigator Trainee | 19768 | None | MS-09 |
| Human Services Grants Coordinator Trainee | 19796 | RC-062-12 | MS-09 |
| Industrial Services Consultant Trainee | 21125 | RC-062-11 | MS-08 |
| Industrial Services Hygienist Trainee | 21133 | RC-062-12 | MS-09 |
| Information Services Intern | 21160 | RC-063-15 | MS-12 |
| Insurance Analyst Trainee | 21566 | RC-014-07 | MS-04 |
| Insurance Company Financial Examiner Trainee | 21610 | RC-062-13 | MS-10 |
| Insurance Performance Examiner Trainee | 21680 | None | MS-09 |
| Internal Auditor Trainee | 21726 | None | MS-09 |
| Juvenile Justice Specialist Intern | 21976 | RC-006-11 | MS-13 |
| Land Reclamation Specialist Trainee | 23137 | None | MS-09 |
| Liability Claims Adjuster Trainee | 23375 | None | MS-09 |
| Life Sciences Career Trainee | 23600 | RC-062-12 | MS-09 |
| Management Operations Analyst Trainee | 25545 | None | MS-12 |
| Manpower Planner Trainee | 25597 | RC-062-12 RC-029-09 | MS-09 |
| Meat and Poultry Inspector Trainee | 26075 | RC-029 | MS-07 |
| Medicaid Management Intern | 26305 | None | MS-13 |
| Mental Health Administrator Trainee | 26817 | RC-062-16 | MS-14 MS-12 |
| Mental Health Specialist Trainee | 26928 | RC-062-11 | MS-08 |
| Mental Health Technician Trainee | 27020 | RC-009-01 | MS-03 |

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

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| Methods and Procedures Career Associate Trainee | 27137 | RC-062-09 | MS-06 |
| Natural Resources Coordinator Trainee | 28830 | None | MS-09 |
| Office Occupations Trainee | 30075 | None | MS-01 |
| Polygraph Examiner Trainee | 33005 | None | MS-12 |
| Products and Standards Inspector Trainee | 34605 | None | MS-09 |
| Program Integrity Auditor Trainee | 34635 | RC-062-12 | MS-09 |
| Psychologist Associate | 35626 | RC-063-15 | MS-12 |
| Psychology Intern | 35660 | None | MS-15 |
| Public Administration Intern | 35700 | None | MS-11 |
| Public Aid Investigator Trainee | 35874 | RC-062-14 | MS-11 |
| Public Health Program Specialist Trainee | 36615 | RC-062-12 | MS-09 |
| Public Safety Inspector Trainee | 37010 | RC-062-10 | MS-07 |
| Rehabilitation Counselor Trainee | 38159 | RC-062-15 | MS-12 |
| Rehabilitation/Mobility Instructor Trainee | 38167 | RC-063-15 | MS-12 |
| Research Fellow, Option B | 38211 | None | MS-19 |
| Resident Physician | 38270 | None | MS-15 |
| Residential Care Worker Trainee | 38279 | RC-009-11 RC-062-10 | MS-05 |
| Retirement Benefits Representative Trainee | 38316 | None | MS-07 |
| Revenue Auditor Trainee (IL) | 38375 | RC-062-12 | MS-09 |
| Revenue Auditor Trainee (states other than IL and not assigned to RC-062-15) | 38375 | RC-062-13 | MS-09 |
| Revenue Auditor Trainee (see Note in Appendix A Table W) | 38375 | RC-062-15 | MS-09 |
| Revenue Collection Officer Trainee | 38405 | RC-062-12 | MS-09 |
| Revenue Special Agent Trainee | 38565 | RC-062-14 | MS-11 |
| Revenue Tax Specialist Trainee | 38575 | RC-062-10 | MS-07 |

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

| | | | |
|---|-------|------------------------|-------|
| Security Therapy Aide Trainee | 39905 | RC-009-13 | MS-06 |
| Seed Analyst Trainee | 39953 | None | MS-07 |
| Social Service Aide Trainee | 41285 | RC-006-01 RC-009-02 | MS-03 |
| Social Services Career Trainee | 41320 | RC-062-12 | MS-09 |
| Social Worker Intern | 41430 | None | MS-15 |
| Student Intern | 43190 | None | MS-01 |
| Student Worker | 43200 | None | MS-01 |
| Telecommunications Systems Technician Trainee | 45314 | None | MS-05 |
| Telecommunicator Trainee | 45325 | RC-014-10 | MS-07 |
| Terrorism Research Specialist Trainee | 45375 | RC-062-14 | MS-11 |
| Weatherization Specialist Trainee | 49105 | RC-062-12 | MS-09 |
| Well Inspector Trainee | 49425 | None | MS-09 |

(Source: Amended at 44 Ill. Reg. 1819, effective January 1, 2020)

SUBPART C: MERIT COMPENSATION SYSTEM

Section 310.410 Jurisdiction

The Merit Compensation System shall apply to classes of positions, or positions excluded from bargaining unit representation, designated below and Broad-Band classes in Appendix G. In addition, the classes are listed in the ALPHABETIC INDEX OF POSITION TITLES. Also see Section 310.495 for the application of the Merit Compensation System for those Broad-Band titles listed with their salary ranges in Appendix G.

| Title | Title Code | Salary Range |
|----------------------------|-------------------|---------------------|
| Account Clerk I | 00111 | MS-03 |
| Account Clerk II | 00112 | MS-04 |
| Account Technician I | 00115 | MS-07 |
| Account Technician II | 00116 | MS-09 |
| Account Technician Trainee | 00118 | MS-04 |
| Accountant | 00130 | MS-11 |

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

| | | |
|---|-------|-------|
| Accountant Advanced | 00133 | MS-14 |
| Accountant Supervisor | 00135 | MS-19 |
| Accounting and Fiscal Administration Career Trainee | 00140 | MS-09 |
| Activity Program Aide I | 00151 | MS-04 |
| Activity Program Aide II | 00152 | MS-05 |
| Activity Therapist | 00157 | MS-12 |
| Activity Therapist Coordinator | 00160 | MS-16 |
| Activity Therapist Supervisor | 00163 | MS-23 |
| Actuarial Assistant | 00187 | MS-14 |
| Actuarial Examiner | 00195 | MS-14 |
| Actuarial Examiner Trainee | 00196 | MS-10 |
| Actuarial Senior Examiner | 00197 | MS-21 |
| Actuary I | 00201 | MS-23 |
| Actuary II | 00202 | MS-31 |
| Actuary III | 00203 | MS-33 |
| Administrative Assistant I | 00501 | MS-16 |
| Administrative Assistant II | 00502 | MS-21 |
| Administrative Services Worker Trainee | 00600 | MS-02 |
| Agricultural Executive | 00800 | MS-23 |
| Agricultural Land and Water Resources Supervisor | 00811 | MS-25 |
| Agricultural Market News Assistant | 00804 | MS-09 |
| Agricultural Marketing Reporter | 00807 | MS-19 |
| Agricultural Marketing Representative | 00810 | MS-19 |
| Agriculture Land and Water Resource Specialist I | 00831 | MS-11 |
| Agriculture Land and Water Resource Specialist II | 00832 | MS-16 |
| Agriculture Land and Water Resource Specialist III | 00833 | MS-23 |
| Aircraft Dispatcher | 00951 | MS-09 |
| Aircraft Lead Dispatcher | 00952 | MS-11 |
| Aircraft Pilot I | 00955 | MS-21 |
| Aircraft Pilot II | 00956 | MS-28 |
| Aircraft Pilot II – Dual Rating | 00957 | MS-29 |
| Amusement Ride Safety Inspector | 01061 | MS-14 |
| Animal and Animal Products Investigator | 01072 | MS-11 |
| Animal and Animal Products Investigator Trainee | 01075 | MS-09 |
| Apiary Inspector | 01215 | MS-03 |
| Apparel/Dry Goods Specialist I | 01231 | MS-04 |
| Apparel/Dry Goods Specialist II | 01232 | MS-05 |
| Apparel/Dry Goods Specialist III | 01233 | MS-10 |
| Appraisal Specialist I | 01251 | MS-11 |

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

| | | |
|---------------------------------------|-------|-------|
| Appraisal Specialist II | 01252 | MS-14 |
| Appraisal Specialist III | 01253 | MS-19 |
| Appraisal Specialist Trainee | 01255 | MS-09 |
| Arbitrator | 01401 | MS-33 |
| Architect | 01440 | MS-28 |
| Arson Investigations Trainee | 01485 | MS-12 |
| Arson Investigator I | 01481 | MS-15 |
| Arson Investigator II | 01482 | MS-20 |
| Arts Council Associate | 01523 | MS-09 |
| Arts Council Program Coordinator | 01526 | MS-19 |
| Arts Council Program Representative | 01527 | MS-12 |
| Assignment Coordinator | 01530 | MS-23 |
| Assistant Automotive Shop Supervisor | 01565 | MS-11 |
| Assistant Reimbursement Officer | 02424 | MS-05 |
| Audio Visual Technician I | 03501 | MS-04 |
| Audio Visual Technician II | 03502 | MS-06 |
| Auto and Body Repairer | 03680 | MS-13 |
| Automotive Attendant I | 03696 | MS-03 |
| Automotive Attendant II | 03697 | MS-03 |
| Automotive Mechanic | 03700 | MS-13 |
| Automotive Parts Warehouse Specialist | 03734 | MS-11 |
| Automotive Parts Warehouse | 03730 | MS-11 |
| Automotive Shop Supervisor | 03749 | MS-18 |
| Bank Examiner I | 04131 | MS-14 |
| Bank Examiner II | 04132 | MS-21 |
| Bank Examiner III | 04133 | MS-28 |
| Behavioral Analyst Associate | 04355 | MS-12 |
| Behavioral Analyst I | 04351 | MS-16 |
| Behavioral Analyst II | 04352 | MS-21 |
| Blasting Expert | 04720 | MS-27 |
| Blasting Specialist | 04725 | MS-25 |
| Blasting Supervisor | 04730 | MS-29 |
| Boat Safety Inspection Supervisor | 04850 | MS-22 |
| Boiler Safety Audit Specialist | 04900 | MS-30 |
| Boiler Safety Specialist | 04910 | MS-26 |
| Breath Alcohol Analysis Technician | 05170 | MS-15 |
| Bridge Mechanic | 05310 | MS-17 |
| Bridge Tender | 05320 | MS-18 |
| Building Construction Inspector I | 05541 | MS-18 |

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

| | | |
|--|-------|-------|
| Building Construction Inspector II | 05542 | MS-20 |
| Building Services Worker | 05616 | MS-05 |
| Building/Grounds Laborer | 05598 | MS-08 |
| Building/Grounds Lead I | 05601 | MS-10 |
| Building/Grounds Lead II | 05602 | MS-12 |
| Building/Grounds Maintenance Worker | 05613 | MS-09 |
| Building/Grounds Supervisor | 05605 | MS-12 |
| Business Administrative Specialist | 05810 | MS-14 |
| Business Manager | 05815 | MS-19 |
| Buyer | 05900 | MS-19 |
| Buyer Assistant | 05905 | MS-07 |
| Cancer Registrar I | 05951 | MS-11 |
| Cancer Registrar II | 05952 | MS-14 |
| Cancer Registrar III | 05953 | MS-23 |
| Cancer Registrar Assistant Manager | 05954 | MS-27 |
| Cancer Registrar Manager | 05955 | MS-31 |
| Canine Specialist | 06500 | MS-20 |
| Capital Development Board Account Technician | 06515 | MS-08 |
| Capital Development Board Art In Architecture Technician | 06533 | MS-09 |
| Capital Development Board Construction Support Analyst | 06520 | MS-08 |
| Capital Development Board Media Technician | 06525 | MS-11 |
| Capital Development Board Project Technician | 06530 | MS-09 |
| Cartographer III | 06673 | MS-28 |
| Chaplain I | 06901 | MS-14 |
| Chaplain II | 06902 | MS-21 |
| Check Issuance Machine Operator | 06920 | MS-06 |
| Check Issuance Machine Supervisor | 06925 | MS-08 |
| Chemist I | 06941 | MS-14 |
| Chemist II | 06942 | MS-21 |
| Chemist III | 06943 | MS-25 |
| Child Development Aide | 07184 | MS-07 |
| Child Protection Advanced Specialist | 07161 | MS-21 |
| Child Protection Associate Specialist | 07162 | MS-14 |
| Child Protection Specialist | 07163 | MS-19 |
| Child Support Specialist I | 07198 | MS-14 |
| Child Support Specialist II | 07199 | MS-16 |
| Child Support Specialist Trainee | 07200 | MS-09 |
| Child Welfare Administrative Case Reviewer | 07190 | MS-28 |
| Child Welfare Advanced Specialist | 07215 | MS-21 |

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

| | | |
|--|------------------|------------------|
| Child Welfare Associate Specialist | 07216 | MS-14 |
| Child Welfare Court Facilitator | 07196 | MS-28 |
| Child Welfare Nurse Specialist | 07197 | MS-22 |
| Child Welfare Senior Specialist | 07217 | MS-28 |
| Child Welfare Specialist | 07218 | MS-19 |
| Child Welfare Staff Development Coordinator I | 07201 | MS-16 |
| Child Welfare Staff Development Coordinator II | 07202 | MS-21 |
| Child Welfare Staff Development Coordinator III | 07203 | MS-23 |
| Child Welfare Staff Development Coordinator IV | 07204 | MS-28 |
| Children and Family Service Intern, Option 1 | 07241 | MS-09 |
| Children and Family Service Intern, Option 2 | 07242 | MS-12 |
| Civil Engineer I | 07601 | MS-22 |
| Civil Engineer II | 07602 | MS-26 |
| Civil Engineer III | 07603 | MS-30 |
| Civil Engineer IV | 07604 | MS-31 |
| Civil Engineer Trainee | 07607 | MS-16 |
| Clerical Trainee | 08050 | MS-01 |
| Clinical Laboratory Associate | 08200 | MS-05 |
| Clinical Laboratory Phlebotomist | 08213 | MS-04 |
| Clinical Laboratory Technician I | 08215 | MS-07 |
| Clinical Laboratory Technician II | 08216 | MS-09 |
| Clinical Laboratory Technologist I | 08220 | MS-19 |
| Clinical Laboratory Technologist II | 08221 | MS-21 |
| Clinical Laboratory Technologist Trainee | 08229 | MS-11 |
| Clinical Pharmacist | 08235 | MS-32 |
| Clinical Psychologist | 08250 | MS-29 |
| Clinical Psychology Associate | 08255 | MS-19 |
| Clinical Services Supervisor | 08260 | MS-31 |
| Commerce Commission Police Officer I | 08451 | MS-18 |
| Commerce Commission Police Officer II | 08452 | MS-22 |
| Commerce Commission Police Officer Trainee | 08455 | MS-10 |
| Commerce Commission Police Sergeant | 08457 | MS-24 |
| Commodities Inspector | 08770 | MS-08 |
| Communications Dispatcher | 08815 | MS-06 |
| Communications Equipment Technician I | 08831 | MS-16 |
| Communications Equipment Technician II | 08832 | MS-21 |
| Communications Equipment Technician III | 08833 | MS-23 |
| Communications Systems Specialist | 08860 | MS-29 |
| Community Management Specialist I | 08891 | MS-12 |

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

| | | |
|---|-------|-------|
| Community Management Specialist II | 08892 | MS-16 |
| Community Management Specialist III | 08893 | MS-21 |
| Community Planner I | 08901 | MS-12 |
| Community Planner II | 08902 | MS-16 |
| Community Planner III | 08903 | MS-21 |
| Compliance Officer | 08919 | MS-11 |
| Computer Evidence Recovery Specialist | 08980 | MS-32 |
| Conservation Education Representative | 09300 | MS-09 |
| Conservation Grant Administrator I | 09311 | MS-19 |
| Conservation Grant Administrator II | 09312 | MS-23 |
| Conservation Grant Administrator III | 09313 | MS-28 |
| Conservation Police Lieutenant | 09339 | MS-23 |
| Conservation Police Officer I | 09341 | MS-18 |
| Conservation Police Officer II | 09342 | MS-19 |
| Conservation Police Officer Trainee | 09345 | MS-06 |
| Conservation Police Sergeant | 09347 | MS-22 |
| Conservation/Historic Preservation Worker | 09317 | MS-01 |
| Construction Program Assistant | 09525 | MS-09 |
| Construction Supervisor | 09560 | MS-14 |
| Contract Specialist I | 09566 | MS-08 |
| Contract Specialist II | 09567 | MS-11 |
| Contract Specialist III | 09568 | MS-14 |
| Cook I | 09601 | MS-04 |
| Cook II | 09602 | MS-07 |
| Correctional Casework Supervisor | 09655 | MS-25 |
| Correctional Counselor I | 09661 | MS-12 |
| Correctional Counselor II | 09662 | MS-16 |
| Correctional Counselor III | 09663 | MS-21 |
| Correctional Lieutenant | 09673 | MS-24 |
| Correctional Officer | 09675 | MS-11 |
| Correctional Officer Trainee | 09676 | MS-08 |
| Correctional Sergeant | 09717 | MS-16 |
| Corrections Apprehension Specialist | 09750 | MS-21 |
| Corrections Assessment Specialist | 09758 | MS-21 |
| Corrections Clerk I | 09771 | MS-11 |
| Corrections Clerk II | 09772 | MS-13 |
| Corrections Clerk III | 09773 | MS-18 |
| Corrections Command Center Supervisor | 09500 | MS-32 |
| Corrections Family Services Coordinator | 09600 | MS-32 |

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

| | | |
|---|-------|-------|
| Corrections Food Service Supervisor I | 09793 | MS-13 |
| Corrections Food Service Supervisor II | 09794 | MS-18 |
| Corrections Food Service Supervisor III | 09795 | MS-21 |
| Corrections Grounds Supervisor | 09796 | MS-16 |
| Corrections Identification Supervisor | 09800 | MS-24 |
| Corrections Identification Technician | 09801 | MS-13 |
| Corrections Industries Marketing Representative | 09803 | MS-16 |
| Corrections Industry Lead Worker | 09805 | MS-16 |
| Corrections Industry Supervisor | 09807 | MS-21 |
| Corrections Intelligence Program Unit Manager | 09798 | MS-31 |
| Corrections Laundry Manager I | 09808 | MS-18 |
| Corrections Laundry Manager II | 09809 | MS-20 |
| Corrections Law Library Assistant | 09819 | MS-11 |
| Corrections Leisure Activities Specialist I | 09811 | MS-12 |
| Corrections Leisure Activities Specialist II | 09812 | MS-16 |
| Corrections Leisure Activities Specialist III | 09813 | MS-21 |
| Corrections Leisure Activities Specialist IV | 09814 | MS-25 |
| Corrections Locksmith | 09818 | MS-16 |
| Corrections Maintenance Craftsman | 09821 | MS-16 |
| Corrections Maintenance Supervisor | 09822 | MS-20 |
| Corrections Maintenance Worker | 09823 | MS-12 |
| Corrections Medical Technician | 09824 | MS-12 |
| Corrections Nurse I | 09825 | MS-20 |
| Corrections Nurse II | 09826 | MS-25 |
| Corrections Nurse Trainee | 09836 | MS-16 |
| Corrections Parole Agent | 09842 | MS-16 |
| Corrections Placement Resources Regional Supervisor | 09839 | MS-31 |
| Corrections Program Administrator | 09849 | MS-31 |
| Corrections Psychologist Administrator | 09855 | MS-32 |
| Corrections Regional Mental Health Services Administrator | 09857 | MS-32 |
| Corrections Residence Counselor I | 09837 | MS-13 |
| Corrections Residence Counselor II | 09838 | MS-20 |
| Corrections Senior Parole Agent | 09844 | MS-21 |
| Corrections Supply Supervisor I | 09861 | MS-13 |
| Corrections Supply Supervisor II | 09862 | MS-18 |
| Corrections Supply Supervisor III | 09863 | MS-21 |
| Corrections Training Program Supervisor | 09860 | MS-32 |
| Corrections Transportation Officer I | 09871 | MS-13 |
| Corrections Transportation Officer II | 09872 | MS-20 |

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

| | | |
|--|-------|-------|
| Corrections Treatment Officer | 09864 | MS-20 |
| Corrections Treatment Officer Supervisor | 09865 | MS-27 |
| Corrections Treatment Officer Trainee | 09866 | MS-13 |
| Corrections Treatment Senior Security Supervisor | 09867 | MS-31 |
| Corrections Unit Superintendent | 09868 | MS-32 |
| Corrections Utilities Operator | 09875 | MS-16 |
| Corrections Vocational Instructor | 09879 | MS-16 |
| Corrections Vocational School Supervisor | 09880 | MS-20 |
| Court Reporter | 09900 | MS-12 |
| Court Reporter Supervisor | 09903 | MS-26 |
| Crime Scene Investigator | 09980 | MS-25 |
| Criminal Intelligence Analyst I | 10161 | MS-19 |
| Criminal Intelligence Analyst II | 10162 | MS-23 |
| Criminal Intelligence Analyst Specialist | 10165 | MS-28 |
| Criminal Intelligence Analyst Supervisor | 10169 | MS-32 |
| Criminal Justice Specialist I | 10231 | MS-14 |
| Criminal Justice Specialist II | 10232 | MS-23 |
| Criminal Justice Specialist Trainee | 10236 | MS-10 |
| Curator Of The Lincoln Collection | 10750 | MS-14 |
| Data Processing Administrative Specialist | 11415 | MS-11 |
| Data Processing Assistant | 11420 | MS-04 |
| Data Processing Operator | 11425 | MS-03 |
| Data Processing Operator Trainee | 11428 | MS-02 |
| Data Processing Specialist | 11430 | MS-09 |
| Data Processing Supervisor I | 11435 | MS-08 |
| Data Processing Supervisor II | 11436 | MS-11 |
| Data Processing Supervisor III | 11437 | MS-19 |
| Data Processing Technician | 11440 | MS-06 |
| Data Processing Technician Trainee | 11443 | MS-04 |
| Day Care Licensing Representative I | 11471 | MS-14 |
| Day Care Licensing Representative II | 11472 | MS-19 |
| Deck Hand | 11500 | MS-15 |
| Dental Assistant | 11650 | MS-07 |
| Dental Hygienist | 11700 | MS-11 |
| Dentist I | 11751 | MS-29 |
| Dentist II | 11752 | MS-33 |
| Developmental Disabilities Council Program Planner I | 12361 | MS-09 |
| Developmental Disabilities Council Program Planner II | 12362 | MS-14 |
| Developmental Disabilities Council Program Planner III | 12363 | MS-19 |

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

| | | |
|---|-------|-------|
| Developmental Psychological Services Administrator | 12380 | MS-32 |
| Dietary Manager I | 12501 | MS-14 |
| Dietary Manager II | 12502 | MS-19 |
| Dietitian | 12510 | MS-12 |
| Disability Appeals Officer | 12530 | MS-28 |
| Disability Claims Adjudicator I | 12537 | MS-14 |
| Disability Claims Adjudicator II | 12538 | MS-19 |
| Disability Claims Adjudicator Trainee | 12539 | MS-10 |
| Disability Claims Analyst | 12540 | MS-25 |
| Disability Claims Specialist | 12558 | MS-21 |
| Disaster Services Planner | 12585 | MS-21 |
| Document Examiner | 12640 | MS-28 |
| Drafting Worker | 12749 | MS-08 |
| Drug Compliance Investigator | 12778 | MS-31 |
| Economic Development Representative I | 12931 | MS-16 |
| Economic Development Representative II | 12932 | MS-21 |
| Economic Development Representative Trainee | 12939 | MS-10 |
| Economist Associate | 12940 | MS-12 |
| Educational Diagnostician | 12965 | MS-09 |
| Educational Media Program Specialist | 12980 | MS-16 |
| Educator | 13100 | MS-26 |
| Educator – Career and Technical | 13103 | MS-16 |
| Educator – Career and Technical Provisional | 13104 | MS-15 |
| Educator Aide | 13130 | MS-08 |
| Educator Intern | 13135 | MS-10 |
| Electrical Engineer | 13180 | MS-28 |
| Electroencephalograph Technician | 13300 | MS-05 |
| Electronic Equipment Installer/Repairer | 13340 | MS-07 |
| Electronic Equipment Installer/Repairer Lead Worker | 13345 | MS-09 |
| Electronics Technician | 13360 | MS-12 |
| Elevator Inspector | 13495 | MS-21 |
| Elevator Operator | 13500 | MS-05 |
| Emergency Response Lead Telecommunicator | 13540 | MS-10 |
| Emergency Response Telecommunicator | 13543 | MS-08 |
| Employment Security Field Office Supervisor | 13600 | MS-23 |
| Employment Security Manpower Representative I | 13621 | MS-09 |
| Employment Security Manpower Representative II | 13622 | MS-11 |
| Employment Security Program Representative | 13650 | MS-11 |
| Employment Security Program Representative – Intermittent | 13651 | MS-11 |

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

| | | |
|--|-------|-------|
| Employment Security Service Representative | 13667 | MS-14 |
| Employment Security Specialist I | 13671 | MS-11 |
| Employment Security Specialist II | 13672 | MS-14 |
| Employment Security Specialist III | 13673 | MS-21 |
| Employment Security Tax Auditor I | 13681 | MS-16 |
| Employment Security Tax Auditor II | 13682 | MS-21 |
| End-User Computer Services Specialist I | 13691 | MS-24 |
| End-User Computer Services Specialist II | 13692 | MS-28 |
| End-User Computer Systems Analyst | 13693 | MS-30 |
| Energy and Natural Resources Specialist I | 13711 | MS-12 |
| Energy and Natural Resources Specialist II | 13712 | MS-16 |
| Energy and Natural Resources Specialist III | 13713 | MS-21 |
| Energy and Natural Resources Specialist Trainee | 13715 | MS-09 |
| Engineering Technician I | 13731 | MS-10 |
| Engineering Technician II | 13732 | MS-13 |
| Engineering Technician III | 13733 | MS-20 |
| Engineering Technician IV | 13734 | MS-30 |
| Environmental Engineer I | 13751 | MS-12 |
| Environmental Engineer II | 13752 | MS-16 |
| Environmental Engineer III | 13753 | MS-21 |
| Environmental Engineer IV | 13754 | MS-28 |
| Environmental Equipment Operator I | 13761 | MS-09 |
| Environmental Equipment Operator II | 13762 | MS-11 |
| Environmental Health Specialist I | 13768 | MS-11 |
| Environmental Health Specialist II | 13769 | MS-14 |
| Environmental Health Specialist III | 13770 | MS-19 |
| Environmental Protection Associate | 13785 | MS-09 |
| Environmental Protection Engineer I | 13791 | MS-12 |
| Environmental Protection Engineer II | 13792 | MS-16 |
| Environmental Protection Engineer III | 13793 | MS-21 |
| Environmental Protection Engineer IV | 13794 | MS-28 |
| Environmental Protection Geologist I | 13801 | MS-12 |
| Environmental Protection Geologist II | 13802 | MS-16 |
| Environmental Protection Geologist III | 13803 | MS-21 |
| Environmental Protection Legal Investigator I | 13811 | MS-10 |
| Environmental Protection Legal Investigator II | 13812 | MS-11 |
| Environmental Protection Legal Investigator Specialist | 13815 | MS-13 |
| Environmental Protection Specialist I | 13821 | MS-11 |
| Environmental Protection Specialist II | 13822 | MS-14 |

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

| | | |
|---|-------|-------|
| Environmental Protection Specialist III | 13823 | MS-19 |
| Environmental Protection Specialist IV | 13824 | MS-28 |
| Environmental Protection Technician I | 13831 | MS-05 |
| Environmental Protection Technician II | 13832 | MS-07 |
| Epidemiology Research and Investigation Scientist | 13833 | MS-29 |
| Equal Pay Specialist | 13837 | MS-16 |
| Equine Investigator | 13840 | MS-09 |
| Executive I | 13851 | MS-19 |
| Executive II | 13852 | MS-23 |
| Executive Secretary I | 14031 | MS-08 |
| Executive Secretary II | 14032 | MS-11 |
| Executive Secretary III | 14033 | MS-14 |
| Explosives Inspector I | 14051 | MS-11 |
| Explosives Inspector II | 14052 | MS-18 |
| Facility Assistant Fire Chief | 14430 | MS-10 |
| Facility Fire Chief | 14433 | MS-13 |
| Facility Fire Safety Coordinator | 14435 | MS-09 |
| Facility Firefighter | 14439 | MS-07 |
| Ferry Operator I | 14801 | MS-18 |
| Ferry Operator II | 14802 | MS-19 |
| Financial Institutions Examiner I | 14971 | MS-14 |
| Financial Institutions Examiner II | 14972 | MS-21 |
| Financial Institutions Examiner III | 14973 | MS-28 |
| Financial Institutions Examiner Trainee | 14978 | MS-10 |
| Fingerprint Technician | 15204 | MS-10 |
| Fingerprint Technician Supervisor | 15208 | MS-18 |
| Fingerprint Technician Trainee | 15209 | MS-05 |
| Firearms Eligibility Administrator | 15280 | MS-32 |
| Firearms Eligibility Analyst I | 15371 | MS-10 |
| Firearms Eligibility Analyst II | 15372 | MS-14 |
| Firearms Eligibility Analyst Trainee | 15375 | MS-08 |
| Fire Certification Specialist I | 15281 | MS-16 |
| Fire Certification Specialist II | 15282 | MS-18 |
| Fire Certification Specialist Supervisor | 15283 | MS-22 |
| Fire Prevention Inspector I | 15316 | MS-13 |
| Fire Prevention Inspector II | 15317 | MS-20 |
| Fire Prevention Inspector Trainee | 15320 | MS-10 |
| Fire Protection Engineer | 15340 | MS-28 |
| Fire Protection Specialist I | 15351 | MS-14 |

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

| | | |
|---|-------|------------------------|
| Flight Safety Coordinator | 15640 | MS-28 |
| Florist II | 15652 | MS-08 |
| Food Services Program Manager | 15800 | MS-31 |
| Foreign Service Economic Development Executive I | 15871 | MS-32 |
| Foreign Service Economic Development Executive II | 15872 | MS-34 |
| Foreign Service Economic Development Representative | 15875 | MS-30 |
| Forensic Science Administrator I | 15911 | MS-31 |
| Forensic Science Administrator II | 15912 | MS-32 |
| Forensic Science Administrator III | 15913 | MS-33 |
| Forensic Scientist I | 15891 | MS-19 |
| Forensic Scientist II | 15892 | MS-23 |
| Forensic Scientist III | 15893 | MS-28 |
| Forensic Scientist Trainee | 15897 | MS-12 |
| Gaming Licensing Analyst | 17171 | MS-12 MS-10 |
| Gaming Operations Supervisor | 17181 | MS-33 |
| Gaming Senior Special Agent | 17191 | MS-29 |
| Gaming Shift Supervisor | 17187 | MS-31 |
| Gaming Special Agent | 17192 | MS-21 |
| Gaming Special Agent Trainee | 17195 | MS-11 |
| Gaming Unit Supervisor | 17201 | MS-33 |
| Geographic Information Specialist I | 17271 | MS-21 |
| Geographic Information Specialist II | 17272 | MS-29 |
| Geographic Information Trainee | 17276 | MS-12 |
| Governmental Career Trainee | 17325 | MS-09 |
| Graduate Pharmacist | 17345 | MS-23 |
| Graphic Arts Designer | 17366 | MS-11 |
| Graphic Arts Designer Advanced | 17370 | MS-14 |
| Graphic Arts Designer Supervisor | 17365 | MS-19 |
| Graphic Arts Technician | 17400 | MS-09 |
| Grounds Supervisor | 17549 | MS-18 |
| Guard I | 17681 | MS-04 |
| Guard II | 17682 | MS-06 |
| Guard III | 17683 | MS-09 |
| Guard Supervisor | 17685 | MS-11 |
| Guardianship Representative | 17710 | MS-16 |
| Guardianship Supervisor | 17720 | MS-24 |
| Habilitation Program Coordinator | 17960 | MS-16 |
| Handicapped Services Representative I | 17981 | MS-08 |
| Health Facilities Surveillance Nurse | 18150 | MS-22 |

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

| | | |
|--|-------|-------|
| Health Facilities Surveyor I | 18011 | MS-14 |
| Health Facilities Surveyor II | 18012 | MS-21 |
| Health Facilities Surveyor III | 18013 | MS-23 |
| Health Information Associate | 18045 | MS-07 |
| Health Information Technician | 18047 | MS-09 |
| Health Services Investigator I | 18179 | MS-21 |
| Health Services Investigator II, Option A – General | 18185 | MS-28 |
| Health Services Investigator II, Option C – Pharmacy | 18187 | MS-32 |
| Hearing and Speech Advanced Specialist | 18227 | MS-28 |
| Hearing and Speech Associate | 18231 | MS-19 |
| Hearing and Speech Specialist | 18233 | MS-23 |
| Hearing and Speech Technician II | 18262 | MS-06 |
| Hearings Referee | 18300 | MS-29 |
| Hearings Referee – Intermittent | 18301 | MS-29 |
| Heavy Construction Equipment Operator | 18465 | MS-18 |
| Highway Construction Supervisor I | 18525 | MS-25 |
| Highway Construction Supervisor II | 18526 | MS-30 |
| Highway Maintainer | 18639 | MS-18 |
| Highway Maintenance Lead Worker | 18659 | MS-18 |
| Historical Documents Conservator I | 18981 | MS-10 |
| Historical Exhibits Designer | 18985 | MS-12 |
| Historical Library Chief Of Acquisitions | 18987 | MS-21 |
| Historical Research Editor II | 19002 | MS-11 |
| Historical Research Specialist | 19008 | MS-23 |
| Housekeeper | 19600 | MS-03 |
| Human Relations Representative | 19670 | MS-14 |
| Human Resources Assistant | 19690 | MS-05 |
| Human Resources Associate | 19691 | MS-08 |
| Human Resources Trainee | 19694 | MS-04 |
| Human Rights Investigator I | 19774 | MS-14 |
| Human Rights Investigator II | 19775 | MS-19 |
| Human Rights Investigator III | 19776 | MS-21 |
| Human Rights Investigator Trainee | 19768 | MS-09 |
| Human Rights Mediation Supervisor | 19769 | MS-23 |
| Human Rights Mediator | 19771 | MS-16 |
| Human Rights Specialist I | 19778 | MS-11 |
| Human Rights Specialist II | 19779 | MS-14 |
| Human Rights Specialist III | 19780 | MS-19 |
| Human Services Casework Manager | 19788 | MS-23 |

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

| | | |
|---|-------|-------|
| Human Services Caseworker | 19785 | MS-14 |
| Human Services Grants Coordinator I | 19791 | MS-11 |
| Human Services Grants Coordinator II | 19792 | MS-16 |
| Human Services Grants Coordinator III | 19793 | MS-23 |
| Human Services Grants Coordinator Trainee | 19796 | MS-09 |
| Human Services Sign Language Interpreter | 19810 | MS-14 |
| Iconographer | 19880 | MS-09 |
| Industrial and Community Development Representative I | 21051 | MS-16 |
| Industrial and Community Development Representative II | 21052 | MS-21 |
| Industrial Commission Reporter | 21080 | MS-14 |
| Industrial Commission Technician | 21095 | MS-08 |
| Industrial Services Consultant I | 21121 | MS-11 |
| Industrial Services Consultant II | 21122 | MS-14 |
| Industrial Services Consultant Trainee | 21125 | MS-08 |
| Industrial Services Hygienist | 21127 | MS-21 |
| Industrial Services Hygienist Technician | 21130 | MS-14 |
| Industrial Services Hygienist Trainee | 21133 | MS-09 |
| Information Services Intern | 21160 | MS-12 |
| Information Services Specialist I | 21161 | MS-16 |
| Information Services Specialist II | 21162 | MS-21 |
| Information Systems Analyst I | 21165 | MS-25 |
| Information Systems Analyst II | 21166 | MS-29 |
| Information Systems Analyst III | 21167 | MS-32 |
| Information Technology/Communications Systems Specialist I | 21216 | MS-21 |
| Information Technology/Communications Systems Specialist II | 21217 | MS-31 |
| Inhalation Therapist | 21259 | MS-05 |
| Inhalation Therapy Supervisor | 21260 | MS-08 |
| Institutional Maintenance Worker | 21465 | MS-05 |
| Instrument Designer | 21500 | MS-19 |
| Insurance Analyst I | 21561 | MS-06 |
| Insurance Analyst II | 21562 | MS-09 |
| Insurance Analyst III | 21563 | MS-11 |
| Insurance Analyst IV | 21564 | MS-14 |
| Insurance Analyst Trainee | 21566 | MS-04 |
| Insurance Company Claims Examiner I | 21601 | MS-16 |
| Insurance Company Claims Examiner II | 21602 | MS-21 |
| Insurance Company Field Staff Examiner | 21608 | MS-14 |
| Insurance Company Financial Examiner Trainee | 21610 | MS-10 |
| Insurance Performance Examiner I | 21671 | MS-11 |

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

| | | |
|---|-------|-------|
| Insurance Performance Examiner II | 21672 | MS-16 |
| Insurance Performance Examiner III | 21673 | MS-23 |
| Insurance Performance Examiner Trainee | 21680 | MS-09 |
| Intermittent Clerk | 21686 | MS-02 |
| Intermittent Laborer (Maintenance) | 21687 | MS-08 |
| Intermittent Unemployment Insurance Representative | 21689 | MS-09 |
| Intermittent Unemployment Insurance Technician | 21690 | MS-04 |
| Internal Auditor I | 21721 | MS-16 |
| Internal Auditor II | 21727 | MS-23 |
| Internal Auditor Trainee | 21726 | MS-09 |
| Internal Investigations Principal Evaluation Supervisor | 21735 | MS-31 |
| Internal Investigations Supervisor | 21740 | MS-31 |
| Internal Security Investigator I | 21731 | MS-22 |
| Internal Security Investigator II | 21732 | MS-28 |
| International Marketing Representative I | 21761 | MS-11 |
| Janitor I | 21951 | MS-13 |
| Janitor II | 21952 | MS-14 |
| Juvenile Justice Chief of Security | 21965 | MS-31 |
| Juvenile Justice Psychologist Administrator | 21967 | MS-32 |
| Juvenile Justice School Counselor | 21970 | MS-26 |
| Juvenile Justice Specialist | 21971 | MS-20 |
| Juvenile Justice Specialist Intern | 21976 | MS-13 |
| Juvenile Justice Supervisor | 21980 | MS-27 |
| Juvenile Justice Unit Superintendent | 21985 | MS-32 |
| Juvenile Justice Vocational Instructor | 21987 | MS-16 |
| Juvenile Justice Youth and Family Specialist Option 1 | 21991 | MS-19 |
| Juvenile Justice Youth and Family Specialist Option 2 | 21992 | MS-23 |
| Juvenile Justice Youth and Family Specialist Supervisor | 21995 | MS-28 |
| Kidcare Supervisor | 22003 | MS-23 |
| Labor Conciliator | 22750 | MS-23 |
| Labor Maintenance Lead Worker | 22809 | MS-16 |
| Laboratory Assistant | 22995 | MS-03 |
| Laboratory Associate I | 22997 | MS-07 |
| Laboratory Associate II | 22998 | MS-09 |
| Laboratory Equipment Specialist | 22990 | MS-19 |
| Laboratory Quality Specialist I | 23021 | MS-21 |
| Laboratory Quality Specialist II | 23022 | MS-25 |
| Laboratory Research Scientist | 23025 | MS-29 |
| Laboratory Research Specialist I | 23027 | MS-21 |

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

| | | |
|--|-------|-------|
| Laboratory Research Specialist II | 23028 | MS-25 |
| Laborer (Maintenance) | 23080 | MS-15 |
| Land Acquisition Agent I | 23091 | MS-12 |
| Land Acquisition Agent II | 23092 | MS-19 |
| Land Acquisition Agent III | 23093 | MS-25 |
| Land Reclamation Specialist I | 23131 | MS-11 |
| Land Reclamation Specialist II | 23132 | MS-16 |
| Land Reclamation Specialist Trainee | 23137 | MS-09 |
| Landscape Architect | 23145 | MS-28 |
| Landscape Planner | 23150 | MS-21 |
| Laundry Manager I | 23191 | MS-10 |
| Law Enforcement Training Administrator | 23260 | MS-32 |
| Legal Research Assistant | 23350 | MS-10 |
| Liability Claims Adjuster I | 23371 | MS-11 |
| Liability Claims Adjuster II | 23372 | MS-19 |
| Liability Claims Adjuster Trainee | 23375 | MS-09 |
| Librarian I | 23401 | MS-14 |
| Librarian II | 23402 | MS-19 |
| Library Aide I | 23421 | MS-03 |
| Library Aide II | 23422 | MS-04 |
| Library Aide III | 23423 | MS-05 |
| Library Associate | 23430 | MS-09 |
| Library Technical Assistant | 23450 | MS-07 |
| Licensed Practical Nurse I | 23551 | MS-09 |
| Licensed Practical Nurse II | 23552 | MS-10 |
| Licensing Assistant | 23568 | MS-05 |
| Licensing Investigations Supervisor | 23577 | MS-32 |
| Licensing Investigator I | 23571 | MS-10 |
| Licensing Investigator II | 23572 | MS-13 |
| Licensing Investigator III | 23573 | MS-15 |
| Licensing Investigator IV | 23574 | MS-20 |
| Life Sciences Career Trainee | 23600 | MS-09 |
| Liquor Control Special Agent I | 23751 | MS-13 |
| Liquor Control Special Agent II | 23752 | MS-14 |
| Local Historical Services Representative | 24000 | MS-16 |
| Local Housing Advisor I | 24031 | MS-11 |
| Local Housing Advisor II | 24032 | MS-14 |
| Local Housing Advisor III | 24033 | MS-19 |
| Local Revenue and Fiscal Advisor I | 24101 | MS-12 |

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

| | | |
|---------------------------------------|-------|-------|
| Local Revenue and Fiscal Advisor II | 24102 | MS-16 |
| Local Revenue and Fiscal Advisor III | 24103 | MS-21 |
| Lock and Dam Tender | 24290 | MS-07 |
| Locksmith | 24300 | MS-16 |
| Lottery Commodities Distributor II | 24402 | MS-09 |
| Lottery Drawing Senior Specialist | 24413 | MS-11 |
| Lottery Drawing Specialist | 24410 | MS-09 |
| Lottery Regional Coordinator | 24504 | MS-21 |
| Lottery Sales Representative | 24515 | MS-14 |
| Lottery Telemarketing Representative | 24520 | MS-06 |
| Maintenance Equipment Operator | 25020 | MS-18 |
| Maintenance Worker | 25500 | MS-16 |
| Management Operations Analyst I | 25541 | MS-19 |
| Management Operations Analyst II | 25542 | MS-23 |
| Management Operations Analyst Trainee | 25545 | MS-12 |
| Management Systems Specialist | 25583 | MS-25 |
| Manpower Planner I | 25591 | MS-11 |
| Manpower Planner II | 25592 | MS-16 |
| Manpower Planner III | 25593 | MS-23 |
| Manpower Planner Trainee | 25597 | MS-09 |
| Manuscripts Manager | 25610 | MS-21 |
| Meat and Poultry Inspector | 26070 | MS-10 |
| Meat and Poultry Inspector Supervisor | 26073 | MS-13 |
| Meat and Poultry Inspector Trainee | 26075 | MS-07 |
| Mechanical Engineer I | 26201 | MS-12 |
| Mechanical Engineer II | 26202 | MS-16 |
| Mechanical Engineer III | 26203 | MS-21 |
| Medicaid Management Analyst | 26301 | MS-20 |
| Medicaid Management Intern | 26305 | MS-13 |
| Medical Administrator I Option C | 26400 | MS-60 |
| Medical Administrator I Option D | 26401 | MS-62 |
| Medical Administrator II Option C | 26402 | MS-61 |
| Medical Administrator II Option D | 26403 | MS-64 |
| Medical Administrator III | 26404 | MS-65 |
| Medical Administrator IV | 26405 | MS-66 |
| Medical Assistance Consultant I | 26501 | MS-10 |
| Medical Assistance Consultant II | 26502 | MS-14 |
| Medical Assistance Consultant III | 26503 | MS-21 |
| Mental Health Administrator I | 26811 | MS-19 |

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

| | | |
|---|-------|-------|
| Mental Health Administrator II | 26812 | MS-23 |
| Mental Health Administrator Trainee | 26817 | MS-14 |
| Mental Health Program Administrator | 26908 | MS-63 |
| Mental Health Recovery Support Specialist I | 26921 | MS-16 |
| Mental Health Recovery Support Specialist II | 26922 | MS-19 |
| Mental Health Specialist I | 26924 | MS-09 |
| Mental Health Specialist II | 26925 | MS-11 |
| Mental Health Specialist III | 26926 | MS-14 |
| Mental Health Specialist Trainee | 26928 | MS-08 |
| Mental Health Technician I | 27011 | MS-04 |
| Mental Health Technician II | 27012 | MS-05 |
| Mental Health Technician III | 27013 | MS-06 |
| Mental Health Technician IV | 27014 | MS-07 |
| Mental Health Technician V | 27015 | MS-08 |
| Mental Health Technician VI | 27016 | MS-09 |
| Mental Health Technician Trainee | 27020 | MS-03 |
| Meteorologist | 27120 | MS-19 |
| Methods and Procedures Advisor I | 27131 | MS-11 |
| Methods and Procedures Advisor II | 27132 | MS-14 |
| Methods and Procedures Advisor III | 27133 | MS-23 |
| Methods and Procedures Career Associate I | 27135 | MS-08 |
| Methods and Procedures Career Associate II | 27136 | MS-09 |
| Methods and Procedures Career Associate Trainee | 27137 | MS-06 |
| Metrologist Associate | 27146 | MS-12 |
| Microbiologist I | 27151 | MS-14 |
| Microbiologist II | 27152 | MS-21 |
| Microfilm Laboratory Technician I | 27175 | MS-04 |
| Microfilm Laboratory Technician II | 27176 | MS-06 |
| Microfilm Operator I | 27181 | MS-03 |
| Microfilm Operator II | 27182 | MS-04 |
| Microfilm Operator III | 27183 | MS-05 |
| Mine Rescue Station Assistant | 28150 | MS-07 |
| Motorist Assistance Specialist | 28490 | MS-05 |
| Museum Theater Systems Technician | 28700 | MS-11 |
| Narcotics and Currency Unit Supervisor | 28750 | MS-32 |
| Natural Resources Advanced Specialist | 28833 | MS-23 |
| Natural Resources Coordinator | 28831 | MS-12 |
| Natural Resources Coordinator Trainee | 28830 | MS-09 |
| Natural Resources Education Program Coordinator | 28834 | MS-23 |

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

| | | |
|--|-------|-------|
| Natural Resources Grant Coordinator | 28835 | MS-20 |
| Natural Resources Manager I | 28836 | MS-23 |
| Natural Resources Manager II | 28837 | MS-26 |
| Natural Resources Manager III | 28838 | MS-30 |
| Natural Resources Site Manager I | 28841 | MS-23 |
| Natural Resources Site Manager II | 28842 | MS-26 |
| Natural Resources Specialist | 28832 | MS-19 |
| Natural Resources Technician I | 28851 | MS-07 |
| Natural Resources Technician II | 28852 | MS-10 |
| Nursing Act Assistant Coordinator | 29731 | MS-25 |
| Nutritionist | 29820 | MS-19 |
| Occupational Therapist | 29900 | MS-16 |
| Occupational Therapist Program Coordinator | 29908 | MS-21 |
| Occupational Therapist Supervisor | 29910 | MS-25 |
| Office Administrative Specialist | 29990 | MS-09 |
| Office Administrator I | 29991 | MS-04 |
| Office Administrator II | 29992 | MS-06 |
| Office Administrator III | 29993 | MS-08 |
| Office Administrator IV | 29994 | MS-11 |
| Office Administrator V | 29995 | MS-12 |
| Office Aide | 30005 | MS-02 |
| Office Assistant | 30010 | MS-04 |
| Office Associate | 30015 | MS-05 |
| Office Clerk | 30020 | MS-03 |
| Office Coordinator | 30025 | MS-06 |
| Office Occupations Trainee | 30075 | MS-01 |
| Office Specialist | 30080 | MS-08 |
| Oral Health Consultant | 30317 | MS-19 |
| Paralegal Assistant | 30860 | MS-11 |
| Pension and Death Benefits Technician I | 30961 | MS-09 |
| Pension and Death Benefits Technician II | 30962 | MS-21 |
| Pest Control Operator | 31810 | MS-07 |
| Pharmacy Lead Technician | 32009 | MS-06 |
| Pharmacy Manager | 32025 | MS-33 |
| Pharmacy Services Coordinator | 32010 | MS-32 |
| Pharmacy Technician | 32011 | MS-04 |
| Photographer | 32080 | MS-11 |
| Photographic Technician I | 32091 | MS-08 |
| Photographic Technician II | 32092 | MS-11 |

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

| | | |
|---|-------|-------|
| Photographic Technician III | 32093 | MS-12 |
| Physical Therapist | 32145 | MS-16 |
| Physical Therapist Program Coordinator | 32153 | MS-21 |
| Physical Therapy Aide II | 32192 | MS-05 |
| Physical Therapy Aide III | 32193 | MS-08 |
| Physician | 32200 | MS-36 |
| Physician Assistant | 32210 | MS-27 |
| Physician Specialist – Option A | 32221 | MS-37 |
| Physician Specialist – Option B | 32222 | MS-38 |
| Physician Specialist – Option C | 32223 | MS-61 |
| Physician Specialist – Option D | 32224 | MS-63 |
| Physician Specialist – Option E | 32225 | MS-65 |
| Plant and Pesticide Specialist I | 32501 | MS-15 |
| Plant and Pesticide Specialist II | 32502 | MS-20 |
| Plant and Pesticide Specialist Supervisor | 32506 | MS-20 |
| Plumbing Consultant | 32910 | MS-28 |
| Plumbing Inspector | 32915 | MS-22 |
| Podiatrist | 32960 | MS-11 |
| Police Lieutenant | 32977 | MS-31 |
| Police Officer I | 32981 | MS-15 |
| Police Officer II | 32982 | MS-20 |
| Police Officer III | 32983 | MS-24 |
| Police Training Specialist | 32990 | MS-16 |
| Polygraph Examiner I | 33001 | MS-20 |
| Polygraph Examiner II | 33002 | MS-24 |
| Polygraph Examiner III | 33003 | MS-28 |
| Polygraph Examiner Trainee | 33005 | MS-12 |
| Power Shovel Operator | 33360 | MS-18 |
| Private Secretary I | 34201 | MS-14 |
| Private Secretary II | 34202 | MS-18 |
| Procurement Representative | 34540 | MS-06 |
| Products and Standards Inspector | 34603 | MS-11 |
| Products and Standards Inspector Trainee | 34605 | MS-09 |
| Program Integrity Auditor I | 34631 | MS-14 |
| Program Integrity Auditor II | 34632 | MS-21 |
| Program Integrity Auditor Trainee | 34635 | MS-09 |
| Project Designer | 34725 | MS-21 |
| Property and Supply Clerk I | 34791 | MS-03 |
| Property and Supply Clerk II | 34792 | MS-04 |

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

| | | |
|---|-------|-------|
| Property and Supply Clerk III | 34793 | MS-05 |
| Property Consultant | 34900 | MS-12 |
| Psychologist Associate | 35626 | MS-12 |
| Psychologist I | 35611 | MS-16 |
| Psychologist II | 35612 | MS-23 |
| Psychologist III | 35613 | MS-28 |
| Psychology Intern | 35660 | MS-15 |
| Public Administration Intern | 35700 | MS-11 |
| Public Aid Eligibility Assistant | 35825 | MS-05 |
| Public Aid Investigator | 35870 | MS-21 |
| Public Aid Investigator Trainee | 35874 | MS-11 |
| Public Aid Lead Casework Specialist | 35880 | MS-16 |
| Public Aid Program Quality Analyst | 35890 | MS-21 |
| Public Aid Quality Control Reviewer | 35892 | MS-16 |
| Public Aid Quality Control Supervisor | 35900 | MS-21 |
| Public Aid Staff Development Specialist I | 36071 | MS-12 |
| Public Aid Staff Development Specialist II | 36072 | MS-16 |
| Public Aid Staff Development Specialist III | 36073 | MS-22 |
| Public Health Educator | 36430 | MS-21 |
| Public Health Educator Associate | 36434 | MS-11 |
| Public Health Program Specialist I | 36611 | MS-11 |
| Public Health Program Specialist II | 36612 | MS-14 |
| Public Health Program Specialist III | 36613 | MS-21 |
| Public Health Program Specialist Trainee | 36615 | MS-09 |
| Public Information Coordinator | 36750 | MS-19 |
| Public Information Officer III | 37003 | MS-21 |
| Public Information Officer IV | 37004 | MS-25 |
| Public Safety Drug Screening Specialist | 37006 | MS-16 |
| Public Safety Inspector | 37007 | MS-14 |
| Public Safety Inspector Trainee | 37010 | MS-07 |
| Public Service Executive | 37017 | MS-31 |
| Public Service Supervisor | 37016 | MS-28 |
| Race Track Maintainer I | 37551 | MS-10 |
| Race Track Maintainer II | 37552 | MS-12 |
| Radiologic Technologist | 37500 | MS-08 |
| Radiologic Technologist Chief | 37505 | MS-17 |
| Radiologic Technologist Program Coordinator | 37507 | MS-09 |
| Railroad Safety Specialist I | 37601 | MS-21 |
| Railroad Safety Specialist II | 37602 | MS-25 |

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

| | | |
|---|-------|-------|
| Railroad Safety Specialist III | 37603 | MS-29 |
| Railroad Safety Specialist IV | 37604 | MS-32 |
| Ranger | 37725 | MS-10 |
| Real Estate Investigator | 37730 | MS-21 |
| Real Estate Professions Examiner | 37760 | MS-28 |
| Recreation Worker I | 38001 | MS-09 |
| Recreation Worker II | 38002 | MS-11 |
| Refrigeration and Air Conditioning Repairer | 38119 | MS-12 |
| Registered Nurse – Advanced Practice | 38135 | MS-26 |
| Registered Nurse I | 38131 | MS-18 |
| Registered Nurse II | 38132 | MS-22 |
| Rehabilitation Case Coordinator I | 38141 | MS-05 |
| Rehabilitation Case Coordinator II | 38142 | MS-07 |
| Rehabilitation Counselor | 38145 | MS-16 |
| Rehabilitation Counselor Aide I | 38155 | MS-06 |
| Rehabilitation Counselor Aide II | 38156 | MS-08 |
| Rehabilitation Counselor Senior | 38158 | MS-21 |
| Rehabilitation Counselor Trainee | 38159 | MS-12 |
| Rehabilitation Services Advisor I | 38176 | MS-23 |
| Rehabilitation Workshop Instructor I | 38192 | MS-05 |
| Rehabilitation Workshop Instructor II | 38193 | MS-09 |
| Rehabilitation Workshop Supervisor I | 38194 | MS-09 |
| Rehabilitation Workshop Supervisor II | 38195 | MS-11 |
| Rehabilitation Workshop Supervisor III | 38196 | MS-14 |
| Rehabilitation/Mobility Instructor | 38163 | MS-21 |
| Rehabilitation/Mobility Instructor Trainee | 38167 | MS-12 |
| Reimbursement Officer I | 38199 | MS-11 |
| Reimbursement Officer II | 38200 | MS-14 |
| Reproduction Service Supervisor I | 38201 | MS-10 |
| Reproduction Service Technician I | 38203 | MS-03 |
| Reproduction Service Technician II | 38204 | MS-06 |
| Reproduction Service Technician III | 38205 | MS-08 |
| Research Economist | 38209 | MS-18 |
| Research Fellow, Option B | 38211 | MS-19 |
| Research Scientist I | 38231 | MS-10 |
| Research Scientist II | 38232 | MS-14 |
| Research Scientist III | 38233 | MS-23 |
| Resident Physician | 38270 | MS-15 |
| Residential Care Program Supervisor I | 38271 | MS-22 |

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

| | | |
|---|-------|-------|
| Residential Care Worker | 38277 | MS-09 |
| Residential Care Worker Trainee | 38279 | MS-05 |
| Resource Planner I | 38281 | MS-16 |
| Resource Planner II | 38282 | MS-21 |
| Resource Planner III | 38283 | MS-28 |
| Retirement Benefits Representative | 38313 | MS-09 |
| Retirement Benefits Representative Supervisor | 38314 | MS-11 |
| Retirement Benefits Representative Trainee | 38316 | MS-07 |
| Retirement System Disability Specialist | 38310 | MS-21 |
| Revenue Audit Supervisor | 38369 | MS-32 |
| Revenue Auditor I | 38371 | MS-14 |
| Revenue Auditor II | 38372 | MS-21 |
| Revenue Auditor III | 38373 | MS-28 |
| Revenue Auditor Trainee | 38375 | MS-09 |
| Revenue Collection Officer I | 38401 | MS-12 |
| Revenue Collection Officer II | 38402 | MS-16 |
| Revenue Collection Officer III | 38403 | MS-21 |
| Revenue Collection Officer Trainee | 38405 | MS-09 |
| Revenue Computer Audit Specialist | 38425 | MS-29 |
| Revenue Senior Special Agent | 38557 | MS-29 |
| Revenue Special Agent | 38558 | MS-21 |
| Revenue Special Agent Trainee | 38565 | MS-11 |
| Revenue Tax Specialist I | 38571 | MS-09 |
| Revenue Tax Specialist II | 38572 | MS-11 |
| Revenue Tax Specialist III | 38573 | MS-16 |
| Revenue Tax Specialist Trainee | 38575 | MS-07 |
| Safety Responsibility Analyst | 38910 | MS-09 |
| Safety Responsibility Analyst Supervisor | 38915 | MS-11 |
| School Psychologist | 39200 | MS-21 |
| Security Guard I | 39851 | MS-13 |
| Security Guard II | 39852 | MS-14 |
| Security Officer | 39870 | MS-10 |
| Security Officer Chief | 39875 | MS-13 |
| Security Officer Lieutenant | 39876 | MS-11 |
| Security Officer Sergeant | 39877 | MS-10 |
| Security Therapy Aide I | 39901 | MS-10 |
| Security Therapy Aide II | 39902 | MS-11 |
| Security Therapy Aide III | 39903 | MS-13 |
| Security Therapy Aide IV | 39904 | MS-16 |

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

| | | |
|---|-------|-------|
| Security Therapy Aide Trainee | 39905 | MS-06 |
| Seed Analyst I | 39951 | MS-09 |
| Seed Analyst II | 39952 | MS-10 |
| Seed Analyst Trainee | 39953 | MS-07 |
| Senior Ranger | 40090 | MS-11 |
| Sex Offender Registration Unit Supervisor | 40700 | MS-33 |
| Sex Offender Therapist I | 40531 | MS-16 |
| Sex Offender Therapist II | 40532 | MS-21 |
| Shift Supervisor | 40800 | MS-31 |
| Sign Hanger | 40900 | MS-16 |
| Sign Hanger Foreman | 40910 | MS-18 |
| Sign Shop Foreman | 41000 | MS-12 |
| Silk Screen Operator | 41020 | MS-17 |
| Site Assistant Superintendent I | 41071 | MS-12 |
| Site Assistant Superintendent II | 41072 | MS-16 |
| Site Interpreter | 41090 | MS-07 |
| Site Interpretive Coordinator | 41093 | MS-10 |
| Site Security Officer | 41115 | MS-06 |
| Site Services Specialist I | 41117 | MS-12 |
| Site Services Specialist II | 41118 | MS-16 |
| Site Superintendent I | 41211 | MS-20 |
| Site Superintendent II | 41212 | MS-25 |
| Site Superintendent III | 41213 | MS-29 |
| Site Technician I | 41131 | MS-07 |
| Site Technician II | 41132 | MS-09 |
| Small Engine Mechanic | 41150 | MS-10 |
| Social Service Aide I | 41281 | MS-05 |
| Social Service Aide II | 41282 | MS-08 |
| Social Service Aide Trainee | 41285 | MS-03 |
| Social Service Community Planner | 41295 | MS-08 |
| Social Service Consultant I | 41301 | MS-19 |
| Social Service Consultant II | 41302 | MS-21 |
| Social Service Program Planner I | 41311 | MS-12 |
| Social Service Program Planner II | 41312 | MS-16 |
| Social Service Program Planner III | 41313 | MS-23 |
| Social Service Program Planner IV | 41314 | MS-28 |
| Social Services Career Trainee | 41320 | MS-09 |
| Social Worker I | 41411 | MS-16 |
| Social Worker II | 41412 | MS-21 |

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

| | | |
|--|-------|-------|
| Social Worker III | 41413 | MS-23 |
| Social Worker IV | 41414 | MS-28 |
| Social Worker Intern | 41430 | MS-15 |
| Special Education Resources Coordinator | 41680 | MS-26 |
| Staff Development Specialist I | 41771 | MS-19 |
| Staff Development Technician I | 41781 | MS-09 |
| Staff Development Technician II | 41782 | MS-12 |
| Staff Pharmacist | 41787 | MS-31 |
| State Mine Inspector | 42230 | MS-21 |
| State Mine Inspector-At-Large | 42240 | MS-31 |
| State Police Crime Information Evaluator | 41801 | MS-08 |
| State Police Evidence Technician I | 41901 | MS-09 |
| State Police Evidence Technician II | 41902 | MS-10 |
| State Police Field Specialist I | 42001 | MS-19 |
| State Police Field Specialist II | 42002 | MS-23 |
| State Police Inspector | 42100 | MS-33 |
| Statistical Research Specialist I | 42741 | MS-09 |
| Statistical Research Specialist II | 42742 | MS-11 |
| Statistical Research Specialist III | 42743 | MS-16 |
| Statistical Research Supervisor | 42745 | MS-23 |
| Statistical Research Technician | 42748 | MS-08 |
| Storage Tank Safety Specialist | 43005 | MS-19 |
| Storekeeper I | 43051 | MS-11 |
| Storekeeper II | 43052 | MS-12 |
| Storekeeper III | 43053 | MS-13 |
| Stores Clerk | 43060 | MS-03 |
| Student Intern | 43190 | MS-01 |
| Student Worker | 43200 | MS-01 |
| Supervising Vehicle Testing Compliance Officer | 43680 | MS-22 |
| Support Service Coordinator I | 44221 | MS-07 |
| Support Service Coordinator II | 44222 | MS-09 |
| Support Service Lead | 44225 | MS-04 |
| Support Service Worker | 44238 | MS-03 |
| Switchboard Chief Operator | 44410 | MS-11 |
| Switchboard Operator I | 44411 | MS-03 |
| Switchboard Operator II | 44412 | MS-04 |
| Switchboard Operator III | 44413 | MS-06 |
| Technical Advisor Advanced Program Specialist | 45256 | MS-31 |
| Technical Advisor I | 45251 | MS-19 |

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

| | | |
|---|-------|-------|
| Technical Advisor II | 45252 | MS-23 |
| Technical Advisor III | 45253 | MS-29 |
| Technical Manager I | 45261 | MS-18 |
| Telecommunications Specialist | 45295 | MS-12 |
| Telecommunications Supervisor | 45305 | MS-23 |
| Telecommunications Systems Analyst | 45308 | MS-16 |
| Telecommunications Systems Technician I | 45312 | MS-07 |
| Telecommunications Systems Technician II | 45313 | MS-10 |
| Telecommunications Systems Technician Trainee | 45314 | MS-05 |
| Telecommunicator | 45321 | MS-09 |
| Telecommunicator – Command Center | 45316 | MS-10 |
| Telecommunicator Call Taker | 45322 | MS-11 |
| Telecommunicator Lead Call Taker | 45323 | MS-14 |
| Telecommunicator Lead Specialist | 45327 | MS-16 |
| Telecommunicator Lead Worker | 45324 | MS-11 |
| Telecommunicator Lead Worker – Command Center | 45318 | MS-12 |
| Telecommunicator Specialist | 45326 | MS-12 |
| Telecommunicator Trainee | 45325 | MS-07 |
| Terrorism Research Specialist I | 45371 | MS-19 |
| Terrorism Research Specialist II | 45372 | MS-23 |
| Terrorism Research Specialist III | 45373 | MS-28 |
| Terrorism Research Specialist Trainee | 45375 | MS-11 |
| Transportation Officer | 45830 | MS-11 |
| Truck Weighing Inspector | 46100 | MS-10 |
| Unemployment Insurance Adjudicator I | 47001 | MS-08 |
| Unemployment Insurance Adjudicator II | 47002 | MS-10 |
| Unemployment Insurance Adjudicator III | 47003 | MS-12 |
| Unemployment Insurance Revenue Analyst I | 47081 | MS-12 |
| Unemployment Insurance Revenue Analyst II | 47082 | MS-16 |
| Unemployment Insurance Revenue Specialist | 47087 | MS-10 |
| Unemployment Insurance Special Agent | 47096 | MS-19 |
| Utility Engineer I | 47451 | MS-20 |
| Utility Engineer II | 47452 | MS-24 |
| Vehicle Compliance Inspector | 47570 | MS-15 |
| Vehicle Emission Compliance Inspector | 47580 | MS-10 |
| Vehicle Emission Compliance Supervisor | 47583 | MS-12 |
| Vehicle Emission Quality Assurance Auditor | 47584 | MS-10 |
| Vehicle Permit Evaluator | 47585 | MS-08 |
| Veterans Educational Specialist I | 47681 | MS-12 |

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

| | | |
|--|-------|-------|
| Veterans Educational Specialist II | 47682 | MS-16 |
| Veterans Educational Specialist III | 47683 | MS-25 |
| Veterans Employment Representative I | 47701 | MS-11 |
| Veterans Employment Representative II | 47702 | MS-14 |
| Veterans Nursing Assistant – Certified | 47750 | MS-05 |
| Veterans Service Officer | 47800 | MS-11 |
| Veterans Service Officer Associate | 47804 | MS-10 |
| Veterinarian I | 47901 | MS-19 |
| Veterinarian II | 47902 | MS-23 |
| Veterinarian III | 47903 | MS-25 |
| Veterinary Consumer Safety Officer | 47911 | MS-20 |
| Veterinary Pathologist | 47916 | MS-29 |
| Veterinary Supervisor I | 47917 | MS-25 |
| Veterinary Supervisor II | 47918 | MS-26 |
| Vision/Hearing Consultant I | 47941 | MS-14 |
| Vision/Hearing Consultant II | 47942 | MS-23 |
| Vision/Hearing Consultant III | 47943 | MS-25 |
| Vital Records Quality Control Inspector | 48000 | MS-10 |
| Vocational Instructor | 48200 | MS-09 |
| Volunteer Services Coordinator I | 48481 | MS-10 |
| Volunteer Services Coordinator II | 48482 | MS-14 |
| Volunteer Services Coordinator III | 48483 | MS-19 |
| Wage Claims Specialist | 48770 | MS-06 |
| Warehouse Claims Specialist | 48780 | MS-22 |
| Warehouse Examiner | 48881 | MS-13 |
| Warehouse Examiner Specialist | 48882 | MS-18 |
| Warehouse Examiner Supervisor | 48786 | MS-20 |
| Waterways Construction Supervisor I | 49061 | MS-14 |
| Waterways Construction Supervisor II | 49062 | MS-19 |
| Weatherization Specialist I | 49101 | MS-11 |
| Weatherization Specialist II | 49102 | MS-16 |
| Weatherization Specialist III | 49103 | MS-23 |
| Weatherization Specialist Trainee | 49105 | MS-09 |
| Well Inspector I | 49421 | MS-11 |
| Well Inspector II | 49422 | MS-18 |
| Well Inspector Specialist | 49424 | MS-24 |
| Well Inspector Trainee | 49425 | MS-09 |
| Workers Compensation Insurance Compliance Investigator | 49640 | MS-23 |

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

NOTE: Effective January 1, 2008, the merit compensation grade 12 in the Personnel Code [20 ILCS 415/8b.18(a) and (b) and 8b.19(a) and (b)] that formerly was indicated by MC-12 is MS-32.

(Source: Amended at 44 Ill. Reg. 1819, effective January 1, 2020)

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

Section 310.APPENDIX A Negotiated Rates of Pay**Section 310.TABLE L RC-008 (Boilermakers)**

| Title | Title Code | Region | Pay Plan Code | Hired | Effective Date | Monthly Salary |
|--------------------------------|-------------------|---------------|----------------------|------------------------------------|-----------------------------|----------------------------|
| Boiler Safety Audit Specialist | 04900 | Northern | B | Prior to December 1, 2013 | May 1, 2019 2018 | 9550.86 9354.24 |
| Boiler Safety Audit Specialist | 04900 | Northern | Q | Prior to December 1, 2013 | May 1, 2019 2018 | 9688.32 9488.22 |
| Boiler Safety Audit Specialist | 04900 | Northern | S | Prior to December 1, 2013 | May 1, 2019 2018 | 9738.78 9538.68 |
| Boiler Safety Audit Specialist | 04900 | Northern | B | On or after December 1, 2013 | May 1, 2019 2018 | 9183.72 8994.06 |
| Boiler Safety Audit Specialist | 04900 | Central | B | Prior to December 1, 2013 | January 1, 2019 | 7657.74 |
| Boiler Safety Audit Specialist | 04900 | Central | Q | Prior to December 1, 2013 | January 1, 2019 | 7769.10 |
| Boiler Safety Audit Specialist | 04900 | Central | S | Prior to December 1, 2013 | January 1, 2019 | 7819.56 |
| Boiler Safety Audit Specialist | 04900 | Central | B | On or after December 1, 2013 | January 1, 2019 | 7363.68 |
| Boiler Safety Audit Specialist | 04900 | Southern | B | Prior to December 1, 2013 | January 1, 2019 | 7193.16 |
| Boiler Safety Audit Specialist | 04900 | Southern | Q | Prior to December 1, 2013 | January 1, 2019 | 7297.56 |
| Boiler Safety Audit Specialist | 04900 | Southern | S | Prior to December 1, 2013 | January 1, 2019 | 7348.02 |
| Boiler Safety Audit Specialist | 04900 | Southern | B | On or after December 1, 2013 | January 1, 2019 | 6916.50 |
| Boiler Safety Specialist | 04910 | Northern | B | Prior to December 1, 2013 | May 1, 2019 2018 | 9140.22 8950.56 |

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

| | | | | | | |
|--------------------------|-------|----------|---|------------------------------------|-----------------------------|----------------------------|
| Boiler Safety Specialist | 04910 | Northern | Q | Prior to December 1, 2013 | May 1, 2019 2018 | 9272.469 079.32 |
| Boiler Safety Specialist | 04910 | Northern | S | Prior to December 1, 2013 | May 1, 2019 2018 | 9322.929 129.78 |
| Boiler Safety Specialist | 04910 | Northern | B | On or after December 1, 2013 | May 1, 2019 2018 | 8788.748 606.04 |
| Boiler Safety Specialist | 04910 | Central | B | Prior to December 1, 2013 | January 1, 2019 | 7328.88 |
| Boiler Safety Specialist | 04910 | Central | Q | Prior to December 1, 2013 | January 1, 2019 | 7435.02 |
| Boiler Safety Specialist | 04910 | Central | S | Prior to December 1, 2013 | January 1, 2019 | 7485.48 |
| Boiler Safety Specialist | 04910 | Central | B | On or after December 1, 2013 | January 1, 2019 | 7047.00 |
| Boiler Safety Specialist | 04910 | Southern | B | Prior to December 1, 2013 | January 1, 2019 | 6883.44 |
| Boiler Safety Specialist | 04910 | Southern | Q | Prior to December 1, 2013 | January 1, 2019 | 6982.62 |
| Boiler Safety Specialist | 04910 | Southern | S | Prior to December 1, 2013 | January 1, 2019 | 7033.08 |
| Boiler Safety Specialist | 04910 | Southern | B | On or after December 1, 2013 | January 1, 2019 | 6618.96 |

NOTES: Regions – The counties in the regions are:

Northern Region: Boone, Cook, DeKalb, DuPage, Grundy, Kane, Kankakee, Kendall, Lake, McHenry, Will, and Winnebago Counties.

Central Region: Bureau, Carroll, Champaign, DeWitt, Ford, Fulton, Hancock, Henderson, Henry, Iroquois, JoDaviess, Knox, LaSalle, Lee, Livingston, Logan, Marshall, Mason, McDonough, McLean, Mercer, Ogle, Peoria, Putnam, Rock Island, Schuyler, Stark, Stephenson, Tazewell, Vermilion, Warren, Whiteside, and Woodford Counties.

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

Southern Region: Adams, Alexander, Bond, Brown, Calhoun, Cass, Christian, Clark, Clay, Clinton, Coles, Crawford, Cumberland, Douglas, Edgar, Edwards, Effingham, Fayette, Franklin, Gallatin, Greene, Hamilton, Hardin, Jackson, Jasper, Jefferson, Jersey, Johnson, Lawrence, Macon, Macoupin, Madison, Marion, Massac, Menard, Monroe, Montgomery, Morgan, Moultrie, Perry, Piatt, Pike, Pope, Pulaski, Randolph, Richland, St. Clair, Saline, Sangamon, Scott, Shelby, Union, Wabash, Washington, Wayne, White, and Williamson Counties.

Additional Provisions – Section 310.210 shall apply to employees occupying positions in the Boiler Safety Specialist class that are represented by the RC-008 bargaining unit.

(Source: Amended at 44 Ill. Reg. 1819, effective January 1, 2020)

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

Section 310.APPENDIX A Negotiated Rates of Pay**Section 310.TABLE W RC-062 (Technical Employees, AFSCME)**

| Title | Title Code | Bargaining Unit | Pay Grade |
|---|-------------------|------------------------|------------------|
| Accountant | 00130 | RC-062 | 14 |
| Accountant Advanced | 00133 | RC-062 | 16 |
| Accountant Supervisor | 00135 | RC-062 | 18 |
| Accounting and Fiscal Administration Career Trainee | 00140 | RC-062 | 12 |
| Activity Therapist | 00157 | RC-062 | 15 |
| Activity Therapist Coordinator | 00160 | RC-062 | 17 |
| Activity Therapist Supervisor | 00163 | RC-062 | 20 |
| Actuarial Assistant | 00187 | RC-062 | 16 |
| Actuarial Examiner | 00195 | RC-062 | 16 |
| Actuarial Examiner Trainee | 00196 | RC-062 | 13 |
| Actuarial Senior Examiner | 00197 | RC-062 | 19 |
| Actuary I | 00201 | RC-062 | 20 |
| Actuary II | 00202 | RC-062 | 24 |
| Agricultural Market News Assistant | 00804 | RC-062 | 12 |
| Agricultural Marketing Reporter | 00807 | RC-062 | 18 |
| Agricultural Marketing Representative | 00810 | RC-062 | 18 |
| Agriculture Land and Water Resource Specialist I | 00831 | RC-062 | 14 |
| Agriculture Land and Water Resource Specialist II | 00832 | RC-062 | 17 |
| Agriculture Land and Water Resource Specialist III | 00833 | RC-062 | 20 |
| Aircraft Pilot I | 00955 | RC-062 | 19 |
| Aircraft Pilot II | 00956 | RC-062 | 22 |
| Aircraft Pilot II – Dual Rating | 00957 | RC-062 | 23 |
| Appraisal Specialist I | 01251 | RC-062 | 14 |
| Appraisal Specialist II | 01252 | RC-062 | 16 |
| Appraisal Specialist III | 01253 | RC-062 | 18 |
| Arts Council Associate | 01523 | RC-062 | 12 |
| Arts Council Program Coordinator | 01526 | RC-062 | 18 |
| Arts Council Program Representative | 01527 | RC-062 | 15 |
| Assignment Coordinator | 01530 | RC-062 | 20 |
| Bank Examiner I | 04131 | RC-062 | 16 |
| Bank Examiner II | 04132 | RC-062 | 19 |
| Bank Examiner III | 04133 | RC-062 | 22 |
| Behavioral Analyst Associate | 04355 | RC-062 | 15 |

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

| | | | |
|--|------------------|-------------------|---------------|
| Behavioral Analyst I | 04351 | RC-062 | 17 |
| Behavioral Analyst II | 04352 | RC-062 | 19 |
| Business Administrative Specialist | 05810 | RC-062 | 16 |
| Business Manager | 05815 | RC-062 | 18 |
| Buyer | 05900 | RC-062 | 18 |
| Cancer Registrar I | 05951 | RC-062 | 14 |
| Cancer Registrar II | 05952 | RC-062 | 16 |
| Cancer Registrar III | 05953 | RC-062 | 20 |
| Cancer Registrar Assistant Manager | 05954 | RC-062 | 22 |
| Cancer Registrar Manager | 05955 | RC-062 | 24 |
| Capital Development Board Account Technician | 06515 | RC-062 | 11 |
| Capital Development Board Art in Architecture Technician | 06533 | RC-062 | 12 |
| Capital Development Board Construction Support Analyst | 06520 | RC-062 | 11 |
| Capital Development Board Project Technician | 06530 | RC-062 | 12 |
| Chemist I | 06941 | RC-062 | 16 |
| Chemist II | 06942 | RC-062 | 19 |
| Chemist III | 06943 | RC-062 | 21 |
| Child Protection Advanced Specialist | 07161 | RC-062 | 19 |
| Child Protection Associate Specialist | 07162 | RC-062 | 16 |
| Child Protection Specialist | 07163 | RC-062 | 18 |
| Child Support Specialist I | 07198 | RC-062 | 16 |
| Child Support Specialist II | 07199 | RC-062 | 17 |
| Child Support Specialist Trainee | 07200 | RC-062 | 12 |
| Child Welfare Associate Specialist | 07216 | RC-062 | 16 |
| Child Welfare Staff Development Coordinator I | 07201 | RC-062 | 17 |
| Child Welfare Staff Development Coordinator II | 07202 | RC-062 | 19 |
| Child Welfare Staff Development Coordinator III | 07203 | RC-062 | 20 |
| Child Welfare Staff Development Coordinator IV | 07204 | RC-062 | 22 |
| Children and Family Service Intern – Option I | 07241 | RC-062 | 12 |
| Children and Family Service Intern – Option II | 07242 | RC-062 | 15 |
| Clinical Laboratory Technologist I | 08220 | RC-062 | 18 |
| Clinical Laboratory Technologist II | 08221 | RC-062 | 19 |
| Clinical Laboratory Technologist Trainee | 08229 | RC-062 | 14 |
| Communications Systems Specialist | 08860 | RC-062 | 23 |
| Community Management Specialist I | 08891 | RC-062 | 15 |
| Community Management Specialist II | 08892 | RC-062 | 17 |
| Community Management Specialist III | 08893 | RC-062 | 19 |
| Community Planner I | 08901 | RC-062 | 15 |
| Community Planner II | 08902 | RC-062 | 17 |

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

| | | | |
|--|-------|--------|----|
| Community Planner III | 08903 | RC-062 | 19 |
| Conservation Education Representative | 09300 | RC-062 | 12 |
| Conservation Grant Administrator I | 09311 | RC-062 | 18 |
| Conservation Grant Administrator II | 09312 | RC-062 | 20 |
| Conservation Grant Administrator III | 09313 | RC-062 | 22 |
| Construction Program Assistant | 09525 | RC-062 | 12 |
| Correctional Counselor I | 09661 | RC-062 | 15 |
| Correctional Counselor II | 09662 | RC-062 | 17 |
| Correctional Counselor III | 09663 | RC-062 | 19 |
| Corrections Apprehension Specialist | 09750 | RC-062 | 19 |
| Corrections Industries Marketing Representative | 09803 | RC-062 | 17 |
| Corrections Law Library Assistant | 09819 | RC-062 | 14 |
| Corrections Leisure Activities Specialist I | 09811 | RC-062 | 15 |
| Corrections Leisure Activities Specialist II | 09812 | RC-062 | 17 |
| Corrections Leisure Activities Specialist III | 09813 | RC-062 | 19 |
| Corrections Parole Agent | 09842 | RC-062 | 17 |
| Corrections Senior Parole Agent | 09844 | RC-062 | 19 |
| Criminal Intelligence Analyst I | 10161 | RC-062 | 18 |
| Criminal Intelligence Analyst II | 10162 | RC-062 | 20 |
| Criminal Intelligence Analyst Specialist | 10165 | RC-062 | 22 |
| Criminal Justice Specialist I | 10231 | RC-062 | 16 |
| Criminal Justice Specialist II | 10232 | RC-062 | 20 |
| Criminal Justice Specialist Trainee | 10236 | RC-062 | 13 |
| Curator of the Lincoln Collection | 10750 | RC-062 | 16 |
| Data Processing Supervisor I | 11435 | RC-062 | 11 |
| Data Processing Supervisor II | 11436 | RC-062 | 14 |
| Data Processing Supervisor III | 11437 | RC-062 | 18 |
| Day Care Licensing Representative I | 11471 | RC-062 | 16 |
| Developmental Disabilities Council Program Planner I | 12361 | RC-062 | 12 |
| Developmental Disabilities Council Program Planner II | 12362 | RC-062 | 16 |
| Developmental Disabilities Council Program Planner III | 12363 | RC-062 | 18 |
| Dietary Manager I | 12501 | RC-062 | 16 |
| Dietary Manager II | 12502 | RC-062 | 18 |
| Dietitian | 12510 | RC-062 | 15 |
| Disability Appeals Officer | 12530 | RC-062 | 22 |
| Disability Claims Adjudicator I | 12537 | RC-062 | 16 |
| Disability Claims Adjudicator II | 12538 | RC-062 | 18 |
| Disability Claims Adjudicator Trainee | 12539 | RC-062 | 13 |
| Disability Claims Analyst | 12540 | RC-062 | 21 |

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

| | | | |
|---|-------|--------|-----|
| Disability Claims Specialist | 12558 | RC-062 | 19 |
| Disaster Services Planner | 12585 | RC-062 | 19 |
| Document Examiner | 12640 | RC-062 | 22 |
| Economic Development Representative I | 12931 | RC-062 | 17 |
| Economic Development Representative II | 12932 | RC-062 | 19 |
| Educational Diagnostician | 12965 | RC-062 | 12 |
| Employment Security Field Office Supervisor | 13600 | RC-062 | 20 |
| Employment Security Manpower Representative I | 13621 | RC-062 | 12 |
| Employment Security Manpower Representative II | 13622 | RC-062 | 14 |
| Employment Security Program Representative | 13650 | RC-062 | 14 |
| Employment Security Program Representative – Intermittent | 13651 | RC-062 | 14H |
| Employment Security Service Representative | 13667 | RC-062 | 16 |
| Employment Security Service Representative (Intermittent) | 13667 | RC-062 | 16H |
| Employment Security Specialist I | 13671 | RC-062 | 14 |
| Employment Security Specialist II | 13672 | RC-062 | 16 |
| Employment Security Specialist III | 13673 | RC-062 | 19 |
| Employment Security Tax Auditor I | 13681 | RC-062 | 17 |
| Employment Security Tax Auditor II | 13682 | RC-062 | 19 |
| Energy and Natural Resources Specialist I | 13711 | RC-062 | 15 |
| Energy and Natural Resources Specialist II | 13712 | RC-062 | 17 |
| Energy and Natural Resources Specialist III | 13713 | RC-062 | 19 |
| Energy and Natural Resources Specialist Trainee | 13715 | RC-062 | 12 |
| Engineering Technician IV (Department of Public Health) | 13734 | RC-062 | 18 |
| Environmental Health Specialist I | 13768 | RC-062 | 14 |
| Environmental Health Specialist II | 13769 | RC-062 | 16 |
| Environmental Health Specialist III | 13770 | RC-062 | 18 |
| Environmental Protection Associate | 13785 | RC-062 | 12 |
| Environmental Protection Specialist I | 13821 | RC-062 | 14 |
| Environmental Protection Specialist II | 13822 | RC-062 | 16 |
| Environmental Protection Specialist III | 13823 | RC-062 | 18 |
| Environmental Protection Specialist IV | 13824 | RC-062 | 22 |
| Equal Pay Specialist | 13837 | RC-062 | 17 |
| Executive I | 13851 | RC-062 | 18 |
| Executive II | 13852 | RC-062 | 20 |
| Financial Institutions Examiner I | 14971 | RC-062 | 16 |
| Financial Institutions Examiner II | 14972 | RC-062 | 19 |
| Financial Institutions Examiner III | 14973 | RC-062 | 22 |
| Financial Institutions Examiner Trainee | 14978 | RC-062 | 13 |
| Firearms Eligibility Analyst I | 15371 | RC-062 | 13 |

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

| | | | |
|---|------------------|-------------------|---------------|
| Firearms Eligibility Analyst II | 15372 | RC-062 | 16 |
| Firearms Eligibility Analyst Trainee | 15375 | RC-062 | 11 |
| Fire Protection Specialist I | 15351 | RC-062 | 16 |
| Flight Safety Coordinator | 15640 | RC-062 | 22 |
| Forensic Scientist I | 15891 | RC-062 | 18 |
| Forensic Scientist II | 15892 | RC-062 | 20 |
| Forensic Scientist III | 15893 | RC-062 | 22 |
| Forensic Scientist Trainee | 15897 | RC-062 | 15 |
| Gaming Licensing Analyst | 17171 | RC-062 | 15 |
| Gaming Operations Supervisor | 17181 | RC-062 | 26 |
| Gaming Senior Special Agent | 17191 | RC-062 | 23 |
| Gaming Shift Supervisor | 17187 | RC-062 | 24 |
| Gaming Special Agent | 17192 | RC-062 | 19 |
| Gaming Special Agent Trainee | 17195 | RC-062 | 14 |
| Gaming Unit Supervisor | 17201 | RC-062 | 26 |
| Guardianship Representative | 17710 | RC-062 | 17 |
| Habilitation Program Coordinator | 17960 | RC-062 | 17 |
| Handicapped Services Representative I | 17981 | RC-062 | 11 |
| Health Facilities Surveyor I | 18011 | RC-062 | 16 |
| Health Facilities Surveyor II | 18012 | RC-062 | 19 |
| Health Facilities Surveyor III | 18013 | RC-062 | 20 |
| Health Information Administrator | 18041 | RC-062 | 15 |
| Health Services Investigator I – Opt. A | 18181 | RC-062 | 19 |
| Health Services Investigator I – Opt. B | 18182 | RC-062 | 20 |
| Health Services Investigator II – Opt. A | 18185 | RC-062 | 22 |
| Health Services Investigator II – Opt. B | 18186 | RC-062 | 22 |
| Health Services Investigator II – Opt. C | 18187 | RC-062 | 25 |
| Health Services Investigator II – Opt. D | 18188 | RC-062 | 25 |
| Historical Documents Conservator I | 18981 | RC-062 | 13 |
| Historical Exhibits Designer | 18985 | RC-062 | 15 |
| Historical Research Editor II | 19002 | RC-062 | 14 |
| Human Relations Representative | 19670 | RC-062 | 16 |
| Human Resources Representative | 19692 | RC-062 | 17 |
| Human Resources Specialist | 19693 | RC-062 | 20 |
| Human Rights Investigator I | 19774 | RC-062 | 16 |
| Human Rights Investigator II | 19775 | RC-062 | 18 |
| Human Rights Investigator III | 19776 | RC-062 | 19 |
| Human Rights Mediator | 19771 | RC-062 | 17 |
| Human Rights Specialist I | 19778 | RC-062 | 14 |

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

| | | | |
|--|-------|--------|-----|
| Human Rights Specialist II | 19779 | RC-062 | 16 |
| Human Rights Specialist III | 19780 | RC-062 | 18 |
| Human Services Casework Manager | 19788 | RC-062 | 20 |
| Human Services Caseworker | 19785 | RC-062 | 16 |
| Human Services Grants Coordinator I | 19791 | RC-062 | 14 |
| Human Services Grants Coordinator II | 19792 | RC-062 | 17 |
| Human Services Grants Coordinator III | 19793 | RC-062 | 20 |
| Human Services Grants Coordinator Trainee | 19796 | RC-062 | 12 |
| Human Services Sign Language Interpreter | 19810 | RC-062 | 16 |
| Iconographer | 19880 | RC-062 | 12 |
| Industrial and Community Development Representative I | 21051 | RC-062 | 17 |
| Industrial and Community Development Representative II | 21052 | RC-062 | 19 |
| Industrial Services Consultant I | 21121 | RC-062 | 14 |
| Industrial Services Consultant II | 21122 | RC-062 | 16 |
| Industrial Services Consultant Trainee | 21125 | RC-062 | 11 |
| Industrial Services Hygienist | 21127 | RC-062 | 19 |
| Industrial Services Hygienist Technician | 21130 | RC-062 | 16 |
| Industrial Services Hygienist Trainee | 21133 | RC-062 | 12 |
| Information Technology/Communication Systems Specialist I | 21216 | RC-062 | 19 |
| Information Technology/Communication Systems Specialist II | 21217 | RC-062 | 24 |
| Instrument Designer | 21500 | RC-062 | 18 |
| Insurance Analyst III | 21563 | RC-062 | 14 |
| Insurance Analyst IV | 21564 | RC-062 | 16 |
| Insurance Company Claims Examiner II | 21602 | RC-062 | 19 |
| Insurance Company Field Staff Examiner | 21608 | RC-062 | 16 |
| Insurance Company Financial Examiner Trainee | 21610 | RC-062 | 13 |
| Insurance Performance Examiner I | 21671 | RC-062 | 14 |
| Insurance Performance Examiner II | 21672 | RC-062 | 17 |
| Insurance Performance Examiner III | 21673 | RC-062 | 20 |
| Intermittent Unemployment Insurance Representative | 21689 | RC-062 | 12H |
| Internal Auditor I | 21721 | RC-062 | 17 |
| Internal Security Investigator I, not Department of Corrections | 21731 | RC-062 | 18 |
| Internal Security Investigator II, not Department of Corrections | 21732 | RC-062 | 21 |
| International Marketing Representative I, Department of Agriculture | 21761 | RC-062 | 14 |
| Juvenile Justice Youth and Family Specialist, Option 1 | 21991 | RC-062 | 18 |
| Juvenile Justice Youth and Family Specialist, Option 2 | 21992 | RC-062 | 20 |
| KidCare Supervisor | 22003 | RC-062 | 20 |

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

| | | | |
|--|-------|--------|----|
| Labor Conciliator | 22750 | RC-062 | 20 |
| Laboratory Equipment Specialist | 22990 | RC-062 | 18 |
| Laboratory Quality Specialist I | 23021 | RC-062 | 19 |
| Laboratory Quality Specialist II | 23022 | RC-062 | 21 |
| Laboratory Research Specialist I | 23027 | RC-062 | 19 |
| Laboratory Research Specialist II | 23028 | RC-062 | 21 |
| Land Acquisition Agent I | 23091 | RC-062 | 15 |
| Land Acquisition Agent II | 23092 | RC-062 | 18 |
| Land Acquisition Agent III | 23093 | RC-062 | 21 |
| Land Reclamation Specialist I | 23131 | RC-062 | 14 |
| Land Reclamation Specialist II | 23132 | RC-062 | 17 |
| Liability Claims Adjuster I | 23371 | RC-062 | 14 |
| Liability Claims Adjuster II | 23372 | RC-062 | 18 |
| Library Associate | 23430 | RC-062 | 12 |
| Life Sciences Career Trainee | 23600 | RC-062 | 12 |
| Liquor Control Special Agent II | 23752 | RC-062 | 15 |
| Local Historical Services Representative | 24000 | RC-062 | 17 |
| Local Housing Advisor I | 24031 | RC-062 | 14 |
| Local Housing Advisor II | 24032 | RC-062 | 16 |
| Local Housing Advisor III | 24033 | RC-062 | 18 |
| Local Revenue and Fiscal Advisor I | 24101 | RC-062 | 15 |
| Local Revenue and Fiscal Advisor II | 24102 | RC-062 | 17 |
| Local Revenue and Fiscal Advisor III | 24103 | RC-062 | 19 |
| Lottery Regional Coordinator | 24504 | RC-062 | 19 |
| Lottery Sales Representative | 24515 | RC-062 | 16 |
| Management Operations Analyst I | 25541 | RC-062 | 18 |
| Management Operations Analyst II | 25542 | RC-062 | 20 |
| Manpower Planner I | 25591 | RC-062 | 14 |
| Manpower Planner II | 25592 | RC-062 | 17 |
| Manpower Planner III | 25593 | RC-062 | 20 |
| Manpower Planner Trainee | 25597 | RC-062 | 12 |
| Medical Assistance Consultant I | 26501 | RC-062 | 13 |
| Medical Assistance Consultant II | 26502 | RC-062 | 16 |
| Medical Assistance Consultant III | 26503 | RC-062 | 19 |
| Mental Health Administrator I | 26811 | RC-062 | 18 |
| Mental Health Administrator II | 26812 | RC-062 | 20 |
| Mental Health Administrator Trainee | 26817 | RC-062 | 16 |
| Mental Health Recovery Support Specialist I | 26921 | RC-062 | 17 |
| Mental Health Recovery Support Specialist II | 26922 | RC-062 | 18 |

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

| | | | |
|---|-------|--------|----|
| Mental Health Specialist I | 26924 | RC-062 | 12 |
| Mental Health Specialist II | 26925 | RC-062 | 14 |
| Mental Health Specialist III | 26926 | RC-062 | 16 |
| Mental Health Specialist Trainee | 26928 | RC-062 | 11 |
| Meteorologist | 27120 | RC-062 | 18 |
| Methods and Procedures Advisor I | 27131 | RC-062 | 14 |
| Methods and Procedures Advisor II | 27132 | RC-062 | 16 |
| Methods and Procedures Advisor III | 27133 | RC-062 | 20 |
| Methods and Procedures Career Associate I | 27135 | RC-062 | 11 |
| Methods and Procedures Career Associate II | 27136 | RC-062 | 12 |
| Methods and Procedures Career Associate Trainee | 27137 | RC-062 | 09 |
| Metrologist Associate | 27146 | RC-062 | 15 |
| Microbiologist I | 27151 | RC-062 | 16 |
| Microbiologist II | 27152 | RC-062 | 19 |
| Museum Theater Systems Technician (Abraham Lincoln Presidential Library and Museum Historic Preservation Agency) | 28700 | RC-062 | 15 |
| Natural Resources Advanced Specialist | 28833 | RC-062 | 20 |
| Natural Resources Coordinator | 28831 | RC-062 | 15 |
| Natural Resources Specialist | 28832 | RC-062 | 18 |
| Oral Health Consultant | 30317 | RC-062 | 18 |
| Paralegal Assistant | 30860 | RC-062 | 14 |
| Pension and Death Benefits Technician I | 30961 | RC-062 | 12 |
| Pension and Death Benefits Technician II | 30962 | RC-062 | 19 |
| Plumbing Consultant (Department of Public Health) | 32910 | RC-062 | 22 |
| Police Training Specialist | 32990 | RC-062 | 17 |
| Private Secretary I | 34201 | RC-062 | 16 |
| Program Integrity Auditor I | 34631 | RC-062 | 16 |
| Program Integrity Auditor II | 34632 | RC-062 | 19 |
| Program Integrity Auditor Trainee | 34635 | RC-062 | 12 |
| Property Consultant | 34900 | RC-062 | 15 |
| Public Aid Investigator | 35870 | RC-062 | 19 |
| Public Aid Investigator Trainee | 35874 | RC-062 | 14 |
| Public Aid Lead Casework Specialist | 35880 | RC-062 | 17 |
| Public Aid Program Quality Analyst | 35890 | RC-062 | 19 |
| Public Aid Quality Control Reviewer | 35892 | RC-062 | 17 |
| Public Aid Quality Control Supervisor | 35900 | RC-062 | 19 |
| Public Aid Staff Development Specialist I | 36071 | RC-062 | 15 |
| Public Aid Staff Development Specialist II | 36072 | RC-062 | 17 |

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

| | | | |
|---|-------|--------|----|
| Public Health Educator Associate | 36434 | RC-062 | 14 |
| Public Health Program Specialist I | 36611 | RC-062 | 14 |
| Public Health Program Specialist II | 36612 | RC-062 | 16 |
| Public Health Program Specialist III | 36613 | RC-062 | 19 |
| Public Health Program Specialist Trainee | 36615 | RC-062 | 12 |
| Public Information Coordinator | 36750 | RC-062 | 18 |
| Public Information Officer III | 37003 | RC-062 | 19 |
| Public Information Officer IV | 37004 | RC-062 | 21 |
| Public Safety Drug Screening Specialist | 37006 | RC-062 | 17 |
| Public Safety Inspector | 37007 | RC-062 | 16 |
| Public Safety Inspector Trainee | 37010 | RC-062 | 10 |
| Public Service Administrator, Option 8Z | 37015 | RC-062 | 19 |
| Public Service Administrator, Options 2, 6, 7 Gaming Board and Departments of Healthcare and Family Services and Revenue, 8C, 9A and 9B | 37015 | RC-062 | 24 |
| Public Service Administrator, Options 8B and 8Y | 37015 | RC-062 | 23 |
| Railroad Safety Specialist I | 37601 | RC-062 | 19 |
| Railroad Safety Specialist II | 37602 | RC-062 | 21 |
| Railroad Safety Specialist III | 37603 | RC-062 | 23 |
| Railroad Safety Specialist IV | 37604 | RC-062 | 25 |
| Real Estate Investigator | 37730 | RC-062 | 19 |
| Real Estate Professions Examiner | 37760 | RC-062 | 22 |
| Recreation Worker I | 38001 | RC-062 | 12 |
| Recreation Worker II | 38002 | RC-062 | 14 |
| Rehabilitation Counselor | 38145 | RC-062 | 17 |
| Rehabilitation Counselor Senior | 38158 | RC-062 | 19 |
| Rehabilitation Counselor Trainee | 38159 | RC-062 | 15 |
| Rehabilitation Services Advisor I | 38176 | RC-062 | 20 |
| Rehabilitation Workshop Supervisor I | 38194 | RC-062 | 12 |
| Rehabilitation Workshop Supervisor II | 38195 | RC-062 | 14 |
| Rehabilitation Workshop Supervisor III | 38196 | RC-062 | 16 |
| Reimbursement Officer I | 38199 | RC-062 | 14 |
| Reimbursement Officer II | 38200 | RC-062 | 16 |
| Research Economist | 38209 | RC-062 | 18 |
| Research Scientist I | 38231 | RC-062 | 13 |
| Research Scientist II | 38232 | RC-062 | 16 |
| Research Scientist III | 38233 | RC-062 | 20 |
| Residential Services Supervisor | 38280 | RC-062 | 15 |
| Resource Planner I | 38281 | RC-062 | 17 |

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

| | | | |
|---|-------|--------|----|
| Resource Planner II | 38282 | RC-062 | 19 |
| Resource Planner III | 38283 | RC-062 | 22 |
| Retirement Benefits Representative (State Retirement Systems) | 38313 | RC-062 | 12 |
| Retirement Benefits Representative Supervisor (State Retirement Systems) | 38314 | RC-062 | 14 |
| Retirement Benefits Representative Trainee (State Retirement Systems) | 38316 | RC-062 | 10 |
| Retirement System Disability Specialist | 38310 | RC-062 | 19 |
| Revenue Audit Supervisor | 38369 | RC-062 | 25 |
| Revenue Audit Supervisor (states other than IL and not assigned to RC-062-29 – Hired prior to April 1, 2013) | 38369 | RC-062 | 27 |
| Revenue Audit Supervisor (See Note – Hired prior to April 1, 2013) | 38369 | RC-062 | 29 |
| Revenue Auditor I | 38371 | RC-062 | 16 |
| Revenue Auditor I (states other than IL and not assigned to RC-062-21 – Hired prior to April 1, 2013) | 38371 | RC-062 | 19 |
| Revenue Auditor I (See Note – Hired prior to April 1, 2013) | 38371 | RC-062 | 21 |
| Revenue Auditor II | 38372 | RC-062 | 19 |
| Revenue Auditor II (states other than IL and not assigned to RC-062-24 – Hired prior to April 1, 2013) | 38372 | RC-062 | 22 |
| Revenue Auditor II (See Note – Hired prior to April 1, 2013) | 38372 | RC-062 | 24 |
| Revenue Auditor III | 38373 | RC-062 | 22 |
| Revenue Auditor III (states other than IL and not assigned to RC-062-26 – Hired prior to April 1, 2013) | 38373 | RC-062 | 24 |
| Revenue Auditor III (See Note – Hired prior to April 1, 2013) | 38373 | RC-062 | 26 |
| Revenue Auditor Trainee | 38375 | RC-062 | 12 |
| Revenue Auditor Trainee (states other than IL and not assigned to RC-062-15 – Hired prior to April 1, 2013) | 38375 | RC-062 | 13 |
| Revenue Auditor Trainee (See Note – Hired prior to April 1, 2013) | 38375 | RC-062 | 15 |
| Revenue Collection Officer I | 38401 | RC-062 | 15 |
| Revenue Collection Officer II | 38402 | RC-062 | 17 |
| Revenue Collection Officer III | 38403 | RC-062 | 19 |
| Revenue Collection Officer Trainee | 38405 | RC-062 | 12 |
| Revenue Computer Audit Specialist | 38425 | RC-062 | 23 |
| Revenue Computer Audit Specialist (states other than IL and not assigned to RC-062-27 – Hired prior to April 1, 2013) | 38425 | RC-062 | 25 |

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

| | | | |
|---|------------------|-------------------|---------------|
| Revenue Computer Audit Specialist (See Note – Hired prior to April 1, 2013) | 38425 | RC-062 | 27 |
| Revenue Senior Special Agent | 38557 | RC-062 | 23 |
| Revenue Special Agent | 38558 | RC-062 | 19 |
| Revenue Special Agent Trainee | 38565 | RC-062 | 14 |
| Revenue Tax Specialist I | 38571 | RC-062 | 12 |
| Revenue Tax Specialist II (IL) | 38572 | RC-062 | 14 |
| Revenue Tax Specialist II (states other than IL, CA or NJ) | 38572 | RC-062 | 17 |
| Revenue Tax Specialist II (CA or NJ) | 38572 | RC-062 | 19 |
| Revenue Tax Specialist III | 38573 | RC-062 | 17 |
| Revenue Tax Specialist Trainee | 38575 | RC-062 | 10 |
| Senior Public Service Administrator, Option 7 Gaming Board and Department of Revenue | 40070 | RC-062 | 26 |
| Sex Offender Therapist I | 40531 | RC-062 | 17 |
| Sex Offender Therapist II | 40532 | RC-062 | 19 |
| Site Assistant Superintendent I | 41071 | RC-062 | 15 |
| Site Assistant Superintendent II | 41072 | RC-062 | 17 |
| Site Interpretive Coordinator | 41093 | RC-062 | 13 |
| Site Services Specialist I | 41117 | RC-062 | 15 |
| Site Services Specialist II | 41118 | RC-062 | 17 |
| Social Service Consultant I | 41301 | RC-062 | 18 |
| Social Service Consultant II | 41302 | RC-062 | 19 |
| Social Service Program Planner I | 41311 | RC-062 | 15 |
| Social Service Program Planner II | 41312 | RC-062 | 17 |
| Social Service Program Planner III | 41313 | RC-062 | 20 |
| Social Service Program Planner IV | 41314 | RC-062 | 22 |
| Social Services Career Trainee | 41320 | RC-062 | 12 |
| Social Worker I | 41411 | RC-062 | 17 |
| Staff Development Specialist I | 41771 | RC-062 | 18 |
| Staff Development Technician I | 41781 | RC-062 | 12 |
| Staff Development Technician II | 41782 | RC-062 | 15 |
| State Mine Inspector | 42230 | RC-062 | 19 |
| State Mine Inspector-at-Large | 42240 | RC-062 | 21 |
| State Police Field Specialist I | 42001 | RC-062 | 18 |
| State Police Field Specialist II | 42002 | RC-062 | 20 |
| Statistical Research Specialist I | 42741 | RC-062 | 12 |
| Statistical Research Specialist II | 42742 | RC-062 | 14 |
| Statistical Research Specialist III | 42743 | RC-062 | 17 |
| Storage Tank Safety Specialist | 43005 | RC-062 | 18 |

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

| | | | |
|--|-------|--------|----|
| Telecommunications Specialist | 45295 | RC-062 | 15 |
| Telecommunications Systems Analyst | 45308 | RC-062 | 17 |
| Telecommunications Systems Technician I | 45312 | RC-062 | 10 |
| Telecommunications Systems Technician II | 45313 | RC-062 | 13 |
| Terrorism Research Specialist I | 45371 | RC-062 | 18 |
| Terrorism Research Specialist II | 45372 | RC-062 | 20 |
| Terrorism Research Specialist III | 45373 | RC-062 | 22 |
| Terrorism Research Specialist Trainee | 45375 | RC-062 | 14 |
| Unemployment Insurance Adjudicator I | 47001 | RC-062 | 11 |
| Unemployment Insurance Adjudicator II | 47002 | RC-062 | 13 |
| Unemployment Insurance Adjudicator III | 47003 | RC-062 | 15 |
| Unemployment Insurance Revenue Analyst I | 47081 | RC-062 | 15 |
| Unemployment Insurance Revenue Analyst II | 47082 | RC-062 | 17 |
| Unemployment Insurance Revenue Specialist | 47087 | RC-062 | 13 |
| Unemployment Insurance Special Agent | 47096 | RC-062 | 18 |
| Vehicle Emission Compliance Supervisor, Environmental Protection Agency | 47583 | RC-062 | 15 |
| Veterans Educational Specialist I | 47681 | RC-062 | 15 |
| Veterans Educational Specialist II | 47682 | RC-062 | 17 |
| Veterans Educational Specialist III | 47683 | RC-062 | 21 |
| Veterans Employment Representative I | 47701 | RC-062 | 14 |
| Veterans Employment Representative II | 47702 | RC-062 | 16 |
| Volunteer Services Coordinator I | 48481 | RC-062 | 13 |
| Volunteer Services Coordinator II | 48482 | RC-062 | 16 |
| Volunteer Services Coordinator III | 48483 | RC-062 | 18 |
| Wage Claims Specialist | 48770 | RC-062 | 09 |
| Weatherization Specialist I | 49101 | RC-062 | 14 |
| Weatherization Specialist II | 49102 | RC-062 | 17 |
| Weatherization Specialist III | 49103 | RC-062 | 20 |
| Weatherization Specialist Trainee | 49105 | RC-062 | 12 |
| Workers Compensation Insurance Compliance Investigator | 49640 | RC-062 | 20 |

NOTES: Maximum Security Pay – Effective January 1, 2018, for all employees who are currently receiving maximum security pay, and are not working at a [maximum security](#) facility, the ~~maximum security~~ pay shall be removed.

Stipend – Effective June 30, 2019, and paid upon ratification of the Agreement signed August 21, 2019, provided that ratification occurs prior to August 15, 2019, all

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

bargaining unit employees shall receive a one-time stipend of \$2,500 prorated by 25% for each year the employee was employed from July 1, 2015 through June 30, 2019.

Sub-Steps – Step 1a, 1b, and 1c shall be implemented for all employees hired on or after May 20, 2013, with a 3% step differential. Effective July 1, 2019, Step 1a, 1b, and 1c shall be increased by \$25 per month. Effective July 1, 2020, Step 1a, 1b, and 1c shall be increased by an additional \$25 per month. Effective July 1, 2021, Step 1a, 1b, and 1c shall be increased by an additional \$25 per month. The rates are set out in the rate tables in this Section below.

General Increases – ~~Pay~~ Effective January 1, 2020, the pay rates for all bargaining unit classifications and ~~Steps~~ steps shall be increased by the specified percentage amounts effective on the following dates: January 1, 2020, 1.50%; which rates are set out in a rate table below. Effective July 1, 2020, the pay rates for all bargaining unit classifications and steps shall be increased by 2.10%; which rates are set out in a rate table below. Effective July 1, 2021, the pay rates for all bargaining unit classifications and steps shall be increased by 3.95%; which rates are set out in rate table below. Effective July 1, 2022, the pay rates for all bargaining unit classifications and steps shall be increased by 3.95%, which rates are set out in a rate table below. Pay rates for each Step and their effective dates are listed in the rate tables in this Section.

Shift Differential Pay – Employees shall be paid a shift differential of \$0.80 per hour in addition to their base salary rate for all hours worked if their normal work schedule for that day provides that they are scheduled to work and they work ½ or more of the work hours before 7 a.m. or after 3 p.m. The payment shall be for all paid time. Incumbents who currently receive a percentage shift differential providing more than the cents per hour indicated in this Note based on the base rate of pay prior to the effective date shall have that percentage converted to the cents per hour equivalent rounded to the nearest cent and shall continue to receive the higher cents per hour rate. This provision shall not apply to employees who, because of "flex-time" scheduling made at their request, are scheduled and work hours that would otherwise qualify them for premium pay under this provision.

Option Clarification – The positions allocated to the Public Service Administrator title that are assigned to a negotiated RC-062 pay grade have the following Options: 2; 6; 7; 8B; 8C; 8Y; 8Z; 9A; and 9B. ~~The positions allocated to the Senior Public Service Administrator title that are assigned to a negotiated RC-062 pay grade have the Option 7.~~ See the definition of option in Section 310.50.

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

Longevity Pay – Effective January 1, 2002, the Step 8 rate shall be increased by \$25 per month for those employees who attain 10 years of continuous service and have three or more years of creditable service on Step 7 in the same or higher pay grade on or before January 1, 2002. For those employees who attain 15 years of continuous service and have three or more years of creditable service on Step 7 in the same or higher pay grade on or before January 1, 2002, the Step 8 rate shall be increased by \$50 per month. For employees not eligible for longevity pay on or before January 1, 2002, the Step 8 rate shall be increased by \$25 per month for those employees who attain 10 years of continuous service and have three or more years of creditable service on Step 8 in the same or higher pay grade. For those employees who attain 15 years of continuous service and have three or more years of creditable service on Step 8 in the same or higher pay grade, the Step 8 rate shall be increased by \$50 per month. Effective July 1, 2010, the Step 8 rate shall be increased by \$50 per month for those employees who attain 10 years of continuous service and have three or more years of creditable service on Step 8 in the same or higher pay grade on or before July 1, 2010. For those employees who attain 15 years of continuous service and have three or more years of creditable service on Step 8 in the same or higher pay grade on or before July 1, 2010, the Step 8 rate shall be increased by \$75 per month. Effective July 1, 2013, the Step 8 rate shall be increased by \$25 per month to \$75 a month for those employees who attain 10 years of continuous service and have three or more years of creditable service on Step 8 in the same or higher pay grade on or before July 1, 2013. For those employees who attain 15 years of continuous service and have three or more years of creditable service on Step 8 in the same or higher pay grade on or before July 1, 2013, the Step 8 rate shall be increased by \$25 per month to \$100 a month. Employees whose salaries are red-circled above the maximum Step rate continue to receive all applicable general increases and any other adjustments (except the longevity pay) provided for in the Agreement. For these employees, the longevity pay shall be limited to the amount that would increase the employee's salary to the amount that is equal to that of an employee on the maximum Step rate with the same number of years of continuous and creditable service. Employees receiving the longevity pay shall continue to receive the longevity pay as long as they remain in the same or successor classification as a result of a reclassification or reevaluation. Employees who are eligible for the increase provided for longevity pay on or before January 1, 2002, shall continue to receive longevity pay after being placed on Step 8 while they remain in the same or lower pay grade.

For the Revenue Tax Specialist II position classification title only – The pay grade assigned to the employee is based on the location of the position and the residence held by the employee. In the same position classification, the employee holding a position

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

and residence outside the boundaries of the State of Illinois is assigned to a different pay grade than the pay grade assigned to the employee holding a position within the boundaries of the State of Illinois. The pay grade assigned to the employee holding a position located within the boundaries of the State of Illinois is the pay grade with the (IL) indication next to the position classification. The pay grade assigned to the employee holding the position located outside the boundaries of the State of Illinois is determined by the location of the employee's residence or position location (e.g., IL, CA or NJ or a state other than IL, CA or NJ). If the employee's residence moves to another state while the employee is in the same position located outside the boundaries of the State of Illinois, or moves into another position located outside the boundaries of the State of Illinois in the same position classification, the base salary may change depending on the location of the employee's new residence. In all cases, change in base salary shall be on a step for step basis (e.g., if the original base salary was on Step 5 in one pay grade, the new base salary will also be on Step 5 of the newly appropriate pay grade).

For the Revenue Audit Supervisor, Revenue Auditor I, II and III, Revenue Auditor Trainee, and Revenue Computer Audit Specialist position classification titles only – Effective July 1, 2010, State employees appointed to positions allocated to the Revenue Audit Supervisor, Revenue Auditor I, II and III, Revenue Auditor Trainee, and Revenue Computer Audit Specialist classifications shall be assigned to the pay grades:

Revenue Audit Supervisor, RC-062-29
Revenue Auditor I, RC-062-21
Revenue Auditor II, RC-062-24
Revenue Auditor III, RC-062-26
Revenue Auditor Trainee, RC-062-15
Revenue Computer Audit Specialist, RC-062-27

if the employee lives in California, 50% or more of the employee's work is within a 200 mile radius of the Paramus NJ Illinois Department of Revenue office, or 50% or more of the employee's work is within the District of Columbia. This shall not apply to employees who are hired after April 1, 2013.

Effective July 1, 2019
Bargaining Unit: RC-062

S T E P S

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

| <u>Pay Grade</u> | <u>Pay Plan Code</u> | <u>1c</u> | <u>1b</u> | <u>1a</u> | <u>1</u> | <u>2</u> | <u>3</u> | <u>4</u> | <u>5</u> | <u>6</u> | <u>7</u> | <u>8</u> |
|----------------------|------------------------------|-----------|-----------|-----------|----------|----------|----------|----------|----------|----------|----------|----------|
| 9 | B | 3052 | 3152 | 3252 | 3327 | 3430 | 3539 | 3649 | 3766 | 3877 | 4061 | 4223 |
| 9 | Q | 3176 | 3279 | 3383 | 3462 | 3568 | 3683 | 3796 | 3920 | 4037 | 4230 | 4401 |
| 9 | S | 3240 | 3346 | 3452 | 3533 | 3641 | 3759 | 3874 | 3999 | 4116 | 4311 | 4484 |
| 10 | B | 3149 | 3252 | 3355 | 3433 | 3559 | 3664 | 3785 | 3905 | 4025 | 4231 | 4402 |
| 10 | Q | 3275 | 3383 | 3490 | 3572 | 3702 | 3814 | 3944 | 4067 | 4195 | 4418 | 4594 |
| 10 | S | 3343 | 3452 | 3561 | 3645 | 3776 | 3891 | 4020 | 4144 | 4280 | 4500 | 4679 |
| 11 | B | 3265 | 3372 | 3478 | 3560 | 3681 | 3800 | 3938 | 4067 | 4194 | 4416 | 4592 |
| 11 | Q | 3396 | 3506 | 3617 | 3704 | 3836 | 3961 | 4102 | 4240 | 4373 | 4610 | 4793 |
| 11 | S | 3461 | 3575 | 3688 | 3777 | 3910 | 4036 | 4181 | 4319 | 4456 | 4692 | 4879 |
| 12 | B | 3396 | 3506 | 3617 | 3704 | 3840 | 3965 | 4114 | 4248 | 4405 | 4641 | 4826 |
| 12 | Q | 3533 | 3650 | 3765 | 3856 | 3998 | 4130 | 4289 | 4435 | 4595 | 4846 | 5041 |
| 12 | S | 3602 | 3719 | 3838 | 3931 | 4074 | 4208 | 4371 | 4520 | 4681 | 4933 | 5132 |
| 12H | B | 20.90 | 21.58 | 22.26 | 22.79 | 23.63 | 24.40 | 25.32 | 26.14 | 27.11 | 28.56 | 29.70 |
| 12H | Q | 21.74 | 22.46 | 23.17 | 23.73 | 24.60 | 25.42 | 26.39 | 27.29 | 28.28 | 29.82 | 31.02 |
| 12H | S | 22.17 | 22.89 | 23.62 | 24.19 | 25.07 | 25.90 | 26.90 | 27.82 | 28.81 | 30.36 | 31.58 |
| 13 | B | 3523 | 3637 | 3753 | 3843 | 3984 | 4136 | 4290 | 4446 | 4614 | 4871 | 5063 |
| 13 | Q | 3667 | 3787 | 3908 | 4002 | 4148 | 4312 | 4481 | 4645 | 4816 | 5090 | 5294 |
| 13 | S | 3736 | 3859 | 3981 | 4078 | 4229 | 4396 | 4567 | 4727 | 4902 | 5178 | 5385 |
| 14 | B | 3672 | 3792 | 3912 | 4008 | 4159 | 4321 | 4511 | 4675 | 4852 | 5137 | 5342 |
| 14 | Q | 3828 | 3953 | 4078 | 4178 | 4336 | 4515 | 4709 | 4886 | 5073 | 5367 | 5580 |
| 14 | S | 3897 | 4025 | 4152 | 4255 | 4422 | 4594 | 4793 | 4973 | 5159 | 5453 | 5668 |

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

| | | | | | | | | | | | | |
|-----|---|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|
| 14H | B | 22.60 | 23.34 | 24.07 | 24.66 | 25.59 | 26.59 | 27.76 | 28.77 | 29.86 | 31.61 | 32.87 |
| 14H | Q | 23.56 | 24.33 | 25.10 | 25.71 | 26.68 | 27.78 | 28.98 | 30.07 | 31.22 | 33.03 | 34.34 |
| 14H | S | 23.98 | 24.77 | 25.55 | 26.18 | 27.21 | 28.27 | 29.50 | 30.60 | 31.75 | 33.56 | 34.88 |
| 15 | B | 3817 | 3942 | 4067 | 4167 | 4351 | 4530 | 4706 | 4898 | 5082 | 5388 | 5601 |
| 15 | Q | 3982 | 4112 | 4243 | 4348 | 4538 | 4727 | 4918 | 5121 | 5310 | 5626 | 5854 |
| 15 | S | 4054 | 4188 | 4320 | 4428 | 4623 | 4810 | 5004 | 5208 | 5396 | 5715 | 5943 |
| 16 | B | 3994 | 4124 | 4255 | 4362 | 4556 | 4758 | 4954 | 5162 | 5368 | 5685 | 5913 |
| 16 | Q | 4166 | 4303 | 4440 | 4550 | 4758 | 4975 | 5182 | 5395 | 5610 | 5944 | 6184 |
| 16 | S | 4246 | 4386 | 4523 | 4638 | 4843 | 5060 | 5269 | 5480 | 5699 | 6027 | 6267 |
| 16H | B | 24.58 | 25.38 | 26.18 | 26.84 | 28.04 | 29.28 | 30.49 | 31.77 | 33.03 | 34.98 | 36.39 |
| 16H | Q | 25.64 | 26.48 | 27.32 | 28.00 | 29.28 | 30.62 | 31.89 | 33.20 | 34.52 | 36.58 | 38.06 |
| 16H | S | 26.13 | 26.99 | 27.83 | 28.54 | 29.80 | 31.14 | 32.42 | 33.72 | 35.07 | 37.09 | 38.57 |
| 17 | B | 4184 | 4320 | 4457 | 4570 | 4780 | 4999 | 5212 | 5423 | 5643 | 5979 | 6218 |
| 17 | Q | 4363 | 4506 | 4650 | 4767 | 4996 | 5226 | 5444 | 5665 | 5898 | 6248 | 6500 |
| 17 | S | 4442 | 4587 | 4733 | 4853 | 5085 | 5314 | 5534 | 5753 | 5982 | 6339 | 6591 |
| 18 | B | 4402 | 4547 | 4690 | 4809 | 5041 | 5274 | 5516 | 5740 | 5971 | 6326 | 6581 |
| 18 | Q | 4596 | 4747 | 4898 | 5024 | 5271 | 5515 | 5766 | 6001 | 6240 | 6615 | 6878 |
| 18 | S | 4671 | 4825 | 4978 | 5106 | 5355 | 5601 | 5853 | 6088 | 6330 | 6697 | 6967 |
| 19 | B | 4637 | 4789 | 4941 | 5067 | 5325 | 5577 | 5834 | 6083 | 6336 | 6722 | 6990 |
| 19 | J | 4637 | 4789 | 4941 | 5067 | 5325 | 5577 | 5834 | 6083 | 6336 | 6722 | 6990 |
| 19 | Q | 4846 | 5005 | 5163 | 5297 | 5568 | 5825 | 6103 | 6357 | 6624 | 7023 | 7304 |
| 19 | S | 4926 | 5087 | 5249 | 5387 | 5656 | 5915 | 6189 | 6445 | 6711 | 7109 | 7393 |
| 20 | B | 4898 | 5058 | 5219 | 5354 | 5625 | 5885 | 6167 | 6435 | 6701 | 7108 | 7392 |

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

| | | | | | | | | | | | | |
|----|---|------|------|------|------|------|------|------|------|-------|-------|-------|
| 20 | Q | 5117 | 5284 | 5452 | 5596 | 5877 | 6155 | 6447 | 6724 | 7003 | 7432 | 7729 |
| 20 | S | 5197 | 5369 | 5539 | 5684 | 5965 | 6240 | 6532 | 6810 | 7090 | 7514 | 7815 |
| 21 | B | 5171 | 5340 | 5510 | 5655 | 5946 | 6233 | 6523 | 6820 | 7105 | 7548 | 7849 |
| 21 | U | 5171 | 5340 | 5510 | 5655 | 5946 | 6233 | 6523 | 6820 | 7105 | 7548 | 7849 |
| 21 | Q | 5402 | 5580 | 5757 | 5910 | 6214 | 6513 | 6817 | 7129 | 7428 | 7889 | 8204 |
| 21 | S | 5482 | 5662 | 5842 | 5997 | 6299 | 6597 | 6906 | 7215 | 7511 | 7975 | 8293 |
| 22 | B | 5466 | 5644 | 5824 | 5978 | 6290 | 6595 | 6908 | 7228 | 7529 | 7998 | 8318 |
| 22 | Q | 5708 | 5896 | 6083 | 6245 | 6573 | 6896 | 7219 | 7552 | 7870 | 8358 | 8689 |
| 22 | S | 5791 | 5982 | 6171 | 6336 | 6656 | 6982 | 7304 | 7641 | 7960 | 8445 | 8783 |
| 23 | B | 5795 | 5986 | 6176 | 6341 | 6677 | 7020 | 7352 | 7690 | 8023 | 8531 | 8873 |
| 23 | Q | 6058 | 6258 | 6457 | 6630 | 6980 | 7337 | 7682 | 8040 | 8387 | 8912 | 9268 |
| 23 | S | 6136 | 6338 | 6539 | 6716 | 7065 | 7424 | 7769 | 8124 | 8474 | 9000 | 9357 |
| 24 | B | 6166 | 6369 | 6571 | 6749 | 7107 | 7482 | 7838 | 8202 | 8570 | 9109 | 9472 |
| 24 | J | 6166 | 6369 | 6571 | 6749 | 7107 | 7482 | 7838 | 8202 | 8570 | 9109 | 9472 |
| 24 | Q | 6444 | 6655 | 6868 | 7054 | 7431 | 7816 | 8194 | 8567 | 8956 | 9519 | 9900 |
| 24 | S | 6524 | 6739 | 6953 | 7142 | 7513 | 7901 | 8277 | 8657 | 9044 | 9604 | 9988 |
| 25 | B | 6572 | 6788 | 7004 | 7194 | 7586 | 7988 | 8385 | 8783 | 9184 | 9773 | 10163 |
| 25 | J | 6572 | 6788 | 7004 | 7194 | 7586 | 7988 | 8385 | 8783 | 9184 | 9773 | 10163 |
| 25 | Q | 6863 | 7090 | 7314 | 7514 | 7927 | 8342 | 8766 | 9183 | 9598 | 10212 | 10622 |
| 25 | S | 6944 | 7172 | 7401 | 7603 | 8014 | 8430 | 8849 | 9266 | 9681 | 10299 | 10714 |
| 26 | B | 7009 | 7239 | 7470 | 7676 | 8097 | 8527 | 8957 | 9375 | 9796 | 10427 | 10844 |
| 26 | U | 7009 | 7239 | 7470 | 7676 | 8097 | 8527 | 8957 | 9375 | 9796 | 10427 | 10844 |
| 26 | Q | 7348 | 7588 | 7830 | 8047 | 8482 | 8931 | 9382 | 9822 | 10259 | 10924 | 11361 |
| 26 | S | 7415 | 7659 | 7901 | 8120 | 8563 | 9018 | 9473 | 9914 | 10359 | 11032 | 11473 |

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

| | | | | | | | | | | | | |
|----|---|------|------|------|------|------|-------|-------|-------|-------|-------|-------|
| 27 | B | 7481 | 7727 | 7974 | 8195 | 8641 | 9096 | 9556 | 10005 | 10455 | 11129 | 11576 |
| 27 | J | 7481 | 7727 | 7974 | 8195 | 8641 | 9096 | 9556 | 10005 | 10455 | 11129 | 11576 |
| 27 | U | 7481 | 7727 | 7974 | 8195 | 8641 | 9096 | 9556 | 10005 | 10455 | 11129 | 11576 |
| 27 | Q | 7819 | 8076 | 8333 | 8565 | 9031 | 9506 | 9992 | 10459 | 10928 | 11634 | 12100 |
| 28 | B | 7848 | 8106 | 8365 | 8598 | 9063 | 9544 | 10029 | 10499 | 10969 | 11679 | 12148 |
| 29 | U | 8236 | 8506 | 8777 | 9022 | 9513 | 10016 | 10523 | 11017 | 11511 | 12256 | 12745 |

Effective January 1, 2020
Bargaining Unit: RC-062

| <u>Pay Grade</u> | <u>Pay Plan Code</u> | <u>S T E P S</u> | | | | | | | | | | |
|----------------------|------------------------------|------------------|-----------|-----------|----------|----------|----------|----------|----------|----------|----------|----------|
| | | <u>1c</u> | <u>1b</u> | <u>1a</u> | <u>1</u> | <u>2</u> | <u>3</u> | <u>4</u> | <u>5</u> | <u>6</u> | <u>7</u> | <u>8</u> |
| 9 | B | 3098 | 3199 | 3301 | 3377 | 3481 | 3592 | 3704 | 3822 | 3935 | 4122 | 4286 |
| 9 | Q | 3224 | 3328 | 3434 | 3514 | 3622 | 3738 | 3853 | 3979 | 4098 | 4293 | 4467 |
| 9 | S | 3289 | 3396 | 3504 | 3586 | 3696 | 3815 | 3932 | 4059 | 4178 | 4376 | 4551 |
| 10 | B | 3196 | 3301 | 3405 | 3484 | 3612 | 3719 | 3842 | 3964 | 4085 | 4294 | 4468 |
| 10 | Q | 3324 | 3434 | 3542 | 3626 | 3758 | 3871 | 4003 | 4128 | 4258 | 4484 | 4663 |
| 10 | S | 3393 | 3504 | 3614 | 3700 | 3833 | 3949 | 4080 | 4206 | 4344 | 4568 | 4749 |
| 11 | B | 3314 | 3423 | 3530 | 3613 | 3736 | 3857 | 3997 | 4128 | 4257 | 4482 | 4661 |
| 11 | Q | 3447 | 3559 | 3671 | 3760 | 3894 | 4020 | 4164 | 4304 | 4439 | 4679 | 4865 |
| 11 | S | 3513 | 3629 | 3743 | 3834 | 3969 | 4097 | 4244 | 4384 | 4523 | 4762 | 4952 |
| 12 | B | 3447 | 3559 | 3671 | 3760 | 3898 | 4024 | 4176 | 4312 | 4471 | 4711 | 4898 |
| 12 | Q | 3586 | 3705 | 3821 | 3914 | 4058 | 4192 | 4353 | 4502 | 4664 | 4919 | 5117 |

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

| | | | | | | | | | | | | |
|-----|---|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|
| 12 | S | 3656 | 3775 | 3896 | 3990 | 4135 | 4271 | 4437 | 4588 | 4751 | 5007 | 5209 |
| 12H | B | 21.21 | 21.90 | 22.59 | 23.14 | 23.99 | 24.76 | 25.70 | 26.54 | 27.51 | 28.99 | 30.14 |
| 12H | Q | 22.07 | 22.80 | 23.51 | 24.09 | 24.97 | 25.80 | 26.79 | 27.70 | 28.70 | 30.27 | 31.49 |
| 12H | S | 22.50 | 23.23 | 23.98 | 24.55 | 25.45 | 26.28 | 27.30 | 28.23 | 29.24 | 30.81 | 32.06 |
| 13 | B | 3576 | 3692 | 3809 | 3901 | 4044 | 4198 | 4354 | 4513 | 4683 | 4944 | 5139 |
| 13 | Q | 3722 | 3844 | 3967 | 4062 | 4210 | 4377 | 4548 | 4715 | 4888 | 5166 | 5373 |
| 13 | S | 3792 | 3917 | 4041 | 4139 | 4292 | 4462 | 4636 | 4798 | 4976 | 5256 | 5466 |
| 14 | B | 3727 | 3849 | 3971 | 4068 | 4221 | 4386 | 4579 | 4745 | 4925 | 5214 | 5422 |
| 14 | Q | 3885 | 4012 | 4139 | 4241 | 4401 | 4583 | 4780 | 4959 | 5149 | 5448 | 5664 |
| 14 | S | 3955 | 4085 | 4214 | 4319 | 4488 | 4663 | 4865 | 5048 | 5236 | 5535 | 5753 |
| 14H | B | 22.94 | 23.69 | 24.44 | 25.03 | 25.98 | 26.99 | 28.18 | 29.20 | 30.31 | 32.09 | 33.37 |
| 14H | Q | 23.91 | 24.69 | 25.47 | 26.10 | 27.08 | 28.20 | 29.42 | 30.52 | 31.69 | 33.53 | 34.86 |
| 14H | S | 24.34 | 25.14 | 25.93 | 26.58 | 27.62 | 28.70 | 29.94 | 31.06 | 32.22 | 34.06 | 35.40 |
| 15 | B | 3874 | 4001 | 4128 | 4230 | 4416 | 4598 | 4777 | 4971 | 5158 | 5469 | 5685 |
| 15 | Q | 4042 | 4174 | 4307 | 4413 | 4606 | 4798 | 4992 | 5198 | 5390 | 5710 | 5942 |
| 15 | S | 4115 | 4251 | 4385 | 4494 | 4692 | 4882 | 5079 | 5286 | 5477 | 5801 | 6032 |
| 16 | B | 4054 | 4186 | 4319 | 4427 | 4624 | 4829 | 5028 | 5239 | 5449 | 5770 | 6002 |
| 16 | Q | 4228 | 4368 | 4507 | 4618 | 4829 | 5050 | 5260 | 5476 | 5694 | 6033 | 6277 |
| 16 | S | 4310 | 4452 | 4591 | 4708 | 4916 | 5136 | 5348 | 5562 | 5784 | 6117 | 6361 |
| 16H | B | 24.95 | 25.76 | 26.58 | 27.24 | 28.46 | 29.72 | 30.94 | 32.24 | 33.53 | 35.51 | 36.94 |
| 16H | Q | 26.02 | 26.88 | 27.74 | 28.42 | 29.72 | 31.08 | 32.37 | 33.70 | 35.04 | 37.13 | 38.63 |
| 16H | S | 26.52 | 27.40 | 28.25 | 28.97 | 30.25 | 31.61 | 32.91 | 34.23 | 35.59 | 37.64 | 39.14 |

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

| | | | | | | | | | | | | |
|----|---|------|------|------|------|------|------|------|------|------|------|------|
| 17 | B | 4247 | 4385 | 4524 | 4639 | 4852 | 5074 | 5290 | 5504 | 5728 | 6069 | 6311 |
| 17 | Q | 4428 | 4574 | 4720 | 4839 | 5071 | 5304 | 5526 | 5750 | 5986 | 6342 | 6598 |
| 17 | S | 4509 | 4656 | 4804 | 4926 | 5161 | 5394 | 5617 | 5839 | 6072 | 6434 | 6690 |
| 18 | B | 4468 | 4615 | 4760 | 4881 | 5117 | 5353 | 5599 | 5826 | 6061 | 6421 | 6680 |
| 18 | Q | 4665 | 4818 | 4971 | 5099 | 5350 | 5598 | 5852 | 6091 | 6334 | 6714 | 6981 |
| 18 | S | 4741 | 4897 | 5053 | 5183 | 5435 | 5685 | 5941 | 6179 | 6425 | 6797 | 7072 |
| 19 | B | 4707 | 4861 | 5015 | 5143 | 5405 | 5661 | 5922 | 6174 | 6431 | 6823 | 7095 |
| 19 | J | 4707 | 4861 | 5015 | 5143 | 5405 | 5661 | 5922 | 6174 | 6431 | 6823 | 7095 |
| 19 | Q | 4919 | 5080 | 5240 | 5376 | 5652 | 5912 | 6195 | 6452 | 6723 | 7128 | 7414 |
| 19 | S | 5000 | 5163 | 5328 | 5468 | 5741 | 6004 | 6282 | 6542 | 6812 | 7216 | 7504 |
| 20 | B | 4971 | 5134 | 5297 | 5434 | 5709 | 5973 | 6260 | 6532 | 6802 | 7215 | 7503 |
| 20 | Q | 5194 | 5363 | 5534 | 5680 | 5965 | 6247 | 6544 | 6825 | 7108 | 7543 | 7845 |
| 20 | S | 5275 | 5450 | 5622 | 5769 | 6054 | 6334 | 6630 | 6912 | 7196 | 7627 | 7932 |
| 21 | B | 5249 | 5420 | 5593 | 5740 | 6035 | 6326 | 6621 | 6922 | 7212 | 7661 | 7967 |
| 21 | U | 5249 | 5420 | 5593 | 5740 | 6035 | 6326 | 6621 | 6922 | 7212 | 7661 | 7967 |
| 21 | Q | 5483 | 5664 | 5843 | 5999 | 6307 | 6611 | 6919 | 7236 | 7539 | 8007 | 8327 |
| 21 | S | 5564 | 5747 | 5930 | 6087 | 6393 | 6696 | 7010 | 7323 | 7624 | 8095 | 8417 |
| 22 | B | 5548 | 5729 | 5911 | 6068 | 6384 | 6694 | 7012 | 7336 | 7642 | 8118 | 8443 |
| 22 | Q | 5794 | 5984 | 6174 | 6339 | 6672 | 6999 | 7327 | 7665 | 7988 | 8483 | 8819 |
| 22 | S | 5878 | 6072 | 6264 | 6431 | 6756 | 7087 | 7414 | 7756 | 8079 | 8572 | 8915 |
| 23 | B | 5882 | 6076 | 6269 | 6436 | 6777 | 7125 | 7462 | 7805 | 8143 | 8659 | 9006 |
| 23 | Q | 6149 | 6352 | 6554 | 6729 | 7085 | 7447 | 7797 | 8161 | 8513 | 9046 | 9407 |
| 23 | S | 6228 | 6433 | 6637 | 6817 | 7171 | 7535 | 7886 | 8246 | 8601 | 9135 | 9497 |

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

| | | | | | | | | | | | | |
|----|---|------|------|------|------|------|-------|-------|-------|-------|-------|-------|
| 24 | B | 6258 | 6465 | 6670 | 6850 | 7214 | 7594 | 7956 | 8325 | 8699 | 9246 | 9614 |
| 24 | J | 6258 | 6465 | 6670 | 6850 | 7214 | 7594 | 7956 | 8325 | 8699 | 9246 | 9614 |
| 24 | Q | 6541 | 6755 | 6971 | 7160 | 7542 | 7933 | 8317 | 8696 | 9090 | 9662 | 10049 |
| 24 | S | 6622 | 6840 | 7057 | 7249 | 7626 | 8020 | 8401 | 8787 | 9180 | 9748 | 10138 |
| 25 | B | 6671 | 6890 | 7109 | 7302 | 7700 | 8108 | 8511 | 8915 | 9322 | 9920 | 10315 |
| 25 | J | 6671 | 6890 | 7109 | 7302 | 7700 | 8108 | 8511 | 8915 | 9322 | 9920 | 10315 |
| 25 | Q | 6966 | 7196 | 7424 | 7627 | 8046 | 8467 | 8897 | 9321 | 9742 | 10365 | 10781 |
| 25 | S | 7048 | 7280 | 7512 | 7717 | 8134 | 8556 | 8982 | 9405 | 9826 | 10453 | 10875 |
| 26 | B | 7114 | 7348 | 7582 | 7791 | 8218 | 8655 | 9091 | 9516 | 9943 | 10583 | 11007 |
| 26 | U | 7114 | 7348 | 7582 | 7791 | 8218 | 8655 | 9091 | 9516 | 9943 | 10583 | 11007 |
| 26 | Q | 7458 | 7702 | 7947 | 8168 | 8609 | 9065 | 9523 | 9969 | 10413 | 11088 | 11531 |
| 26 | S | 7526 | 7774 | 8020 | 8242 | 8691 | 9153 | 9615 | 10063 | 10514 | 11197 | 11645 |
| 27 | B | 7593 | 7843 | 8094 | 8318 | 8771 | 9232 | 9699 | 10155 | 10612 | 11296 | 11750 |
| 27 | J | 7593 | 7843 | 8094 | 8318 | 8771 | 9232 | 9699 | 10155 | 10612 | 11296 | 11750 |
| 27 | U | 7593 | 7843 | 8094 | 8318 | 8771 | 9232 | 9699 | 10155 | 10612 | 11296 | 11750 |
| 27 | Q | 7936 | 8197 | 8458 | 8693 | 9166 | 9649 | 10142 | 10616 | 11092 | 11809 | 12282 |
| 28 | B | 7966 | 8228 | 8490 | 8727 | 9199 | 9687 | 10179 | 10656 | 11134 | 11854 | 12330 |
| 29 | U | 8360 | 8634 | 8909 | 9157 | 9656 | 10166 | 10681 | 11182 | 11684 | 12440 | 12936 |

Effective July 1, 2020
Bargaining Unit: RC-062

| <u>Pay</u> <u>Grade</u> | <u>Pay</u> <u>Plan</u> <u>Code</u> | S T E P S | | | | | | | | | | |
|----------------------------|--|-----------|-----------|-----------|----------|----------|----------|----------|----------|----------|----------|----------|
| | | <u>1c</u> | <u>1b</u> | <u>1a</u> | <u>1</u> | <u>2</u> | <u>3</u> | <u>4</u> | <u>5</u> | <u>6</u> | <u>7</u> | <u>8</u> |

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

| | | | | | | | | | | | | |
|-----|---|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|
| 9 | B | 3188 | 3291 | 3395 | 3448 | 3554 | 3667 | 3782 | 3902 | 4018 | 4209 | 4376 |
| 9 | Q | 3317 | 3423 | 3531 | 3588 | 3698 | 3816 | 3934 | 4063 | 4184 | 4383 | 4561 |
| 9 | S | 3383 | 3492 | 3603 | 3661 | 3774 | 3895 | 4015 | 4144 | 4266 | 4468 | 4647 |
| 10 | B | 3288 | 3395 | 3502 | 3557 | 3688 | 3797 | 3923 | 4047 | 4171 | 4384 | 4562 |
| 10 | Q | 3419 | 3531 | 3641 | 3702 | 3837 | 3952 | 4087 | 4215 | 4347 | 4578 | 4761 |
| 10 | S | 3489 | 3603 | 3715 | 3778 | 3913 | 4032 | 4166 | 4294 | 4435 | 4664 | 4849 |
| 11 | B | 3409 | 3520 | 3629 | 3689 | 3814 | 3938 | 4081 | 4215 | 4346 | 4576 | 4759 |
| 11 | Q | 3544 | 3659 | 3773 | 3839 | 3976 | 4104 | 4251 | 4394 | 4532 | 4777 | 4967 |
| 11 | S | 3612 | 3730 | 3847 | 3915 | 4052 | 4183 | 4333 | 4476 | 4618 | 4862 | 5056 |
| 12 | B | 3544 | 3659 | 3773 | 3839 | 3980 | 4109 | 4264 | 4403 | 4565 | 4810 | 5001 |
| 12 | Q | 3686 | 3808 | 3926 | 3996 | 4143 | 4280 | 4444 | 4597 | 4762 | 5022 | 5224 |
| 12 | S | 3758 | 3879 | 4003 | 4074 | 4222 | 4361 | 4530 | 4684 | 4851 | 5112 | 5318 |
| 12H | B | 21.81 | 22.52 | 23.22 | 23.62 | 24.49 | 25.29 | 26.24 | 27.10 | 28.09 | 29.60 | 30.78 |
| 12H | Q | 22.68 | 23.43 | 24.16 | 24.59 | 25.50 | 26.34 | 27.35 | 28.29 | 29.30 | 30.90 | 32.15 |
| 12H | S | 23.13 | 23.87 | 24.63 | 25.07 | 25.98 | 26.84 | 27.88 | 28.82 | 29.85 | 31.46 | 32.73 |
| 13 | B | 3676 | 3795 | 3914 | 3983 | 4129 | 4286 | 4445 | 4608 | 4781 | 5048 | 5247 |
| 13 | Q | 3825 | 3950 | 4075 | 4147 | 4298 | 4469 | 4644 | 4814 | 4991 | 5274 | 5486 |
| 13 | S | 3897 | 4024 | 4151 | 4226 | 4382 | 4556 | 4733 | 4899 | 5080 | 5366 | 5581 |
| 14 | B | 3830 | 3955 | 4079 | 4153 | 4310 | 4478 | 4675 | 4845 | 5028 | 5323 | 5536 |
| 14 | Q | 3992 | 4121 | 4251 | 4330 | 4493 | 4679 | 4880 | 5063 | 5257 | 5562 | 5783 |
| 14 | S | 4063 | 4196 | 4327 | 4410 | 4582 | 4761 | 4967 | 5154 | 5346 | 5651 | 5874 |
| 14H | B | 23.57 | 24.34 | 25.10 | 25.56 | 26.52 | 27.56 | 28.77 | 29.82 | 30.94 | 32.76 | 34.07 |
| 14H | Q | 24.57 | 25.36 | 26.16 | 26.65 | 27.65 | 28.79 | 30.03 | 31.16 | 32.35 | 34.23 | 35.59 |

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

| | | | | | | | | | | | | |
|-----|---|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|
| 14H | S | 25.00 | 25.82 | 26.63 | 27.14 | 28.20 | 29.30 | 30.57 | 31.72 | 32.90 | 34.78 | 36.15 |
| 15 | B | 3980 | 4110 | 4240 | 4319 | 4509 | 4695 | 4877 | 5075 | 5266 | 5584 | 5804 |
| 15 | Q | 4152 | 4287 | 4422 | 4506 | 4703 | 4899 | 5097 | 5307 | 5503 | 5830 | 6067 |
| 15 | S | 4226 | 4365 | 4502 | 4588 | 4791 | 4985 | 5186 | 5397 | 5592 | 5923 | 6159 |
| 16 | B | 4164 | 4299 | 4435 | 4520 | 4721 | 4930 | 5134 | 5349 | 5563 | 5891 | 6128 |
| 16 | Q | 4342 | 4485 | 4627 | 4715 | 4930 | 5156 | 5370 | 5591 | 5814 | 6160 | 6409 |
| 16 | S | 4426 | 4570 | 4712 | 4807 | 5019 | 5244 | 5460 | 5679 | 5905 | 6245 | 6495 |
| 16H | B | 25.62 | 26.46 | 27.29 | 27.82 | 29.05 | 30.34 | 31.59 | 32.92 | 34.23 | 36.25 | 37.71 |
| 16H | Q | 26.72 | 27.60 | 28.47 | 29.02 | 30.34 | 31.73 | 33.05 | 34.41 | 35.78 | 37.91 | 39.44 |
| 16H | S | 27.24 | 28.12 | 29.00 | 29.58 | 30.89 | 32.27 | 33.60 | 34.95 | 36.34 | 38.43 | 39.97 |
| 17 | B | 4361 | 4502 | 4644 | 4736 | 4954 | 5181 | 5401 | 5620 | 5848 | 6196 | 6444 |
| 17 | Q | 4546 | 4695 | 4844 | 4941 | 5177 | 5415 | 5642 | 5871 | 6112 | 6475 | 6737 |
| 17 | S | 4629 | 4779 | 4930 | 5029 | 5269 | 5507 | 5735 | 5962 | 6200 | 6569 | 6830 |
| 18 | B | 4587 | 4737 | 4885 | 4984 | 5224 | 5465 | 5717 | 5948 | 6188 | 6556 | 6820 |
| 18 | Q | 4788 | 4944 | 5100 | 5206 | 5462 | 5716 | 5975 | 6219 | 6467 | 6855 | 7128 |
| 18 | S | 4866 | 5025 | 5184 | 5292 | 5549 | 5804 | 6066 | 6309 | 6560 | 6940 | 7221 |
| 19 | B | 4831 | 4988 | 5145 | 5251 | 5519 | 5780 | 6046 | 6304 | 6566 | 6966 | 7244 |
| 19 | J | 4831 | 4988 | 5145 | 5251 | 5519 | 5780 | 6046 | 6304 | 6566 | 6966 | 7244 |
| 19 | Q | 5047 | 5212 | 5375 | 5489 | 5771 | 6036 | 6325 | 6587 | 6864 | 7278 | 7570 |
| 19 | S | 5130 | 5296 | 5465 | 5583 | 5862 | 6130 | 6414 | 6679 | 6955 | 7368 | 7662 |
| 20 | B | 5100 | 5267 | 5433 | 5548 | 5829 | 6098 | 6391 | 6669 | 6945 | 7367 | 7661 |
| 20 | Q | 5328 | 5501 | 5675 | 5799 | 6090 | 6378 | 6681 | 6968 | 7257 | 7701 | 8010 |
| 20 | S | 5411 | 5589 | 5765 | 5890 | 6181 | 6467 | 6769 | 7057 | 7347 | 7787 | 8099 |

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

| | | | | | | | | | | | | |
|----|---|------|------|------|------|------|------|------|-------|-------|-------|-------|
| 21 | B | 5384 | 5559 | 5735 | 5861 | 6162 | 6459 | 6760 | 7067 | 7363 | 7822 | 8134 |
| 21 | U | 5384 | 5559 | 5735 | 5861 | 6162 | 6459 | 6760 | 7067 | 7363 | 7822 | 8134 |
| 21 | Q | 5623 | 5808 | 5991 | 6125 | 6439 | 6750 | 7064 | 7388 | 7697 | 8175 | 8502 |
| 21 | S | 5706 | 5893 | 6080 | 6215 | 6527 | 6837 | 7157 | 7477 | 7784 | 8265 | 8594 |
| 22 | B | 5690 | 5874 | 6060 | 6195 | 6518 | 6835 | 7159 | 7490 | 7802 | 8288 | 8620 |
| 22 | Q | 5941 | 6135 | 6329 | 6472 | 6812 | 7146 | 7481 | 7826 | 8156 | 8661 | 9004 |
| 22 | S | 6026 | 6225 | 6421 | 6566 | 6898 | 7236 | 7570 | 7919 | 8249 | 8752 | 9102 |
| 23 | B | 6031 | 6229 | 6426 | 6571 | 6919 | 7275 | 7619 | 7969 | 8314 | 8841 | 9195 |
| 23 | Q | 6303 | 6510 | 6717 | 6870 | 7234 | 7603 | 7961 | 8332 | 8692 | 9236 | 9605 |
| 23 | S | 6384 | 6593 | 6801 | 6960 | 7322 | 7693 | 8052 | 8419 | 8782 | 9327 | 9696 |
| 24 | B | 6414 | 6626 | 6835 | 6994 | 7365 | 7753 | 8123 | 8500 | 8882 | 9440 | 9816 |
| 24 | J | 6414 | 6626 | 6835 | 6994 | 7365 | 7753 | 8123 | 8500 | 8882 | 9440 | 9816 |
| 24 | Q | 6703 | 6922 | 7142 | 7310 | 7700 | 8100 | 8492 | 8879 | 9281 | 9865 | 10260 |
| 24 | S | 6786 | 7009 | 7230 | 7401 | 7786 | 8188 | 8577 | 8972 | 9373 | 9953 | 10351 |
| 25 | B | 6836 | 7060 | 7283 | 7455 | 7862 | 8278 | 8690 | 9102 | 9518 | 10128 | 10532 |
| 25 | J | 6836 | 7060 | 7283 | 7455 | 7862 | 8278 | 8690 | 9102 | 9518 | 10128 | 10532 |
| 25 | Q | 7137 | 7372 | 7605 | 7787 | 8215 | 8645 | 9084 | 9517 | 9947 | 10583 | 11007 |
| 25 | S | 7221 | 7458 | 7695 | 7879 | 8305 | 8736 | 9171 | 9603 | 10032 | 10673 | 11103 |
| 26 | B | 7288 | 7527 | 7766 | 7955 | 8391 | 8837 | 9282 | 9716 | 10152 | 10805 | 11238 |
| 26 | U | 7288 | 7527 | 7766 | 7955 | 8391 | 8837 | 9282 | 9716 | 10152 | 10805 | 11238 |
| 26 | Q | 7640 | 7889 | 8139 | 8340 | 8790 | 9255 | 9723 | 10178 | 10632 | 11321 | 11773 |
| 26 | S | 7709 | 7962 | 8213 | 8415 | 8874 | 9345 | 9817 | 10274 | 10735 | 11432 | 11890 |
| 27 | B | 7777 | 8033 | 8289 | 8493 | 8955 | 9426 | 9903 | 10368 | 10835 | 11533 | 11997 |

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

| | | | | | | | | | | | | |
|----|---|------|------|------|------|------|-------|-------|-------|-------|-------|-------|
| 27 | J | 7777 | 8033 | 8289 | 8493 | 8955 | 9426 | 9903 | 10368 | 10835 | 11533 | 11997 |
| 27 | U | 7777 | 8033 | 8289 | 8493 | 8955 | 9426 | 9903 | 10368 | 10835 | 11533 | 11997 |
| 27 | Q | 8128 | 8394 | 8661 | 8876 | 9358 | 9852 | 10355 | 10839 | 11325 | 12057 | 12540 |
| 28 | B | 8158 | 8426 | 8693 | 8910 | 9392 | 9890 | 10393 | 10880 | 11368 | 12103 | 12589 |
| 29 | U | 8561 | 8840 | 9121 | 9349 | 9859 | 10379 | 10905 | 11417 | 11929 | 12701 | 13208 |

Effective July 1, 2021
Bargaining Unit: RC-062

| <u>Pay</u> <u>Grade</u> | <u>Pay</u> <u>Plan</u> <u>Code</u> | <u>S T E P S</u> | | | | | | | | | | |
|----------------------------|--|------------------|-----------|-----------|----------|----------|----------|----------|----------|----------|----------|----------|
| | | <u>1c</u> | <u>1b</u> | <u>1a</u> | <u>1</u> | <u>2</u> | <u>3</u> | <u>4</u> | <u>5</u> | <u>6</u> | <u>7</u> | <u>8</u> |
| 9 | B | 3339 | 3446 | 3554 | 3584 | 3694 | 3812 | 3931 | 4056 | 4177 | 4375 | 4549 |
| 9 | Q | 3473 | 3583 | 3695 | 3730 | 3844 | 3967 | 4089 | 4223 | 4349 | 4556 | 4741 |
| 9 | S | 3542 | 3655 | 3770 | 3806 | 3923 | 4049 | 4174 | 4308 | 4435 | 4644 | 4831 |
| 10 | B | 3443 | 3554 | 3665 | 3698 | 3834 | 3947 | 4078 | 4207 | 4336 | 4557 | 4742 |
| 10 | Q | 3579 | 3695 | 3810 | 3848 | 3989 | 4108 | 4248 | 4381 | 4519 | 4759 | 4949 |
| 10 | S | 3652 | 3770 | 3887 | 3927 | 4068 | 4191 | 4331 | 4464 | 4610 | 4848 | 5041 |
| 11 | B | 3569 | 3684 | 3797 | 3835 | 3965 | 4094 | 4242 | 4381 | 4518 | 4757 | 4947 |
| 11 | Q | 3709 | 3829 | 3947 | 3991 | 4133 | 4266 | 4419 | 4568 | 4711 | 4966 | 5163 |
| 11 | S | 3780 | 3902 | 4024 | 4070 | 4212 | 4348 | 4504 | 4653 | 4800 | 5054 | 5256 |
| 12 | B | 3709 | 3829 | 3947 | 3991 | 4137 | 4271 | 4432 | 4577 | 4745 | 5000 | 5199 |
| 12 | Q | 3857 | 3983 | 4106 | 4154 | 4307 | 4449 | 4620 | 4779 | 4950 | 5220 | 5430 |
| 12 | S | 3931 | 4057 | 4186 | 4235 | 4389 | 4533 | 4709 | 4869 | 5043 | 5314 | 5528 |

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

| | | | | | | | | | | | | |
|-----|---|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|
| 12H | B | 22.82 | 23.56 | 24.29 | 24.56 | 25.46 | 26.28 | 27.27 | 28.17 | 29.20 | 30.77 | 31.99 |
| 12H | Q | 23.74 | 24.51 | 25.27 | 25.56 | 26.50 | 27.38 | 28.43 | 29.41 | 30.46 | 32.12 | 33.42 |
| 12H | S | 24.19 | 24.97 | 25.76 | 26.06 | 27.01 | 27.90 | 28.98 | 29.96 | 31.03 | 32.70 | 34.02 |
| 13 | B | 3846 | 3970 | 4094 | 4140 | 4292 | 4455 | 4621 | 4790 | 4970 | 5247 | 5454 |
| 13 | Q | 4001 | 4131 | 4261 | 4311 | 4468 | 4646 | 4827 | 5004 | 5188 | 5482 | 5703 |
| 13 | S | 4076 | 4208 | 4340 | 4393 | 4555 | 4736 | 4920 | 5093 | 5281 | 5578 | 5801 |
| 14 | B | 4006 | 4136 | 4265 | 4317 | 4480 | 4655 | 4860 | 5036 | 5227 | 5533 | 5755 |
| 14 | Q | 4175 | 4309 | 4444 | 4501 | 4670 | 4864 | 5073 | 5263 | 5465 | 5782 | 6011 |
| 14 | S | 4248 | 4387 | 4523 | 4584 | 4763 | 4949 | 5163 | 5358 | 5557 | 5874 | 6106 |
| 14H | B | 24.65 | 25.45 | 26.25 | 26.57 | 27.57 | 28.65 | 29.91 | 30.99 | 32.17 | 34.05 | 35.42 |
| 14H | Q | 25.69 | 26.52 | 27.35 | 27.70 | 28.74 | 29.93 | 31.22 | 32.39 | 33.63 | 35.58 | 36.99 |
| 14H | S | 26.14 | 27.00 | 27.83 | 28.21 | 29.31 | 30.46 | 31.77 | 32.97 | 34.20 | 36.15 | 37.58 |
| 15 | B | 4162 | 4297 | 4432 | 4490 | 4687 | 4880 | 5070 | 5275 | 5474 | 5805 | 6033 |
| 15 | Q | 4341 | 4481 | 4622 | 4684 | 4889 | 5093 | 5298 | 5517 | 5720 | 6060 | 6307 |
| 15 | S | 4418 | 4562 | 4705 | 4769 | 4980 | 5182 | 5391 | 5610 | 5813 | 6157 | 6402 |
| 16 | B | 4353 | 4494 | 4635 | 4699 | 4907 | 5125 | 5337 | 5560 | 5783 | 6124 | 6370 |
| 16 | Q | 4539 | 4687 | 4835 | 4901 | 5125 | 5360 | 5582 | 5812 | 6044 | 6403 | 6662 |
| 16 | S | 4626 | 4776 | 4923 | 4997 | 5217 | 5451 | 5676 | 5903 | 6138 | 6492 | 6752 |
| 16H | B | 26.79 | 27.66 | 28.52 | 28.92 | 30.20 | 31.54 | 32.84 | 34.22 | 35.59 | 37.69 | 39.20 |
| 16H | Q | 27.93 | 28.84 | 29.75 | 30.16 | 31.54 | 32.98 | 34.35 | 35.77 | 37.19 | 39.40 | 41.00 |
| 16H | S | 28.47 | 29.39 | 30.30 | 30.75 | 32.10 | 33.54 | 34.93 | 36.33 | 37.77 | 39.95 | 41.55 |
| 17 | B | 4558 | 4705 | 4852 | 4923 | 5150 | 5386 | 5614 | 5842 | 6079 | 6441 | 6699 |
| 17 | Q | 4751 | 4905 | 5060 | 5136 | 5381 | 5629 | 5865 | 6103 | 6353 | 6731 | 7003 |

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

| | | | | | | | | | | | | |
|----|---|------|------|------|------|------|------|------|------|------|------|-------|
| 17 | S | 4837 | 4993 | 5150 | 5228 | 5477 | 5725 | 5962 | 6197 | 6445 | 6828 | 7100 |
| 18 | B | 4793 | 4949 | 5103 | 5181 | 5430 | 5681 | 5943 | 6183 | 6432 | 6815 | 7089 |
| 18 | Q | 5002 | 5164 | 5326 | 5412 | 5678 | 5942 | 6211 | 6465 | 6722 | 7126 | 7410 |
| 18 | S | 5083 | 5248 | 5414 | 5501 | 5768 | 6033 | 6306 | 6558 | 6819 | 7214 | 7506 |
| 19 | B | 5047 | 5210 | 5373 | 5458 | 5737 | 6008 | 6285 | 6553 | 6825 | 7241 | 7530 |
| 19 | J | 5047 | 5210 | 5373 | 5458 | 5737 | 6008 | 6285 | 6553 | 6825 | 7241 | 7530 |
| 19 | Q | 5271 | 5443 | 5612 | 5706 | 5999 | 6274 | 6575 | 6847 | 7135 | 7565 | 7869 |
| 19 | S | 5358 | 5530 | 5706 | 5804 | 6094 | 6372 | 6667 | 6943 | 7230 | 7659 | 7965 |
| 20 | B | 5326 | 5500 | 5673 | 5767 | 6059 | 6339 | 6643 | 6932 | 7219 | 7658 | 7964 |
| 20 | Q | 5563 | 5743 | 5924 | 6028 | 6331 | 6630 | 6945 | 7243 | 7544 | 8005 | 8326 |
| 20 | S | 5650 | 5835 | 6018 | 6123 | 6425 | 6722 | 7036 | 7336 | 7637 | 8095 | 8419 |
| 21 | B | 5622 | 5804 | 5987 | 6093 | 6405 | 6714 | 7027 | 7346 | 7654 | 8131 | 8455 |
| 21 | U | 5622 | 5804 | 5987 | 6093 | 6405 | 6714 | 7027 | 7346 | 7654 | 8131 | 8455 |
| 21 | Q | 5870 | 6062 | 6253 | 6367 | 6693 | 7017 | 7343 | 7680 | 8001 | 8498 | 8838 |
| 21 | S | 5956 | 6151 | 6345 | 6460 | 6785 | 7107 | 7440 | 7772 | 8091 | 8591 | 8933 |
| 22 | B | 5940 | 6131 | 6324 | 6440 | 6775 | 7105 | 7442 | 7786 | 8110 | 8615 | 8960 |
| 22 | Q | 6201 | 6402 | 6604 | 6728 | 7081 | 7428 | 7776 | 8135 | 8478 | 9003 | 9360 |
| 22 | S | 6289 | 6496 | 6700 | 6825 | 7170 | 7522 | 7869 | 8232 | 8575 | 9098 | 9462 |
| 23 | B | 6294 | 6500 | 6705 | 6831 | 7192 | 7562 | 7920 | 8284 | 8642 | 9190 | 9558 |
| 23 | Q | 6577 | 6792 | 7007 | 7141 | 7520 | 7903 | 8275 | 8661 | 9035 | 9601 | 9984 |
| 23 | S | 6661 | 6878 | 7095 | 7235 | 7611 | 7997 | 8370 | 8752 | 9129 | 9695 | 10079 |
| 24 | B | 6692 | 6913 | 7130 | 7270 | 7656 | 8059 | 8444 | 8836 | 9233 | 9813 | 10204 |
| 24 | J | 6692 | 6913 | 7130 | 7270 | 7656 | 8059 | 8444 | 8836 | 9233 | 9813 | 10204 |

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

| | | | | | | | | | | | | |
|----|---|------|------|------|------|-------|-------|-------|-------|-------|-------|-------|
| 24 | Q | 6993 | 7220 | 7449 | 7599 | 8004 | 8420 | 8827 | 9230 | 9648 | 10255 | 10665 |
| 24 | S | 7079 | 7311 | 7541 | 7693 | 8094 | 8511 | 8916 | 9326 | 9743 | 10346 | 10760 |
| 25 | B | 7131 | 7364 | 7596 | 7749 | 8173 | 8605 | 9033 | 9462 | 9894 | 10528 | 10948 |
| 25 | J | 7131 | 7364 | 7596 | 7749 | 8173 | 8605 | 9033 | 9462 | 9894 | 10528 | 10948 |
| 25 | Q | 7444 | 7688 | 7930 | 8095 | 8539 | 8986 | 9443 | 9893 | 10340 | 11001 | 11442 |
| 25 | S | 7531 | 7778 | 8024 | 8190 | 8633 | 9081 | 9533 | 9982 | 10428 | 11095 | 11542 |
| 26 | B | 7601 | 7849 | 8098 | 8269 | 8722 | 9186 | 9649 | 10100 | 10553 | 11232 | 11682 |
| 26 | U | 7601 | 7849 | 8098 | 8269 | 8722 | 9186 | 9649 | 10100 | 10553 | 11232 | 11682 |
| 26 | Q | 7967 | 8226 | 8485 | 8669 | 9137 | 9621 | 10107 | 10580 | 11052 | 11768 | 12238 |
| 26 | S | 8039 | 8301 | 8562 | 8747 | 9225 | 9714 | 10205 | 10680 | 11159 | 11884 | 12360 |
| 27 | B | 8109 | 8375 | 8641 | 8828 | 9309 | 9798 | 10294 | 10778 | 11263 | 11989 | 12471 |
| 27 | J | 8109 | 8375 | 8641 | 8828 | 9309 | 9798 | 10294 | 10778 | 11263 | 11989 | 12471 |
| 27 | U | 8109 | 8375 | 8641 | 8828 | 9309 | 9798 | 10294 | 10778 | 11263 | 11989 | 12471 |
| 27 | Q | 8474 | 8751 | 9028 | 9227 | 9728 | 10241 | 10764 | 11267 | 11772 | 12533 | 13035 |
| 28 | B | 8505 | 8784 | 9061 | 9262 | 9763 | 10281 | 10804 | 11310 | 11817 | 12581 | 13086 |
| 29 | U | 8924 | 9214 | 9506 | 9718 | 10248 | 10789 | 11336 | 11868 | 12400 | 13203 | 13730 |

Effective July 1, 2022
Bargaining Unit: RC-062

| Pay Grade | Pay Plan Code | STEPS | | | | | | | | | | |
|-----------|---------------|-----------|-----------|-----------|----------|----------|----------|----------|----------|----------|----------|----------|
| | | <u>1c</u> | <u>1b</u> | <u>1a</u> | <u>1</u> | <u>2</u> | <u>3</u> | <u>4</u> | <u>5</u> | <u>6</u> | <u>7</u> | <u>8</u> |
| 9 | B | 3471 | 3582 | 3694 | 3726 | 3840 | 3963 | 4086 | 4216 | 4342 | 4548 | 4729 |
| 9 | Q | 3610 | 3725 | 3841 | 3877 | 3996 | 4124 | 4251 | 4390 | 4521 | 4736 | 4928 |

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

| | | | | | | | | | | | | |
|-----|---|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|
| 9 | S | 3682 | 3799 | 3919 | 3956 | 4078 | 4209 | 4339 | 4478 | 4610 | 4827 | 5022 |
| 10 | B | 3579 | 3694 | 3810 | 3844 | 3985 | 4103 | 4239 | 4373 | 4507 | 4737 | 4929 |
| 10 | Q | 3720 | 3841 | 3960 | 4000 | 4147 | 4270 | 4416 | 4554 | 4698 | 4947 | 5144 |
| 10 | S | 3796 | 3919 | 4041 | 4082 | 4229 | 4357 | 4502 | 4640 | 4792 | 5039 | 5240 |
| 11 | B | 3710 | 3830 | 3947 | 3986 | 4122 | 4256 | 4410 | 4554 | 4696 | 4945 | 5142 |
| 11 | Q | 3856 | 3980 | 4103 | 4149 | 4296 | 4435 | 4594 | 4748 | 4897 | 5162 | 5367 |
| 11 | S | 3929 | 4056 | 4183 | 4231 | 4378 | 4520 | 4682 | 4837 | 4990 | 5254 | 5464 |
| 12 | B | 3856 | 3980 | 4103 | 4149 | 4300 | 4440 | 4607 | 4758 | 4932 | 5198 | 5404 |
| 12 | Q | 4009 | 4140 | 4268 | 4318 | 4477 | 4625 | 4802 | 4968 | 5146 | 5426 | 5644 |
| 12 | S | 4086 | 4217 | 4351 | 4402 | 4562 | 4712 | 4895 | 5061 | 5242 | 5524 | 5746 |
| 12H | B | 23.73 | 24.49 | 25.25 | 25.53 | 26.46 | 27.32 | 28.35 | 29.28 | 30.35 | 31.99 | 33.26 |
| 12H | Q | 24.67 | 25.48 | 26.26 | 26.57 | 27.55 | 28.46 | 29.55 | 30.57 | 31.67 | 33.39 | 34.73 |
| 12H | S | 25.14 | 25.95 | 26.78 | 27.09 | 28.07 | 29.00 | 30.12 | 31.14 | 32.26 | 33.99 | 35.36 |
| 13 | B | 3998 | 4127 | 4256 | 4304 | 4462 | 4631 | 4804 | 4979 | 5166 | 5454 | 5669 |
| 13 | Q | 4159 | 4294 | 4429 | 4481 | 4644 | 4830 | 5018 | 5202 | 5393 | 5699 | 5928 |
| 13 | S | 4237 | 4374 | 4511 | 4567 | 4735 | 4923 | 5114 | 5294 | 5490 | 5798 | 6030 |
| 14 | B | 4164 | 4299 | 4433 | 4488 | 4657 | 4839 | 5052 | 5235 | 5433 | 5752 | 5982 |
| 14 | Q | 4340 | 4479 | 4620 | 4679 | 4854 | 5056 | 5273 | 5471 | 5681 | 6010 | 6248 |
| 14 | S | 4416 | 4560 | 4702 | 4765 | 4951 | 5144 | 5367 | 5570 | 5777 | 6106 | 6347 |
| 14H | B | 25.62 | 26.46 | 27.28 | 27.62 | 28.66 | 29.78 | 31.09 | 32.22 | 33.43 | 35.40 | 36.81 |
| 14H | Q | 26.71 | 27.56 | 28.43 | 28.79 | 29.87 | 31.11 | 32.45 | 33.67 | 34.96 | 36.98 | 38.45 |
| 14H | S | 27.18 | 28.06 | 28.94 | 29.32 | 30.47 | 31.66 | 33.03 | 34.28 | 35.55 | 37.58 | 39.06 |

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

| | | | | | | | | | | | | |
|-----|---|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|
| 15 | B | 4326 | 4467 | 4607 | 4667 | 4872 | 5073 | 5270 | 5483 | 5690 | 6034 | 6271 |
| 15 | Q | 4512 | 4658 | 4805 | 4869 | 5082 | 5294 | 5507 | 5735 | 5946 | 6299 | 6556 |
| 15 | S | 4593 | 4742 | 4891 | 4957 | 5177 | 5387 | 5604 | 5832 | 6043 | 6400 | 6655 |
| 16 | B | 4525 | 4672 | 4818 | 4885 | 5101 | 5327 | 5548 | 5780 | 6011 | 6366 | 6622 |
| 16 | Q | 4718 | 4872 | 5026 | 5095 | 5327 | 5572 | 5802 | 6042 | 6283 | 6656 | 6925 |
| 16 | S | 4809 | 4965 | 5117 | 5194 | 5423 | 5666 | 5900 | 6136 | 6380 | 6748 | 7019 |
| 16H | B | 27.85 | 28.75 | 29.65 | 30.06 | 31.39 | 32.78 | 34.14 | 35.57 | 36.99 | 39.18 | 40.75 |
| 16H | Q | 29.03 | 29.98 | 30.93 | 31.35 | 32.78 | 34.29 | 35.70 | 37.18 | 38.66 | 40.96 | 42.62 |
| 16H | S | 29.59 | 30.55 | 31.49 | 31.96 | 33.37 | 34.87 | 36.31 | 37.76 | 39.26 | 41.53 | 43.19 |
| 17 | B | 4738 | 4891 | 5044 | 5117 | 5353 | 5599 | 5836 | 6073 | 6319 | 6695 | 6964 |
| 17 | Q | 4939 | 5099 | 5260 | 5339 | 5594 | 5851 | 6097 | 6344 | 6604 | 6997 | 7280 |
| 17 | S | 5028 | 5190 | 5353 | 5435 | 5693 | 5951 | 6197 | 6442 | 6700 | 7098 | 7380 |
| 18 | B | 4982 | 5144 | 5305 | 5386 | 5644 | 5905 | 6178 | 6427 | 6686 | 7084 | 7369 |
| 18 | Q | 5200 | 5368 | 5536 | 5626 | 5902 | 6177 | 6456 | 6720 | 6988 | 7407 | 7703 |
| 18 | S | 5284 | 5455 | 5628 | 5718 | 5996 | 6271 | 6555 | 6817 | 7088 | 7499 | 7802 |
| 19 | B | 5246 | 5416 | 5585 | 5674 | 5964 | 6245 | 6533 | 6812 | 7095 | 7527 | 7827 |
| 19 | J | 5246 | 5416 | 5585 | 5674 | 5964 | 6245 | 6533 | 6812 | 7095 | 7527 | 7827 |
| 19 | Q | 5479 | 5658 | 5834 | 5931 | 6236 | 6522 | 6835 | 7117 | 7417 | 7864 | 8180 |
| 19 | S | 5570 | 5748 | 5931 | 6033 | 6335 | 6624 | 6930 | 7217 | 7516 | 7962 | 8280 |
| 20 | B | 5536 | 5717 | 5897 | 5995 | 6298 | 6589 | 6905 | 7206 | 7504 | 7960 | 8279 |
| 20 | Q | 5783 | 5970 | 6158 | 6266 | 6581 | 6892 | 7219 | 7529 | 7842 | 8321 | 8655 |
| 20 | S | 5873 | 6065 | 6256 | 6365 | 6679 | 6988 | 7314 | 7626 | 7939 | 8415 | 8752 |
| 21 | B | 5844 | 6033 | 6223 | 6334 | 6658 | 6979 | 7305 | 7636 | 7956 | 8452 | 8789 |

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

| | | | | | | | | | | | | |
|----|---|------|------|------|------|------|-------|-------|-------|-------|-------|-------|
| 21 | U | 5844 | 6033 | 6223 | 6334 | 6658 | 6979 | 7305 | 7636 | 7956 | 8452 | 8789 |
| 21 | Q | 6102 | 6301 | 6500 | 6618 | 6957 | 7294 | 7633 | 7983 | 8317 | 8834 | 9187 |
| 21 | S | 6191 | 6394 | 6596 | 6715 | 7053 | 7388 | 7734 | 8079 | 8411 | 8930 | 9286 |
| 22 | B | 6175 | 6373 | 6574 | 6694 | 7043 | 7386 | 7736 | 8094 | 8430 | 8955 | 9314 |
| 22 | Q | 6446 | 6655 | 6865 | 6994 | 7361 | 7721 | 8083 | 8456 | 8813 | 9359 | 9730 |
| 22 | S | 6537 | 6753 | 6965 | 7095 | 7453 | 7819 | 8180 | 8557 | 8914 | 9457 | 9836 |
| 23 | B | 6543 | 6757 | 6970 | 7101 | 7476 | 7861 | 8233 | 8611 | 8983 | 9553 | 9936 |
| 23 | Q | 6837 | 7060 | 7284 | 7423 | 7817 | 8215 | 8602 | 9003 | 9392 | 9980 | 10378 |
| 23 | S | 6924 | 7150 | 7375 | 7521 | 7912 | 8313 | 8701 | 9098 | 9490 | 10078 | 10477 |
| 24 | B | 6956 | 7186 | 7412 | 7557 | 7958 | 8377 | 8778 | 9185 | 9598 | 10201 | 10607 |
| 24 | J | 6956 | 7186 | 7412 | 7557 | 7958 | 8377 | 8778 | 9185 | 9598 | 10201 | 10607 |
| 24 | Q | 7269 | 7505 | 7743 | 7899 | 8320 | 8753 | 9176 | 9595 | 10029 | 10660 | 11086 |
| 24 | S | 7359 | 7600 | 7839 | 7997 | 8414 | 8847 | 9268 | 9694 | 10128 | 10755 | 11185 |
| 25 | B | 7413 | 7655 | 7896 | 8055 | 8496 | 8945 | 9390 | 9836 | 10285 | 10944 | 11380 |
| 25 | J | 7413 | 7655 | 7896 | 8055 | 8496 | 8945 | 9390 | 9836 | 10285 | 10944 | 11380 |
| 25 | Q | 7738 | 7992 | 8243 | 8415 | 8876 | 9341 | 9816 | 10284 | 10748 | 11436 | 11894 |
| 25 | S | 7828 | 8085 | 8341 | 8514 | 8974 | 9440 | 9910 | 10376 | 10840 | 11533 | 11998 |
| 26 | B | 7901 | 8159 | 8418 | 8596 | 9067 | 9549 | 10030 | 10499 | 10970 | 11676 | 12143 |
| 26 | U | 7901 | 8159 | 8418 | 8596 | 9067 | 9549 | 10030 | 10499 | 10970 | 11676 | 12143 |
| 26 | Q | 8282 | 8551 | 8820 | 9011 | 9498 | 10001 | 10506 | 10998 | 11489 | 12233 | 12721 |
| 26 | S | 8357 | 8629 | 8900 | 9093 | 9589 | 10098 | 10608 | 11102 | 11600 | 12353 | 12848 |
| 27 | B | 8429 | 8706 | 8982 | 9177 | 9677 | 10185 | 10701 | 11204 | 11708 | 12463 | 12964 |
| 27 | J | 8429 | 8706 | 8982 | 9177 | 9677 | 10185 | 10701 | 11204 | 11708 | 12463 | 12964 |
| 27 | U | 8429 | 8706 | 8982 | 9177 | 9677 | 10185 | 10701 | 11204 | 11708 | 12463 | 12964 |

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

| | | | | | | | | | | | | |
|----|---|------|------|------|-------|-------|-------|-------|-------|-------|-------|-------|
| 27 | Q | 8809 | 9097 | 9385 | 9591 | 10112 | 10646 | 11189 | 11712 | 12237 | 13028 | 13550 |
| 28 | B | 8841 | 9131 | 9419 | 9628 | 10149 | 10687 | 11231 | 11757 | 12284 | 13078 | 13603 |
| 29 | U | 9276 | 9578 | 9881 | 10102 | 10653 | 11215 | 11784 | 12337 | 12890 | 13725 | 14272 |

(Source: Amended at 44 Ill. Reg. 1819, effective January 1, 2020)

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

Section 310.APPENDIX A Negotiated Rates of Pay**Section 310.TABLE X RC-063 (Professional Employees, AFSCME)**

| <u>Title</u> | <u>Title Code</u> | <u>Bargaining Unit</u> | <u>Pay Grade</u> |
|---|-------------------|------------------------|------------------|
| Actuary III | 00203 | RC-063 | 26 |
| Architect | 01440 | RC-063 | 22 |
| Chaplain I | 06901 | RC-063 | 16 |
| Chaplain II | 06902 | RC-063 | 19 |
| Child Welfare Administrative Case Reviewer | 07190 | RC-063 | 22 |
| Child Welfare Advanced Specialist | 07215 | RC-063 | 19 |
| Child Welfare Court Facilitator | 07196 | RC-063 | 22 |
| Child Welfare Senior Specialist | 07217 | RC-063 | 22 |
| Child Welfare Specialist | 07218 | RC-063 | 18 |
| Civil Engineer I | 07601 | RC-063 | 15 |
| Civil Engineer II | 07602 | RC-063 | 17 |
| Civil Engineer III | 07603 | RC-063 | 19 |
| Civil Engineer IV | 07604 | RC-063 | 22 |
| Clinical Pharmacist | 08235 | RC-063 | 25 |
| Clinical Psychologist | 08250 | RC-063 | 23 |
| Clinical Psychology Associate | 08255 | RC-063 | 18 |
| Corrections Assessment Specialist | 09758 | RC-063 | 19 |
| Day Care Licensing Representative II | 11472 | RC-063 | 18 |
| Dentist I | 11751 | RC-063 | 23 |
| Dentist II | 11752 | RC-063 | 26 |
| Educator – Career and Technical (9 Months), Illinois School for the Visually Impaired | 13103 | RC-063 | 11.5 |
| Electrical Engineer, Department of Public Health | 13180 | RC-063 | 22 |
| Environmental Engineer I | 13751 | RC-063 | 15 |
| Environmental Engineer II | 13752 | RC-063 | 17 |
| Environmental Engineer III | 13753 | RC-063 | 19 |
| Environmental Engineer IV | 13754 | RC-063 | 22 |
| Environmental Protection Engineer I | 13791 | RC-063 | 15 |
| Environmental Protection Engineer II | 13792 | RC-063 | 17 |
| Environmental Protection Engineer III | 13793 | RC-063 | 19 |
| Environmental Protection Engineer IV | 13794 | RC-063 | 22 |
| Environmental Protection Geologist I | 13801 | RC-063 | 15 |

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

| | | | |
|---|-------|--------|----|
| Environmental Protection Geologist II | 13802 | RC-063 | 17 |
| Environmental Protection Geologist III | 13803 | RC-063 | 19 |
| Fire Protection Engineer (State Fire Marshal) | 15340 | RC-063 | 22 |
| Geographic Information Specialist I | 17271 | RC-063 | 19 |
| Geographic Information Specialist II | 17272 | RC-063 | 23 |
| Geographic Information Trainee | 17276 | RC-063 | 15 |
| Graduate Pharmacist | 17345 | RC-063 | 20 |
| Hearing and Speech Advanced Specialist | 18227 | RC-063 | 22 |
| Hearing and Speech Associate | 18231 | RC-063 | 18 |
| Hearing and Speech Specialist | 18233 | RC-063 | 20 |
| Historical Library Chief of Acquisitions | 16987 | RC-063 | 19 |
| Information Services Intern | 21160 | RC-063 | 15 |
| Information Services Specialist I | 21161 | RC-063 | 17 |
| Information Services Specialist II | 21162 | RC-063 | 19 |
| Information Systems Analyst I | 21165 | RC-063 | 21 |
| Information Systems Analyst II | 21166 | RC-063 | 23 |
| Information Systems Analyst III | 21167 | RC-063 | 25 |
| Laboratory Research Scientist | 23025 | RC-063 | 23 |
| Landscape Architect | 23145 | RC-063 | 22 |
| Landscape Planner | 23150 | RC-063 | 19 |
| Librarian I | 23401 | RC-063 | 16 |
| Librarian II | 23402 | RC-063 | 18 |
| Management Systems Specialist | 25583 | RC-063 | 21 |
| Manuscripts Manager, Abraham Lincoln Presidential Library and Museum Historic Preservation Agency | 25610 | RC-063 | 19 |
| Mechanical Engineer I | 26201 | RC-063 | 15 |
| Mechanical Engineer II | 26202 | RC-063 | 17 |
| Mechanical Engineer III | 26203 | RC-063 | 19 |
| Nutritionist | 29820 | RC-063 | 18 |
| Occupational Therapist | 29900 | RC-063 | 17 |
| Occupational Therapist Program Coordinator | 29908 | RC-063 | 19 |
| Occupational Therapist Supervisor | 29910 | RC-063 | 21 |
| Pharmacy Manager (Department of Human Services) | 32025 | RC-063 | 27 |
| Pharmacy Services Coordinator | 32010 | RC-063 | 25 |
| Physical Therapist | 32145 | RC-063 | 17 |
| Physical Therapist Program Coordinator | 32153 | RC-063 | 19 |
| Podiatrist | 32960 | RC-063 | 14 |

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

| | | | |
|---|------------------|-------------------|---------------|
| Project Designer | 34725 | RC-063 | 19 |
| Psychologist I | 35611 | RC-063 | 17 |
| Psychologist II | 35612 | RC-063 | 20 |
| Psychologist III | 35613 | RC-063 | 22 |
| Psychologist Associate | 35626 | RC-063 | 15 |
| Public Health Educator | 36430 | RC-063 | 19 |
| Public Service Administrator, Option 8D | 37015 | RC-063 | 23 |
| Public Service Administrator, Option 8P | 37015 | RC-063 | 26 |
| Department of Human Services | | | |
| Public Service Administrator, Option 8U | 37015 | RC-063 | 21 |
| Department of Human Services | | | |
| Public Service Administrator, Options 1, 3, 4, 6, 6E, 7 Criminal Justice Information Authority, 8A Department of Public Health, 8E, 8N, 8S Departments of Human Services and Veterans' Affairs and 8T | 37015 | RC-063 | 24 |
| Public Service Administrator, Options 8H, 8I Department of Natural Resources and 9G | 37015 | RC-063 | 22 |
| Rehabilitation/Mobility Instructor | 38163 | RC-063 | 19 |
| Rehabilitation/Mobility Instructor Trainee | 38167 | RC-063 | 15 |
| School Psychologist | 39200 | RC-063 | 19 |
| Senior Public Service Administrator, Option 4 Departments of Public Health, Human Services and Commerce and Economic Opportunity and Environmental Protection Agency | 40070 | RC-063 | 26 |
| Social Worker II | 41412 | RC-063 | 19 |
| Social Worker III | 41413 | RC-063 | 20 |
| Social Worker IV | 41414 | RC-063 | 22 |
| Staff Pharmacist | 41787 | RC-063 | 24 |
| Statistical Research Supervisor | 42745 | RC-063 | 20 |
| Veterinarian I | 47901 | RC-063 | 18 |
| Veterinarian II | 47902 | RC-063 | 20 |
| Veterinarian III | 47903 | RC-063 | 21 |
| Vision/Hearing Consultant I | 47941 | RC-063 | 16 |
| Vision/Hearing Consultant II | 47942 | RC-063 | 20 |
| Vision/Hearing Consultant III | 47943 | RC-063 | 21 |

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

NOTES: Maximum Security Pay – Effective January 1, 2018, for all employees who are currently receiving maximum security pay, and are not working at a maximum security facility, the maximum security pay shall be removed.

Stipend – Effective June 30, 2019, and paid upon ratification of the Agreement signed August 21, 2019, provided that ratification occurs prior to August 15, 2019, all bargaining unit employees shall receive a one-time stipend of \$2,500 prorated by 25% for each year the employee was employed from July 1, 2015 through June 30, 2019.

Sub-Steps – Step 1a, 1b, and 1c shall be implemented for all employees hired on or after May 20, 2013, with a 3% step differential. Effective July 1, 2019, Step 1a, 1b, and 1c shall be increased by \$25 per month. Effective July 1, 2020, Step 1a, 1b, and 1c shall be increased by an additional \$25 per month. Effective July 1, 2021, Step 1a, 1b, and 1c shall be increased by an additional \$25 per month. The rates are set out in the rate tables in this Section.

General Increases – Pay Effective January 1, 2020, the pay rates for all bargaining unit classifications and Steps shall be increased by the specified percentage amounts effective on the following dates: January 1, 2020, 1.50%; which rates are set out in a rate table below. Effective July 1, 2020, the pay rates for all bargaining unit classifications and steps shall be increased by 2.10%; which rates are set out in a rate table below. Effective July 1, 2021, the pay rates for all bargaining unit classifications and steps shall be increased by 3.95%; which rates are set out in rate table below. Effective July 1, 2022, the pay rates for all bargaining unit classifications and steps shall be increased by 3.95%, which rates are set out in a rate table below. Pay rates for each Step and their effective dates are listed in the rate tables in this Section.

Shift Differential Pay – Employees shall be paid a shift differential of \$0.80 per hour in addition to their base salary rate for all hours worked if their normal work schedule for that day provides that they are scheduled to work and they work ½ or more of the work hours before 7 a.m. or after 3 p.m. The payment shall be for all paid time. Incumbents who currently receive a percentage shift differential providing more than the cents per hour indicated in this Note based on the base rate of pay prior to the effective date shall have that percentage converted to the cents per hour equivalent rounded to the nearest cent and shall continue to receive the higher cents per hour rate. This provision shall not apply to employees who, because of

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

"flex-time" scheduling made at their request, are scheduled and work hours that would otherwise qualify them for premium pay under this provision.

Option Clarification – The positions allocated to the Public Service Administrator title that are assigned to the negotiated RC-063 pay grade have the following options: 1; 3; 4; 6; 6E; 7; 8A; 8D; 8E; 8H; 8I; 8N; 8P; 8S; 8T; 8U; and 9G. ~~The positions allocated to the Senior Public Service Administrator title that are assigned to a negotiated pay grade have the Option 4.~~ See the definition of option in Section 310.50.

Longevity Pay – Effective January 1, 2002, the Step 8 rate shall be increased by \$25 per month for those employees who attain 10 years of continuous service and have three or more years of creditable service on Step 7 in the same or higher pay grade on or before January 1, 2002. For those employees who attain 15 years of continuous service and have three or more years of creditable service on Step 7 in the same or higher pay grade on or before January 1, 2002, the Step 8 rate shall be increased by \$50 per month. For employees not eligible for longevity pay on or before January 1, 2002, the Step 8 rate shall be increased by \$25 per month for those employees who attain 10 years of continuous service and have three or more years of creditable service on Step 8 in the same or higher pay grade. For those employees who attain 15 years of continuous service and have three or more years of creditable service on Step 8 in the same or higher pay grade, the Step 8 rate shall be increased by \$50 per month. Effective July 1, 2010, the Step 8 rate shall be increased by \$50 per month for those employees who attain 10 years of continuous service and have three or more years of creditable service on Step 8 in the same or higher pay grade on or before July 1, 2010. For those employees who attain 15 years of continuous service and have three or more years of creditable service on Step 8 in the same or higher pay grade on or before July 1, 2010, the Step 8 rate shall be increased by \$75 per month. Effective July 1, 2013, the Step 8 rate shall be increased by \$25 per month to \$75 a month for those employees who attain 10 years of continuous service and have three or more years of creditable service on Step 8 in the same or higher pay grade on or before July 1, 2013. For those employees who attain 15 years of continuous service and have three or more years of creditable service on Step 8 in the same or higher pay grade on or before July 1, 2013, the Step 8 rate shall be increased by \$25 per month to \$100 a month. Employees whose salaries are red-circled (see Section 310.220(f)) above the maximum Step rate continue to receive all applicable general increases and any other adjustments (except the longevity pay) provided for in the Agreement. For these employees, the longevity pay shall be limited to the amount that would increase the employee's salary to the amount that is equal to that of an

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

employee on the maximum Step rate with the same number of years of continuous and creditable service. Employees receiving the longevity pay shall continue to receive the longevity pay as long as they remain in the same or successor classification as a result of a reclassification or reevaluation. Employees who are eligible for the increase provided for longevity pay on or before January 1, 2002 shall continue to receive longevity pay after being placed on Step 8 while they remain in the same or lower pay grade.

Effective April 10, 2017
Bargaining Unit: RC-063

| Pay Grade | Pay Plan Code | S T E P S | | | | | | | | | | |
|-----------|---------------|-----------|------|-------------------------|------|------|------|------|-------------------------|------|------|------|
| | | 1c | 1b | 1a | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 |
| 11.5 | B | 3119 | 3221 | 3224 3324 | 3428 | 3585 | 3749 | 3909 | 4067 | 4232 | 4484 | 4664 |
| 11.5 | Q | 3254 | 3361 | 3469 | 3575 | 3747 | 3920 | 4083 | 4249 | 4424 | 4686 | 4875 |
| 11.5 | S | 3313 | 3422 | 3531 | 3640 | 3814 | 3986 | 4151 | 4487 4315 | 4487 | 4754 | 4943 |
| 14 | B | 3647 | 3767 | 3887 | 4008 | 4159 | 4321 | 4511 | 4675 | 4852 | 5137 | 5342 |
| 14 | Q | 3803 | 3928 | 4053 | 4178 | 4336 | 4515 | 4709 | 4886 | 5073 | 5367 | 5580 |
| 14 | S | 3872 | 4000 | 4127 | 4255 | 4422 | 4594 | 4793 | 4973 | 5159 | 5453 | 5668 |
| 15 | B | 3792 | 3917 | 4042 | 4167 | 4351 | 4530 | 4706 | 4898 | 5082 | 5388 | 5601 |
| 15 | Q | 3957 | 4087 | 4218 | 4348 | 4538 | 4727 | 4918 | 5121 | 5310 | 5626 | 5854 |
| 15 | S | 4029 | 4163 | 4295 | 4428 | 4623 | 4810 | 5004 | 5208 | 5396 | 5715 | 5943 |
| 16 | B | 3969 | 4099 | 4230 | 4362 | 4556 | 4758 | 4954 | 5162 | 5368 | 5685 | 5913 |
| 16 | Q | 4141 | 4278 | 4415 | 4550 | 4758 | 4975 | 5182 | 5395 | 5610 | 5944 | 6184 |
| 16 | S | 4221 | 4361 | 4498 | 4638 | 4843 | 5060 | 5269 | 5480 | 5699 | 6027 | 6267 |
| 17 | B | 4159 | 4295 | 4432 | 4570 | 4780 | 4999 | 5212 | 5423 | 5643 | 5979 | 6218 |
| 17 | Q | 4338 | 4481 | 4625 | 4767 | 4996 | 5226 | 5444 | 5665 | 5898 | 6248 | 6500 |
| 17 | S | 4417 | 4562 | 4708 | 4853 | 5085 | 5314 | 5534 | 5753 | 5982 | 6339 | 6591 |
| 18 | B | 4377 | 4522 | 4665 | 4809 | 5041 | 5274 | 5516 | 5740 | 5971 | 6326 | 6581 |

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

| | | | | | | | | | | | | |
|----|---|------|------|------|------|------|------|------|------|-------|-------|-------|
| 18 | Q | 4571 | 4722 | 4873 | 5024 | 5271 | 5515 | 5766 | 6001 | 6240 | 6615 | 6878 |
| 18 | S | 4646 | 4800 | 4953 | 5106 | 5355 | 5601 | 5853 | 6088 | 6330 | 6697 | 6967 |
| 19 | B | 4612 | 4764 | 4916 | 5067 | 5325 | 5577 | 5834 | 6083 | 6336 | 6722 | 6990 |
| 19 | Q | 4821 | 4980 | 5138 | 5297 | 5568 | 5825 | 6103 | 6357 | 6624 | 7023 | 7304 |
| 19 | S | 4901 | 5062 | 5224 | 5387 | 5656 | 5915 | 6189 | 6445 | 6711 | 7109 | 7393 |
| 20 | B | 4873 | 5033 | 5194 | 5354 | 5625 | 5885 | 6167 | 6435 | 6701 | 7108 | 7392 |
| 20 | Q | 5092 | 5259 | 5427 | 5596 | 5877 | 6155 | 6447 | 6724 | 7003 | 7432 | 7729 |
| 20 | S | 5172 | 5344 | 5514 | 5684 | 5965 | 6240 | 6532 | 6810 | 7090 | 7514 | 7815 |
| 21 | B | 5146 | 5315 | 5485 | 5655 | 5946 | 6233 | 6523 | 6820 | 7105 | 7548 | 7849 |
| 21 | Q | 5377 | 5555 | 5732 | 5910 | 6214 | 6513 | 6817 | 7129 | 7428 | 7889 | 8204 |
| 21 | S | 5457 | 5637 | 5817 | 5997 | 6299 | 6597 | 6906 | 7215 | 7511 | 7975 | 8293 |
| 22 | B | 5441 | 5619 | 5799 | 5978 | 6290 | 6595 | 6908 | 7228 | 7529 | 7998 | 8318 |
| 22 | Q | 5683 | 5871 | 6058 | 6245 | 6573 | 6896 | 7219 | 7552 | 7870 | 8358 | 8689 |
| 22 | S | 5766 | 5957 | 6146 | 6336 | 6656 | 6982 | 7304 | 7641 | 7960 | 8445 | 8783 |
| 23 | B | 5770 | 5961 | 6151 | 6341 | 6677 | 7020 | 7352 | 7690 | 8023 | 8531 | 8873 |
| 23 | Q | 6033 | 6233 | 6432 | 6630 | 6980 | 7337 | 7682 | 8040 | 8387 | 8912 | 9268 |
| 23 | S | 6111 | 6313 | 6514 | 6716 | 7065 | 7424 | 7769 | 8124 | 8474 | 9000 | 9357 |
| 24 | B | 6141 | 6344 | 6546 | 6749 | 7107 | 7482 | 7838 | 8202 | 8570 | 9109 | 9472 |
| 24 | Q | 6419 | 6630 | 6843 | 7054 | 7431 | 7816 | 8194 | 8567 | 8956 | 9519 | 9900 |
| 24 | S | 6499 | 6714 | 6928 | 7142 | 7513 | 7901 | 8277 | 8657 | 9044 | 9604 | 9988 |
| 25 | B | 6547 | 6763 | 6979 | 7194 | 7586 | 7988 | 8385 | 8783 | 9184 | 9773 | 10163 |
| 25 | Q | 6838 | 7065 | 7289 | 7514 | 7927 | 8342 | 8766 | 9183 | 9598 | 10212 | 10622 |
| 25 | S | 6919 | 7147 | 7376 | 7603 | 8014 | 8430 | 8849 | 9266 | 9681 | 10299 | 10714 |
| 26 | B | 6984 | 7214 | 7445 | 7676 | 8097 | 8527 | 8957 | 9375 | 9796 | 10427 | 10844 |
| 26 | Q | 7323 | 7563 | 7805 | 8047 | 8482 | 8931 | 9382 | 9822 | 10259 | 10924 | 11361 |
| 26 | S | 7390 | 7634 | 7876 | 8120 | 8563 | 9018 | 9473 | 9914 | 10359 | 11032 | 11473 |

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

| | | | | | | | | | | | | |
|----|---|------|------|------|------|------|-------|-------|-------|-------|-------|-------|
| 27 | B | 7456 | 7702 | 7949 | 8195 | 8641 | 9096 | 9556 | 10005 | 10455 | 11129 | 11576 |
| 27 | Q | 7794 | 8051 | 8308 | 8565 | 9031 | 9506 | 9992 | 10459 | 10928 | 11634 | 12100 |
| 28 | B | 7823 | 8081 | 8340 | 8598 | 9063 | 9544 | 10029 | 10499 | 10969 | 11679 | 12148 |
| 29 | B | 8211 | 8481 | 8752 | 9022 | 9513 | 10016 | 10523 | 11017 | 11511 | 12256 | 12745 |

Effective July 1, 2019
Bargaining Unit: RC-063

| Pay Grade | Pay Plan Code | S T E P S | | | | | | | | | | |
|-----------|---------------|-----------|------|------|------|------|------|------|------|------|------|------|
| | | 1c | 1b | 1a | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 |
| 11.5 | B | 3144 | 3246 | 3349 | 3428 | 3585 | 3749 | 3909 | 4067 | 4232 | 4484 | 4664 |
| 11.5 | Q | 3279 | 3386 | 3494 | 3575 | 3747 | 3920 | 4083 | 4249 | 4424 | 4686 | 4875 |
| 11.5 | S | 3338 | 3447 | 3556 | 3640 | 3814 | 3986 | 4151 | 4315 | 4487 | 4754 | 4943 |
| 14 | B | 3672 | 3792 | 3912 | 4008 | 4159 | 4321 | 4511 | 4675 | 4852 | 5137 | 5342 |
| 14 | Q | 3828 | 3953 | 4078 | 4178 | 4336 | 4515 | 4709 | 4886 | 5073 | 5367 | 5580 |
| 14 | S | 3897 | 4025 | 4152 | 4255 | 4422 | 4594 | 4793 | 4973 | 5159 | 5453 | 5668 |
| 15 | B | 3817 | 3942 | 4067 | 4167 | 4351 | 4530 | 4706 | 4898 | 5082 | 5388 | 5601 |
| 15 | Q | 3982 | 4112 | 4243 | 4348 | 4538 | 4727 | 4918 | 5121 | 5310 | 5626 | 5854 |
| 15 | S | 4054 | 4188 | 4320 | 4428 | 4623 | 4810 | 5004 | 5208 | 5396 | 5715 | 5943 |
| 16 | B | 3994 | 4124 | 4255 | 4362 | 4556 | 4758 | 4954 | 5162 | 5368 | 5685 | 5913 |
| 16 | Q | 4166 | 4303 | 4440 | 4550 | 4758 | 4975 | 5182 | 5395 | 5610 | 5944 | 6184 |
| 16 | S | 4246 | 4386 | 4523 | 4638 | 4843 | 5060 | 5269 | 5480 | 5699 | 6027 | 6267 |
| 17 | B | 4184 | 4320 | 4457 | 4570 | 4780 | 4999 | 5212 | 5423 | 5643 | 5979 | 6218 |
| 17 | Q | 4363 | 4506 | 4650 | 4767 | 4996 | 5226 | 5444 | 5665 | 5898 | 6248 | 6500 |
| 17 | S | 4442 | 4587 | 4733 | 4853 | 5085 | 5314 | 5534 | 5753 | 5982 | 6339 | 6591 |
| 18 | B | 4402 | 4547 | 4690 | 4809 | 5041 | 5274 | 5516 | 5740 | 5971 | 6326 | 6581 |

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

| | | | | | | | | | | | | |
|----|---|------|------|------|------|------|------|------|------|-------|-------|-------|
| 18 | Q | 4596 | 4747 | 4898 | 5024 | 5271 | 5515 | 5766 | 6001 | 6240 | 6615 | 6878 |
| 18 | S | 4671 | 4825 | 4978 | 5106 | 5355 | 5601 | 5853 | 6088 | 6330 | 6697 | 6967 |
| 19 | B | 4637 | 4789 | 4941 | 5067 | 5325 | 5577 | 5834 | 6083 | 6336 | 6722 | 6990 |
| 19 | Q | 4846 | 5005 | 5163 | 5297 | 5568 | 5825 | 6103 | 6357 | 6624 | 7023 | 7304 |
| 19 | S | 4926 | 5087 | 5249 | 5387 | 5656 | 5915 | 6189 | 6445 | 6711 | 7109 | 7393 |
| 20 | B | 4898 | 5058 | 5219 | 5354 | 5625 | 5885 | 6167 | 6435 | 6701 | 7108 | 7392 |
| 20 | Q | 5117 | 5284 | 5452 | 5596 | 5877 | 6155 | 6447 | 6724 | 7003 | 7432 | 7729 |
| 20 | S | 5197 | 5369 | 5539 | 5684 | 5965 | 6240 | 6532 | 6810 | 7090 | 7514 | 7815 |
| 21 | B | 5171 | 5340 | 5510 | 5655 | 5946 | 6233 | 6523 | 6820 | 7105 | 7548 | 7849 |
| 21 | Q | 5402 | 5580 | 5757 | 5910 | 6214 | 6513 | 6817 | 7129 | 7428 | 7889 | 8204 |
| 21 | S | 5482 | 5662 | 5842 | 5997 | 6299 | 6597 | 6906 | 7215 | 7511 | 7975 | 8293 |
| 22 | B | 5466 | 5644 | 5824 | 5978 | 6290 | 6595 | 6908 | 7228 | 7529 | 7998 | 8318 |
| 22 | Q | 5708 | 5896 | 6083 | 6245 | 6573 | 6896 | 7219 | 7552 | 7870 | 8358 | 8689 |
| 22 | S | 5791 | 5982 | 6171 | 6336 | 6656 | 6982 | 7304 | 7641 | 7960 | 8445 | 8783 |
| 23 | B | 5795 | 5986 | 6176 | 6341 | 6677 | 7020 | 7352 | 7690 | 8023 | 8531 | 8873 |
| 23 | Q | 6058 | 6258 | 6457 | 6630 | 6980 | 7337 | 7682 | 8040 | 8387 | 8912 | 9268 |
| 23 | S | 6136 | 6338 | 6539 | 6716 | 7065 | 7424 | 7769 | 8124 | 8474 | 9000 | 9357 |
| 24 | B | 6166 | 6369 | 6571 | 6749 | 7107 | 7482 | 7838 | 8202 | 8570 | 9109 | 9472 |
| 24 | Q | 6444 | 6655 | 6868 | 7054 | 7431 | 7816 | 8194 | 8567 | 8956 | 9519 | 9900 |
| 24 | S | 6524 | 6739 | 6953 | 7142 | 7513 | 7901 | 8277 | 8657 | 9044 | 9604 | 9988 |
| 25 | B | 6572 | 6788 | 7004 | 7194 | 7586 | 7988 | 8385 | 8783 | 9184 | 9773 | 10163 |
| 25 | Q | 6863 | 7090 | 7314 | 7514 | 7927 | 8342 | 8766 | 9183 | 9598 | 10212 | 10622 |
| 25 | S | 6944 | 7172 | 7401 | 7603 | 8014 | 8430 | 8849 | 9266 | 9681 | 10299 | 10714 |
| 26 | B | 7009 | 7239 | 7470 | 7676 | 8097 | 8527 | 8957 | 9375 | 9796 | 10427 | 10844 |
| 26 | Q | 7348 | 7588 | 7830 | 8047 | 8482 | 8931 | 9382 | 9822 | 10259 | 10924 | 11361 |
| 26 | S | 7415 | 7659 | 7901 | 8120 | 8563 | 9018 | 9473 | 9914 | 10359 | 11032 | 11473 |

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

| | | | | | | | | | | | | |
|----|---|------|------|------|------|------|-------|-------|-------|-------|-------|-------|
| 27 | B | 7481 | 7727 | 7974 | 8195 | 8641 | 9096 | 9556 | 10005 | 10455 | 11129 | 11576 |
| 27 | Q | 7819 | 8076 | 8333 | 8565 | 9031 | 9506 | 9992 | 10459 | 10928 | 11634 | 12100 |
| 28 | B | 7848 | 8106 | 8365 | 8598 | 9063 | 9544 | 10029 | 10499 | 10969 | 11679 | 12148 |
| 29 | B | 8236 | 8506 | 8777 | 9022 | 9513 | 10016 | 10523 | 11017 | 11511 | 12256 | 12745 |

Effective January 1, 2020
Bargaining Unit: RC-063

| Pay Grade | Pay Plan Code | S T E P S | | | | | | | | | | |
|--------------|---------------------|-----------|------|------|------|------|------|------|------|------|------|------|
| | | 1c | 1b | 1a | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 |
| 11.5 | B | 3191 | 3295 | 3399 | 3479 | 3639 | 3805 | 3968 | 4128 | 4295 | 4551 | 4734 |
| 11.5 | Q | 3328 | 3437 | 3546 | 3629 | 3803 | 3979 | 4144 | 4313 | 4490 | 4756 | 4948 |
| 11.5 | S | 3388 | 3499 | 3609 | 3695 | 3871 | 4046 | 4213 | 4380 | 4554 | 4825 | 5017 |
| 14 | B | 3727 | 3849 | 3971 | 4068 | 4221 | 4386 | 4579 | 4745 | 4925 | 5214 | 5422 |
| 14 | Q | 3885 | 4012 | 4139 | 4241 | 4401 | 4583 | 4780 | 4959 | 5149 | 5448 | 5664 |
| 14 | S | 3955 | 4085 | 4214 | 4319 | 4488 | 4663 | 4865 | 5048 | 5236 | 5535 | 5753 |
| 15 | B | 3874 | 4001 | 4128 | 4230 | 4416 | 4598 | 4777 | 4971 | 5158 | 5469 | 5685 |
| 15 | Q | 4042 | 4174 | 4307 | 4413 | 4606 | 4798 | 4992 | 5198 | 5390 | 5710 | 5942 |
| 15 | S | 4115 | 4251 | 4385 | 4494 | 4692 | 4882 | 5079 | 5286 | 5477 | 5801 | 6032 |
| 16 | B | 4054 | 4186 | 4319 | 4427 | 4624 | 4829 | 5028 | 5239 | 5449 | 5770 | 6002 |
| 16 | Q | 4228 | 4368 | 4507 | 4618 | 4829 | 5050 | 5260 | 5476 | 5694 | 6033 | 6277 |
| 16 | S | 4310 | 4452 | 4591 | 4708 | 4916 | 5136 | 5348 | 5562 | 5784 | 6117 | 6361 |
| 17 | B | 4247 | 4385 | 4524 | 4639 | 4852 | 5074 | 5290 | 5504 | 5728 | 6069 | 6311 |
| 17 | Q | 4428 | 4574 | 4720 | 4839 | 5071 | 5304 | 5526 | 5750 | 5986 | 6342 | 6598 |
| 17 | S | 4509 | 4656 | 4804 | 4926 | 5161 | 5394 | 5617 | 5839 | 6072 | 6434 | 6690 |
| 18 | B | 4468 | 4615 | 4760 | 4881 | 5117 | 5353 | 5599 | 5826 | 6061 | 6421 | 6680 |
| 18 | Q | 4665 | 4818 | 4971 | 5099 | 5350 | 5598 | 5852 | 6091 | 6334 | 6714 | 6981 |

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

| | | | | | | | | | | | | |
|----|---|------|------|------|------|------|------|------|-------|-------|-------|-------|
| 18 | S | 4741 | 4897 | 5053 | 5183 | 5435 | 5685 | 5941 | 6179 | 6425 | 6797 | 7072 |
| 19 | B | 4707 | 4861 | 5015 | 5143 | 5405 | 5661 | 5922 | 6174 | 6431 | 6823 | 7095 |
| 19 | Q | 4919 | 5080 | 5240 | 5376 | 5652 | 5912 | 6195 | 6452 | 6723 | 7128 | 7414 |
| 19 | S | 5000 | 5163 | 5328 | 5468 | 5741 | 6004 | 6282 | 6542 | 6812 | 7216 | 7504 |
| 20 | B | 4971 | 5134 | 5297 | 5434 | 5709 | 5973 | 6260 | 6532 | 6802 | 7215 | 7503 |
| 20 | Q | 5194 | 5363 | 5534 | 5680 | 5965 | 6247 | 6544 | 6825 | 7108 | 7543 | 7845 |
| 20 | S | 5275 | 5450 | 5622 | 5769 | 6054 | 6334 | 6630 | 6912 | 7196 | 7627 | 7932 |
| 21 | B | 5249 | 5420 | 5593 | 5740 | 6035 | 6326 | 6621 | 6922 | 7212 | 7661 | 7967 |
| 21 | Q | 5483 | 5664 | 5843 | 5999 | 6307 | 6611 | 6919 | 7236 | 7539 | 8007 | 8327 |
| 21 | S | 5564 | 5747 | 5930 | 6087 | 6393 | 6696 | 7010 | 7323 | 7624 | 8095 | 8417 |
| 22 | B | 5548 | 5729 | 5911 | 6068 | 6384 | 6694 | 7012 | 7336 | 7642 | 8118 | 8443 |
| 22 | Q | 5794 | 5984 | 6174 | 6339 | 6672 | 6999 | 7327 | 7665 | 7988 | 8483 | 8819 |
| 22 | S | 5878 | 6072 | 6264 | 6431 | 6756 | 7087 | 7414 | 7756 | 8079 | 8572 | 8915 |
| 23 | B | 5882 | 6076 | 6269 | 6436 | 6777 | 7125 | 7462 | 7805 | 8143 | 8659 | 9006 |
| 23 | Q | 6149 | 6352 | 6554 | 6729 | 7085 | 7447 | 7797 | 8161 | 8513 | 9046 | 9407 |
| 23 | S | 6228 | 6433 | 6637 | 6817 | 7171 | 7535 | 7886 | 8246 | 8601 | 9135 | 9497 |
| 24 | B | 6258 | 6465 | 6670 | 6850 | 7214 | 7594 | 7956 | 8325 | 8699 | 9246 | 9614 |
| 24 | Q | 6541 | 6755 | 6971 | 7160 | 7542 | 7933 | 8317 | 8696 | 9090 | 9662 | 10049 |
| 24 | S | 6622 | 6840 | 7057 | 7249 | 7626 | 8020 | 8401 | 8787 | 9180 | 9748 | 10138 |
| 25 | B | 6671 | 6890 | 7109 | 7302 | 7700 | 8108 | 8511 | 8915 | 9322 | 9920 | 10315 |
| 25 | Q | 6966 | 7196 | 7424 | 7627 | 8046 | 8467 | 8897 | 9321 | 9742 | 10365 | 10781 |
| 25 | S | 7048 | 7280 | 7512 | 7717 | 8134 | 8556 | 8982 | 9405 | 9826 | 10453 | 10875 |
| 26 | B | 7114 | 7348 | 7582 | 7791 | 8218 | 8655 | 9091 | 9516 | 9943 | 10583 | 11007 |
| 26 | Q | 7458 | 7702 | 7947 | 8168 | 8609 | 9065 | 9523 | 9969 | 10413 | 11088 | 11531 |
| 26 | S | 7526 | 7774 | 8020 | 8242 | 8691 | 9153 | 9615 | 10063 | 10514 | 11197 | 11645 |
| 27 | B | 7593 | 7843 | 8094 | 8318 | 8771 | 9232 | 9699 | 10155 | 10612 | 11296 | 11750 |

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

| | | | | | | | | | | | | |
|----|---|------|------|------|------|------|-------|-------|-------|-------|-------|-------|
| 27 | Q | 7936 | 8197 | 8458 | 8693 | 9166 | 9649 | 10142 | 10616 | 11092 | 11809 | 12282 |
| 28 | B | 7966 | 8228 | 8490 | 8727 | 9199 | 9687 | 10179 | 10656 | 11134 | 11854 | 12330 |
| 29 | B | 8360 | 8634 | 8909 | 9157 | 9656 | 10166 | 10681 | 11182 | 11684 | 12440 | 12936 |

Effective July 1, 2020
Bargaining Unit: RC-063

| Pay Grade | Pay Plan Code | S T E P S | | | | | | | | | | |
|--------------|---------------------|-----------|------|------|------|------|------|------|------|------|------|------|
| | | 1c | 1b | 1a | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 |
| 11.5 | B | 3283 | 3389 | 3495 | 3552 | 3715 | 3885 | 4051 | 4215 | 4385 | 4647 | 4833 |
| 11.5 | Q | 3423 | 3534 | 3645 | 3705 | 3883 | 4063 | 4231 | 4404 | 4584 | 4856 | 5052 |
| 11.5 | S | 3484 | 3597 | 3710 | 3773 | 3952 | 4131 | 4301 | 4472 | 4650 | 4926 | 5122 |
| 14 | B | 3830 | 3955 | 4079 | 4153 | 4310 | 4478 | 4675 | 4845 | 5028 | 5323 | 5536 |
| 14 | Q | 3992 | 4121 | 4251 | 4330 | 4493 | 4679 | 4880 | 5063 | 5257 | 5562 | 5783 |
| 14 | S | 4063 | 4196 | 4327 | 4410 | 4582 | 4761 | 4967 | 5154 | 5346 | 5651 | 5874 |
| 15 | B | 3980 | 4110 | 4240 | 4319 | 4509 | 4695 | 4877 | 5075 | 5266 | 5584 | 5804 |
| 15 | Q | 4152 | 4287 | 4422 | 4506 | 4703 | 4899 | 5097 | 5307 | 5503 | 5830 | 6067 |
| 15 | S | 4226 | 4365 | 4502 | 4588 | 4791 | 4985 | 5186 | 5397 | 5592 | 5923 | 6159 |
| 16 | B | 4164 | 4299 | 4435 | 4520 | 4721 | 4930 | 5134 | 5349 | 5563 | 5891 | 6128 |
| 16 | Q | 4342 | 4485 | 4627 | 4715 | 4930 | 5156 | 5370 | 5591 | 5814 | 6160 | 6409 |
| 16 | S | 4426 | 4570 | 4712 | 4807 | 5019 | 5244 | 5460 | 5679 | 5905 | 6245 | 6495 |
| 17 | B | 4361 | 4502 | 4644 | 4736 | 4954 | 5181 | 5401 | 5620 | 5848 | 6196 | 6444 |
| 17 | Q | 4546 | 4695 | 4844 | 4941 | 5177 | 5415 | 5642 | 5871 | 6112 | 6475 | 6737 |
| 17 | S | 4629 | 4779 | 4930 | 5029 | 5269 | 5507 | 5735 | 5962 | 6200 | 6569 | 6830 |
| 18 | B | 4587 | 4737 | 4885 | 4984 | 5224 | 5465 | 5717 | 5948 | 6188 | 6556 | 6820 |
| 18 | Q | 4788 | 4944 | 5100 | 5206 | 5462 | 5716 | 5975 | 6219 | 6467 | 6855 | 7128 |

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

| | | | | | | | | | | | | |
|----|---|------|------|------|------|------|------|------|-------|-------|-------|-------|
| 18 | S | 4866 | 5025 | 5184 | 5292 | 5549 | 5804 | 6066 | 6309 | 6560 | 6940 | 7221 |
| 19 | B | 4831 | 4988 | 5145 | 5251 | 5519 | 5780 | 6046 | 6304 | 6566 | 6966 | 7244 |
| 19 | Q | 5047 | 5212 | 5375 | 5489 | 5771 | 6036 | 6325 | 6587 | 6864 | 7278 | 7570 |
| 19 | S | 5130 | 5296 | 5465 | 5583 | 5862 | 6130 | 6414 | 6679 | 6955 | 7368 | 7662 |
| 20 | B | 5100 | 5267 | 5433 | 5548 | 5829 | 6098 | 6391 | 6669 | 6945 | 7367 | 7661 |
| 20 | Q | 5328 | 5501 | 5675 | 5799 | 6090 | 6378 | 6681 | 6968 | 7257 | 7701 | 8010 |
| 20 | S | 5411 | 5589 | 5765 | 5890 | 6181 | 6467 | 6769 | 7057 | 7347 | 7787 | 8099 |
| 21 | B | 5384 | 5559 | 5735 | 5861 | 6162 | 6459 | 6760 | 7067 | 7363 | 7822 | 8134 |
| 21 | Q | 5623 | 5808 | 5991 | 6125 | 6439 | 6750 | 7064 | 7388 | 7697 | 8175 | 8502 |
| 21 | S | 5706 | 5893 | 6080 | 6215 | 6527 | 6837 | 7157 | 7477 | 7784 | 8265 | 8594 |
| 22 | B | 5690 | 5874 | 6060 | 6195 | 6518 | 6835 | 7159 | 7490 | 7802 | 8288 | 8620 |
| 22 | Q | 5941 | 6135 | 6329 | 6472 | 6812 | 7146 | 7481 | 7826 | 8156 | 8661 | 9004 |
| 22 | S | 6026 | 6225 | 6421 | 6566 | 6898 | 7236 | 7570 | 7919 | 8249 | 8752 | 9102 |
| 23 | B | 6031 | 6229 | 6426 | 6571 | 6919 | 7275 | 7619 | 7969 | 8314 | 8841 | 9195 |
| 23 | Q | 6303 | 6510 | 6717 | 6870 | 7234 | 7603 | 7961 | 8332 | 8692 | 9236 | 9605 |
| 23 | S | 6384 | 6593 | 6801 | 6960 | 7322 | 7693 | 8052 | 8419 | 8782 | 9327 | 9696 |
| 24 | B | 6414 | 6626 | 6835 | 6994 | 7365 | 7753 | 8123 | 8500 | 8882 | 9440 | 9816 |
| 24 | Q | 6703 | 6922 | 7142 | 7310 | 7700 | 8100 | 8492 | 8879 | 9281 | 9865 | 10260 |
| 24 | S | 6786 | 7009 | 7230 | 7401 | 7786 | 8188 | 8577 | 8972 | 9373 | 9953 | 10351 |
| 25 | B | 6836 | 7060 | 7283 | 7455 | 7862 | 8278 | 8690 | 9102 | 9518 | 10128 | 10532 |
| 25 | Q | 7137 | 7372 | 7605 | 7787 | 8215 | 8645 | 9084 | 9517 | 9947 | 10583 | 11007 |
| 25 | S | 7221 | 7458 | 7695 | 7879 | 8305 | 8736 | 9171 | 9603 | 10032 | 10673 | 11103 |
| 26 | B | 7288 | 7527 | 7766 | 7955 | 8391 | 8837 | 9282 | 9716 | 10152 | 10805 | 11238 |
| 26 | Q | 7640 | 7889 | 8139 | 8340 | 8790 | 9255 | 9723 | 10178 | 10632 | 11321 | 11773 |
| 26 | S | 7709 | 7962 | 8213 | 8415 | 8874 | 9345 | 9817 | 10274 | 10735 | 11432 | 11890 |
| 27 | B | 7777 | 8033 | 8289 | 8493 | 8955 | 9426 | 9903 | 10368 | 10835 | 11533 | 11997 |

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

| | | | | | | | | | | | | |
|----|---|------|------|------|------|------|-------|-------|-------|-------|-------|-------|
| 27 | Q | 8128 | 8394 | 8661 | 8876 | 9358 | 9852 | 10355 | 10839 | 11325 | 12057 | 12540 |
| 28 | B | 8158 | 8426 | 8693 | 8910 | 9392 | 9890 | 10393 | 10880 | 11368 | 12103 | 12589 |
| 29 | B | 8561 | 8840 | 9121 | 9349 | 9859 | 10379 | 10905 | 11417 | 11929 | 12701 | 13208 |

Effective July 1, 2021
Bargaining Unit: RC-063

| Pay Grade | Pay Plan Code | S T E P S | | | | | | | | | | |
|--------------|---------------------|-----------|------|------|------|------|------|------|------|------|------|------|
| | | 1c | 1b | 1a | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 |
| 11.5 | B | 3438 | 3548 | 3658 | 3692 | 3862 | 4038 | 4211 | 4381 | 4558 | 4831 | 5024 |
| 11.5 | Q | 3583 | 3699 | 3814 | 3851 | 4036 | 4223 | 4398 | 4578 | 4765 | 5048 | 5252 |
| 11.5 | S | 3647 | 3764 | 3882 | 3922 | 4108 | 4294 | 4471 | 4649 | 4834 | 5121 | 5324 |
| 14 | B | 4006 | 4136 | 4265 | 4317 | 4480 | 4655 | 4860 | 5036 | 5227 | 5533 | 5755 |
| 14 | Q | 4175 | 4309 | 4444 | 4501 | 4670 | 4864 | 5073 | 5263 | 5465 | 5782 | 6011 |
| 14 | S | 4248 | 4387 | 4523 | 4584 | 4763 | 4949 | 5163 | 5358 | 5557 | 5874 | 6106 |
| 15 | B | 4162 | 4297 | 4432 | 4490 | 4687 | 4880 | 5070 | 5275 | 5474 | 5805 | 6033 |
| 15 | Q | 4341 | 4481 | 4622 | 4684 | 4889 | 5093 | 5298 | 5517 | 5720 | 6060 | 6307 |
| 15 | S | 4418 | 4562 | 4705 | 4769 | 4980 | 5182 | 5391 | 5610 | 5813 | 6157 | 6402 |
| 16 | B | 4353 | 4494 | 4635 | 4699 | 4907 | 5125 | 5337 | 5560 | 5783 | 6124 | 6370 |
| 16 | Q | 4539 | 4687 | 4835 | 4901 | 5125 | 5360 | 5582 | 5812 | 6044 | 6403 | 6662 |
| 16 | S | 4626 | 4776 | 4923 | 4997 | 5217 | 5451 | 5676 | 5903 | 6138 | 6492 | 6752 |
| 17 | B | 4558 | 4705 | 4852 | 4923 | 5150 | 5386 | 5614 | 5842 | 6079 | 6441 | 6699 |
| 17 | Q | 4751 | 4905 | 5060 | 5136 | 5381 | 5629 | 5865 | 6103 | 6353 | 6731 | 7003 |
| 17 | S | 4837 | 4993 | 5150 | 5228 | 5477 | 5725 | 5962 | 6197 | 6445 | 6828 | 7100 |
| 18 | B | 4793 | 4949 | 5103 | 5181 | 5430 | 5681 | 5943 | 6183 | 6432 | 6815 | 7089 |
| 18 | Q | 5002 | 5164 | 5326 | 5412 | 5678 | 5942 | 6211 | 6465 | 6722 | 7126 | 7410 |
| 18 | S | 5083 | 5248 | 5414 | 5501 | 5768 | 6033 | 6306 | 6558 | 6819 | 7214 | 7506 |

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

| | | | | | | | | | | | | |
|----|---|------|------|------|------|------|-------|-------|-------|-------|-------|-------|
| 19 | B | 5047 | 5210 | 5373 | 5458 | 5737 | 6008 | 6285 | 6553 | 6825 | 7241 | 7530 |
| 19 | Q | 5271 | 5443 | 5612 | 5706 | 5999 | 6274 | 6575 | 6847 | 7135 | 7565 | 7869 |
| 19 | S | 5358 | 5530 | 5706 | 5804 | 6094 | 6372 | 6667 | 6943 | 7230 | 7659 | 7965 |
| 20 | B | 5326 | 5500 | 5673 | 5767 | 6059 | 6339 | 6643 | 6932 | 7219 | 7658 | 7964 |
| 20 | Q | 5563 | 5743 | 5924 | 6028 | 6331 | 6630 | 6945 | 7243 | 7544 | 8005 | 8326 |
| 20 | S | 5650 | 5835 | 6018 | 6123 | 6425 | 6722 | 7036 | 7336 | 7637 | 8095 | 8419 |
| 21 | B | 5622 | 5804 | 5987 | 6093 | 6405 | 6714 | 7027 | 7346 | 7654 | 8131 | 8455 |
| 21 | Q | 5870 | 6062 | 6253 | 6367 | 6693 | 7017 | 7343 | 7680 | 8001 | 8498 | 8838 |
| 21 | S | 5956 | 6151 | 6345 | 6460 | 6785 | 7107 | 7440 | 7772 | 8091 | 8591 | 8933 |
| 22 | B | 5940 | 6131 | 6324 | 6440 | 6775 | 7105 | 7442 | 7786 | 8110 | 8615 | 8960 |
| 22 | Q | 6201 | 6402 | 6604 | 6728 | 7081 | 7428 | 7776 | 8135 | 8478 | 9003 | 9360 |
| 22 | S | 6289 | 6496 | 6700 | 6825 | 7170 | 7522 | 7869 | 8232 | 8575 | 9098 | 9462 |
| 23 | B | 6294 | 6500 | 6705 | 6831 | 7192 | 7562 | 7920 | 8284 | 8642 | 9190 | 9558 |
| 23 | Q | 6577 | 6792 | 7007 | 7141 | 7520 | 7903 | 8275 | 8661 | 9035 | 9601 | 9984 |
| 23 | S | 6661 | 6878 | 7095 | 7235 | 7611 | 7997 | 8370 | 8752 | 9129 | 9695 | 10079 |
| 24 | B | 6692 | 6913 | 7130 | 7270 | 7656 | 8059 | 8444 | 8836 | 9233 | 9813 | 10204 |
| 24 | Q | 6993 | 7220 | 7449 | 7599 | 8004 | 8420 | 8827 | 9230 | 9648 | 10255 | 10665 |
| 24 | S | 7079 | 7311 | 7541 | 7693 | 8094 | 8511 | 8916 | 9326 | 9743 | 10346 | 10760 |
| 25 | B | 7131 | 7364 | 7596 | 7749 | 8173 | 8605 | 9033 | 9462 | 9894 | 10528 | 10948 |
| 25 | Q | 7444 | 7688 | 7930 | 8095 | 8539 | 8986 | 9443 | 9893 | 10340 | 11001 | 11442 |
| 25 | S | 7531 | 7778 | 8024 | 8190 | 8633 | 9081 | 9533 | 9982 | 10428 | 11095 | 11542 |
| 26 | B | 7601 | 7849 | 8098 | 8269 | 8722 | 9186 | 9649 | 10100 | 10553 | 11232 | 11682 |
| 26 | Q | 7967 | 8226 | 8485 | 8669 | 9137 | 9621 | 10107 | 10580 | 11052 | 11768 | 12238 |
| 26 | S | 8039 | 8301 | 8562 | 8747 | 9225 | 9714 | 10205 | 10680 | 11159 | 11884 | 12360 |
| 27 | B | 8109 | 8375 | 8641 | 8828 | 9309 | 9798 | 10294 | 10778 | 11263 | 11989 | 12471 |
| 27 | Q | 8474 | 8751 | 9028 | 9227 | 9728 | 10241 | 10764 | 11267 | 11772 | 12533 | 13035 |

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

| | | | | | | | | | | | | |
|----|---|------|------|------|------|-------|-------|-------|-------|-------|-------|-------|
| 28 | B | 8505 | 8784 | 9061 | 9262 | 9763 | 10281 | 10804 | 11310 | 11817 | 12581 | 13086 |
| 29 | B | 8924 | 9214 | 9506 | 9718 | 10248 | 10789 | 11336 | 11868 | 12400 | 13203 | 13730 |

Effective July 1, 2022
Bargaining Unit: RC-063

| Pay Grade | Pay Plan Code | S T E P S | | | | | | | | | | |
|--------------|---------------------|-----------|------|------|------|------|------|------|------|------|------|------|
| | | 1c | 1b | 1a | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 |
| 11.5 | B | 3574 | 3688 | 3802 | 3838 | 4015 | 4198 | 4377 | 4554 | 4738 | 5022 | 5222 |
| 11.5 | Q | 3725 | 3845 | 3965 | 4003 | 4195 | 4390 | 4572 | 4759 | 4953 | 5247 | 5459 |
| 11.5 | S | 3791 | 3913 | 4035 | 4077 | 4270 | 4464 | 4648 | 4833 | 5025 | 5323 | 5534 |
| 14 | B | 4164 | 4299 | 4433 | 4488 | 4657 | 4839 | 5052 | 5235 | 5433 | 5752 | 5982 |
| 14 | Q | 4340 | 4479 | 4620 | 4679 | 4854 | 5056 | 5273 | 5471 | 5681 | 6010 | 6248 |
| 14 | S | 4416 | 4560 | 4702 | 4765 | 4951 | 5144 | 5367 | 5570 | 5777 | 6106 | 6347 |
| 15 | B | 4326 | 4467 | 4607 | 4667 | 4872 | 5073 | 5270 | 5483 | 5690 | 6034 | 6271 |
| 15 | Q | 4512 | 4658 | 4805 | 4869 | 5082 | 5294 | 5507 | 5735 | 5946 | 6299 | 6556 |
| 15 | S | 4593 | 4742 | 4891 | 4957 | 5177 | 5387 | 5604 | 5832 | 6043 | 6400 | 6655 |
| 16 | B | 4525 | 4672 | 4818 | 4885 | 5101 | 5327 | 5548 | 5780 | 6011 | 6366 | 6622 |
| 16 | Q | 4718 | 4872 | 5026 | 5095 | 5327 | 5572 | 5802 | 6042 | 6283 | 6656 | 6925 |
| 16 | S | 4809 | 4965 | 5117 | 5194 | 5423 | 5666 | 5900 | 6136 | 6380 | 6748 | 7019 |
| 17 | B | 4738 | 4891 | 5044 | 5117 | 5353 | 5599 | 5836 | 6073 | 6319 | 6695 | 6964 |
| 17 | Q | 4939 | 5099 | 5260 | 5339 | 5594 | 5851 | 6097 | 6344 | 6604 | 6997 | 7280 |
| 17 | S | 5028 | 5190 | 5353 | 5435 | 5693 | 5951 | 6197 | 6442 | 6700 | 7098 | 7380 |
| 18 | B | 4982 | 5144 | 5305 | 5386 | 5644 | 5905 | 6178 | 6427 | 6686 | 7084 | 7369 |
| 18 | Q | 5200 | 5368 | 5536 | 5626 | 5902 | 6177 | 6456 | 6720 | 6988 | 7407 | 7703 |
| 18 | S | 5284 | 5455 | 5628 | 5718 | 5996 | 6271 | 6555 | 6817 | 7088 | 7499 | 7802 |

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

| | | | | | | | | | | | | |
|----|---|------|------|------|------|-------|-------|-------|-------|-------|-------|-------|
| 19 | B | 5246 | 5416 | 5585 | 5674 | 5964 | 6245 | 6533 | 6812 | 7095 | 7527 | 7827 |
| 19 | Q | 5479 | 5658 | 5834 | 5931 | 6236 | 6522 | 6835 | 7117 | 7417 | 7864 | 8180 |
| 19 | S | 5570 | 5748 | 5931 | 6033 | 6335 | 6624 | 6930 | 7217 | 7516 | 7962 | 8280 |
| 20 | B | 5536 | 5717 | 5897 | 5995 | 6298 | 6589 | 6905 | 7206 | 7504 | 7960 | 8279 |
| 20 | Q | 5783 | 5970 | 6158 | 6266 | 6581 | 6892 | 7219 | 7529 | 7842 | 8321 | 8655 |
| 20 | S | 5873 | 6065 | 6256 | 6365 | 6679 | 6988 | 7314 | 7626 | 7939 | 8415 | 8752 |
| 21 | B | 5844 | 6033 | 6223 | 6334 | 6658 | 6979 | 7305 | 7636 | 7956 | 8452 | 8789 |
| 21 | Q | 6102 | 6301 | 6500 | 6618 | 6957 | 7294 | 7633 | 7983 | 8317 | 8834 | 9187 |
| 21 | S | 6191 | 6394 | 6596 | 6715 | 7053 | 7388 | 7734 | 8079 | 8411 | 8930 | 9286 |
| 22 | B | 6175 | 6373 | 6574 | 6694 | 7043 | 7386 | 7736 | 8094 | 8430 | 8955 | 9314 |
| 22 | Q | 6446 | 6655 | 6865 | 6994 | 7361 | 7721 | 8083 | 8456 | 8813 | 9359 | 9730 |
| 22 | S | 6537 | 6753 | 6965 | 7095 | 7453 | 7819 | 8180 | 8557 | 8914 | 9457 | 9836 |
| 23 | B | 6543 | 6757 | 6970 | 7101 | 7476 | 7861 | 8233 | 8611 | 8983 | 9553 | 9936 |
| 23 | Q | 6837 | 7060 | 7284 | 7423 | 7817 | 8215 | 8602 | 9003 | 9392 | 9980 | 10378 |
| 23 | S | 6924 | 7150 | 7375 | 7521 | 7912 | 8313 | 8701 | 9098 | 9490 | 10078 | 10477 |
| 24 | B | 6956 | 7186 | 7412 | 7557 | 7958 | 8377 | 8778 | 9185 | 9598 | 10201 | 10607 |
| 24 | Q | 7269 | 7505 | 7743 | 7899 | 8320 | 8753 | 9176 | 9595 | 10029 | 10660 | 11086 |
| 24 | S | 7359 | 7600 | 7839 | 7997 | 8414 | 8847 | 9268 | 9694 | 10128 | 10755 | 11185 |
| 25 | B | 7413 | 7655 | 7896 | 8055 | 8496 | 8945 | 9390 | 9836 | 10285 | 10944 | 11380 |
| 25 | Q | 7738 | 7992 | 8243 | 8415 | 8876 | 9341 | 9816 | 10284 | 10748 | 11436 | 11894 |
| 25 | S | 7828 | 8085 | 8341 | 8514 | 8974 | 9440 | 9910 | 10376 | 10840 | 11533 | 11998 |
| 26 | B | 7901 | 8159 | 8418 | 8596 | 9067 | 9549 | 10030 | 10499 | 10970 | 11676 | 12143 |
| 26 | Q | 8282 | 8551 | 8820 | 9011 | 9498 | 10001 | 10506 | 10998 | 11489 | 12233 | 12721 |
| 26 | S | 8357 | 8629 | 8900 | 9093 | 9589 | 10098 | 10608 | 11102 | 11600 | 12353 | 12848 |
| 27 | B | 8429 | 8706 | 8982 | 9177 | 9677 | 10185 | 10701 | 11204 | 11708 | 12463 | 12964 |
| 27 | Q | 8809 | 9097 | 9385 | 9591 | 10112 | 10646 | 11189 | 11712 | 12237 | 13028 | 13550 |

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

| | | | | | | | | | | | | |
|----|---|------|------|------|-------|-------|-------|-------|-------|-------|-------|-------|
| 28 | B | 8841 | 9131 | 9419 | 9628 | 10149 | 10687 | 11231 | 11757 | 12284 | 13078 | 13603 |
| 29 | B | 9276 | 9578 | 9881 | 10102 | 10653 | 11215 | 11784 | 12337 | 12890 | 13725 | 14272 |

(Source: Amended at 44 Ill. Reg. 1819, effective January 1, 2020)

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

Section 310.APPENDIX D Merit Compensation System Salary Schedule**Effective January 1, 2020**

| <u>Salary Range</u> | <u>Minimum Salary</u> | <u>Maximum Salary</u> |
|---------------------|-----------------------|-----------------------|
| <u>MS-01</u> | <u>1509</u> | <u>2848</u> |
| <u>MS-02</u> | <u>1826</u> | <u>3286</u> |
| <u>MS-03</u> | <u>1911</u> | <u>3607</u> |
| <u>MS-04</u> | <u>2020</u> | <u>3840</u> |
| <u>MS-05</u> | <u>2138</u> | <u>4043</u> |
| <u>MS-06</u> | <u>2207</u> | <u>4140</u> |
| <u>MS-07</u> | <u>2280</u> | <u>4316</u> |
| <u>MS-08</u> | <u>2367</u> | <u>4502</u> |
| <u>MS-09</u> | <u>2464</u> | <u>4731</u> |
| <u>MS-10</u> | <u>2556</u> | <u>5114</u> |
| <u>MS-11</u> | <u>2611</u> | <u>5454</u> |
| <u>MS-12</u> | <u>2666</u> | <u>5502</u> |
| <u>MS-13</u> | <u>2779</u> | <u>5755</u> |
| <u>MS-14</u> | <u>2892</u> | <u>5797</u> |
| <u>MS-15</u> | <u>2900</u> | <u>5927</u> |
| <u>MS-16</u> | <u>3020</u> | <u>6096</u> |
| <u>MS-17</u> | <u>3059</u> | <u>6122</u> |
| <u>MS-18</u> | <u>3136</u> | <u>6412</u> |
| <u>MS-19</u> | <u>3174</u> | <u>6452</u> |
| <u>MS-20</u> | <u>3258</u> | <u>6828</u> |
| <u>MS-21</u> | <u>3341</u> | <u>6853</u> |
| <u>MS-22</u> | <u>3435</u> | <u>7163</u> |
| <u>MS-23</u> | <u>3528</u> | <u>7247</u> |
| <u>MS-24</u> | <u>3626</u> | <u>7406</u> |
| <u>MS-25</u> | <u>3724</u> | <u>7695</u> |
| <u>MS-26</u> | <u>3831</u> | <u>8115</u> |
| <u>MS-27</u> | <u>3937</u> | <u>8155</u> |
| <u>MS-28</u> | <u>4058</u> | <u>8562</u> |
| <u>MS-29</u> | <u>4178</u> | <u>8699</u> |
| <u>MS-30</u> | <u>4303</u> | <u>10004</u> |

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

| | | |
|--------------|--------------|--------------|
| <u>MS-31</u> | <u>4432</u> | <u>10595</u> |
| <u>MS-32</u> | <u>4565</u> | <u>11277</u> |
| <u>MS-33</u> | <u>4702</u> | <u>12002</u> |
| <u>MS-34</u> | <u>4843</u> | <u>12848</u> |
| <u>MS-35</u> | <u>4988</u> | <u>13706</u> |
| <u>MS-36</u> | <u>5138</u> | <u>14685</u> |
| <u>MS-37</u> | <u>5292</u> | <u>15788</u> |
| <u>MS-38</u> | <u>5451</u> | <u>16164</u> |
| <u>MS-39</u> | <u>5615</u> | <u>16520</u> |
| <u>MS-60</u> | <u>8414</u> | <u>17588</u> |
| <u>MS-61</u> | <u>9093</u> | <u>18623</u> |
| <u>MS-62</u> | <u>9396</u> | <u>19094</u> |
| <u>MS-63</u> | <u>5783</u> | <u>20544</u> |
| <u>MS-64</u> | <u>10441</u> | <u>20722</u> |
| <u>MS-65</u> | <u>10812</u> | <u>21749</u> |
| <u>MS-66</u> | <u>10987</u> | <u>21993</u> |
| <u>MS-67</u> | <u>11163</u> | <u>22243</u> |

Effective July 1, 2013

| <u>Salary Range</u> | <u>Minimum Salary</u> | <u>Maximum Salary</u> |
|----------------------------|------------------------------|------------------------------|
| MS-01 | 1346 | 2848 |
| MS-02 | 1826 | 3286 |
| MS-03 | 1911 | 3607 |
| MS-04 | 2020 | 3840 |
| MS-05 | 2138 | 4043 |
| MS-06 | 2207 | 4140 |
| MS-07 | 2280 | 4316 |
| MS-08 | 2367 | 4502 |
| MS-09 | 2464 | 4731 |
| MS-10 | 2556 | 5114 |
| MS-11 | 2611 | 5454 |
| MS-12 | 2666 | 5502 |
| MS-13 | 2779 | 5755 |
| MS-14 | 2892 | 5797 |

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

| | | |
|-------|-------|-------|
| MS-15 | 2900 | 5927 |
| MS-16 | 3020 | 6096 |
| MS-17 | 3059 | 6122 |
| MS-18 | 3136 | 6412 |
| MS-19 | 3174 | 6452 |
| MS-20 | 3258 | 6828 |
| MS-21 | 3341 | 6853 |
| MS-22 | 3435 | 7163 |
| MS-23 | 3528 | 7247 |
| MS-24 | 3626 | 7406 |
| MS-25 | 3724 | 7695 |
| MS-26 | 3831 | 8115 |
| MS-27 | 3937 | 8155 |
| MS-28 | 4058 | 8562 |
| MS-29 | 4178 | 8699 |
| MS-30 | 4303 | 10004 |
| MS-31 | 4432 | 10595 |
| MS-32 | 4565 | 11277 |
| MS-33 | 4702 | 12002 |
| MS-34 | 4843 | 12848 |
| MS-35 | 4988 | 13706 |
| MS-36 | 5138 | 14685 |
| MS-37 | 5292 | 15788 |
| MS-38 | 5451 | 16164 |
| MS-39 | 5615 | 16520 |
| MS-60 | 8414 | 17588 |
| MS-61 | 9093 | 18623 |
| MS-62 | 9396 | 19094 |
| MS-63 | 5783 | 20544 |
| MS-64 | 10441 | 20722 |
| MS-65 | 10812 | 21749 |
| MS-66 | 10987 | 21993 |
| MS-67 | 11163 | 22243 |

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF ADOPTED AMENDMENTS

NOTE: Effective January 1, 2008, the merit compensation grade 12 in the Personnel Code [20 ILCS 415/8b.18(a) and (b) and 8b.19(a) and (b)] that formerly was indicated by MC-12 is MS-32.

(Source: Amended at 44 Ill. Reg. 1819, effective January 1, 2020)

ILLINOIS STATE BOARD OF EDUCATION

NOTICE OF ADOPTED AMENDMENT

- 1) Heading of the Part: Public Schools Evaluation, Recognition and Supervision
- 2) Code Citation: 23 Ill. Adm. Code 1
- 3) Section Number: 1.540 Adopted Action: Amendment
- 4) Statutory Authority: 105 ILCS 5/2-3.25
- 5) Effective Date of Rules: January 13, 2020
- 6) Does this rulemaking contain an automatic repeal date? No
- 7) Does this rulemaking contain incorporations by reference? No
- 8) A statement that a copy of the adopted rule, including any material incorporated by reference, is on file in the Agency's principal office and is available for public inspection.
- 9) Notice of Proposal published in *Illinois Register*: 43 Ill. Reg. 7389; July 5, 2019
- 10) Has JCAR issued a Statement of Objection to this rulemaking? No
- 11) Differences between Proposal and final Version:
 - In response to public comments received by the Agency and in conformance with the School Code, the phrase "medication for respiratory distress" has been replaced all throughout the Section with "asthma medication" and a definition of "asthma medication" has been added.
 - In response to public comments received by the Agency, Section 1.540(e)(3)(E) is changed to read: "the restrictions, if any, on the school personnel who may administer epinephrine. Use of pre-filled or user-filled syringes containing epinephrine are limited to a nurse holding an RN or LPN license or the person experiencing the reaction."
 - Other non-substantive, technical changes have been made as well.
- 12) Have all the changes agreed upon by the Agency and JCAR been made as indicated in the agreements issued by JCAR? Yes

ILLINOIS STATE BOARD OF EDUCATION

NOTICE OF ADOPTED AMENDMENT

13) Will this rulemaking replace an emergency rule currently in effect? No

14) Are there any rulemakings pending on this Part? Yes

| <u>Section Numbers:</u> | <u>Proposed Actions:</u> | <u>Illinois Register Citations:</u> |
|-------------------------|--------------------------|---------------------------------------|
| 1.442 | Repealed | 43 Ill. Reg. 14596; December 20, 2019 |
| 1.280 | Amendment | 43 Ill. Reg. 14611; December 20, 2019 |
| 1.285 | Amendment | 43 Ill. Reg. 14611; December 20, 2019 |

15) Summary and Purpose of Rulemaking: The rulemaking is in response to PA 100-726, which permits schools to establish a medication policy that provides for the stocking and use of medications for asthma that are not designated for a specific person (undesignated medication). This PA requires the State Board of Education to provide for a "form and manner" for the districts and schools to report the use of asthma medication from the stock supply and refers to rules regarding the training of non-nurse "trained personnel" to recognize signs and symptoms of acute respiratory distress.

16) Information and questions regarding this adopted rule shall be directed to:

Azita Kakvand
Agency Rules Coordinator
Illinois State Board of Education
100 North First Street
Springfield IL 62777-0001

217/782-6510
rules@isbe.net

The full text of the Adopted Amendment begin on the next page:

ILLINOIS STATE BOARD OF EDUCATION

NOTICE OF ADOPTED AMENDMENT

TITLE 23: EDUCATION AND CULTURAL RESOURCES

SUBTITLE A: EDUCATION

CHAPTER I: STATE BOARD OF EDUCATION

SUBCHAPTER a: PUBLIC SCHOOL RECOGNITION

PART 1

PUBLIC SCHOOLS EVALUATION, RECOGNITION AND SUPERVISION

SUBPART A: RECOGNITION REQUIREMENTS

Section

- 1.10 Public School Accountability Framework
- 1.20 Operational Requirements
- 1.30 State Assessment
- 1.40 Adequate Yearly Progress
- 1.50 Calculation of Participation Rate
- 1.60 Subgroups of Students; Inclusion of Relevant Scores
- 1.70 Additional Indicators for Adequate Yearly Progress
- 1.75 Student Information System
- 1.77 Educator Licensure Information System (ELIS)
- 1.79 School Report Card
- 1.80 Academic Early Warning and Watch Status
- 1.85 School and District Improvement Plans; Restructuring Plans
- 1.88 Additional Accountability Requirements for Districts Serving Students of Limited English Proficiency under Title III
- 1.90 System of Rewards and Recognition – The Illinois Honor Roll
- 1.95 Appeals Procedure
- 1.97 Survey of Learning Conditions
- 1.100 Waiver and Modification of State Board Rules and School Code Mandates
- 1.110 Appeal Process under Section 22-60 of the School Code

SUBPART B: SCHOOL GOVERNANCE

Section

- 1.210 Approval of Providers of Training for School Board Members under Section 10-16a of the School Code
- 1.220 Duties of Superintendent (Repealed)
- 1.230 Board of Education and the School Code (Repealed)
- 1.240 Equal Opportunities for all Students

ILLINOIS STATE BOARD OF EDUCATION

NOTICE OF ADOPTED AMENDMENT

- 1.242 Temporary Exclusion for Failure to Meet Minimum Academic or Attendance Standards
- 1.245 Waiver of School Fees
- 1.250 District to Comply with 23 Ill. Adm. Code 180 (Repealed)
- 1.260 Commemorative Holidays to be Observed by Public Schools (Repealed)
- 1.270 Book and Material Selection (Repealed)
- 1.280 Discipline
- 1.285 Requirements for the Use of Isolated Time Out and Physical Restraint
- 1.290 Absenteeism and Truancy Policies

SUBPART C: SCHOOL DISTRICT ADMINISTRATION

Section

- 1.310 Administrative Qualifications and Responsibilities
- 1.320 Evaluation of Licensed Educators
- 1.330 Toxic Materials Training

SUBPART D: THE INSTRUCTIONAL PROGRAM

Section

- 1.410 Determination of the Instructional Program
- 1.420 Basic Standards
- 1.422 Electronic Learning (E-Learning) Days Pilot Program
- 1.423 Competency-Based High School Graduation Requirements Pilot Program
- 1.425 Additional Criteria for Physical Education
- 1.430 Additional Criteria for Elementary Schools
- 1.440 Additional Criteria for High Schools
- 1.442 State Seal of Biliteracy
- 1.443 Illinois Global Scholar Certificate
- 1.445 Required Course Substitute
- 1.450 Special Programs (Repealed)
- 1.460 Credit Earned Through Proficiency Examinations
- 1.462 Uniform Annual Consumer Education Proficiency Test (Repealed)
- 1.465 Ethnic School Foreign Language Credit and Program Approval
- 1.470 Adult and Continuing Education
- 1.480 Correctional Institution Educational Programs

SUBPART E: SUPPORT SERVICES

ILLINOIS STATE BOARD OF EDUCATION

NOTICE OF ADOPTED AMENDMENT

Section

- 1.510 Transportation
- 1.515 Training of School Bus Driver Instructors
- 1.520 Home and Hospital Instruction
- 1.530 Health Services
- 1.540 Undesignated [Emergency Medications in Schools](#); ~~Epinephrine Auto-injectors~~;
Opioid Antagonists; [Asthma Medication](#)

SUBPART F: STAFF LICENSURE REQUIREMENTS

Section

- 1.610 Personnel Required to be Qualified
- 1.620 Accreditation of Staff (Repealed)
- 1.630 Paraprofessionals; Other Unlicensed Personnel
- 1.640 Requirements for Different Certificates (Repealed)
- 1.650 Transcripts of Credits
- 1.660 Records of Professional Personnel

SUBPART G: STAFF QUALIFICATIONS

Section

- 1.700 Requirements for Staff Providing Professional Development
- 1.705 Requirements for Supervisory and Administrative Staff
- 1.710 Requirements for Elementary Teachers
- 1.720 Requirements for Teachers of Middle Grades
- 1.730 Minimum Requirements for Secondary Teachers and Specified Subject Area
Teachers in Grades 6 and Above through June 30, 2004
- 1.735 Requirements to Take Effect from July 1, 1991, through June 30, 2004
- 1.736 Requirements to Take Effect from July 1, 1994, through June 30, 2004
- 1.737 Minimum Requirements for the Assignment of Teachers in Grades 9 through 12
Beginning July 1, 2004
- 1.740 Standards for Reading through June 30, 2004
- 1.745 Requirements for Reading Teachers and Reading Specialists at all Levels as of
July 1, 2004
- 1.750 Standards for Media Services through June 30, 2004
- 1.755 Requirements for Library Information Specialists Beginning July 1, 2004
- 1.760 Standards for School Support Personnel Services
- 1.762 Supervision of Speech-Language Pathology Assistants
- 1.770 Standards for Special Education Personnel

ILLINOIS STATE BOARD OF EDUCATION

NOTICE OF ADOPTED AMENDMENT

- 1.780 Standards for Teachers in Bilingual Education Programs
1.781 Requirements for Bilingual Education Teachers in Prekindergarten, Kindergarten and any of Grades 1-12
1.782 Requirements for Teachers of English as a Second Language in Prekindergarten, Kindergarten and any of Grades 1-12
1.783 Requirements for Administrators of Bilingual Education Programs
1.790 Substitute Teacher
1.792 Short-Term Substitute Teacher
1.794 Substitute Teachers; Recruiting Firms
- 1.APPENDIX A Professional Staff Educator Licensure
1.APPENDIX B Competency-Based High School Graduation Requirements Pilot Program Criteria for Review
1.APPENDIX C Glossary of Terms (Repealed)
1.APPENDIX D State Goals for Learning
1.APPENDIX E Evaluation Criteria – Student Performance and School Improvement Determination (Repealed)
1.APPENDIX F Criteria for Determination – Student Performance and School Improvement (Repealed)
1.APPENDIX G Criteria for Determination – State Assessment (Repealed)
1.APPENDIX H Guidance and Procedures for School Districts Implementing the Illinois Global Scholar Certificate

AUTHORITY: Implementing Sections 2-3.25, 2-3.25g, 2-3.44, 2-3.96, 2-3.159, 10-17a, 10-20.14, 10-21.4a, 10-22.43a, 21B-5, 21B-20, 22-30, 22-60, 24-24, 26-13, 27-3.5, 27-6, 27-12.1, 27-13.1, 27-20.3, 27-20.4, 27-20.5, 27-22, 27-23.3 and 27-23.8 and authorized by Section 2-3.6 of the School Code [105 ILCS 5].

SOURCE: Adopted September 21, 1977; codified at 7 Ill. Reg. 16022; amended at 9 Ill. Reg. 8608, effective May 28, 1985; amended at 9 Ill. Reg. 17766, effective November 5, 1985; emergency amendment at 10 Ill. Reg. 14314, effective August 18, 1986, for a maximum of 150 days; amended at 11 Ill. Reg. 3073, effective February 2, 1987; amended at 12 Ill. Reg. 4800, effective February 26, 1988; amended at 14 Ill. Reg. 12457, effective July 24, 1990; amended at 15 Ill. Reg. 2692, effective February 1, 1991; amended at 16 Ill. Reg. 18010, effective November 17, 1992; expedited correction at 17 Ill. Reg. 3553, effective November 17, 1992; amended at 18 Ill. Reg. 1171, effective January 10, 1994; emergency amendment at 19 Ill. Reg. 5137, effective March 17, 1995, for a maximum of 150 days; amended at 19 Ill. Reg. 6530, effective May 1, 1995; amended at 19 Ill. Reg. 11813, effective August 4, 1995; amended at 20 Ill. Reg. 6255, effective April 17, 1996; amended at 20 Ill. Reg. 15290, effective November 18, 1996; amended

ILLINOIS STATE BOARD OF EDUCATION

NOTICE OF ADOPTED AMENDMENT

at 22 Ill. Reg. 22233, effective December 8, 1998; emergency amendment at 24 Ill. Reg. 6111, effective March 21, 2000, for a maximum of 150 days; amended at 24 Ill. Reg. 12985, effective August 14, 2000; amended at 25 Ill. Reg. 8159, effective June 21, 2001; amended at 25 Ill. Reg. 16073, effective November 28, 2001; amended at 26 Ill. Reg. 1157, effective January 16, 2002; amended at 26 Ill. Reg. 16160, effective October 21, 2002; amended at 28 Ill. Reg. 8486, effective June 1, 2004; emergency amendment at 28 Ill. Reg. 13637, effective September 27, 2004, for a maximum of 150 days; amended at 29 Ill. Reg. 1891, effective January 24, 2005; amended at 29 Ill. Reg. 11811, effective July 13, 2005; amended at 29 Ill. Reg. 12351, effective July 28, 2005; amended at 29 Ill. Reg. 15789, effective October 3, 2005; amended at 29 Ill. Reg. 19891, effective November 23, 2005; amended at 30 Ill. Reg. 8480, effective April 21, 2006; amended at 30 Ill. Reg. 16338, effective September 26, 2006; amended at 30 Ill. Reg. 17416, effective October 23, 2006; amended at 31 Ill. Reg. 5116, effective March 16, 2007; amended at 31 Ill. Reg. 7135, effective April 25, 2007; amended at 31 Ill. Reg. 9897, effective June 26, 2007; amended at 32 Ill. Reg. 10229, effective June 30, 2008; amended at 33 Ill. Reg. 5448, effective March 24, 2009; amended at 33 Ill. Reg. 15193, effective October 20, 2009; amended at 34 Ill. Reg. 2959, effective February 18, 2010; emergency amendment at 34 Ill. Reg. 9533, effective June 24, 2010, for a maximum of 150 days; amended at 34 Ill. Reg. 17411, effective October 28, 2010; amended at 35 Ill. Reg. 1056, effective January 3, 2011; amended at 35 Ill. Reg. 2230, effective January 20, 2011; amended at 35 Ill. Reg. 12328, effective July 6, 2011; amended at 35 Ill. Reg. 16743, effective September 29, 2011; amended at 36 Ill. Reg. 5580, effective March 20, 2012; amended at 36 Ill. Reg. 8303, effective May 21, 2012; amended at 38 Ill. Reg. 6127, effective February 27, 2014; amended at 38 Ill. Reg. 11203, effective May 6, 2014; amended at 39 Ill. Reg. 2773, effective February 9, 2015; emergency amendment at 39 Ill. Reg. 12369, effective August 20, 2015, for a maximum of 150 days; amended at 39 Ill. Reg. 13411, effective September 24, 2015; amended at 40 Ill. Reg. 1900, effective January 6, 2016; amended at 40 Ill. Reg. 2990, effective January 27, 2016; amended at 40 Ill. Reg. 4929, effective March 2, 2016; amended at 40 Ill. Reg. 12276, effective August 9, 2016; emergency amendment at 40 Ill. Reg. 15957, effective November 18, 2016, for a maximum of 150 days; amended at 41 Ill. Reg. 126, effective December 27, 2016; amended at 41 Ill. Reg. 4430, effective April 5, 2017; amended at 41 Ill. Reg. 6924, effective June 2, 2017; emergency amendment at 41 Ill. Reg. 8932, effective June 28, 2017, for a maximum of 150 days; amended at 41 Ill. Reg. 14044, effective November 3, 2017; amended at 42 Ill. Reg. 11512, effective June 8, 2018; amended at 43 Ill. Reg. 3792, effective February 28, 2019; amended at 43 Ill. Reg. 10213, effective August 30, 2019; amended at 43 Ill. Reg. 10718, effective September 11, 2019; amended at 43 Ill. Reg. 13324, effective October 29, 2019; emergency amendment at 43 Ill. Reg. 14305, effective November 20, 2019, for a maximum of 150 days; emergency amendment to emergency rule at 43 Ill. Reg. 14941, effective December 4, 2019, for the remainder of the 150 days; amended at 44 Ill. Reg. 1929, effective January 13, 2020.

ILLINOIS STATE BOARD OF EDUCATION

NOTICE OF ADOPTED AMENDMENT

SUBPART E: SUPPORT SERVICES

Section 1.540 Undesignated Emergency Medications in Schools: Epinephrine Auto-injectors; Opioid Antagonists; Asthma Medication

This Section establishes requirements in addition to those set forth at Section 22-30 of the School Code [105 ILCS 5/~~22-30~~] for a school nurse or other trained school personnel *to administer an undesignated epinephrine auto-injector, an ~~or any~~ opioid antagonist, or asthma medication to any person whom the school nurse or trained personnel in good faith believes to be having an anaphylactic reaction, ~~or any~~ opioid overdose, or acute asthma episode, respectively, while in school, while at a school-sponsored activity, while under the supervision of school personnel, or before or after normal school activities, such as while in before-school or after-school care on school-operated property.*

a) Definitions

For the purposes of this Section:

- 1) "Asthma medication" means quick-relief asthma medication, including albuterol or other short-acting bronchodilators, that is approved by the United States Food and Drug Administration for the treatment of respiratory distress. "Asthma medication" includes medication delivered through a device, including a metered dose inhaler with a reusable or disposable spacer or a nebulizer with a mouthpiece or mask.
- 2) "Code" means the School Code [105 ILCS 5].
- 3) "School" ~~means~~ shall be understood to mean a school district, public school or nonpublic school, as may be applicable.
- 4) "School nurse" ~~has the meaning ascribed~~ shall have the meaning prescribed in Section 22-30(a) of the ~~School~~ Code.

b) Parental Notification

In addition to the provisions of Section 22-30(c) and (c-5) of the ~~School~~ Code, a school that has a standing protocol, as defined in Section 22-30 of the ~~School~~ Code, to administer undesignated epinephrine, an auto-injectors and/or opioid antagonist, or asthma medication ~~antagonists~~ shall notify the parents or guardians of each student that the school has instituted the standing protocol and that a student may be administered any one or more of those drug~~epinephrine and/or~~

ILLINOIS STATE BOARD OF EDUCATION

NOTICE OF ADOPTED AMENDMENT

~~any opioid antagonist~~ under the circumstances described in Section 22-30(e-5), ~~or~~ (e-10), or (e-15) of the ~~School~~ Code.

- 1) The school shall provide the notification of the standing protocol to the parents or guardian at the start of each school year or, for students enrolling for the first time, at the time of enrollment. The parent or guardian shall acknowledge the notification by signing it and returning it to the school.
 - 2) A school also shall accept a written request from a parent or guardian stating that his or her student shall not be administered any or all of these drugsepinephrine and/or any opioid antagonist under any circumstances. The school shall provide the name of any student whose parent or guardian submits notification under this subsection (b)(2) to the school nurse and to any trained personnel, as defined under Section 22-30(a) of the ~~School~~ Code.
- c) Standing Protocol
- 1) A standing protocol for administering undesignated epinephrine, an auto-injectors or any opioid antagonist, and/or asthma medication, as applicable, shall be provided to the school nurse and trained personnel, as well as kept with or near the ~~undesignated epinephrine, auto-injectors or any~~ opioid antagonist, or asthma medication, as applicable.
 - 2) The standing protocol shall state the hours of the day, days of the week and the school-sponsored activities during which the undesignated epinephrine, auto-injectors or any opioid antagonist, or asthma medication, as applicable, will be available. A school is not required to have a school nurse or trained personnel available at all times nor at all school-sponsored activities to administer ~~theundesignated~~ epinephrine, auto-injectors or any opioid antagonist, or asthma medication, as applicable.
 - 3) The standing protocol shall provide that the undesignated epinephrine, auto-injectors or any opioid antagonist, or asthma medication, as applicable, be stored in and available daily at one or more designated, secure locations. For the purposes of this Section, "secure location" means an unlocked location that is inaccessible to students and/or is

ILLINOIS STATE BOARD OF EDUCATION

NOTICE OF ADOPTED AMENDMENT

visually monitored by an adult during the normal school day under routine circumstances.

- 4) The standing protocol shall include a written order for the undesignated epinephrine, ~~auto injectors or any~~ opioid antagonist, or asthma medication that meets the requirements of Section 22-30(f)(b) of the ~~School~~ Code. ~~The written order required under this subsection (c)(4) is valid for the school year in which it was provided and must be renewed each school year.~~ (See Section 22-30(f)(d) of the ~~School~~ Code.)
- d) Notification of Administration of ~~an~~ Undesignated Epinephrine, ~~Auto Injector or~~ Opioid Antagonist, or Asthma Medication
Any school whose school nurse or trained personnel administered ~~an~~ undesignated epinephrine, ~~auto injector or any~~ opioid antagonist, or asthma medication, as applicable, shall meet the notification requirements of Section 22-30(f-5) and (f-10) of the ~~School~~ Code.
- e) Personnel Training
Only trained personnel or a school nurse shall administer ~~an~~ undesignated epinephrine, ~~auto injector or any~~ opioid antagonist, or asthma medication, as applicable.
 - 1) Certification courses required under Section 22-30(g) of the ~~School~~ Code in cardiopulmonary resuscitation (CPR) and the use of an automated external defibrillator (AED) shall be conducted by a trainer who is certified in CPR/AED by the American Heart Association, American Red Cross or similar certifying body. Trained personnel shall renew any certification issued in accordance with the requirements of the certifying body and present the certification to his or her school.
 - 2) A school administrator or a school nurse shall be available to answer questions from training participants if the training for administering undesignated epinephrine, anaphylaxis or opioid antagonist, or asthma medication training is presented via a webinar or online format or through a video supplied by an epinephrine, ~~or~~ opioid antagonist, or asthma medication manufacturer. Training provided in one of the formats listed in this subsection (e)(2) shall not be considered complete unless an opportunity for questions is provided.

ILLINOIS STATE BOARD OF EDUCATION

NOTICE OF ADOPTED AMENDMENT

- 3) In addition to the curricular content listed in Section 22-30(h) of the ~~School~~ Code, anaphylaxis training also shall include information about:
- A) where the undesignated epinephrine ~~medications~~~~auto-injectors~~ are stored and how to access them;
 - B) the method by which the school nurse or trained personnel will be notified of an incident that could require the administration of ~~an~~ undesignated epinephrine ~~auto-injector~~;
 - C) the school's written plan to prevent exposure to allergens; ~~and~~
 - D) the process for administering the specific undesignated epinephrine ~~device~~~~auto-injector devices~~ identified in the standing order; and
 - E) the restrictions, if any, on the school personnel who may administer epinephrine. Use of pre-filled or user-filled syringes containing epinephrine are limited to a nurse holding an RN or LPN license or the person experiencing the reaction.
- 4) In addition to the curricular content listed in Section 22-30(h-5) of the ~~School~~ Code, opioid antagonist training also shall meet the requirements of Section 5-23 of the Alcoholism and Other Drug Abuse and Dependency Act [20 ILCS 301~~5-23~~] and training requirements set forth at 77 Ill. Adm. Code 2060 (Alcoholism and Substance Abuse Treatment and Intervention Licenses) and include information about:
- A) where the opioid antagonist is stored and how to access the drug;
 - B) the method by which the school nurse or trained personnel will be notified of an incident that could require the administration of any opioid antagonist; and
 - C) the process for administering the specific opioid antagonist identified in the standing order.
- 5) In addition to the curricular content listed in Section 22-30(h-10) of the Code, asthma medication training also shall include the following information:

ILLINOIS STATE BOARD OF EDUCATION

NOTICE OF ADOPTED AMENDMENT

- A) where the undesignated medications to treat respiratory distress are stored and how to access them;
- B) the method by which the school nurse or trained personnel will be notified of an incident that could require the administration of medication for acute respiratory distress;
- C) the school's written Asthma Episode Emergency Response Protocol;
- D) assurance that the personnel agreeing to perform in the role of "trained personnel" has completed training on asthma; and
- E) the process for administering the specific undesignated asthma medication and delivery device identified in the standing order.
- 6) A school nurse or physician with knowledge of allergies and anaphylaxis and CPR and AED certification who possesses skill in administering or demonstrating the use of ~~an~~ epinephrine ~~injector devices~~auto-injector shall certify by written signature that the personnel being trained passed the test required under Section 22-30(h)(7) of the ~~School~~ Code.
- 76) An individual familiar with the use of an opioid antagonist who has CPR and AED certification (e.g., healthcare provider, police officer, paramedic) shall certify by written signature that the personnel being trained passed the test required under Section 22-30(h-5)(8) of the ~~School~~ Code.
- 8) A school administrator, school nurse or physician, with knowledge of asthma and symptoms of respiratory distress, who holds CPR and AED certifications, and who possesses skill in administering or demonstrating the use of asthma medications for acute respiratory distress and delivery device, shall certify by written signature that the personnel being trained passed the test required by Section 22-30(h)(10) of the Code.
- 97) Each statement of certification issued under subsection (e)(~~65~~) or (e)(~~76~~) ~~of this Section~~ shall be maintained by the school in accordance with Section 22-30(g) of the ~~School~~ Code.

ILLINOIS STATE BOARD OF EDUCATION

NOTICE OF ADOPTED AMENDMENT

- 108) The names of trained personnel shall be provided to the school nurse and school administrator, indicating whether the person received training specific to anaphylaxis, opioid antagonist, and/or asthma antagonist ~~antagonists~~ medication ~~or both~~.
- f) **Reporting**
Each school shall submit a report regarding the administration of ~~an~~ undesignated epinephrine, ~~auto injector or~~ opioid antagonist, or undesignated asthma medication electronically in a format prescribed by the State Superintendent of Education within the timeline specified in Section 22-30(i), ~~or~~ (i-5), or (i-10), respectively, of the ~~School~~ Code.
- g) **Allergen Reduction Plan**
Each school shall develop a written plan to reduce the risk of accidental exposure to allergens that addresses, at a minimum, lunchroom safeguards, classroom food policies, and identification of areas of the playground that are known concerns, such as those with insect colonies. A separate plan is not required if the school has addressed reducing the risk of accidental exposure to allergens in the plan adopted pursuant to Section 2-3.149(b) of the ~~School Code~~ [105 ILCS 5/2-3.149(b)].
- h) In accordance with Section 22-30(h) of the ~~School~~ Code, the State Superintendent of Education shall post on the agency's website, by January 1, 2019, 2016 a list of resource materials about how *to recognize and respond to anaphylaxis, opioid overdose, or respiratory distress*.

(Source: Amended at 44 Ill. Reg. 1929, effective January 13, 2020)

ILLINOIS STATE BOARD OF EDUCATION

NOTICE OF ADOPTED AMENDMENTS

- 1) Heading of the Part: Early Childhood Block Grant
- 2) Code Citation: 23 Ill. Adm. Code 235
- 3)

| | |
|-------------------------|-------------------------|
| <u>Section Numbers:</u> | <u>Adopted Actions:</u> |
| 235.300 | New Section |
| 235.310 | New Section |
| 235.320 | New Section |
| 235.330 | New Section |
| 235.340 | New Section |
- 4) Statutory Authority: 105 ILCS 5/1C-2 and 2-3.71
- 5) Effective Date of Rules: January 10, 2020
- 6) Does this rulemaking contain an automatic repeal date? No
- 7) Does this rulemaking contain incorporations by reference? No
- 8) A copy of the adopted rules, including any material incorporated by eference, is on file in the Agency's principal office and is available for public inspection.
- 9) Notice of Proposal published in the *Illinois Register*: 43 Ill. Reg. 2343; February 22, 2019
- 10) Has JCAR issued a Statement of Objection to this rulemaking?: No
- 11) Differences between Proposal and Final Version:

The phrase "preschool programs" is changed to "early childhood programs" in Sections 235.300, 235.310, 235.320, and 235.340.

In Section 235.310, a definition of "behavior support plan", "temporary removals", "early childhood program", and "program transition plan" are added, the definitions of "challenging behavior" and "qualified professional" are amended, and "intervention plan" is deleted.

In Section 235.320, (a)(2) is changed to: "Communication with the parents or legal guardians in a culturally or linguistically appropriate manner.

ILLINOIS STATE BOARD OF EDUCATION

NOTICE OF ADOPTED AMENDMENTS

Communication may occur for several reasons, including, but not limited to, to better understand the child's needs and circumstances or challenges facing the family;"

In Section 235.320, subsections (a)(4) and (a)(5) are added.

In Section 235.320(c), "shall be transitioned to a different preschool program" is changed to "may be recommended for initiation of a program transition plan".

In Section 235.320(d), "child's needs" is changed to "child's and family's needs".

Section 235.320(e) is changed to: "Planned suspensions cannot take place due to behaviors caused by or related to a child's disability. This also pertains to children referred for an evaluation but who have not yet been found eligible for special education services. If a behavior is related to the disability, the Individualized Education Plan (IEP) team must consider behavior intervention strategies to modify the IEP. Expulsion due to a child's behavior is prohibited. If a child with an IEP is being transitioned, education services consistent with the child's IEP must continue to be followed. Planned transitions to settings better able to meet the child's needs are not considered expulsions. (Section 2-3.71(a)(7) of the Code).".

Section 235.320(f) is added.

Section 235.330 (Reporting) is changed to Section 235.340 and, as a response to public comments received by the agency during the public comment period indicating the need for more clarity regarding program transition plans, a new Section 235.330 (Program Transition Plans) is added.

In Section 235.340, "Preschool" is changed to "No later than July 1, 2020, and no later than each July 1 annually thereafter, early childhood" and subsections (f), (g), (h), (i), (j), and (k) are added.

Other nonsubstantive, technical changes are made.

- 12) Have all the changes agreed upon by the Agency and JCAR been made as indicated in the agreements issued by JCAR? Yes
- 13) Will this rulemaking replace an emergency rule currently in effect? No

ILLINOIS STATE BOARD OF EDUCATION

NOTICE OF ADOPTED AMENDMENTS

- 14) Are there any rulemakings pending on this Part? No
- 15) Summary and Purpose of Rulemaking: PA 100-105 requires early childhood programs receiving State funding under Section 2-3.71(a)(7) of the School Code to prohibit the expulsion of children. It provides that when a child begins to exhibit persistent and serious challenging behaviors, the early childhood program shall document steps taken to ensure that the child can participate safely in the program and should utilize a range of community resources. This rulemaking sets forth the processes by which programs must document attempts to engage these resources. The child may be transitioned into another program when there is documented evidence that these preventative measures have not corrected the child's behavior. In these instances, the program must create a transition plan to ensure continued services for the child.

Additionally, the rulemaking defines terms, addresses the steps needed to create transition plans, and establishes how data will be reported to the State Board of Education

- 16) Information and questions regarding these adopted rules shall be directed to:

Azita Kakvand
Agency Rules Coordinator
Illinois State Board of Education
100 North First Street
Springfield IL 62777-0001

217/782-6510
rules@isbe.net

The full text of the Adopted Amendments begin on the next page:

ILLINOIS STATE BOARD OF EDUCATION

NOTICE OF ADOPTED AMENDMENTS

TITLE 23: EDUCATION AND CULTURAL RESOURCES

SUBTITLE A: EDUCATION

CHAPTER I: STATE BOARD OF EDUCATION

SUBCHAPTER f: INSTRUCTION FOR SPECIFIC STUDENT POPULATIONS

PART 235

EARLY CHILDHOOD BLOCK GRANT

SUBPART A: PRESCHOOL EDUCATION AND PREVENTION INITIATIVE PROGRAMS

| | |
|---------|--|
| Section | |
| 235.10 | Purpose; Eligible Applicants |
| 235.20 | Application Procedure and Content for New or Expanding Programs |
| 235.30 | Additional Program Components for Preschool Education Proposals |
| 235.40 | Additional Program Components for Prevention Initiative Proposals |
| 235.50 | Proposal Review and Approval for New or Expanding Programs |
| 235.55 | Proposal Review Process and Additional Funding Priorities for Preschool Education Programs |
| 235.60 | Application Content and Approval for Continuation Programs |
| 235.65 | ExceleRate Illinois: Quality Rating and Improvement System |
| 235.67 | Program Monitoring |
| 235.70 | Terms of the Grant |

SUBPART B: GRANTS FOR TRAINING, TECHNICAL ASSISTANCE AND HIGH-QUALITY SUPPORTS AND FOR WEB-BASED SERVICES

| | |
|---------|--|
| Section | |
| 235.100 | Purpose |
| 235.105 | Eligible Applicants |
| 235.110 | Application Procedure and Content for New Programs |
| 235.120 | Proposal Review and Approval for New Programs |
| 235.130 | Application Content and Approval for Continuation Programs |
| 235.140 | Terms of the Grant |

SUBPART C: SOCIAL AND EMOTIONAL CONSULTATION SERVICES

| | |
|---------|---|
| Section | |
| 235.200 | Implementation and Purpose; Eligible Applicants |

ILLINOIS STATE BOARD OF EDUCATION

NOTICE OF ADOPTED AMENDMENTS

- 235.210 Application Procedure and Content
235.220 Proposal Review and Approval of Proposals

SUBPART D: EXCLUSIONARY DISCIPLINE IN
EARLY CHILDHOOD PROGRAMS

Section

| | |
|----------------|---------------------------------|
| <u>235.300</u> | <u>Purpose</u> |
| <u>235.310</u> | <u>Definitions</u> |
| <u>235.320</u> | <u>Behavior Support Plans</u> |
| <u>235.330</u> | <u>Program Transition Plans</u> |
| <u>235.340</u> | <u>Reporting</u> |

- 235.APPENDIX A Illinois Early Learning and Development Standards – Children Age 3 to Kindergarten Enrollment Age
235.APPENDIX B Illinois Birth to Five Program Standards
235.APPENDIX C Illinois Early Learning Guidelines – Children from Birth to Age 3

AUTHORITY: Authorized by Section 1C-2 of the School Code [105 ILCS 5/1C-2] and implementing Sections 2-3.71 and 2-3.89 of the School Code [105 ILCS 5/2-3.71 and 2-3.89].

SOURCE: Adopted at 16 Ill. Reg. 10181, effective June 10, 1992; expedited correction at 16 Ill. Reg. 15186, effective June 10, 1992; amended at 26 Ill. Reg. 903, effective January 15, 2002; old Part repealed at 30 Ill. Reg. 4618 and new Part adopted at 30 Ill. Reg. 4620, effective February 28, 2006; emergency amendment adopted at 30 Ill. Reg. 11793, effective June 26, 2006, for a maximum of 150 days; emergency expired November 22, 2006; amended at 30 Ill. Reg. 19383, effective November 28, 2006; amended at 32 Ill. Reg. 13357, effective July 25, 2008; amended at 33 Ill. Reg. 4027, effective February 23, 2009; amended at 34 Ill. Reg. 11615, effective July 26, 2010; amended at 35 Ill. Reg. 3742, effective February 17, 2011; amended at 36 Ill. Reg. 6827, effective April 18, 2012; amended at 39 Ill. Reg. 6674, effective April 27, 2015; amended at 40 Ill. Reg. 15168, effective October 24, 2016; amended at 44 Ill. Reg. 1942, effective January 10, 2020.

SUBPART D: EXCLUSIONARY DISCIPLINE IN
EARLY CHILDHOOD PROGRAMS

Section 235.300 Purpose

ILLINOIS STATE BOARD OF EDUCATION

NOTICE OF ADOPTED AMENDMENTS

This Subpart D shall apply to exclusionary discipline in early childhood programs that receive funding pursuant to Section 2-3.71(a)(7) of the Code.

(Source: Added at 44 Ill. Reg. 1942, effective January 10, 2020)

Section 235.310 Definitions

"Behavior Support Plan" means a written, planned and culturally and linguistically appropriate schedule of action agreed upon by the program staff, parents/guardians, and qualified professional resources assigned:

to assist a child, a family, caregivers, programs or teachers, and directors on how the program reflects on and modifies the program, classroom, and learning environment practices; and

to address the identification of serious and repeated patterns of challenging behavior.

The behavior support plan must be fully implemented before initiating the transition plan.

"Challenging Behavior" means any serious and repeated pattern of behavior, or perception of behavior, that interferes with a child's ability to engage in developmentally appropriate self-regulation and cognitive and prosocial engagement with peers or adults.

"Early Childhood Program" means a program that receives funding pursuant to Section 2-3.71(a)(7) of the Code.

"Program Transition Plan" means an individualized, written, and culturally and linguistically appropriate document developed by the departing and receiving early childhood programs, parents or primary caregivers, and qualified professional resources detailing tasks and individual responsibilities required to prepare for and then execute the move of the child from the current program to a more appropriate arrangement with as little negative impact and disruption as possible.

"Qualified Professional" means an individual with a recognized professional title such as, but not limited to, a child's early childhood mental health consultant,

ILLINOIS STATE BOARD OF EDUCATION

NOTICE OF ADOPTED AMENDMENTS

licensed clinical social worker, speech pathologist, or behavioral therapist. This individual should have expertise in early childhood education practices, children's behaviors, inclusion, applied behavior analysis, infant/early childhood mental health, or the impact of trauma.

"Repeated Pattern of Challenging Behavior" means behaviors that do not respond to repeated developmentally appropriate practice interventions and result in a disrupted learning environment for other children in the program. These include, but are not limited to, extreme prolonged tantrums, physical and verbal aggression, property destruction, self-injury, injury to others, or withdrawal.

"School Code" or "Code" means 105 ILCS 5.

"State Board" means the State Board of Education.

"Temporary Removals" means practices that involve removing the child from regular participation in the program as a result of a challenging behavior. A temporary removal should be developmentally appropriate and may only be used as a last resort if there is a serious safety threat that cannot be reduced or eliminated by the provision of reasonable modifications. The program must help the child return to full participation in a group setting as soon as safety allows.

(Source: Added at 44 Ill. Reg. 1942, effective January 10, 2020)

Section 235.320 Behavior Support Plans

- a) All early childhood programs shall have written intervention policies of which parents/guardians shall be notified upon entry into the program. The policies shall address at least the following:
- 1) Initial and ongoing observation of challenging behaviors;
 - 2) Communication with the parents/guardians in a culturally and linguistically appropriate manner. Communication may occur for several reasons, including, but not limited to, better understanding of the child's needs and circumstances or challenges facing the family;
 - 3) Utilization of a range of community resources, if available, deemed necessary, including, but not limited to, developmental screenings,

ILLINOIS STATE BOARD OF EDUCATION

NOTICE OF ADOPTED AMENDMENTS

referrals to programs and services administered by a Local Education Agency or early intervention agency under parts B and C of the federal Individuals with Disabilities Education Act (20 USC 1411 and 1431 et seq.) and consultation with an infant and early childhood mental health consultant and the child's health care provider (Section 2-3.71(a)(7)(B) of the Code);

- 4) Attempts of the program to seek training, technical support, and professional development resources to improve the ability of teachers, administrators, program directors, and other staff to promote social-emotional development and behavioral health, address challenging behaviors, and understand trauma and trauma-informed care, cultural competence, family engagement with diverse populations, the impact bias on adult behavior, and the use of reflective practice techniques; and
- 5) Specific activities and strategies that will be implemented to promote a supportive teacher-child relationship and to support an increase in positive behaviors, including a timeline for intervention and the use of data to evaluate progress that is shared with the family/caregiver.
- b) Early childhood programs must document on forms prescribed by the State Board steps taken in accordance with the intervention policy, including observations of initial and repeated challenging behaviors, communication with parents/guardians, and attempts to utilize resources (including when parental/guardian consent is attempted and whether it is obtained).
- c) Any child who, after documented attempts have been made to meet the child's individual needs, demonstrates inability to benefit from the type of care offered by the early childhood program, or whose presence is detrimental to the group, may be recommended for initiation of a program transition plan.
- d) In all instances, when a early childhood program decides that it is in the best interest of the child to transition to a different program, the child's and parents' or guardians' needs shall be considered by planning with the parents/guardians to identify the new program and working with the parents/guardians and pending program on a program transition plan designed to ensure continuity of services to meet the child's and family's needs.

ILLINOIS STATE BOARD OF EDUCATION

NOTICE OF ADOPTED AMENDMENTS

- e) Planned suspensions cannot take place due to behaviors caused by or related to a child's disability or a child referred for an evaluation who has not yet been found eligible for special education services. If a behavior is related to the disability, the Individualized Education Plan (IEP) team must meet to consider behavior intervention strategies to modify the IEP. Expulsion due to a child's behavior is prohibited. If a child with an IEP is being transitioned, educational services consistent with the child's IEP must continue to be followed. *Planned transitions to settings better able to meet the child's needs are not considered expulsions.* (Section 2-3.71(a)(7) of the Code)
- f) Parents/guardians or programs may make a formal request for a special education evaluation at any time.

(Source: Added at 44 Ill. Reg. 1942, effective January 10, 2020)

Section 235.330 Program Transition Plans

- a) All early childhood programs must have written transition policies of which parents/guardians must be notified upon enrollment into the program. The policies shall address at least the following:
- 1) Documentation that implementation of the behavior support plan took place prior to the initiation of the transition plan;
 - 2) Documentation that the initiation of the program transition plan is due to:
 - A) a determination by a qualified professional;
 - B) the program determining that the current early childhood program does not meet the child's developmental needs; or
 - C) a determination that the transition is necessary because of the program's inability to provide the supports needed to maintain the child in the program;
 - 3) Initial and ongoing observation of challenging behaviors;
 - 4) Communication with the parents/caregivers in a culturally and linguistically appropriate manner; and

ILLINOIS STATE BOARD OF EDUCATION

NOTICE OF ADOPTED AMENDMENTS

- 5) Utilization of a range of community resources, if available, deemed necessary, including, but not limited to, developmental screenings, referrals to programs and services administered by a Local Education Agency or early intervention agency under parts B and C of the federal Individuals with Disabilities Education Act (20 USC 1400 et seq.) and consultation with an infant and early childhood mental health consultant and the child's health care provider. (Section 2-3.71(a)(7)(B) of the Code)
- b) Early childhood programs must document, on forms prescribed by the State Board, steps taken in accordance with the program transition policy, including observations of initial and repeated challenging behaviors, communication with parents/guardians, and attempts to utilize resources (including when parental/guardian consent is attempted and if it is obtained).
- c) Any child who, after documented attempts have been made to meet the child's individual needs, demonstrates an inability to benefit from the type of care offered by the early childhood program, or whose presence is detrimental to the group, may be transitioned to a different early childhood program.
- d) If a parent/guardian chooses to withdraw his or her child from a program, the parent/guardian must submit a letter, in writing, to the program and the letter must be kept on file with that program for five years. In instances in which a parent or guardian is not able to write a letter, program staff shall maintain the child's filed written documentation that includes the requestor's name and relationship to the child, along with the withdrawal date. The staff member must also sign and date the written documentation.

(Source: Added at 44 Ill. Reg. 1942, effective January 10, 2020)

Section 235.340 Reporting

No later than July 1, 2020, and no later than each July 1 annually thereafter, early childhood programs shall collect and report annually to the State Board all of the following data for children from birth to age 5 who are served by the program:

- a) Total number served over the course of the program year and the total number of children who left the program during the program year.

ILLINOIS STATE BOARD OF EDUCATION

NOTICE OF ADOPTED AMENDMENTS

- b) Number of children with behavior support plans who transition to another program due to:
- 1) a determination by a qualified professional;
 - 2) the program determining that the current early childhood program does not meet the child's developmental needs; or
 - 3) the program's inability to provide the supports needed to maintain the child in the program.
- c) Number of temporary removals of a child from attendance in group settings due to a serious safety threat by children's race, gender, disability, language, class/group size, teacher-child ratio, and length of program day.
- d) Hours of infant and early childhood mental health consultant contact with program leaders, staff, and families over the program year. (Section 2-3.71(a)(7)(G) of the Code)
- e) Total number of children returned to the program after a planned transition.
- f) Number of children with suspected disabilities or who have been referred for, and are pending, evaluation.
- g) Number of children who are transitioned into programs other than those funded by the Early Childhood Block Grant program.
- h) Number of children with behavior support plans.
- i) Number of children who are:
- 1) referred to a district for evaluation; and
 - 2) after evaluation, found eligible for special education services and transitioned out of early childhood or early intervention.
- j) Number of providers transitioning a child out of their program.

ILLINOIS STATE BOARD OF EDUCATION

NOTICE OF ADOPTED AMENDMENTS

- k) If a child is considered for removal, the provider must notify the State Board of Education no later than two weeks after the initiation of the transition plan.
- l) All data collected must be disaggregated by race, ethnicity, gender, and age.

(Source: Added at 44 Ill. Reg. 1942, effective January 10, 2020)

ILLINOIS STATE BOARD OF EDUCATION

NOTICE OF ADOPTED AMENDMENTS

- 1) Heading of the Part: Illinois Purchased Care Review Board
- 2) Code Citation: 89 Ill. Adm. Code 900
- 3)

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|-------------------------|-------------------------|
| <u>Section Numbers:</u> | <u>Adopted Actions:</u> |
| 900.340 | Amendment |
| 900.342 | Amendment |
| 900.344 | Amendment |
| 900.345 | Amendment |
- 4) Statutory Authority: 105 ILCS 5/14-7.02
- 5) Effective Date of Rules: January 8, 2020
- 6) Does this rulemaking contain an automatic repeal date? No
- 7) Does this rulemaking contain incorporations by reference? No
- 8) A statement that a copy of the adopted rules, including any material incorporated by reference, is on file in the Agency's principal office and is available for public inspection.
- 9) Notice of Proposal published in *Illinois Register*: 43 Ill. Reg. 8670; August 16, 2019
- 10) Has JCAR issued a Statement of Objection to this rule? No
- 11) Differences between Proposal and Final Version: None
- 12) Have all the changes agreed upon by the Agency and JCAR been made as indicated in the agreements issued by JCAR? No changes were made.
- 13) Will this rulemaking replace an emergency rule currently in effect? No
- 14) Are there any rulemakings pending on this Part? No
- 15) Summary and Purpose of Rulemaking: Rules are being amended due to recent legislation amending Section 14-7.02 of the School Code (PA 100-587, eff. 6-4-18). In determining rates based on allowable costs, the Review Board shall consider any wage increases awarded by the General Assembly to front-line personnel defined as direct support persons, aides, front-line supervisors, qualified intellectual disabilities professionals,

ILLINOIS STATE BOARD OF EDUCATION

NOTICE OF ADOPTED AMENDMENTS

nurses, and non-administrative support staff working in service settings in community-based settings within the State and adjust customary rates or rates of a special education program to be equitable to the wage increase awarded to similar staff positions in a community residential setting. Any wage increase awarded by the General Assembly to those personnel shall also be a basis for any facility covered under the School Code to appeal its rate before the Review Board under the process defined in Title 89, Part 900, Section 340 of the Illinois Administrative Code, which shall be updated to recognize wage increases awarded to community-based settings to be a basis for appeal.

- 16) Information and questions regarding these adopted rules shall be directed to:

Azita Kakvand
Agency Rules Coordinator
Illinois State Board of Education
100 North First Street
Springfield IL 62777-0001

217/782-6510
rules@isbe.net

The full text of the Adopted Amendments begin on the next page:

ILLINOIS STATE BOARD OF EDUCATION

NOTICE OF ADOPTED AMENDMENTS

TITLE 89: SOCIAL SERVICES

CHAPTER V: ILLINOIS PURCHASED CARE REVIEW BOARD

PART 900

ILLINOIS PURCHASED CARE REVIEW BOARD

Section

| | |
|---------|--|
| 900.110 | Applicability and Purpose; Severability |
| 900.310 | General Provisions Relating to Determining Allowable Costs |
| 900.315 | Effective Dates of Rate Determinations |
| 900.320 | Cost Reports – General Requirements |
| 900.321 | Non-Allowable Costs and Revenue Offsets |
| 900.322 | Allowable Costs |
| 900.330 | Reasonable Cost Provisions |
| 900.331 | Reimbursement for Allowable Costs |
| 900.340 | Notice and Filing of Appeal |
| 900.341 | Principles of Appeals Process |
| 900.342 | Basis for Appeals |
| 900.343 | Effective Dates of Rates Changed on Appeal |
| 900.344 | Conditional Increases |
| 900.345 | Procedure for Filing Appeals |
| 900.346 | Review by Appeals Committee (Repealed) |
| 900.347 | Review by Board (Repealed) |
| 900.348 | Final Decision of Board |
| 900.349 | Mathematical and Clerical Errors in Calculation |
| 900.351 | Factors in Evaluating Appeals (Repealed) |

AUTHORITY: Implementing and authorized by Section 14-7.02 of the School Code [105 ILCS].

SOURCE: Filed May 8, 1979, effective May 18, 1978; amended at 4 Ill. Reg. 9, pp. 241, 244 and 247, effective February 15, 1980; amended at 5 Ill. Reg. 4171, effective April 7, 1981; amended at 5 Ill. Reg. 5633, effective May 15, 1981; amended at 5 Ill. Reg. 9095, effective September 1, 1981; codified at 6 Ill. Reg. 12452; amended at 7 Ill. Reg. 6079, effective May 4, 1983; amended at 9 Ill. Reg. 9551, effective June 10, 1985; amended at 11 Ill. Reg. 20552, effective December 8, 1987; amended at 16 Ill. Reg. 5311, effective March 23, 1992; emergency amendment at 19 Ill. Reg. 13590, effective September 25, 1995, for a maximum of 150 days; emergency expired February 21, 1996; amended at 28 Ill. Reg. 7242, effective May 5, 2004; amended at 44 Ill. Reg. 1954, effective January 8, 2020.

ILLINOIS STATE BOARD OF EDUCATION

NOTICE OF ADOPTED AMENDMENTS

Section 900.340 Notice and Filing of Appeal

Providers will be informed in writing of the allowable costs for their services. Appeals of allowable costs shall be limited to the circumstances described in Section 900.342 ~~of this Part~~ and shall be submitted in writing within 60 days after the notice has been sent electronically or the postmark date of the notice. Thereafter, an appeal shall be considered only when submitted within 30 days after the provider becomes aware that one of the circumstances described in Section 900.342 ~~of this Part~~ has arisen, as documented by the affected provider. Upon a provider's written request, the Board shall provide in writing a detailed cost calculation including a description of each cost amount disallowed. No adjustment to allowable costs shall be made with respect to any prior school year.

(Source: Amended at 44 Ill. Reg. 1954, effective January 8, 2020)

Section 900.342 Basis for Appeals

- a) The Board can grant an increase in allowable costs for any of the following reasons:
 - 1) There has been an extraordinary increase in program operating costs that is beyond the reasonable control of the provider and substantially threatens the educational program's financial viability.
 - 2) Mechanical or clerical errors were committed by the provider or the Board as depicted on required cost reports and in the rate calculation process used by the Board in determination of allowable costs.
 - 3) Statutory or regulatory requirements of any governmental agency have generated or will generate a substantial increase in allowable costs during the current cost reporting period.
 - 4) There has been or will be an extraordinary decrease in program revenues or in external funding, subsidies, grants, gifts, or donations ~~that~~which constitute a substantial portion of the funding for the core functions of the provider's program to the extent that such revenues were considered available when the Board approved the allowable costs for the provider.
 - 5) A substantial program change has been or will be undertaken, resulting in

ILLINOIS STATE BOARD OF EDUCATION

NOTICE OF ADOPTED AMENDMENTS

a substantial increase in costs. Each appeal must contain documentation with respect to the necessity of these program costs.

- 6) A provider believes a significant discrepancy exists between its enrollment figures as submitted and the enrollment figures determined by the State Board of Education. The provider may resolve the discrepancy with the appropriate local school districts and appeal the initial per-student allowable cost determination. Such an appeal will only be considered ~~when~~where the local school districts amend their enrollment figures with the State Board of Education.
- 7) There have been wage increases awarded by the General Assembly to front-line personnel, defined as direct support persons, aides, front-line supervisors, qualified intellectual disabilities professionals, nurses, and non-administrative support staff working in service settings in community-based settings within the State and the provider has given the same wage increases to similar front-line personnel in the provider's special education program.
- b) If a provider elects not to submit costs to the Board for approval because the tuition charge for special education and related services has not increased by more than 10% over the prior school year and does not exceed \$4,500 per year, the provider may not then submit its costs for review after the beginning of the school year. Such costs will not be approved by the Board. Any provider electing to submit a cost report used for allowable cost determination may not revert to this provision in subsequent years.

(Source: Amended at 44 Ill. Reg. 1954, effective January 8, 2020)

Section 900.344 Conditional Increases

The Board will grant a conditional increase prior to the occurrence of the reason for the appeal if that reason conforms to the provisions of Section 900.342(a)(3), (a)(4), ~~or~~ (a)(5), ~~or (a)(7) of this Part~~, as appropriate, and if the projected increase in cost is predictable both as to effective date and amount. An appeal requesting this conditional increase will be considered if it conforms to the provisions of Section 900.345 ~~of this Part~~. Within 45 days after the effective date of an increase under this Section, or after notification of an increase, whichever is later, the provider must submit documentation that the costs upon which the appeal is based have occurred. Upon receipt of the documentation within the required period, the allowable costs approved under the

ILLINOIS STATE BOARD OF EDUCATION

NOTICE OF ADOPTED AMENDMENTS

conditional increase shall be reaffirmed as allowable costs. If adequate documentation is not received within the prescribed period, the Board will reaffirm the prior allowable costs as of the effective date of the costs approved under the conditional increase.

(Source: Amended at 44 Ill. Reg. 1954, effective January 8, 2020)

Section 900.345 Procedure for Filing Appeals

- a) An appeal for an increase in allowable costs shall be submitted in writing to [the Illinois Purchased Care Review Board](#) and shall, to the extent applicable, contain the following:
 - 1) The name and address of the provider.
 - 2) The current, approved allowable costs and the allowable costs sought pursuant to the appeal.
 - 3) A clear, concise statement of the reasons for the appeal, the requested effective date of the increased allowable costs which are sought, and the reasons for this effective date.
 - 4) A detailed statement of financial, statistical and related information in support of the appeal which indicates the relationship between the additional costs submitted and the change of circumstances or other reasons for the higher costs.
 - 5) Documentation of any statutory, regulatory, or contractual requirement pertinent to the appeal.
 - 6) In the case of appeals based on Section 900.342(a)(3), (a)(4), ~~(a)(5)~~, [or \(a\)\(7\) of this Part](#), a written statement secured by the provider from the governmental agency that purchases services from the provider that the governmental agency considers the costs claimed in the application for administrative adjustment to be warranted.
 - 7) Certification by either the chief executive officer or the financial officer of the provider that the application and all information reports, schedules, budgets, books and records submitted are true, correct and accurate. False certification shall be subject to whatever penalties are appropriate under

ILLINOIS STATE BOARD OF EDUCATION

NOTICE OF ADOPTED AMENDMENTS

the criminal statutes of the State of Illinois.

- b) No appeal can be acted upon unless the provider has filed an attested cost report in accordance with Section 900.320(a) ~~of this Part~~. Attested reports from more recently completed fiscal years may also be considered in an appeal, as may other relevant documents.
- c) Documentation submitted in support of the appeal, but subsequent to filing of the appeal, shall contain the certification described in Section 900.342 ~~of this Part~~.
- d) Any appeal under this Section shall contain sufficient information to permit the Board to translate the expenditures giving rise to the appeal into reimbursable allowable costs. The provider shall provide any other information, books and records that the Board may reasonably request. If the provider fails to provide ~~the such~~ information, books and records within 45 days after a request, the application will be rejected.
- e) The Board shall process an appeal filed in accordance with this Section within 90 days after receiving it, except that, if the Board requests additional information, the response period shall be extended by the amount of time taken in providing that information. The Board may delay an appeal decision beyond 90 days at the request of the affected provider.

(Source: Amended at 44 Ill. Reg. 1954, effective January 8, 2020)

ILLINOIS GAMING BOARD

NOTICE OF ADOPTED AMENDMENTS

- 1) Heading of the Part: Video Gaming (General)
- 2) Code Citation: 11 Ill. Adm. Code 1800
- 3)

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|-------------------------|-------------------------|
| <u>Section Numbers:</u> | <u>Adopted Actions:</u> |
| 1800.1910 | New Section |
| 1800.1920 | New Section |
| 1800.1930 | New Section |
| 1800.1940 | New Section |
- 4) Statutory Authority: Section 78(a)(3) of the Video Gaming Act gives the Illinois Gaming Board power "[t]o adopt rules for the purpose of administering the provisions of this Act and to prescribe rules, regulations, and conditions under which all video gaming in the State shall be conducted. Such rules and regulations are to provide for the prevention of practices detrimental to the public interest and for the best interests of video gaming[.]"
- 5) Effective Date of Rules: December 31, 2019
- 6) Does this rulemaking contain an automatic repeal date? No
- 7) Does this rulemaking contain incorporations by reference? No
- 8) A copy of the adopted amendments, including any material incorporated by reference, is on file in the principal office and is available for public inspection.
- 9) Notice of Proposal published in *Illinois Register*: 43 Ill. Reg. 9312; September 6, 2019
- 10) Has JCAR issued a Statement of Objection to this rulemaking? No
- 11) Differences between Proposal and Final Version: The rulemaking has been considerably revised from its First Notice version. Significant substantive changes are the following:

Section 1800.1940 (Approval of Video Gaming Equipment) adds a definition of "Critical Program Storage Media" and specifies the types of software that are included in "program storage media" (1800.1940(a)(5)(D)). New language provides that when the Administrator requires additional testing, the manufacturer of the equipment shall decide whether the additional testing is performed by the same or another independent outside testing laboratory. New language in Section 1800.1940(c)(5) provides that additional testing shall be required whenever the Administrator determines that this is necessary to

ILLINOIS GAMING BOARD

NOTICE OF ADOPTED AMENDMENTS

ensure that the video gaming meets published technical standards because of the existence of one of the following:

Inconclusive test results;

A need to establish repeatability of test results; or

A need to determine compatibility with components other than those tested.

Finally, Section 1800.1940(d)(5) provides that the Administrator may revoke any approval under the same criteria for approval of equipment listed in Section 1800.1940(d)(2). These criteria are:

The minimum statutory criteria established by Section 15 of the Video Gaming Act [230 ILCS 40/15];

The extent to which the video gaming equipment meets the technical standards by which the independent outside testing laboratory must test; and

Whether approving the video gaming equipment would jeopardize the integrity and security of video gaming in this State.

- 12) Have all the changes agreed upon by the Agency and JCAR been made as indicated in the agreement letter issued by JCAR? Yes
- 13) Will this rulemaking replace any emergency rulemaking currently in effect? Yes. The rulemaking will replace an emergency rulemaking effective August 19, 2019 and published at 43 Ill. Reg. 9788; September 6, 2019.
- 14) Are there any rulemakings pending on this part? Yes

| <u>Section Numbers:</u> | <u>Proposed Actions:</u> | <u>Illinois Register Citations:</u> |
|-------------------------|--------------------------|--------------------------------------|
| 1800.2010 | New Section | 43 Ill. Reg. 12767, November 1, 2019 |
| 1800.2020 | New Section | 43 Ill. Reg. 12767, November 1, 2019 |
| 1800.2030 | New Section | 43 Ill. Reg. 12767, November 1, 2019 |
| 1800.2040 | New Section | 43 Ill. Reg. 12767, November 1, 2019 |
| 1800.2050 | New Section | 43 Ill. Reg. 12767, November 1, 2019 |
| 1800.2060 | New Section | 43 Ill. Reg. 12767, November 1, 2019 |
| 1800.2110 | New Section | 43 Ill. Reg. 13488, December 2, 2019 |

ILLINOIS GAMING BOARD

NOTICE OF ADOPTED AMENDMENTS

| | | |
|----------------|-------------|--------------------------------------|
| 1800.2120 | New Section | 43 Ill. Reg. 13488, December 2, 2019 |
| 1800.2130 | New Section | 43 Ill. Reg. 13488, December 2, 2019 |
| 1800.2140 | New Section | 43 Ill. Reg. 13488, December 2, 2019 |
| 1800.2150 | New Section | 43 Ill. Reg. 13488, December 2, 2019 |
| 1800.2160 | New Section | 43 Ill. Reg. 13488, December 2, 2019 |
| 1800.2170 | New Section | 43 Ill. Reg. 13488, December 2, 2019 |
| 1800.EXHIBIT A | New Section | 43 Ill. Reg. 13488, December 2, 2019 |
| 1800.EXHIBIT B | New Section | 43 Ill. Reg. 13488, December 2, 2019 |

- 15) Summary and Purpose of Rulemaking: This rulemaking implements provisions in PA 101-31, effective June 28, 2019, that change how the Illinois Gaming Board (IGB or Board) obtains independent testing laboratory services. Before the enactment of this legislation, the IGB was required to contract with one or more testing laboratories through the State's procurement process, even though the cost of these services was passed on to the licensees. PA 101-31 amends the Video Gaming Act to provide that upon the finalization of required rules, the IGB "shall license independent testing laboratories and accept the test reports of any independent testing laboratory of the video gaming machine's or associated equipment manufacturer's choice, notwithstanding the existence of contracts between the Board and any independent testing laboratory" [230 ILCS 40/15].

The rulemaking establishes licensing criteria, application procedures and duties of a licensed independent testing laboratory. It also authorizes the Administrator of the IGB to create technical standards that the testing laboratories must include in their reports for video game testing.

- 16) Information and questions regarding these adopted rules may be addressed to:

Agostino Lorenzini
General Counsel
Illinois Gaming Board
160 North LaSalle Street
Chicago IL 60601

fax: 312/814-7253

The full text of the Adopted Amendments begins on the next page:

ILLINOIS GAMING BOARD

NOTICE OF ADOPTED AMENDMENTS

TITLE 11: ALCOHOL, HORSE RACING, LOTTERY, AND VIDEO GAMING
SUBTITLE D: VIDEO GAMING
CHAPTER I: ILLINOIS GAMING BOARD

PART 1800
VIDEO GAMING (GENERAL)

SUBPART A: GENERAL PROVISIONS

| | |
|----------|----------------|
| Section | |
| 1800.110 | Definitions |
| 1800.115 | Gender |
| 1800.120 | Inspection |
| 1800.130 | Board Meetings |

SUBPART B: DUTIES OF LICENSEES

| | |
|----------|---|
| Section | |
| 1800.210 | General Duties of All Video Gaming Licensees |
| 1800.220 | Continuing Duty to Report Information |
| 1800.230 | Duties of Licensed Manufacturers |
| 1800.240 | Duties of Licensed Distributors |
| 1800.250 | Duties of Terminal Operators |
| 1800.260 | Duties of Licensed Technicians and Licensed Terminal Handlers |
| 1800.270 | Duties of Licensed Video Gaming Locations |

SUBPART C: STANDARDS OF CONDUCT FOR LICENSEES

| | |
|----------|--------------------------------------|
| Section | |
| 1800.310 | Grounds for Disciplinary Actions |
| 1800.320 | Minimum Standards for Use Agreements |
| 1800.330 | Economic Disassociation |

SUBPART D: LICENSING QUALIFICATIONS

| | |
|----------|---|
| Section | |
| 1800.410 | Coverage of Subpart |
| 1800.420 | Qualifications for Licensure |
| 1800.430 | Persons with Significant Influence or Control |
| 1800.440 | Undue Economic Concentration |

SUBPART E: LICENSING PROCEDURES

| | |
|---------|--|
| Section | |
|---------|--|

ILLINOIS GAMING BOARD

NOTICE OF ADOPTED AMENDMENTS

| | |
|----------|--|
| 1800.510 | Coverage of Subpart |
| 1800.520 | Applications |
| 1800.530 | Submission of Application |
| 1800.540 | Application Fees |
| 1800.550 | Consideration of Applications by the Board |
| 1800.555 | Withdrawal of Applications and Surrender of Licenses |
| 1800.560 | Issuance of License |
| 1800.570 | Renewal of License |
| 1800.580 | Renewal Fees and Dates |
| 1800.590 | Death and Change of Ownership of Video Gaming Licensee |

SUBPART F: DENIALS OF APPLICATIONS FOR LICENSURE

Section

| | |
|----------|---|
| 1800.610 | Coverage of Subpart |
| 1800.615 | Requests for Hearing |
| 1800.620 | Appearances |
| 1800.625 | Appointment of Administrative Law Judge |
| 1800.630 | Discovery |
| 1800.635 | Subpoenas |
| 1800.640 | Motions for Summary Judgment |
| 1800.650 | Proceedings |
| 1800.660 | Evidence |
| 1800.670 | Prohibition on Ex Parte Communication |
| 1800.680 | Sanctions and Penalties |
| 1800.690 | Transmittal of Record and Recommendation to the Board |
| 1800.695 | Status of Applicant for Licensure Upon Filing Request for Hearing |

SUBPART G: DISCIPLINARY ACTIONS AGAINST LICENSEES

Section

| | |
|----------|--|
| 1800.710 | Coverage of Subpart |
| 1800.715 | Notice of Proposed Disciplinary Action Against Licensees |
| 1800.720 | Hearings in Disciplinary Actions |
| 1800.725 | Appearances |
| 1800.730 | Appointment of Administrative Law Judge |
| 1800.735 | Discovery |
| 1800.740 | Subpoenas |
| 1800.745 | Motions for Summary Judgment |
| 1800.750 | Proceedings |
| 1800.760 | Evidence |

ILLINOIS GAMING BOARD

NOTICE OF ADOPTED AMENDMENTS

- 1800.770 Prohibition on Ex Parte Communication
- 1800.780 Sanctions and Penalties
- 1800.790 Transmittal of Record and Recommendation to the Board
- 1800.795 Persons Subject to Proposed Orders of Economic Disassociation

SUBPART H: LOCATION OF VIDEO GAMING TERMINALS IN
LICENSED VIDEO GAMING LOCATIONS

Section

- 1800.810 Location and Placement of Video Gaming Terminals
- 1800.815 Licensed Video Gaming Locations Within Malls
- 1800.820 Measurement of Distances from Locations
- 1800.830 Waivers of Location Restrictions

SUBPART I: SECURITY INTERESTS

Section

- 1800.910 Approvals Required, Applicability, Scope of Approval
- 1800.920 Notice of Enforcement of a Security Interest
- 1800.930 Prior Registration

SUBPART J: TRANSPORTATION, REGISTRATION,
AND DISTRIBUTION OF VIDEO GAMING TERMINALS

Section

- 1800.1010 Restriction on Sale, Distribution, Transfer, Supply and Operation of Video Gaming Terminals
- 1800.1020 Transportation of Video Gaming Terminals into the State
- 1800.1030 Receipt of Video Gaming Terminals in the State
- 1800.1040 Transportation of Video Gaming Terminals Between Locations in the State
- 1800.1050 Approval to Transport Video Gaming Terminals Outside of the State
- 1800.1060 Placement of Video Gaming Terminals
- 1800.1065 Registration of Video Gaming Terminals
- 1800.1070 Disposal of Video Gaming Terminals

SUBPART K: STATE-LOCAL RELATIONS

Section

- 1800.1110 State-Local Relations

SUBPART L: FINGERPRINTING OF APPLICANTS

Section

- 1800.1210 Definitions

ILLINOIS GAMING BOARD

NOTICE OF ADOPTED AMENDMENTS

- 1800.1220 Entities Authorized to Perform Fingerprinting
- 1800.1230 Qualification as a Livescan Vendor
- 1800.1240 Fingerprinting Requirements
- 1800.1250 Fees for Fingerprinting
- 1800.1260 Grounds for Revocation, Suspension and Denial of Contract

SUBPART M: PUBLIC ACCESS TO INFORMATION

Section

- 1800.1310 Public Requests for Information

SUBPART N: PAYOUT DEVICES AND REQUIREMENTS

Section

- 1800.1410 Ticket Payout Devices
- 1800.1420 Redemption of Tickets Following Removal or Unavailability of Ticket Payout Devices

SUBPART O: NON-PAYMENT OF TAXES

Section

- 1800.1510 Non-Payment of Taxes

SUBPART P: CENTRAL COMMUNICATIONS SYSTEM

Section

- 1800.1610 Use of Gaming Device or Individual Game Performance Data

SUBPART Q: RESPONSIBLE GAMING

Section

- 1800.1710 Conversations About Responsible Gaming
- 1800.1720 Responsible Gaming Education Programs
- 1800.1730 Problem Gambling Registry
- 1800.1740 Utilization of Technology to Prevent Problem Gambling

SUBPART R: IMPLEMENTATION OF TECHNOLOGY

Section

- 1800.1810 Implementation of Technology

SUBPART S: INDEPENDENT TESTING LABORATORIES

Section

- 1800.1910 Independent Outside Testing Laboratories

ILLINOIS GAMING BOARD

NOTICE OF ADOPTED AMENDMENTS

| | |
|---------------------------|---|
| 1800.1920 | Minimum Duties of an Independent Outside Testing Laboratory |
| 1800.1930 | Testing of Video Gaming Equipment |
| 1800.1940 | Approval of Video Gaming Equipment |

AUTHORITY: Implementing and authorized by the Video Gaming Act [230 ILCS 40].

SOURCE: Adopted by emergency rulemaking at 33 Ill. Reg. 14793, effective October 19, 2009, for a maximum of 150 days; adopted at 34 Ill. Reg. 2893, effective February 22, 2010; emergency amendment at 34 Ill. Reg. 8589, effective June 15, 2010, for a maximum of 150 days; emergency expired November 11, 2010; amended at 35 Ill. Reg. 1369, effective January 5, 2011; emergency amendment at 35 Ill. Reg. 13949, effective July 29, 2011, for a maximum of 150 days; emergency expired December 25, 2011; amended at 36 Ill. Reg. 840, effective January 6, 2012; amended by emergency rulemaking at 36 Ill. Reg. 4150, effective February 29, 2012, for a maximum of 150 days; amended at 36 Ill. Reg. 5455, effective March 21, 2012; amended at 36 Ill. Reg. 10029, effective June 28, 2012; emergency amendment at 36 Ill. Reg. 11492, effective July 6, 2012, for a maximum of 150 days; emergency expired December 2, 2012; emergency amendment at 36 Ill. Reg. 12895, effective July 24, 2012, for a maximum of 150 days; amended at 36 Ill. Reg. 13178, effective July 30, 2012; amended at 36 Ill. Reg. 15112, effective October 1, 2012; amended at 36 Ill. Reg. 17033, effective November 21, 2012; expedited correction at 39 Ill. Reg. 8183, effective November 21, 2012; amended at 36 Ill. Reg. 18550, effective December 14, 2012; amended at 37 Ill. Reg. 810, effective January 11, 2013; amended at 37 Ill. Reg. 4892, effective April 1, 2013; amended at 37 Ill. Reg. 7750, effective May 23, 2013; amended at 37 Ill. Reg. 18843, effective November 8, 2013; emergency amendment at 37 Ill. Reg. 19882, effective November 26, 2013, for a maximum of 150 days; emergency amendment suspended by the Joint Committee on Administrative Rules at 38 Ill. Reg. 3384, effective January 14, 2014; suspension withdrawn at 38 Ill. Reg. 5897; emergency repeal of emergency amendment at 38 Ill. Reg. 7337, effective March 12, 2014, for the remainder of the 150 days; amended at 38 Ill. Reg. 849, effective December 27, 2013; amended at 38 Ill. Reg. 14275, effective June 30, 2014; amended at 38 Ill. Reg. 19919, effective October 2, 2014; amended at 39 Ill. Reg. 5401, effective March 27, 2015; amended at 39 Ill. Reg. 5593, effective April 1, 2015; amended at 40 Ill. Reg. 2952, effective January 27, 2016; amended at 40 Ill. Reg. 8760, effective June 14, 2016; amended at 40 Ill. Reg. 12762, effective August 19, 2016; amended at 40 Ill. Reg. 15131, effective October 18, 2016; emergency amendment at 41 Ill. Reg. 2696, effective February 7, 2017, for a maximum of 150 days; amended at 41 Ill. Reg. 2939, effective February 24, 2017; amended at 41 Ill. Reg. 4499, effective April 14, 2017; amended at 41 Ill. Reg. 10300, effective July 13, 2017; amended at 42 Ill. Reg. 3126, effective February 2, 2018; amended at 42 Ill. Reg. 3735, effective February 6, 2018; emergency amendment at 43 Ill. Reg. 9261, effective August 13, 2019, for a maximum of 150 days; emergency amendment, except for the definition of "in-location bonus jackpot game" or "in-location progressive game" and the definition of "progressive jackpot" in Section

ILLINOIS GAMING BOARD

NOTICE OF ADOPTED AMENDMENTS

1800.110 and except for Section 1800.250(x), suspended at 43 Ill. Reg. 11061, effective September 18, 2019; emergency amendment at 43 Ill. Reg. 9788, effective August 19, 2019, for a maximum of 150 days; emergency amendment at 43 Ill. Reg. 11688, effective September 26, 2019, for a maximum of 150 days; emergency amendment at 43 Ill. Reg. 13785, effective November 7, 2019, for a maximum of 150 days; emergency amendment at 43 Ill. Reg. 13464, effective November 8, 2019, for a maximum of 150 days; emergency amendment suspended by the Joint Committee on Administrative Rules at 43 Ill. Reg. 13479, effective November 12, 2019; amended at 43 Ill. Reg. 14099, effective November 21, 2019; amended at 44 Ill. Reg. 489, effective December 27, 2019; amended at 44 Ill. Reg. 1961, effective December 31, 2019.

SUBPART S: INDEPENDENT TESTING LABORATORIESSection 1800.1910 Independent Outside Testing Laboratories

- a) Any independent outside testing laboratory that holds an accreditation in accordance with ISO/IEC 17025 by an accreditation body that is a signatory to the International Laboratory Accreditation Cooperation Mutual Recognition Agreement and is authorized to perform independent testing laboratory services in a gaming jurisdiction comparable to Illinois is licensed to perform independent testing laboratory services in Illinois, subject to the requirements of this Subpart.
- b) Gaming Jurisdictions Comparable to Illinois
 - 1) A "gaming jurisdiction comparable to Illinois" means a jurisdiction that regulates the operation of electronic devices that are:
 - A) Connected to a central monitoring system that records the gaming activity of each electronic device and is operated by the gaming regulatory authority or an agent of that authority;
 - B) Capable of awarding credits dependent upon chance, and containing a circuit, meter or switch capable of removing and recording the removal of credits; and
 - C) Dependent on the approval of their operation by a gaming regulatory body.

ILLINOIS GAMING BOARD

NOTICE OF ADOPTED AMENDMENTS

- 2) For purposes of this subsection (b), an "electronic device" shall have the same meaning as "video gaming terminal" in Section 1800.110 except that all required authorizations are provided by the comparable jurisdiction.
- c) Any independent outside testing laboratory may apply for licensure under the Act by submitting to the Administrator its accreditations and the jurisdictions in which it is authorized to perform independent testing laboratory services.
- d) The Administrator shall determine whether the jurisdictions in which the applicant independent outside testing laboratory is licensed to perform independent testing laboratory services is a gaming jurisdiction comparable to Illinois.
- e) The Administrator shall provide a written determination as to whether the applicant independent outside testing laboratory meets the criteria in subsection (a). If the applicant independent outside testing laboratory meets the criteria, the applicant will be licensed.
- f) If the Administrator determines that the applicant independent outside testing laboratory does not meet the criteria in subsection (a), the applicant may request a determination by the Board, in writing, within 21 days after the date of service of the Administrator's determination.

(Source: Added at 44 Ill. Reg. 1961, effective December 31, 2019)

Section 1800.1920 Minimum Duties of an Independent Outside Testing Laboratory

- a) As a condition of licensure, a licensed independent outside testing laboratory is obligated to do the following:
- 1) Provide to the Board 24 hours per day, seven days per week, support contacts whom Board staff and licensees may contact.
- 2) Provide written reports, electronically in a format prescribed by the Administrator, regarding video gaming equipment testing and test results, which include, at a minimum:
- A) All testing performed;

ILLINOIS GAMING BOARD

NOTICE OF ADOPTED AMENDMENTS

- B) A description of the product tested;
 - C) The unique identification code or signature, as approved by the Administrator, assigned to the product;
 - D) A secure hash using a cryptographic function designated by the Administrator;
 - E) A list of payables or other settings on the tested product, if applicable;
 - F) A description of the modifications between the tested product and previous versions of the tested product, if applicable; and
 - G) A list of components with which the product was verified to be compatible.
- 3) Provide the Board and its staff with real-time online access to all video gaming equipment testing reports and documents via secure communication protocol and allow the Board to view updated reports of all pending, approved, obsolete and revoked (see Section 1800.1940(d)(5)) video gaming equipment.
- 4) Disclose all locations of any laboratory or factory at which independent outside testing services may be conducted. Upon request of the Board, the licensed independent outside testing laboratory must reimburse the Board for all travel costs, in accordance with 80 Ill. Adm. Code 2800 (CMS travel rules), incurred by up to two employees to inspect each laboratory or facility annually.
- 5) Assign a unique identification code or signature, as approved by the Administrator, and a secure hash, to all Critical Program Storage Media, upon testing, using a function designated by the Administrator.
- 6) Conduct its operations in accordance with Section 1800.210, as applicable.
- b) In addition to the violations listed in Section 1800.310, any violation of the requirements of subsection (a) may result in discipline in accordance with Section 1800.310.

ILLINOIS GAMING BOARD

NOTICE OF ADOPTED AMENDMENTS

- c) Any licensed independent outside testing laboratory that fails to maintain its accreditation in accordance with Section 1800.1910(a) shall have its license suspended until such time as the independent outside testing laboratory reobtains its qualifications.

(Source: Added at 44 Ill. Reg. 1961, effective December 31, 2019)

Section 1800.1930 Testing of Video Gaming Equipment

The Administrator shall develop and publish technical standards against which all independent outside testing laboratories must test any video gaming equipment for compliance. The independent outside testing laboratory shall provide a report of the testing results to the Administrator. The report shall include the following:

- a) The extent to which the video gaming equipment meets the published technical standards;
- b) Whether the video gaming equipment meets the requirements of the Act and this Part; and
- c) Any additional information the Board needs in order to certify a video gaming terminal.

(Source: Added at 44 Ill. Reg. 1961, effective December 31, 2019)

Section 1800.1940 Approval of Video Gaming Equipment

- a) Before being offered for play, all video gaming equipment must be approved by the Administrator.
- b) All video gaming equipment must be tested by an independent outside testing laboratory before the Administrator will review the video gaming equipment.
- c) Request for Approval
- 1) Any licensee seeking approval of any piece of video gaming equipment must initiate its request by submitting that piece of video gaming equipment to a licensed independent outside testing laboratory. The

ILLINOIS GAMING BOARD

NOTICE OF ADOPTED AMENDMENTS

licensee must cooperate with the licensed outside testing laboratory in providing all equipment and information needed for certification by the Board.

- 2) Each licensed independent outside testing laboratory must notify the Administrator, upon receipt of any request to test video gaming equipment for approval in Illinois, in a format prescribed by the Administrator. The notification shall, at minimum:
- A) Identify the licensee seeking approval of the video gaming equipment;
 - B) Describe the product for which approval is being sought;
 - C) Indicate the date on which the request was received; and
 - D) Include a unique identification code or signature, approved by the Administrator, for Critical Program Storage Media. For purposes of this subsection (c)(2)(D) and Section 1800.1930(a)(2), "Critical Program Storage Media" means any program storage media containing software that is involved in, or that significantly influences, the operation and calculation of game play, game display, game result determination, game accounting, revenue or security.
 - i) Software in program storage media includes, but is not limited to:
 - game accounting software;
 - system software; and
 - peripheral firmware devices.
 - ii) Critical Program Storage Media shall be verified utilizing an external third-party methodology approved by the Administrator.

ILLINOIS GAMING BOARD

NOTICE OF ADOPTED AMENDMENTS

- iii) Critical Program Storage Media may be required, as determined by the Administrator, to have security seals attached.
- 3) The independent outside testing laboratory shall submit a written report in accordance with Section 1800.1920(a)(2) after completing the testing.
- 4) The Administrator may request additional information from either the licensee or the licensed independent outside testing laboratory in making his or her determination under subsection (d)(2).
- 5) The Administrator may require additional testing. Whether the additional testing is performed by the original independent outside testing laboratory or another independent outside testing laboratory is to be determined by the manufacturer. Additional testing will be required when the Administrator determines that it is necessary to ensure the integrity or proper functioning of any of the items listed in Section 1800.1930(a) because of the existence of one or more of the following:
 - A) Inconclusive initial test results;
 - B) A need to establish repeatability of test results; or
 - C) A need to determine compatibility with components other than those tested.
- d) Approvals
 - 1) The Administrator shall review the request to approve video gaming equipment after the testing report and all other requested information has been received.
 - 2) In making the determination, the Administrator shall consider the following:
 - A) The minimum criteria established in Section 15 of the Act;

ILLINOIS GAMING BOARD

NOTICE OF ADOPTED AMENDMENTS

- B) The extent to which the video gaming equipment meets the technical standards by which the independent outside testing laboratory must test; and
- C) Whether approving the video gaming equipment would jeopardize the integrity and security of video gaming in this State.
- 3) If the video gaming equipment is approved, the Administrator shall make his or her approval available electronically.
- 4) If approval of the video gaming equipment is denied, the Administrator shall notify the licensee in writing. The Administrator's determination is a final Board order and is reviewable under the Administrative Review Law [735 ILCS 5/Art. III].
- 5) The Administrator may revoke any approval pursuant to this section under the criteria of paragraph 2) of subsection d) of this section.

(Source: Added at 44 Ill. Reg. 1961, effective December 31, 2019)

ILLINOIS GUARDIANSHIP AND ADVOCACY COMMISSION

NOTICE OF ADOPTED AMENDMENT

- 1) Heading of the Part: Human Rights Authority
- 2) Code Citation: 59 Ill. Adm. Code 310
- 3) Section Number: 310.40 Adopted Action:
Amendment
- 4) Statutory Authority: Implementing and authorized by the Guardianship and Advocacy Act [20 ILCS 3955].
- 5) Effective Date of Rule: December 31, 2019
- 6) Does this rulemaking contain an automatic repeal date? No
- 7) Does this rulemaking contain incorporations by reference? The rulemaking does not include incorporation by reference pursuant to Section 5-75 of the Illinois Administrative Procedure Act.
- 8) A copy of the adopted rule is on file in the Guardianship and Advocacy Commission's Chicago office and is available for public inspection.
- 9) Notice of Proposal published in the *Illinois Register*: 43 Ill. Reg. 8610; August 16, 2019
- 10) Has JCAR issued a Statement of Objection to this rulemaking? No
- 11) Differences between Proposal and Final Version: None
- 12) Have all the changes agreed upon by the Agency and JCAR been made as indicated in the agreements issued by JCAR? No changes were requested.
- 13) Will this rulemaking replace any emergency rulemaking currently in effect? No
- 14) Are there any other rulemakings pending on this Part? No
- 15) Summary and Purpose of Rulemaking: The proposed rulemaking aligns the Human Rights Authority meeting notice rule with the Open Meetings Act.
- 16) Information and questions regarding this adopted rule shall be directed to:

ILLINOIS GUARDIANSHIP AND ADVOCACY COMMISSION

NOTICE OF ADOPTED AMENDMENT

Kenya A. Jenkins-Wright
General Counsel
Guardianship and Advocacy Commission
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Chicago IL 60601

312/793-5900 or 866/333-3362 (TTY)
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The full text of the Adopted Amendment begins on the next page:

ILLINOIS GUARDIANSHIP AND ADVOCACY COMMISSION

NOTICE OF ADOPTED AMENDMENT

TITLE 59: MENTAL HEALTH
CHAPTER III: GUARDIANSHIP AND ADVOCACY COMMISSIONPART 310
HUMAN RIGHTS AUTHORITY

| | |
|---------|------------------------------|
| Section | |
| 310.10 | Authority and Purpose |
| 310.20 | General Provisions |
| 310.30 | Membership and Organization |
| 310.40 | Meetings |
| 310.50 | Complaints |
| 310.60 | Investigations |
| 310.70 | Recommendations and Findings |
| 310.80 | Confidentiality |
| 310.90 | Limitations |

AUTHORITY: Implementing and authorized by the Guardianship and Advocacy Act [20 ILCS 3955].

SOURCE: Adopted at 5 Ill. Reg. 13223, effective November 13, 1981; codified at 7 Ill. Reg. 12866; amended at 10 Ill. Reg. 7778, effective April 30, 1986; amended at 24 Ill. Reg. 13029, effective August 21, 2000; amended at 25 Ill. Reg. 5628, effective May 1, 2001; amended at 26 Ill. Reg. 8828, effective June 11, 2002; amended at 42 Ill. Reg. 2050, effective January 11, 2018; amended at 44 Ill. Reg. 1976, effective December 31, 2019.

Section 310.40 Meetings

- a) **Annual Meeting**
The annual meeting of each regional authority shall convene in June for the purpose of electing officers and for any other business that may be brought before it.
- b) **Regular Meetings**
Each regional authority shall meet not less than once every two months.
- c) **Special Meetings**
Meetings may also be held upon call of the Regional Chairperson or upon written request of any five members of the Regional Authority.

ILLINOIS GUARDIANSHIP AND ADVOCACY COMMISSION

NOTICE OF ADOPTED AMENDMENT

- d) **Quorum**
Five members shall constitute a quorum. (Section 14 of the Act)
- e) **Voting on Actions**
Except as provided in Section 310.50(c) and 310.70(c)(3) and (e) ~~of this Part~~, no action shall be taken at any meeting of a regional authority except upon a majority vote of the members in attendance and constituting a quorum.
- f) **Notice**
Each regional authority shall give public notice of its schedule of regular meetings at the beginning of each calendar year, including the dates, times, and places of meetings, if known. Public notice of any special meeting or reconvened regular meeting shall be given at least ~~48~~24 hours before the meeting. However, this requirement of public notice of reconvened meetings does not apply to a meeting reconvened within 24 hours or when announcement of the time and place of the reconvened meeting was made at the original meeting and there is no change in agenda. Public notice shall be given by posting a copy of the notice at the Commission's offices located in Springfield and Chicago, Illinois, and at the regional authority's regional office and at the building where the meeting is to be held. In addition, an authority shall provide notice of its meetings to any newspaper or radio or television station that requests notice.
- g) **Minutes**
Minutes of each meeting shall be recorded by the secretary of the authority or designee and a copy retained by the secretary. The minutes, except as provided by Section 310.80(d) ~~of this Part~~, shall be available, within 7 days after their approval, for public inspection at the Commission's offices located in Springfield and Chicago, Illinois and the regional authority's regional office.
- h) **Location of the Meeting Place**
Each regional authority shall conduct meetings at locations within its regional boundaries so as to facilitate participation by the regional authority members and residents of the region.
- i) **Accessibility of Meeting Place**
Each regional authority shall conduct its meetings at facilities that are accessible to the mentally and physically impaired.

ILLINOIS GUARDIANSHIP AND ADVOCACY COMMISSION

NOTICE OF ADOPTED AMENDMENT

- j) **Public Comment**
A portion of each meeting shall be set aside for comments or questions by nonmembers.

- k) **Open Meetings Act**
The meetings of all regional authorities shall be conducted in compliance with the provisions of the Open Meetings Act [5 ILCS 120] and the Illinois Guardianship and Advocacy Act [20 ILCS 3955].

(Source: Amended at 44 Ill. Reg. 1976, effective December 31, 2019)

OFFICE OF THE SECRETARY OF STATE

NOTICE OF ADOPTED AMENDMENT

- 1) Heading of the Part: Departmental Duties
- 2) Code Citation: 2 Ill. Adm. Code 552
- 3) Section Number: 552.10 Adopted Action:
Amendment
- 4) Statutory Authority: Sections 10, 11 and 13 of the Securities Law of 1953 [815 ILCS 5], Section 1.05 of the Business Corporation Act of 1983 [805 ILCS 5], Sections 2-104(b) and 2-118 of the Illinois Vehicle Code [625 ILCS 5], Section 14 of the Secretary of State Act [15 ILCS 305], Sections 4.7, 5, 10 and 11 of the Lobbyist Registration Act [25 ILCS 170], Section 20-20 of the State Officials and Employees Ethics Act [5 ILCS 430]
- 5) Effective Date of Rule: December 31, 2019
- 6) Does this rulemaking contain an automatic repeal date? No
- 7) Does this rulemaking contain incorporations by reference? No
- 8) A copy of the adopted rule, including any material incorporated, is on file in the Agency's principal office and is available for public inspection.
- 9) Notice of Proposal published in the *Illinois Register*: 43 Ill. Reg. 9365; September 6, 2019
- 10) Has JCAR issued a Statement of Objection to this Rulemaking? No
- 11) Differences between Proposal and Final Version: None
- 12) Have all the changes agreed upon by the Agency and JCAR been made as indicated in the agreements issued by JCAR? None were made.
- 13) Will this rulemaking replace an emergency rule currently in effect? No
- 14) Are there any rulemakings pending on this Part? No
- 15) Summary and Purpose of Rulemaking: Updates addresses at which various services of process shall be made to SOS. Clarifies that SOS has the authority to accept service of process under specific statutes. Any court issued authorization of service of process to

OFFICE OF THE SECRETARY OF STATE

NOTICE OF ADOPTED AMENDMENT

SOS will be rejected unless SOS has specific statutory authority to accept that service of process. Makes technical updates.

- 16) Information and questions regarding this adopted rule shall be directed to:

Secretary of State
Pamela Wright
298 Howlett Building
Springfield IL 62756

217/785-3094
pwright@ilsos.gov

The full text of the Adopted Amendment begins on the next page:

OFFICE OF THE SECRETARY OF STATE

NOTICE OF ADOPTED AMENDMENT

TITLE 2: GOVERNMENTAL ORGANIZATION
SUBTITLE C: CONSTITUTIONAL OFFICERS
CHAPTER III: SECRETARY OF STATEPART 552
DEPARTMENTAL DUTIES

Section

| | |
|--------|---|
| 552.10 | Service of Process Upon the Secretary of State |
| 552.20 | Filing of Miscellaneous Documents with the Secretary of State |
| 552.30 | Initiating, Conducting and Completing Investigations and Lobbyist Sexual Harassment Reviews |

AUTHORITY: Sections 10, 11 and 13 of the Securities Law of 1953 [815 ILCS 5], Section 1.05 of the Business Corporation Act of 1983 [805 ILCS 5], Sections 2-104(b) and 2-118 of the Illinois Vehicle Code [625 ILCS 5], Section 14 of the Secretary of State Act [15 ILCS 305], Sections 4.7, 5, 10 and 11 of the Lobbyist Registration Act [25 ILCS 170], Section 20-20 of the State Officials and Employees Ethics Act [5 ILCS 430].

SOURCE: Adopted at 12 Ill. Reg. 3022, effective February 1, 1988; amended at 14 Ill. Reg. 6854, effective May 1, 1990; amended at 30 Ill. Reg. 15786, effective September 18, 2006; amended at 34 Ill. Reg. 3661, effective March 5, 2010; amended at 35 Ill. Reg. 10344, effective June 20, 2011; amended at 35 Ill. Reg. 18360, effective October 20, 2011; amended at 40 Ill. Reg. 8011, effective May 18, 2016; emergency amendment at 41 Ill. Reg. 14838, effective November 20, 2017, for a maximum of 150 days; emergency amendment expired April 18, 2018; amended at 42 Ill. Reg. 9554, effective May 22, 2018; amended at 44 Ill. Reg. 1981, effective December 31, 2019.

Section 552.10 Service of Process Upon the Secretary of State

The following procedure for substitute service of process upon the Secretary of State shall apply for the specific statute cited. The specific department of the Office of the Secretary of State stated in this Section shall receive the service of process and retain the records of the service in accordance with the applicable statutes and rules. Nothing in this Section or Section 552.20 shall affect in any way any substantive or procedural rights granted by the statutes referred to in this Section. Any service of process sent to the incorrect department within the Office of the Secretary of State shall be sent by that department's staff to the correct department as designated by this Section and Section 552.20. These Sections are intended only to allocate the responsibilities for the receipt and storage of service of process within the Office of the Secretary

OFFICE OF THE SECRETARY OF STATE

NOTICE OF ADOPTED AMENDMENT

of State.

- a) In cases involving the sale of securities, service of process upon the Secretary of State under Section 10 of the Illinois Securities Law of 1953 [815 ILCS 5] shall be made in accordance with 14 Ill. Adm. Code 130.120 and delivered to the Office of the General Counsel, Securities Department, 100 W. Randolph Street, Suite 5-400, Chicago, Illinois 60601 ~~300 West Jefferson, Suite 300A, Springfield, Illinois 62702, except that services of process by the Securities Department itself, pursuant to Section 10, 11 or 13 of the Illinois Securities Law of 1953, should be made upon the Index Department.~~
- b) In cases involving corporations under the Business Corporation Act of 1983 [805 ILCS 5], ~~or~~ the General Not For Profit Corporation Act of 1986 [805 ILCS 105], the Limited Liability Company Act [805 ILCS 180], the Uniform Partnership Act (1997) [805 ILCS 206], the Uniform Limited Partnership Act (2001) [805 ILCS 215], or Section 4-3 of the Corporate Fiduciary Act [205 ILCS 620], service of process upon the Secretary of State shall be made in accordance with 14 Ill. Adm. Code 150, Subpart E, ~~and~~ 14 Ill. Adm. Code 160.17, 14 Ill. Adm. Code 178.50, 14 Ill. Adm. Code 166.70, and 14 Ill. Adm. Code 171.70 and delivered to the Business Services Department, Room 350, Howlett Building, Springfield, Illinois 62756.
- c) In cases involving a motor vehicle collision involving a non-resident, service of process upon the Secretary of State under Section 10-301 of the Illinois Vehicle Code [625 ILCS 5/~~10-301~~] shall be delivered to the Office of the General Counsel, Room 298, Howlett Building, Springfield, Illinois 62756 or to the Office of the General Counsel, 100 W. Randolph Street, Suite 5-400, Chicago, Illinois 60601.
- d) In cases in which service of process upon the Secretary of State is permitted under one of the following statutes, and in all other cases to which subsection (a), (b) or (c) ~~of this Section~~ do not apply, service shall be made upon the Office of the General Counsel, Room 298, Howlett Building, Springfield, Illinois 62756 or the Office of the General Counsel, 100 W. Randolph Street, Suite 5-400, Chicago, Illinois 60601 ~~Index Department, 111 East Monroe Street, Springfield, Illinois 62756:~~

235 ILCS 5/8-14 (Liquor Control Act of 1934)

OFFICE OF THE SECRETARY OF STATE

NOTICE OF ADOPTED AMENDMENT

820 ILCS 405/2208 ([Unemployment Insurance Act](#)~~Illinois Insurance Code~~)

215 ILCS 5/121-6 and 121-7 (Illinois Insurance Code)

735 ILCS 5/2-209 (Civil Practice Law (Products Liability – \$5.00 filing fee))

735 ILCS 5/2-210 (Civil Practice Law (Aircraft and Ship Owners and Operators – \$2.00 filing fee))

35 ILCS 5/1404 (Illinois Income Tax Act)

35 ILCS 105/12a (Use Tax Act)

35 ILCS 110/13 (Service Use Tax Act)

35 ILCS 115/13 (Service Occupation Tax Act)

35 ILCS 120/5i (Retailers' Occupation Tax Act)

765 ILCS 1036/15 ~~and 30~~ (Trademark Registration and Protection Act)

e) In cases involving the Secretary of State as a party, such as any administrative review actions contesting a rule or procedure of any Secretary of State department, any civil rights actions and personnel action, service of process shall be made upon the Office of the General Counsel, Room 298, Howlett Building, Springfield, Illinois 62756 [or the Office of the General Counsel, 100 W. Randolph Street, Suite 5-400, Chicago, Illinois 60601.](#)

f) [The Secretary of State is not the default agent for service of process in the State of Illinois. The Secretary of State has the authority to accept service of process only in those specifically mandated areas of the law stated in subsections \(a\) through \(d\) and as determined by the Illinois General Assembly. Any court order issued authorizing service of process upon the Secretary of State pursuant to Section 2-203.1 of the Code of Civil Procedure will be rejected unless the Secretary of State has the specific statutory authority to accept that service of process. Attempts to serve the Secretary of State under Section 2-203.1 will be denied and service rejected.](#)

(Source: Amended at 44 Ill. Reg. 1981, effective December 31, 2019)

SECRETARY OF STATE

NOTICE OF ADOPTED AMENDMENT

- 1) Heading of the Part: Illinois State Library, Government Documents Section
- 2) Code Citation: 23 Ill. Adm. Code 3020
- 3) Section Number: 3020.150 Adopted Action:
Amendment
- 4) Statutory Authority: Implementing Section 21 and authorized by Section 2 of the State Library Act [15 ILCS 320].
- 5) Effective Date of Rule: January 7, 2020
- 6) Does this rulemaking contain an automatic repeal date? No
- 7) Does this rulemaking contain incorporations by reference? No
- 8) A copy of the adopted rule, including any material incorporated by reference, is on file and available at the Illinois State Library, Gwendolyn Brooks Building, 300 South Second Street, Springfield IL 62701-1796.
- 9) Notice of Proposal published in the *Illinois Register*: 43 Ill. Reg. 10404, September 20, 2019
- 10) Has JCAR issued a Statement of Objection to this rulemaking? No
- 11) Differences between Proposal and Final Version: None
- 12) Have all changes agreed upon by the Agency and JCAR been made as indicated in the agreement letter from JCAR? None were made.
- 13) Will this rulemaking replace an emergency rule currently in effect? No
- 14) Are there any other rulemakings pending on this Part: No
- 15) A Complete Description of the Subjects and Issues Involved: Changes the date for agencies to report the person responsible for submitting publications to the Government Document Section from January 15 to July 15. This allows additional time for agencies to respond more accurately if a transition occurs after a General Election.

SECRETARY OF STATE

NOTICE OF ADOPTED AMENDMENT

- 16) Information and questions regarding this adopted rule shall be directed to:

Joseph Natale
Rules Coordinator
Illinois State Library
Gwendolyn Brooks Building
Springfield IL 62701-1796

217/558-4185
jnatale@ilsos.net

The full text of the Adopted Amendment begins on the next page:

SECRETARY OF STATE

NOTICE OF ADOPTED AMENDMENT

TITLE 23: EDUCATION AND CULTURAL RESOURCES
SUBTITLE B: CULTURAL RESOURCES
CHAPTER I: SECRETARY OF STATE

PART 3020

ILLINOIS STATE LIBRARY, GOVERNMENT DOCUMENTS SECTION

SUBPART A: DEPOSIT OF PUBLICATIONS

Section

| | |
|----------|---|
| 3020.100 | Definitions |
| 3020.110 | State Agency Publications |
| 3020.120 | State University Publications and Presses |
| 3020.130 | Delivery Cost and Responsibility |
| 3020.140 | Excess Copies (Repealed) |
| 3020.150 | Administrator of State Agency |
| 3020.160 | Lists of Published Materials |

SUBPART B: DEPOSITORY LIBRARIES

Section

| | |
|----------|--|
| 3020.200 | Designation of Depositories |
| 3020.210 | Retention and Disposal of Publications |
| 3020.220 | Citizen Access to Publications |
| 3020.230 | Inspection of Depositories (Repealed) |
| 3020.240 | Termination of Depository Status |

AUTHORITY: Implementing Section 21 and authorized by Section 2 of the State Library Act [15 ILCS 320].

SOURCE: Filed effective December 21, 1967; rules repealed, new rules adopted and codified at 8 Ill. Reg. 319, effective December 27, 1983; amended at 10 Ill. Reg. 4555, effective July 1, 1986; amended at 27 Ill. Reg. 219, effective January 1, 2003; amended at 33 Ill. Reg. 4169, effective February 27, 2009; amended at 34 Ill. Reg. 19115, effective November 22, 2010; amended at 36 Ill. Reg. 3248, effective February 16, 2012; amended at 37 Ill. Reg. 18918, effective November 7, 2013; amended at 41 Ill. Reg. 3937, effective March 20, 2017; amended at 44 Ill. Reg. 1986, effective January 7, 2020.

SUBPART A: DEPOSIT OF PUBLICATIONS

SECRETARY OF STATE

NOTICE OF ADOPTED AMENDMENT

Section 3020.150 Administrator of State Agency

By ~~July~~[January](#) 15 of each year, each State agency shall inform the Government Documents Section in writing of the person, persons, or positions responsible for distribution of publications of that agency. The Government Documents Section shall be notified within two weeks after any changes.

(Source: Amended at 44 Ill. Reg. 1986, effective January 7, 2020)

SECRETARY OF STATE

NOTICE OF ADOPTED AMENDMENTS

- 1) Heading of the Part: Illinois State Library Grant Programs
- 2) Code Citation: 23 Ill. Adm. Code 3035
- 3)

| | |
|-------------------------|-------------------------|
| <u>Section Numbers:</u> | <u>Adopted Actions:</u> |
| 3035.140 | Amendment |
| 3035.210 | Amendment |
| 3035.450 | Amendment |
| 3035.520 | Amendment |
| 3035.730 | Amendment |
- 4) Statutory Authority: Implementing and authorized by the Illinois Library System Act [75 ILCS 10], the State Library Act [15 ILCS 320], the Illinois Literacy Act [15 ILCS 322], the federal Library Services and Technology Act (20 USC 9141), the Accessible Electronic Information Act [15 ILCS 323] and 36 CFR 701.10, and Section 3 of the Capital Development Bond Act of 1972 [30 ILCS 420].
- 5) Effective Date of Rules: January 7, 2020
- 6) Does this rulemaking contain an automatic repeal date? No
- 7) Does this rulemaking contain incorporations by reference? In Section 3035.140 (e)(2), the incorporation by reference regarding the Not-for-Profit Entities AICPA Auditing and Accounting Guide is being updated from the March 2012 to the April 2017 edition. In Section 3035.730, OMB Circular A-102 (1997) has been replaced by 2 CFR 200 (2014).
- 8) A copy of the adopted rules, including any material incorporated by reference, is on file and available at the Illinois State Library, Gwendolyn Brooks Building, 300 South Second Street, Springfield IL 62701-1796.
- 9) Notice of Proposal published in the *Illinois Register*: 43 Ill. Reg. 10408; September 20, 2019
- 10) Has JCAR issued a Statement of Objection to this rulemaking? No
- 11) Difference between Proposal and Final Version: Section 3035.230(b) is deleted from the adopted rulemaking at the request of JCAR in order to preserve the existing criteria for literacy grant application review. The language regarding proof of long-term occupancy for public libraries receiving mini-grants is being retained in Section 3035.450(b)(1)(J).

SECRETARY OF STATE

NOTICE OF ADOPTED AMENDMENTS

- 12) Have all changes agreed upon by the Agency and JCAR been made as indicated in the agreement letter from JCAR? Yes
- 13) Will this rulemaking replace any emergency rule currently in effect? No
- 14) Are there any other rulemakings pending on this Part: No
- 15) A Complete Description of the Subjects and Issues Involved: In Section 23 Ill. Adm. Code 140(e)(1) and (2), the incorporations by reference were updated and URLs were added at the request of JCAR. The definition of "Instructional Materials" in Section 3035.210 is being clarified in order to address issues of electronic and instructional resources; and computation and computing skills. This rulemaking changes the name from the Illinois Historic Preservation Agency to the Illinois Historic Preservation Office in Section 23 Ill. Adm. Code 3035.450(b)(1)(H) and 23 Ill. Adm. Code 520(b)(3)(A). In addition, the requirement for an Americans with Disabilities Act self-evaluation in Section 23 Ill. Adm. Code 3035.520(b)(3)(D) is being removed because it is now a requirement that all construction projects comply with the ADA.
- 16) Information and questions regarding this adopted rules shall be directed to:

Joseph Natale
Rules Coordinator
Illinois State Library
Gwendolyn Brooks Building
Springfield IL 62701-1796

217/558-4185
jnatale@ilsos.net

The full text of the Adopted Amendments begins on the next page:

SECRETARY OF STATE

NOTICE OF ADOPTED AMENDMENTS

TITLE 23: EDUCATION AND CULTURAL RESOURCES
SUBTITLE B: CULTURAL RESOURCES
CHAPTER I: SECRETARY OF STATE

PART 3035
ILLINOIS STATE LIBRARY GRANT PROGRAMS

SUBPART A: STATE GRANTS

| | |
|----------|---|
| Section | |
| 3035.10 | Definitions |
| 3035.100 | System Area and Per Capita Grants |
| 3035.105 | Library System Technology Grants |
| 3035.110 | Special Library Services to Persons with a Print Disability |
| 3035.115 | Public Library Per Capita and Equalization Aid Grants |
| 3035.120 | School District Library Grant Program |
| 3035.125 | Library Grants for Veterans' Homes |
| 3035.130 | Educate & Automate Automation/Technology Grants |
| 3035.135 | Requirements, Denial and Revocation of Approval |
| 3035.140 | Grants, Expenditures and Audits |
| 3035.150 | Appeal Procedure |

SUBPART B: LITERACY GRANT PROGRAM

| | |
|----------|---|
| Section | |
| 3035.200 | Purpose |
| 3035.210 | Definitions |
| 3035.220 | Application for Grant |
| 3035.230 | Review of Grant Applications |
| 3035.240 | Award of Grants, Accountability and Recordkeeping |
| 3035.250 | Cancellation of Grant |
| 3035.260 | Fiscal Procedures |
| 3035.270 | Other Requirements (Repealed) |
| 3035.280 | Penny Severns' Grant Program (Repealed) |

SUBPART C: SCHOLARSHIP PROGRAM GRANTS

| | |
|----------|---------|
| Section | |
| 3035.300 | Purpose |

SECRETARY OF STATE

NOTICE OF ADOPTED AMENDMENTS

| | |
|----------|--|
| 3035.310 | Definitions |
| 3035.320 | Number and Amount of Scholarship Program Grants |
| 3035.330 | Illinois Library Schools and Attendance Requirements |
| 3035.340 | Eligibility Requirements |
| 3035.350 | Application Process |
| 3035.360 | Selection of Scholarship Program Grantees |
| 3035.370 | Conditions of Scholarship Program Grants |

SUBPART D: LIVE AND LEARN CONSTRUCTION GRANTS

| | |
|----------|--|
| Section | |
| 3035.400 | Purpose |
| 3035.410 | Definitions |
| 3035.420 | Duty to Administer |
| 3035.430 | Priorities in Library Grant Construction Proposals |
| 3035.435 | Grant Funding Limitations |
| 3035.440 | Additional Grant Funds |
| 3035.450 | Grant Application Procedure |
| 3035.460 | Requirements and Conditions of Grant Funds |
| 3035.470 | Remodeling for Accessibility |
| 3035.480 | Shared Use Facilities |
| 3035.490 | Disbursement of Grant Funds |

SUBPART E: PUBLIC LIBRARY CONSTRUCTION ACT GRANTS

| | |
|----------|---|
| Section | |
| 3035.500 | Purpose |
| 3035.510 | Definitions |
| 3035.515 | Eligibility Requirements |
| 3035.520 | Grant Applications |
| 3035.525 | Priority of Public Library Construction Act Projects |
| 3035.530 | Grant Amounts and Use |
| 3035.535 | Grant Awards |
| 3035.540 | Supervision of Public Library Construction Act Projects |
| 3035.550 | Carry-over Projects |
| 3035.555 | Referendum Requirements |
| 3035.560 | Public Library Capital Needs Assessment |
| 3035.565 | Public Library Site Selection |
| 3035.570 | Eligible Project Costs |

SECRETARY OF STATE

NOTICE OF ADOPTED AMENDMENTS

- 3035.575 General Standards and Guidelines for the Appropriate Utilization of Bond Proceeds
3035.580 Standardized Definitions and Guidelines
3035.585 Limitations on Expenditures of Bond Proceeds

SUBPART F: TALKING BOOK AND BRAILLE SERVICE (TBBS)

Section

- 3035.600 Purpose
3035.610 Definitions
3035.620 Eligibility
3035.630 Application for Service
3035.640 Application for Grant
3035.650 Provision of Information Transmission Services
3035.660 Remittance for Information Transmission Services

SUBPART G: LIBRARY SERVICES AND TECHNOLOGY ACT GRANTS (LSTA)

Section

- 3035.700 Purpose
3035.710 Definitions
3035.720 Duty to Administer
3035.730 Grant Application and Awards

- 3035.EXHIBIT A Differences Among the Three Types of Literacy Grant Programs
3035.EXHIBIT B Guidelines for Rating Life Safety/Legal Issues (Repealed)

AUTHORITY: Implementing and authorized by the Illinois Library System Act [75 ILCS 10], the State Library Act [15 ILCS 320], the Illinois Literacy Act [15 ILCS 322], the federal Library Services and Technology Act (20 USC 9141), the Accessible Electronic Information Act [15 ILCS 323] and 36 CFR 701.10, and Section 3 of the Capital Development Bond Act of 1972 [30 ILCS 420].

SOURCE: Adopted at 31 Ill. Reg. 16310, effective November 20, 2007; amended at 32 Ill. Reg. 9666, effective June 23, 2008; amended at 33 Ill. Reg. 4180, effective February 27, 2009; amended at 35 Ill. Reg. 18366, effective October 18, 2011; amended at 36 Ill. Reg. 12385, effective July 18, 2012; amended at 37 Ill. Reg. 4348, effective March 19, 2013; amended at 37 Ill. Reg. 18922, effective November 7, 2013; amended at 39 Ill. Reg. 5218, effective March 20,

SECRETARY OF STATE

NOTICE OF ADOPTED AMENDMENTS

2015; amended at 40 Ill. Reg. 2808, effective January 22, 2016; amended at 44 Ill. Reg. 1990, effective January 7, 2020.

SUBPART A: STATE GRANTS

Section 3035.140 Grants, Expenditures and Audits

- a) The Illinois State Library staff shall review grant applications. Additional qualified reviewers may be selected by the Director of the Illinois State Library as needed. If a grant reviewer has an application in competition or a conflict of interest during a review cycle, that grant reviewer shall not serve on the related review committee during that cycle. The decision of the State Librarian is final.
- b) The Illinois State Library will notify the submitting agency when the application is approved for contractual purposes. As stipulated by the grant agreement, the following reports will be completed and transmitted to the Illinois State Library:
 - 1) Quarterly narrative and financial reports showing expenditures made from grant funds by line item.
 - 2) Final narrative and financial reports evaluating the degree to which the grantee achieved the goals and objectives of the project.
- c) Changes in the project budget of over 10 percent of the grant award shall have prior approval in writing from the Illinois State Library. Recipients of the Public Library Per Capita and Equalization Aid Grants, the School Per Capita Grant, Live and Learn Construction Grant and Public Library Construction Grant shall follow the requirements in Sections 3035.115, 3035.120, 3035.450(b)(2)(H) and 3035.540(h), respectively.
- d) Failure to spend funds in accordance with the application or approved amendment and the reporting procedures stipulated in this Part shall result in ineligibility for future grants for a period of one year.
- e) All State funded grantees receiving grants under Subparts A, B, D, E, F and G at a combined cumulative total of \$175,000 or more in Secretary of State grant awards in the same fiscal year shall submit an agency-wide audit upon completion of the grant activity.

SECRETARY OF STATE

NOTICE OF ADOPTED AMENDMENTS

- 1) All governmental unit audits shall comply with Government Auditing Standards (also known as "GAGAS" or the "Yellow Book" (2018 Revision); ~~December 2011 Revision~~, published by the Comptroller General of the United States, Government Accountability Office, 441 G. Street, NW, Washington DC 20548 (<http://www.gao.gov/yellowbook>). No later amendments to these standards are incorporated in this Section.
- 2) All not-for-profit agency audits will comply with Not-for-Profit Entities AICPA Audit and Accounting Guide: April 2017~~March 2012~~, published by the American Institute of Certified Public Accountants, 1345~~1211~~ Avenue of the Americas, 27th Floor, New York NY 10105 (<http://www.aicpa.org>)~~10036~~. No later amendments to these standards are incorporated in this Section.
- f) The Illinois State Library may request additional information and data from any governmental unit, not-for-profit agency, public corporation or other entity submitting a grant application.

(Source: Amended at 44 Ill. Reg. 1990, effective January 7, 2020)

SUBPART B: LITERACY GRANT PROGRAM

Section 3035.210 Definitions

"Adult Literacy Program" means a structured program that provides direct instructional services in reading, writing, comprehension, computation or English language skills to adult students using volunteer tutors.

"Adult Literacy Provider Agency" means an educational agency providing basic skills or English language instruction to adult students.

"Adult Student" means an individual in Illinois who has exceeded the maximum age for compulsory schooling (17), is not currently enrolled in school (see 105 ILCS 5/Art. 26) and qualifies for instructional services through an educational assessment.

"Applicant" means "Submitting Agency" as defined in this Section.

"Application" means the formal request for a literacy grant submitted to the Illinois State Library pursuant to this Subpart.

SECRETARY OF STATE

NOTICE OF ADOPTED AMENDMENTS

"Basic Skills Instruction" means instructional services in reading, writing, comprehension and/or computation.

"Businesses" means public or private employers. Businesses functioning as educational agencies may not be a for-profit entity.

"Child Education Agency" means an entity working with children at risk of school failure.

"Coalition" means a structured cooperative effort among libraries, education agencies, and community-based organizations, or any combination of these entities, at the local or regional level.

"Community" means any county or municipality in Illinois.

"Community-based Organization" means a private or public not-for-profit organization, including volunteer organizations, located in an Illinois community, that provides services to citizens within that community and the surrounding area.

"Computation" means to determine by mathematics.

"Contract" means the agreement between the Illinois State Library and submitting agency to implement a literacy project.

"Educational Agencies" means those entities eligible to apply that are public libraries and are members of an Illinois regional library system; community colleges, school districts and regional offices of education that are certified by the Illinois Board of Higher Education, the Illinois State Board of Education or the Illinois Community College Board; community based organizations, volunteer agencies or a coalition of those entities, and businesses as defined in this Section. If not a governmental entity, the agency must have been granted 501(c)(3) status by the Internal Revenue Service.

"Educational Assessment" means testing methods that measure the educational skills possessed by adults, including reading, writing, comprehension, computation or English language skills.

"English Language Instruction" means instructional services in reading, writing, comprehension, computation and speaking the English language.

SECRETARY OF STATE

NOTICE OF ADOPTED AMENDMENTS

"Family Literacy" means a structured program that provides direct instructional services in basic skills or English language, parenting instruction for adults, developmentally appropriate activities for children, structured reciprocal time for both to learn together, and library education.

"Fiscal Year" means the fiscal year of the State of Illinois.

"Instructional Materials" means written ~~and electronic materials~~ ~~and computer software programs~~ that are used in teaching adults basic reading, writing, comprehension, computation or English language skills.

"LAB" means the Literacy Advisory Board established by ~~Section 7.2 of~~ the State Library Act [15 ILCS 320/7.2].

"Library" means a tax-supported public library within an Illinois library system.

"Literacy" means the ability of an individual to read, write, compute, comprehend and speak English above the 9.0 grade level or speak English above student performance level 7 as measured by an educational skills assessment.

"Literacy Program" means a structured project or program that provides direct instructional services in literacy to adult students.

"Math Student" means an adult whose math skills are below the 9.0 grade level and who is enrolled in the literacy program for math instruction.

"Partnering Agency" means those agencies who will receive part of the grant funds or who will actively participate in the literacy project as an essential component of that project, without whose participation the project would fail or be radically changed.

"Secretary of State" means the Illinois Secretary of State, who is the State Librarian.

"Site Visit" means a visit conducted by a literacy grant monitor to a literacy grant recipient to determine whether the project meets or maintains the criteria of the grant program. The site visit may be made in person, by phone or by electronic means, at the discretion of the Illinois State Library Literacy Office.

SECRETARY OF STATE

NOTICE OF ADOPTED AMENDMENTS

"State Library" or "ISL" means the Illinois State Library, a department of the Illinois Secretary of State established pursuant to the State Library Act [15 ILCS 320].

"Submitting agency" means the eligible education agency or business authorized to submit a literacy grant application. The submitting agency shall be the legal entity responsible for the disbursement of public funds.

"Testing" means educational assessment.

"Volunteer Tutor" means an unpaid, trained individual over the age of 17 who provides one to one or small group instruction to adult students.

"Workplace Literacy Program" means a structured program that provides direct instructional services in reading, writing, comprehension, computation or English language skills to adult employees or prospective employees at their place of employment.

(Source: Amended at 44 Ill. Reg. 1990, effective January 7, 2020)

SUBPART D: LIVE AND LEARN CONSTRUCTION GRANTS

Section 3035.450 Grant Application Procedure

The following application procedures shall apply:

- a) The Illinois State Library shall issue application forms for library construction grants under this program.
- b) Applying libraries and library systems shall submit a signed, completed current library construction grant application, together with the following documents or written assurances, to be eligible for library construction grants. To be eligible for a Live and Learn Grant, the applicant shall provide:
 - 1) Application Phase
 - A) The assurances contained in this Section, as listed in the Construction Grant Application Form.

SECRETARY OF STATE

NOTICE OF ADOPTED AMENDMENTS

- B) A statement describing the necessity for the proposed project.
- C) A statement of plans to meet existing library standards of service, *Serving Our Public 3.0: Standards for Illinois Public Libraries (2014)*, incorporated by reference in Section 3035.115. This subsection (b)(1)(C) shall not apply to library systems.
- D) A description of the project's potential contribution to the improvement of library services within the library's area of service and in any other portions of the State.
- E) A facility plan. For projects with a total cost of over \$150,000, a library building consultant may work with the library in developing the facility plan. The library board shall select a building consultant in accordance with the Illinois Local Library Act [75 ILCS 5/4-7] and the Illinois Library District Act [75 ILCS 16/30-55.40].
- F) For projects with a total cost equal to or greater than \$75,000, assurance that an architect or engineer licensed to practice in Illinois is being utilized.
- G) Project design, with a site plan, outline of specifications and an estimated cost per square foot.
- H) A letter from the Illinois Historic Preservation [OfficeAgency](#) evidencing compliance with the Illinois State Agency Historic Resources Preservation Act [20 ILCS 3420].
- I) For new construction, additions and projects involving evacuation of soil:
 - i) Documentation stating whether the project site is located in a Special Flood Hazard Area found at the Illinois State Water Survey's Illinois Floodplain Map website (<http://www.illinoisfloodmaps.org/>). If the project site is located in a Special Flood Hazard Area, the applicant shall submit an assurance letter from the Division of Water

SECRETARY OF STATE

NOTICE OF ADOPTED AMENDMENTS

Resources of the Department of Natural Resources stating that the project meets the requirements of Executive Order 2006-5 regarding flood damages.

- ii) A subsurface soil analysis by a soils engineer.
 - iii) A site assessment by a licensed environmental/hazardous materials consultant to determine the existence of asbestos, lead paint or any toxic substance. This assurance does not apply to new buildings unless demolition of existing buildings (other than residences) has occurred or is necessary.
- J) Assurance that the real estate affected by the proposed construction is available to the library or library system, as is the legal description of the affected real estate. A deed of ownership or proof of long-term occupancy (20-year minimum) shall be provided, except for mini-grants. The applicant shall provide assurance that the building will remain in use as a public library or library system facility for not less than 20 years after its construction unless other use is approved by the Director of the Illinois State Library.
- K) Other funds designated for construction that are immediately available to the library upon application. Funds may include a mortgage commitment letter from a financial institution licensed by a state or the federal government. Assurances from the applicant that a referendum is pending or various fundraising activities will be undertaken in the future, with the amount to be raised remaining uncertain, shall not be counted as part of the local matching funds for the purposes of Section 3035.400.
- 2) Construction Phase
- A) An assurance that the grantee library will expend 100% of Secretary of State library construction grant funds within 12 months after the execution of the grant agreement. If the grantee fails to submit a final report, or an audit, if applicable, within 24 months after the execution of the contract, the grant shall be

SECRETARY OF STATE

NOTICE OF ADOPTED AMENDMENTS

forfeited unless an extension is granted by the Director of the Illinois State Library.

- B) An assurance that the construction work will be performed under the lump sum (fixed price) contract method.
- C) An assurance that the library will publicly announce all requirements for architectural, engineering and land surveying services and procure these services on the basis of demonstrated competence and qualifications and negotiate contracts at fair and reasonable prices, in accordance with the Illinois Local Library Act [75 ILCS 5/5-5] and the Illinois Library District Act [75 ILCS 16/40-45].
- D) Architectural, engineering and land surveying contracts made in accordance with the Local Government Professional Services Selection Act [50 ILCS 510].
- E) An assurance that adequate methods of obtaining competitive bidding will be employed prior to awarding the construction contract by public advertising in a newspaper of general circulation in the area, and the award of the contract will be made to the responsible bidder submitting the lowest acceptable bid, in accordance with the Illinois Local Library Act and the Illinois Library District Act. A copy of the advertisement, with verification of the date of publication and name of the newspaper, shall be submitted to the Illinois State Library within 10 days after publication.
- F) An assurance that all laborers and mechanics employed by the contractor or subcontractors on all construction projects will be paid wages at rates not less than those prevailing on similar construction in the locality, as determined by the Illinois Department of Labor in accordance with the Prevailing Wage Act [820 ILCS 130].
- G) An assurance that a copy of the building permit will be supplied to the Illinois State Library prior to the actual construction and that

SECRETARY OF STATE

NOTICE OF ADOPTED AMENDMENTS

the permit will be posted in a prominent place on the construction site.

- H) An assurance that any change in the Plans and Specifications requiring a work change order will be submitted to the Illinois State Library. All change orders shall be subject to the Illinois Public Works Contract Change Order Act [50 ILCS 525]. The Illinois State Library shall be notified of and approve or deny any change orders of \$20,000 or more and the modification of any public areas of the grantee library from the proposed original plans of the approved grant application. The change order will be accompanied by a letter stating that there is no adverse impact on library services. Change orders do not affect the grant award amount.
- I) An assurance that contractors and subcontractors will comply with all applicable provisions of the Illinois Human Rights Act [775 ILCS 5] and all federal and State laws, rules and regulations that prohibit discrimination because of race, color, religion, sex, marital status, national origin, ancestry, age and physical or mental handicap.
- J) Construction contracts signed by both the library board (or library system board) and contractors that is, or is comparable to, the Standard Form of Agreement Between Owner & Contractor A-101-~~20172007~~, published by the American Institute of ~~Architects~~Architecture, 1735 New York Ave., NW, Washington DC 20006-5292 (<http://www.aia.org>). No later amendments to this form are incorporated in this Section. Contracts are to be submitted to the Illinois State Library prior to the start of construction; also, all subcontractors are to perform work in accordance with the conditions and standards contained in the contracts signed by the board and the Illinois State Library. The Illinois State Library shall have the right to disapprove any contracts between the library board or library system board and contractors if:
 - i) The bidding procedure outlined in subsection (b)(2)(E) was not followed.

SECRETARY OF STATE

NOTICE OF ADOPTED AMENDMENTS

- ii) The conditions and standards specified in the contract between the Illinois State Library and the library board are not incorporated into the contracts between the library board or library system board and the contractors.
- K) An assurance that a sign will be displayed on the construction site stating that State funds administered by the State Librarian are being used for the construction and that a plaque will be placed in the completed building stating that State funds administered by the State Librarian were used for the project.
- L) An assurance that construction will not begin until a contract is executed with the State Librarian.
- M) An assurance that construction will commence within 140 days after the effective date of the grant contract, according to Section 3035.435(f).
- N) An assurance that any agent authorized by the Illinois State Library, upon presentation of credentials and in accordance with the constitutional limitation on administrative searches, will have full access to, and the right to examine, any records, books, papers or documents of the grantee involving transactions related to the grant.
- O) An assurance that the following reports and records will be completed and transmitted to the Illinois State Library: quarterly narrative and financial reports; notification within 15 days after completion of the project; a close-out report that is a final financial and narrative report within 24 months after the execution of the contract, unless an extension is granted by the Director of the Illinois State Library; and other reports and documents, such as prevailing wage rates and receipts to verify vouchers, as reasonably may be required by the State Library. The final financial report shall be signed by the president of the library's board of directors.

SECRETARY OF STATE

NOTICE OF ADOPTED AMENDMENTS

- i) Financial reports shall show: the amount of authorized State and local funds; interest earned on grant funds; expenditures made from grant funds and from interest earned on grant funds; obligated funds, by amount of line item remaining compared to the original budget.
 - ii) Narrative reports shall state: the progress of the project; accomplishments to date; problems encountered; objectives met and unmet; changes implemented; and the percentage of completion of the project to date.
 - iii) The final narrative report shall evaluate the degree to which the grantee achieved the goals and objectives of the project. The final narrative report shall include a project audit according to Section 3035.140(e).
 - iv) For a project that requires an architect or engineer, the architect or engineer shall certify to the Illinois State Library when the project reaches the 50% and 100% stage of completion.
- P) An assurance that, when construction is complete, sufficient funds will be available for effective operation and maintenance of the facilities, in accordance with applicable federal, State and local requirements.
- Q) An assurance that any interest earned on the grant funds will be expended, without limitation or exception, exclusively on the subject construction project.
- c) Some of the documentation and written assurances may be waived in the application, upon approval of the Illinois State Library, except that subsections (b)(2)(F) and (b)(2)(I) will not be waived.
 - d) Applications will be considered in accordance with Section 3035.420(c).
 - e) Grant applications are subject to the conditions stipulated in Section 3035.135.

SECRETARY OF STATE

NOTICE OF ADOPTED AMENDMENTS

- f) Grant monies awarded are based on the amount specified in the original budget in the grant application; grant awards will not be increased because of subsequent increases in project costs.

(Source: Amended at 44 Ill. Reg. 1990, effective January 7, 2020)

SUBPART E: PUBLIC LIBRARY CONSTRUCTION ACT GRANTS

Section 3035.520 Grant Applications

- a) For projects with a total cost equal to or greater than \$75,000, an architect or engineer licensed to practice in Illinois is required. The selection of an architect-engineer shall be in accordance with the Local Government Professional Services Selection Act [50 ILCS 510]. Public libraries are allowed to choose the architect and engineer for their public library construction projects. No project may be disapproved by the State Librarian solely due to a public library's selection of an architect or engineer as stipulated in this subsection.
- b) To qualify for and be eligible for a public library construction grant, public libraries shall apply to the State Librarian for public library construction project grants on the forms prepared and made available for this purpose. The grant application shall include these components:
- 1) A service plan statement of no more than two pages that states:
 - A) How the project addresses one or more to the five levels of priority described in Section 15-30 of the Act.
 - B) The necessity for the proposed project.
 - C) Plans to meet Serving Our Public 3.0: Standards for Illinois Public Libraries (2014), incorporated by reference in Section 3035.115(a).
 - D) A description of the project's potential contribution to the improvement of library services within the library's area of service and in any other portions of the State.
 - 2) A public library facilities plan with the following components:

SECRETARY OF STATE

NOTICE OF ADOPTED AMENDMENTS

- A) An examination of the present and future public library facility needs of present and anticipated public library programming. Library buildings are to be planned for 20 year population projection (for new construction, conversions and additions to buildings).
 - B) A site analysis, space needs assessment and project design.
 - C) How the library facility will provide access for the physically handicapped, as required in the Illinois Capital Development Board's Illinois Accessibility Code (71 Ill. Adm. Code 400) and shall display the symbol of accessibility.
- 3) Supporting Documentation:-
- A) A letter from the Illinois Historic Preservation ~~Office~~ Agency evidencing compliance with the Illinois State Agency Historic Resources Preservation Act [20 ILCS 3420].
 - B) For new construction, additions and projects involving evacuation of soil:
 - i) Documentation stating whether the project site is located in a Special Flood Hazard Area (found at the Illinois State Water Survey's Illinois Floodplain Map website, <http://www.illinoisfloodmaps.org/>). If the project site is located in a Special Flood Hazard Area, the applicant shall submit an assurance letter from the Division of Water Resources of the Department of Natural Resources stating that the project meets the requirements of Executive Order 2006-5 regarding flood damages.
 - ii) A subsurface soil analysis by a soils engineer and environmental site assessment, if applicable.
 - iii) A site assessment by a licensed environmental/hazardous materials consultant to determine the existence of asbestos and/or lead paint. This assurance does not apply to new

SECRETARY OF STATE

NOTICE OF ADOPTED AMENDMENTS

buildings unless demolition of existing buildings (other than residences) is necessary.

- C) Documentation that a deed of ownership or proof of long-term occupancy (20-year minimum) is or will be available to the public library. The deed or lease agreement shall include a legal description of the affected real estate. The building must remain in use as a public library facility for not less than 20 years after its construction unless other use is approved by the State Librarian.
- ~~D)~~ ~~An Americans With Disabilities Act Self-Evaluation, except for new construction projects.~~
- ~~DE)~~ Funding sources and cost estimates, including the availability of local financial resources, current revenues, fund balances, and unused bonding capacity, and a fiscal plan for meeting present and anticipated debt service obligations.
- ~~E)F)~~ A timeline of major events, including dates of the letting of bids, groundbreaking, substantial completion, occupancy and dedication.
- ~~F)G)~~ A maintenance plan and schedule that contains necessary assurances that new, renovated and existing facilities are being or will be properly maintained.
- c) Each public library that is determined to be eligible shall annually update its public library facilities plan and submit the revised plan to the State Librarian for approval.
- d) Eligible libraries are qualified for a library construction project grant, but are not guaranteed receipt of a grant.
- e) Grant applications are subject to the conditions stipulated in Section 3035.140.
- f) In Fiscal Year 2013 and in subsequent fiscal years, grant applications are due on April 15 prior to the fiscal year in which a grant award will be made. In the case of a disaster described in Section 3035.525(a)(1), a library may submit an application for a Public Library Construction Act grant at any time.

SECRETARY OF STATE

NOTICE OF ADOPTED AMENDMENTS

- g) In all projects in which the acquisition of property is pending as permitted in Section 3035.565(c), supporting documentation related to the project site shall be provided before a grant award is made.

(Source: Amended at 44 Ill. Reg. 1990, effective January 7, 2020)

SUBPART G: LIBRARY SERVICES AND TECHNOLOGY ACT GRANTS (LSTA)

Section 3035.730 Grant Application and Awards

- a) Applications shall be submitted to the Illinois State Library in a manner prescribed by the State Librarian. Applicants shall provide the information stipulated in Section 3035.135(d).
- b) The Illinois State Library shall award grants subject to the conditions stipulated in Sections 3035.135 and 3035.140, 2 CFR 215 (2004) and [2 CFR 200 \(2014\)](#) ~~OMB Circular A-102 (1997)~~.
- c) Awards shall be made on or after July 1 of every year for the fiscal year then commencing.
- d) The grant period shall be contained within the fiscal year in which the grant is awarded, unless otherwise specified in the grant agreement, but in no event shall the grant period continue for more than 3 years.
- e) The applicant shall submit reports, in accordance with Section 3035.140(b), on the use of grant funds as required by the Illinois State Library.
- f) Obligations of the Illinois State Library to fund this grant program will cease immediately without penalty or further payment being required if the Institute of Museum and Library Services or the United States Congress fails to appropriate or otherwise make available sufficient funds. Award notification will be released upon legislative and gubernatorial approval of an appropriation.

(Source: Amended at 44 Ill. Reg. 1990, effective January 7, 2020)

OFFICE OF THE SECRETARY OF STATE

NOTICE OF ADOPTED AMENDMENTS

- 1) Heading of the Part: Public Library Non-Resident Services
- 2) Code Citation: 23 Ill. Adm. Code 3050
- 3)

| | |
|--------------------------|--------------------------|
| <u>Section Numbers</u> : | <u>Adopted Actions</u> : |
| 3050.20 | Amendment |
| 3050.70 | Amendment |
- 4) Statutory Authority: Implementing and authorized by Section 4-17 of the Illinois Local Library Act [75 ILCS 5], and authorized by Section 30-55.60 of the Public Library District Act of 1991 [75 ILCS 16] and Section 8.25 of The State Mandates Act [30 ILCS 805].
- 5) Effective Date of Rules: January 7, 2020
- 6) Does this rulemaking contain an automatic repeal date? No
- 7) Does this rulemaking contain incorporations by reference? No
- 8) A copy of the rules, including any material incorporated by reference, is on file and available at the Illinois State Library, Gwendolyn Brooks Building, 300 South Second Street, Springfield IL 62701-1796.
- 9) Notice of Proposal published in the *Illinois Register*: 43 Ill. Reg. 10430, September 20, 2019
- 10) Has JCAR issued a Statement of Objection to this rulemaking? No
- 11) Difference between Proposal and Final Version: None
- 12) Have all changes agreed upon by the Agency and JCAR been made as indicated in the agreement letter from JCAR? None were made.
- 13) Will this rulemaking replace any emergency rule currently in effect? No
- 14) Are there any other rulemakings pending on this Part? No
- 15) A Complete Description of the Subjects and Issues Involved: The rulemaking amends Section 3050.70 (a) so that a nonresident fee shall not be charged to a nonresident who

OFFICE OF THE SECRETARY OF STATE

NOTICE OF ADOPTED AMENDMENTS

owns or leases property that is taxed for library service (rather than only the owner of taxable property) upon the presentation of the most recent tax bill upon that taxable property or a copy of the commercial lease of that taxable property (rather than only the most recent tax bill). The amendment removes the limitation of nonresident privileges for only one nonresident for each parcel of taxable property. The first sentence in Section 3050.20 is edited for clarification.

16) Information and questions regarding these adopted rules shall be directed to:

Joseph Natale
Rules Coordinator
Illinois State Library
Gwendolyn Brooks Building
Springfield IL 62701-1796

217/558-4185
jnatale@ilsos.net

The full text of the Adopted Amendments begins on the next page:

OFFICE OF THE SECRETARY OF STATE

NOTICE OF ADOPTED AMENDMENTS

TITLE 23: EDUCATION AND CULTURAL RESOURCES
SUBTITLE B: CULTURAL RESOURCES
CHAPTER I: SECRETARY OF STATEPART 3050
PUBLIC LIBRARY NON-RESIDENT SERVICES

| | |
|---------|--|
| Section | |
| 3050.10 | Definitions |
| 3050.20 | Public Library Responsibilities |
| 3050.25 | Applying for a Non-Resident Library Card |
| 3050.30 | Regional Library System Responsibilities |
| 3050.40 | Options for Non-Resident Library Cards |
| 3050.50 | Criteria for Non-Resident Library Cards |
| 3050.60 | Non-Resident Fee Formula |
| 3050.70 | Non-Resident Property Owner and Leasee |
| 3050.80 | Contractual Services |
| 3050.90 | State Mandates Act Exemption |

AUTHORITY: Implementing and authorized by Section 4-7 of the Illinois Local Library Act [75 ILCS 5], and authorized by Section 30-55.60 of the Public Library District Act of 1991 [75 ILCS 16] and Section 8.25 of the State Mandates Act [30 ILCS 805].

SOURCE: Adopted at 26 Ill. Reg. 5974, effective July 1, 2002; amended at 39 Ill. Reg. 5253, effective March 20, 2015; amended at 44 Ill. Reg. 2010, effective January 7, 2020.

Section 3050.20 Public Library Responsibilities

- a) The public library board of trustees shall annually take action to decide whether to issue non-resident library cards during the ensuing 12 months. At that time, the non-resident library card fee formula and fee, if applicable, to be used will be determined and adopted.
- b) The public library board of trustees shall notify the regional library system within 30 days ~~after~~ the action taken and effective dates and fee formula as determined in this Part.
- c) The participating public library shall continue to honor the non-resident library cards issued by the library for the full term of purchase.

OFFICE OF THE SECRETARY OF STATE

NOTICE OF ADOPTED AMENDMENTS

- d) Participating public libraries shall cooperate with other participating area public libraries and the regional library system and adjacent regional library systems to determine the appropriate non-resident service areas as stated in Section 3050.25 ~~of this Part~~.
- e) Nothing in this Part requires a public library to participate in the non-resident library card ~~reciprocal borrowing program of a regional library system~~. Non-participation in the non-resident library card program does not preclude a public library from applying for and receiving grant funds from the Illinois State Library as long as the public library complies with requirements of the specific grant program.

(Source: Amended at 44 Ill. Reg. 2010, effective January 7, 2020)

Section 3050.70 Non-Resident Property Owner and Leasee

- a) *The non-resident fee shall not apply to a non-resident who, as an individual or as a partner, principal stockholder, or other joint owner, owns or leasee taxable property that is taxed for library service or is a senior administrative officer of a firm, business, or other corporation owning taxable property within the district, upon presentation of the most recent tax bill ~~on upon~~ that taxable property. ~~provided that the privileges and use of the library is extended to only one such non-resident for each parcel of taxable property.~~ [75 ILCS 5/4-7(12) and 75 ILCS 16/30-55.60(3)]*
- b) The library card shall accord the non-resident property owner or non-resident leasee cardholder all the services the issuing public library provides its residents, including reciprocal borrow privileges.

(Source: Amended at 44 Ill. Reg. 2010, effective January 7, 2020)

OFFICE OF THE SECRETARY OF STATE

NOTICE OF ADOPTED AMENDMENTS

- 1) Heading of the Part: Certificate of Titles, Registration of Vehicles
- 2) Code Citation: 92 Ill. Adm. Code 1010
- 3)

| | |
|--------------------------|--------------------------|
| <u>Section Numbers</u> : | <u>Adopted Actions</u> : |
| 1010.245 | Amendment |
| 1010.421 | Amendment |
- 4) Statutory Authority: Authorized by Section 2-104(b) of, and implementing Chapters 3 and 5 of, the Illinois Vehicle Code [625 ILCS 5].
- 5) Effective Date of Rules: December 31, 2019
- 6) Does this rulemaking contain an automatic repeal date? No
- 7) Does this Rulemaking contain incorporations by reference? No
- 8) A copy of the adopted rules including any material incorporated is on file in the Agency's principal office and is available for public inspection.
- 9) Notice of Proposal published in the *Illinois Register*: 43 Ill. Reg. 11100; October 11, 2019
- 10) Has JCAR issued a Statement of Objection to this rulemaking? No
- 11) Differences between Proposal and Final Version: Clarifications were made to the process of certification for a Superuser, additional issuer, and authorized entity as well as the ramifications for improper use of the TRP system. Established the fee for lost, stolen, or destroyed TRPs at \$151, the same fee paid by a customer if a TRP is issued. Subsection 245 was added to ensure continuity in the fees paid for lost, stolen, or destroyed TRPs.
- 12) Have all the changes agreed upon by the Agency and JCAR been made as indicated in the agreements issued by JCAR? Yes
- 13) Will this rulemaking replace an emergency rule currently in effect? No
- 14) Are there any rulemakings pending on this Part? No

OFFICE OF THE SECRETARY OF STATE

NOTICE OF ADOPTED AMENDMENTS

- 15) Summary and Purpose of Rulemaking: These changes to the administrative rule update the authorization and user agreements for entities approved by the Secretary of State that issue Temporary Registration Permits (TRPs) along with 7-day and 30-day drive-away permits. Outlines parameters involving action the Secretary may take if the Secretary determines that fraud and abuse occur within the TRP system. Updates the fee the Secretary may charge for missing and fraudulently issued TRPs to reflect the changes made in statute concerning annual registration fees.
- 16) Information and questions regarding these adopted rules shall be directed to:

Secretary of State
Pamela Wright
298 Howlett Building
Springfield IL 62756

217/785-3094
pwright@ilsos.gov

The full text of the Adopted Amendments begins on the next page:

OFFICE OF THE SECRETARY OF STATE

NOTICE OF ADOPTED AMENDMENTS

TITLE 92: TRANSPORTATION
CHAPTER II: SECRETARY OF STATE

PART 1010
CERTIFICATES OF TITLE, REGISTRATION OF VEHICLES

SUBPART A: DEFINITIONS

| | |
|---------|-----------------------------|
| Section | |
| 1010.10 | Owner – Application of Term |
| 1010.20 | Secretary and Department |

SUBPART B: TITLES

| | |
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| Section | |
| 1010.110 | Salvage Certificate – Additional Information Required to Accompany Application for a Certificate of Title for a Rebuilt or a Restored Vehicle Upon Surrendering Salvage Certificate |
| 1010.120 | Salvage Certificate – Assignments and Reassignments |
| 1010.130 | Exclusiveness of Lien on Certificate of Title |
| 1010.140 | Documents Required to Title and Register Imported Vehicles Not Manufactured in Conformity with Federal Emission or Safety Standards |
| 1010.150 | Transferring Certificates of Title Upon the Owner's Death |
| 1010.160 | Repossession of Vehicles by Lienholders and Creditors |
| 1010.170 | Junking Notification |
| 1010.180 | Specially Constructed Vehicles – Defined |
| 1010.185 | Specially Constructed Vehicles – Required Documentation for Title and Registration |
| 1010.190 | Issuance of Title and Registration Without Standard Ownership Documents – Bond |
| 1010.193 | Procedures for Application for Title for Vehicles Purchased at Mechanic's Lien Sales |
| 1010.195 | Procedures and Disclosures for Vehicles Previously Titled in Areas Flooded as a Result of a Natural Disaster |

SUBPART C: REGISTRATION

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| Section | |
| 1010.200 | Homemade Trailers – Title and Registration |

OFFICE OF THE SECRETARY OF STATE

NOTICE OF ADOPTED AMENDMENTS

- 1010.210 Application for Registration
- 1010.220 Vehicles Subject to Registration – Exceptions
- 1010.230 Refusing Registration or Certificate of Title
- 1010.240 Registration Plates To Be Furnished by the Secretary of State
- 1010.245 Electronic Registration and Titling (ERT) Program Provisions
- 1010.250 Applications For Reassignment

SUBPART D: REVOCATION, SUSPENSION AND
CANCELLATION OF REGISTRATION

Section

- 1010.300 Operation of Vehicle after Cancellation, Suspension, or Revocation of any Registration
- 1010.310 Improper Use of Evidences of Registration
- 1010.320 Suspension, Cancellation or Revocation of Illinois Registration Plates and Cards and Titles
- 1010.330 Operation of Vehicle Without Proper Illinois Registration
- 1010.350 Suspension or Revocation
- 1010.360 Surrender of Plates, Decals or Cards

SUBPART E: SPECIAL PERMITS AND PLATES

Section

- 1010.410 Temporary Registration – Individual Transactions
- 1010.420 Temporary Permit Pending Registration In Illinois
- 1010.421 Issuance of Temporary Registration Permits by Persons or Entities Other Than the Secretary of State
- 1010.425 Non-Resident Drive-Away Permits
- 1010.426 Seven Day Permits
- 1010.430 Registration Plates for Motor Vehicles Used for Transportation of Persons for Compensation and Tow Trucks
- 1010.440 Title and Registration of Vehicles with Permanently Mounted Equipment
- 1010.450 Special Plates
- 1010.451 Purple Heart License Plates
- 1010.452 Special Event License Plates
- 1010.453 Retired Armed Forces License Plates
- 1010.454 Gold Star License Plates
- 1010.455 Collectible License Plates
- 1010.456 Sample License Plates For Motion Picture and Television Studios

OFFICE OF THE SECRETARY OF STATE

NOTICE OF ADOPTED AMENDMENTS

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| 1010.457 | Korean War Veteran License Plates |
| 1010.458 | Collegiate License Plates |
| 1010.459 | Universal Plate Decal |
| 1010.460 | Special Plates for Members of the United States Armed Forces Reserves |
| 1010.465 | Requests for General Issuance Specialty License Plates |
| 1010.470 | Dealer Plate Records |
| 1010.480 | State of Illinois In-Transit Plates |

SUBPART F: FEES

| | |
|----------|---------------------------------------|
| Section | |
| 1010.510 | Determination of Registration Fees |
| 1010.520 | When Fees Returnable |
| 1010.530 | Circuit Breaker Registration Discount |
| 1010.540 | Fees |
| 1010.550 | Determining Age of Vehicle |

SUBPART G: MISCELLANEOUS

| | |
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| Section | |
| 1010.610 | Unlawful Acts, Fines and Penalties |
| 1010.620 | Change of Engine |

SUBPART H: SECOND DIVISION VEHICLES

| | |
|----------|--|
| Section | |
| 1010.705 | Reciprocity |
| 1010.710 | Vehicle Proration |
| 1010.715 | Proration Fees |
| 1010.720 | Vehicle Apportionment |
| 1010.725 | Trip Leasing |
| 1010.730 | Intrastate Movements, Foreign Vehicles |
| 1010.735 | Interline Movements |
| 1010.740 | Trip and Short-term Permits |
| 1010.745 | Signal 30 Permit for Foreign Registration Vehicles (Repealed) |
| 1010.750 | Signal 30-Year-round for Prorated Fleets of Leased Vehicles (Repealed) |
| 1010.755 | Mileage Tax Plates |
| 1010.756 | Suspension or Revocation of Illinois Mileage Weight Tax Plates |
| 1010.760 | Transfer for "For-Hire" Loads |

OFFICE OF THE SECRETARY OF STATE

NOTICE OF ADOPTED AMENDMENTS

1010.765 Suspension or Revocation of Exemptions as to Foreign Registered Vehicles
1010.770 Required Documents for Trucks and Buses to detect "intrastate" movements
1010.775 Certificate of Safety

1010.APPENDIX A Uniform Vehicle Registration Proration and Reciprocity Agreement
1010.APPENDIX B International Registration Plan
1010.APPENDIX C Affirmation Supporting Salvage Certificate
1010.APPENDIX D Specialty License Plates Request Form

AUTHORITY: Authorized by Section 2-104(b) of, and implementing Chapters 3 and 5 of, the Illinois Vehicle Code [625 ILCS 5].

SOURCE: Filed and effective December 15, 1970; emergency amendment at 2 Ill. Reg. 25, p. 119, effective June 14, 1978, for a maximum of 150 days; amended at 3 Ill. Reg. 12, p. 76, effective March 23, 1979; amended at 3 Ill. Reg. 29, p. 123, effective July 20, 1979; amended at 4 Ill. Reg. 17, p. 247, effective April 11, 1980; emergency amendment at 4 Ill. Reg. 21, p. 99, effective May 14, 1980, for a maximum of 150 days; amended at 6 Ill. Reg. 2241, effective February 1, 1982; amended at 6 Ill. Reg. 11076, effective August 26, 1982; codified at 6 Ill. Reg. 12674; amended at 7 Ill. Reg. 1432, effective January 21, 1983; amended at 7 Ill. Reg. 1436, effective January 21, 1983; amended at 8 Ill. Reg. 5329, effective April 6, 1984; amended at 9 Ill. Reg. 3358, effective March 1, 1985; amended at 9 Ill. Reg. 9176, effective May 30, 1985; amended at 9 Ill. Reg. 12863, effective August 2, 1985; amended at 9 Ill. Reg. 14711, effective September 13, 1985; amended at 10 Ill. Reg. 1243, effective January 6, 1986; amended at 10 Ill. Reg. 4245, effective February 26, 1986; amended at 10 Ill. Reg. 14308, effective August 19, 1986; recodified at 11 Ill. Reg. 15920; amended at 12 Ill. Reg. 14711, effective September 15, 1988; amended at 12 Ill. Reg. 15193, effective September 15, 1988; amended at 13 Ill. Reg. 1598, effective February 1, 1989; amended at 13 Ill. Reg. 5173, effective April 1, 1989; amended at 13 Ill. Reg. 7965, effective May 15, 1989; amended at 13 Ill. Reg. 15102, effective September 15, 1989; amended at 14 Ill. Reg. 4560, effective March 1, 1990; amended at 14 Ill. Reg. 6848, effective April 18, 1990; amended at 14 Ill. Reg. 9492, effective June 1, 1990; amended at 14 Ill. Reg. 19066, effective November 15, 1990; amended at 15 Ill. Reg. 12782, effective August 15, 1991; amended at 16 Ill. Reg. 12587, effective August 1, 1992; amended at 19 Ill. Reg. 11947, effective August 1, 1995; amended at 19 Ill. Reg. 16289, effective November 27, 1995; amended at 20 Ill. Reg. 11349, effective August 1, 1996; amended at 21 Ill. Reg. 8408, effective June 23, 1997; amended at 21 Ill. Reg. 13372, effective September 17, 1997; amended at 22 Ill. Reg. 8521, effective April 28, 1998; amended at 22 Ill. Reg. 22059, effective January 1, 1999; amended at 25 Ill. Reg. 7731, effective June 6, 2001; emergency amendment at 25 Ill. Reg. 14201, effective October 22, 2001, for a maximum of 150 days; emergency expired March 20, 2002; amended at 26 Ill. Reg. 14282, effective September 16, 2002; amended at 27 Ill. Reg.

OFFICE OF THE SECRETARY OF STATE

NOTICE OF ADOPTED AMENDMENTS

4790, effective February 27, 2003; amended at 29 Ill. Reg. 8915, effective June 10, 2005; amended at 31 Ill. Reg. 2668, effective January 29, 2007; amended at 32 Ill. Reg. 17253, effective October 15, 2008; amended at 32 Ill. Reg. 17590, effective October 16, 2008; amended at 34 Ill. Reg. 3673, effective March 5, 2010; amended at 34 Ill. Reg. 10202, effective June 29, 2010; amended at 35 Ill. Reg. 1652, effective January 13, 2011; amended at 35 Ill. Reg. 8240, effective May 16, 2011; amended at 36 Ill. Reg. 7674, effective May 2, 2012; amended at 36 Ill. Reg. 14745, effective September 24, 2012; amended at 36 Ill. Reg. 17094, effective November 20, 2012; emergency amendment at 36 Ill. Reg. 17580, effective November 28, 2012, for a maximum of 150 days; amended at 37 Ill. Reg. 4340, effective March 22, 2013; amended at 37 Ill. Reg. 8941, effective June 14, 2013; amended at 37 Ill. Reg. 12578, effective July 17, 2013; amended at 39 Ill. Reg. 5106, effective March 20, 2015; amended at 42 Ill. Reg. 212, effective December 19, 2017; amended at 42 Ill. Reg. 14450, effective July 23, 2018; amended at 43 Ill. Reg. 3945, effective March 15, 2019; amended at 44 Ill. Reg. 2014, effective December 31, 2019.

SUBPART C: REGISTRATION

Section 1010.245 Electronic Registration and Titling (ERT) Program Provisions

- a) The Secretary may, in his or her discretion, establish a program for the electronic registration and titling (ERT) of motor vehicles. Transactions that may be conducted pursuant to an ERT program may include transmitting applications for titles and registration of motor vehicles, renewal of motor vehicle registrations, creating and removing liens from motor vehicle records, applying for salvage or junking certificates, and issuing registration plates and stickers by motor vehicle dealers, financial institutions and retail merchants, except that licensees under the Sales Finance Agency Act [205 ILCS 660] and the Consumer Installment Loan Act [205 ILCS 670] shall only be authorized to apply for titles and create and remove liens from motor vehicle records. Insurance companies shall only be permitted to apply for salvage or junking certificates and retail merchants shall only be authorized to issue registration renewal stickers.
- b) Upon the establishment of an ERT program, the Secretary may enter into agreements with ERT service providers to serve as intermediaries between the Secretary of State's office and motor vehicle dealers, financial institutions and retail merchants (collectively referred to in this Section as "vendors"). For the purposes of this Section, the term "financial institution" shall mean any federal or state chartered bank, savings and loan, credit union, and armored carrier, and any currency exchange, either directly or indirectly through an armored carrier. The

OFFICE OF THE SECRETARY OF STATE

NOTICE OF ADOPTED AMENDMENTS

term shall also include insurance companies and licensees under the Sales Finance Agency Act and the Consumer Installment Loan Act. The term "retail merchant" shall mean a business that is engaged in the sale of goods or services to the general public and that has one or more permanently established places of business in Illinois.

- c) The ERT service provider shall be responsible for the following:
- 1) establishing a computerized communication link between the vendors and the Secretary of State for the transmission of titling, registration, registration renewal and lien information, in compliance with all specifications of the Secretary of State's office. The communication link must provide for the secure transmission of information as required under this Section without permitting access to the vendor's confidential information by any entity that is not authorized by the vendor and the Secretary of State. Any entity that is authorized to access a vendor's information system, software, data or network must preserve its confidentiality and integrity. This provision does not limit or prohibit the Secretary of State from accessing confidential information;
 - 2) transmitting all fees associated with the title and registration transactions to the Secretary of State and transmitting all sales taxes due and owing for the sales of motor vehicles to the Illinois Department of Revenue;
 - 3) maintaining an inventory of registration plates and stickers at a secure location that is subject to inspection by the Secretary of State, distributing those plates and stickers to vendors as necessary, receiving unused, expired, damaged and voided plates and stickers and reports of lost or stolen plates and stickers from vendors, and forwarding those reports and returning those unused, expired, damaged and voided plates and stickers to the Secretary of State warehouse monthly. For purposes of this Section, the term "plates" shall mean vehicle registration license plates, and the term "sticker" shall mean the adhesive sticker affixed to license plates and the form, with a pre-printed control number and barcode, to which the sticker is attached when shipped and printed. When this Section provides for shipping, inventory, accounting or reconciliation of, or credit for returned, stickers, the sticker must be attached to the original form or affixed to a plate and recorded as issued with that plate.

OFFICE OF THE SECRETARY OF STATE

NOTICE OF ADOPTED AMENDMENTS

- A) The inventory control system shall accurately track all registration plates and stickers shipped to the service provider by the Secretary, those distributed by the provider to vendors (including tracking which specific plates and stickers were shipped to individual vendors), those returned by vendors to the provider, and those returned by the provider to the Secretary. The inventory yet to be shipped and the returned inventory shall be stored separately. In addition, the inventory system shall comply with one of the following:
- i) All inventory shall be maintained in sequential order, according to document number, including inventory being held for shipping to vendors and inventory returned by vendors.
 - ii) The computerized inventory control system must utilize barcode readers that enable the service provider or Secretary of State employees to scan and accurately record inventory items yet to be shipped and returned inventory. Secretary of State employees must have access to a computer terminal at the service provider's site during inventory and reconciliation procedures, and the system must allow the printing of necessary inventory reports during these procedures.
- B) Real-time access to the inventory control system shall be provided to Secretary of State staff, auditors and Secretary of State Police for review, reconciliation, auditing and inventory verification to ensure compliance with rules, policies and regulations, and for locating individual registration plates and stickers and determining to which vendor the individual registration plates and stickers were issued. All electronic information shall be maintained for not less than five years after receipt of the inventory by the service provider.
- C) Bulk inventories of registration plates and stickers will be delivered by the Secretary to the service provider as needed. The service provider shall acknowledge receipt of the inventory in a manner approved by the Secretary and is responsible for the

OFFICE OF THE SECRETARY OF STATE

NOTICE OF ADOPTED AMENDMENTS

inventory upon receipt. The service provider shall store the inventory within the State of Illinois. The service provider shall distribute registration plates and stickers to vendors, as necessary, and shall accept returns from the vendors of unused, expired, damaged and voided plates and stickers.

- D) Vendors shall not return unused, expired, damaged or voided plates and stickers directly to the Secretary. The Secretary shall not be responsible for inventory incorrectly returned.
- E) Vendors who have inventory that is damaged, voided, missing, lost or stolen during a given month shall report those occurrences to the service provider not later than the final day of the following month. (Example: Inventory items damaged during August must be reported and returned to the service provider not later than the following September 30.) Credit for returned plates will only be granted when both plates in the set have been returned or accounted for, if the plates were of the type issued as a pair. All or as much as possible of the damaged or voided stickers must be returned to receive credit for returned inventory. When it is not possible to return any portion of a damaged or voided plate or sticker, an explanation as to the circumstances causing the plate or sticker to be voided or damaged, and the reasons no portion can be returned, must be provided. The Secretary shall have the right to determine whether the explanation will be accepted and whether inventory credit will be given for the plates or stickers not returned in whole or in part. In making this determination, the Secretary shall consider whether the vendor is able to retain and return the form on which the sticker is issued; whether matters beyond the control of the vendor may have contributed to the complete loss of the stickers (e.g., fires or industrial accidents that are accompanied by police reports, fire reports or insurance claims); and the history of the individual vendor with regard to the loss of stickers.
- F) Service providers may be relieved of responsibility for payment for plates and stickers reported as stolen only if a copy of a police report concerning the theft is provided to the Secretary.

OFFICE OF THE SECRETARY OF STATE

NOTICE OF ADOPTED AMENDMENTS

- G) Not later than March 31 of each calendar year, vendors shall return to service providers all remaining stickers in their possession of the type and color that expire during that calendar year. (Example: During 2007, vendors sell stickers that expire during 2008, such that a sticker sold in March 2007 expires in March 2008. As of January 2008, vendors will be selling stickers of the type and color that expire in 2009. Therefore, not later than March 31, 2008, vendors shall return to the service provider all remaining stickers in their possession of the type and color that expire during 2008).
- H) On a periodic basis, but not less than monthly, the Secretary and the service provider shall reconcile their records of plates and stickers shipped by the Secretary to the service provider, plates and stickers issued by vendors to vehicle owners and for which the appropriate documentation and fees were received by the Secretary, plates and stickers returned by vendors to the service provider as unused, expired, damaged or voided, explanations provided by vendors for damaged or voided stickers and plates that have not been returned in whole or in part, and plates and stickers still in the actual possession of the service providers and vendors. The review and accounting of inventory and returned items shall be conducted in the manner prescribed by the Secretary. After these periodic reconciliations, the unused, expired, damaged or voided plates and stickers shall be returned to the Secretary and the Secretary shall issue the service provider a receipt for the returned inventory. A preliminary report of missing billable inventory for the preceding month shall be provided after these periodic reconciliations.
- I) Following the reconciliation after March 31, June 30, September 30 and December 31, the Secretary shall invoice the service provider for all plates or stickers unaccounted for during the preceding quarter. These reconciliations will be based on the reported inventory still in the possession of vendors. Service providers shall not receive credit for unaccounted for inventory items that are located after this quarterly reconciliation and billing.
- J) The unaccounted for inventory shall be invoiced at the following rates. For unaccounted for stickers, the rate shall be ~~\$151~~\$125 per

OFFICE OF THE SECRETARY OF STATE

NOTICE OF ADOPTED AMENDMENTS

sticker. For unaccounted for plates that are intended to be sold as a set (e.g., passenger vehicle or truck plates) the rate shall be ~~\$151~~~~\$125~~ per set of plates. For unaccounted for plates that are intended to be sold individually (e.g., motorcycle or trailer plates) the rate shall be ~~\$151~~~~\$125~~ per plate. Payment in full must be made to the Secretary within 45 days after receipt of the notice from the Secretary of the amount due. Service providers may recover such payments from vendors pursuant to the contracts between the service providers and the vendors.

- K) Certain types of registration stickers are sold outside of the one-year process noted in subsection (c)(3)(G) (e.g., registrations of fleet vehicles). To accommodate these sales, after the return and reconciliation of all inventory as provided in subsections (c)(3)(H) and (I), the Secretary may re-issue preceding year stickers to service providers for the use of vendors engaging in sales of vehicles requiring these registrations. These re-issued stickers shall be tracked separately in the service provider's inventory control system. Not less than three months after these re-issued stickers may no longer be legally sold, all remaining inventory of these stickers shall be returned to the service provider by the vendor, and the stickers shall be subject to the final reconciliation and billing process set forth in subsection (c)(3)(I).
 - L) The Secretary shall have the right to conduct physical inspections of the inventory of service providers and vendors during normal business hours.
 - M) The Secretary shall have the right to suspend or revoke the right of service providers and/or vendors to participate in the ERT program for failure to comply with the inventory control provisions set forth in this subsection (c)(3), or for excessive or repeated incidents of unaccounted for inventory;
- 4) complying with all requirements of the Secretary of State and the Department of Revenue concerning the security of the electronic information and funds transmissions, which shall prohibit access to a vendor's confidential information by any entity without authorization of the vendor and Secretary of State and a requirement that any entity that is

OFFICE OF THE SECRETARY OF STATE

NOTICE OF ADOPTED AMENDMENTS

authorized to access a vendor's confidential information must preserve the confidentiality and integrity of the vendor's information systems, software, data and network, the security of the registration plates and stickers, and maintaining an electronic inventory control system for the registration plates and stickers. This provision does not limit or prohibit the Secretary of State from accessing confidential information;

- 5) retaining records of all ERT transactions as directed by the Secretary;
 - 6) posting a performance bond in an amount set by the Secretary, not to exceed \$1,000,000. Beginning July 1, 2012, a service provider must post a performance bond in the amount of \$1,500,000;
 - 7) registering as a remittance agent pursuant to 625 ILCS 5/Ch. 3, Art. IX;
 - 8) complying with all other terms and conditions set forth in the agreement between the Secretary of State and the ERT service provider;
 - 9) providing a formal process for billing and enforcement of all vendor inventory issues and pending transaction issues and designating a specific representative to communicate with the Secretary of State on all vendor inventory issues and pending transaction issues.
- d) The ERT service provider shall enter into agreements with vendors for participation in the ERT program.
- 1) All vendors must be currently licensed and in good standing with their regulatory agencies before being selected to participate in this program.
 - 2) The Secretary shall have the sole discretionary right to review and approve these agreements and shall have the right to approve, deny or revoke the right to participate in the ERT program by individual vendors. Retail merchants wishing to serve as vendors must be approved in advance by the Secretary. Any decision to deny or revoke an individual vendor's right to participate in the ERT program shall be based on:
 - A) the vendor's prior compliance with or violations of applicable statutes, rules and regulations;

OFFICE OF THE SECRETARY OF STATE

NOTICE OF ADOPTED AMENDMENTS

- B) the vendor's participation in the Secretary's temporary registration permit program and any violations of the rules and regulations of the temporary registration permit program found in Section 1010.421;
 - C) violations by the vendor of this Section or violations of the terms of agreements entered into by the vendor in the ERT program;
 - D) the benefit to the public to be derived by the vendor's participation in the program;
 - E) the resources of the Secretary of State's office to support the vendor's participation in the program; and
 - F) The factors set forth in Section 1010.240(b)(2)(E)-(J).
- 3) Vendors shall inform customers that utilizing the electronic registration and titling system is optional.
 - 4) The ERT program shall not be used to request or obtain specialty, vanity or personalized registration plates.
 - 5) Fees collected for an ERT title transaction are nonrefundable by the Secretary, unless a title application has not been processed by the Secretary of State.
 - 6) Registration plates and stickers may only be issued at the time an ERT transaction is processed.
 - 7) Title, registration and registration renewal applications and other required documents shall be delivered to the Office of the Secretary of State within 20 days after vehicle sale, registration or registration renewal.
- e) Except as permitted by the Secretary during a transition period, no vendor may simultaneously participate in the ERT program and the Over-the-Counter Sales Program (see Section 1010.240).
 - f) Beginning January 1, 2013, all franchised new motor vehicle dealers must electronically submit titling and registration applications to the Secretary of State,

OFFICE OF THE SECRETARY OF STATE

NOTICE OF ADOPTED AMENDMENTS

either through an ERT program or online through the Secretary of State website (cyberdriveillinois.com/departments/vehicles/title_and_registration/pert.html).

- g) Beginning January 1, 2019, all franchised new and used vehicle dealers must electronically submit titling and registration applications to the Secretary of State, either through an ERT program or online through the Secretary of State website (cyberdriveillinois.com/departments/vehicles/title_and_registration/pert.html).

(Source: Amended at 44 Ill. Reg. 2014, effective December 31, 2019)

SUBPART E: SPECIAL PERMITS AND PLATES

Section 1010.421 Issuance of Temporary Registration Permits by Persons or Entities Other Than the Secretary of State

- a) All entities authorized to issue Temporary Registration Permits (TRPs) must designate a single individual as the primary individual responsible for issuing, tracking and managing TRPs, 7-day permits, and/or 30-day permits. This individual shall be referred to as a Superuser and will act as the primary contact on behalf of the authorized entity and the Secretary of State (SOS).
- b) All entities authorized to issue a TRP shall be issued a Superuser username by the SOS. The Superuser may designate to SOS additional issuers; however, only individuals with a valid username may issue a TRP from inventory allocated to each licensed entity. Each Superuser and additional issuer of the TRP system must sign a separate acknowledgment stating that the individual understands the processes and procedures governing TRP issuance, is 18 years of age or older, and understands that a violation of this Part or of any provision of the Illinois Vehicle Code related to issuing registrations may result in the Superuser, the additional issuer, and/or the authorized entity receiving a suspension or revocation of TRP privileges.
- c) If the SOS determines that a Superuser, additional issuer, or authorized entity has permitted an unauthorized individual to issue a TRP on the Superuser's or an additional issuer's behalf, then the SOS shall suspend the authorized entity's access to the TRP program for a period of 30 days. Any entity that is found to have employed a Superuser or additional issuer who has violated this provision twice within 5 years shall have access to the TRP program denied permanently.

OFFICE OF THE SECRETARY OF STATE

NOTICE OF ADOPTED AMENDMENTS

- d) All Superusers and those designated by a Superuser as an additional issuer shall complete a free, annual training session including, but not limited to, the operations of the TRP system, the statutes pertaining to motor vehicle registration, TRPs, and this Part.
- e) Prior to obtaining a Superuser username, a Superuser must complete in-person training and receive a training certificate issued by the SOS. Going forward, the Superuser may take the online training unless there have been violations by any users from the authorized entity in the past year. If a new additional issuer is created by the Superuser, that new user has 60 days from the creation date to complete the online training and the certificate issued to the additional issuer. Going forward, an additional issuer must take the in-person training if that issuer has committed any violations in the past year.
- f) A licensed dealer under the Illinois Vehicle Code may void, within 15 days or 500 miles after the issue date of the TRP, a TRP that has been issued to a vehicle owner and corresponding vehicle, if the vehicle owner determines that the vehicle meets the requirements of Section 2L of the Consumer Fraud and Deceptive Business Practices Act [815 ILCS 505]. A licensed dealer under the Illinois Vehicle Code may void, within 15 days after the issue date of the TRP, a TRP that has been issued to a vehicle owner and corresponding vehicle for any other reason allowed under State statute. A licensed dealer shall be required to retain documentation that the customer signs stating that he or she is returning the vehicle to the licensed dealer pursuant to Section 2L or another specified statutorily authorized reason. This disclosure shall be retained with all other statutorily required documentation pertaining to the licensed dealer's record keeping requirements.
- g) A licensed dealer may not void a TRP for a vehicle owner and corresponding vehicle if the sole reason the vehicle is returned to the licensed dealer is the vehicle purchaser's inability to secure financing for the vehicle. If, after the TRP is issued, the vehicle purchaser is ultimately unable to secure financing and must return the vehicle, the licensed dealer must still transmit the appropriate title and registration fees to SOS and any applicable sales taxes to the Illinois Department of Revenue before another TRP may be issued to a new vehicle owner for the same vehicle.
- ha) In addition to the issuance of TRPs ~~Temporary Permits~~ to specific applicants, the

OFFICE OF THE SECRETARY OF STATE

NOTICE OF ADOPTED AMENDMENTS

~~SOS~~~~Secretary of State~~ may supply, upon request, ~~TRP Temporary Permit~~ plates to the following for issuance by them, provided they have the necessary computer hardware, software and communication devices for accessing the ~~SOS~~~~Secretary of State's~~ Internet site for the registration of ~~TRP Temporary Permit~~ plates and titling:

- 1) Licensed vehicle dealers registered and in good standing with ~~SOS~~~~the Secretary of State~~. Each dealer may issue ~~TRPs~~~~Temporary Permits~~ only to persons purchasing vehicles from that dealer and only after application for title and registration has been completed. All TRPs that are issued must be accompanied by a corresponding document number for the accompanying application for a certificate of title. All dealers receiving ~~TRPs~~~~Temporary Permits~~ shall maintain records as required by 92 Ill. Adm. Code 1019.40 reflecting the information required for completion of the receipt form for a ~~TRP Temporary Permit~~ plate. Failure to do so may result in the denial, revocation, or suspension of a dealer's license under IVC Section 5-501.
- 2) Licensed remittance agents registered and in good standing with the ~~SOS~~~~Secretary of State~~. Each remittance agent may issue ~~TRPs~~~~Temporary Permits~~ only to persons whose applications the remittance agent accepts for transmittal to ~~SOS~~~~the Secretary of State~~. All TRPs that are issued must be accompanied by a corresponding document number for the accompanying application for a certificate of title. All Remittance Agents receiving ~~TRPs~~~~Temporary Permits~~ shall maintain records reflecting the information required for completion of the receipt form for a ~~TRP~~~~Temporary Permit~~. Failure to do so may result in the denial, revocation, or suspension of a Remittance Agent's license under IVC Sections 3-906 and 3-907.
- 3) Currency exchanges licensed by, and in good standing with, the Department of Financial and Professional Regulation. Currency exchanges shall complete and submit an application in a manner prescribed by the ~~SOS~~~~Secretary of State~~ to be eligible to receive ~~TRPs~~~~Temporary Permits~~. Each currency exchange may issue ~~TRPs~~~~Temporary Permits~~ only to persons whose applications the currency exchange accepts for transmittal to ~~SOS~~~~the Secretary of State~~. A ~~TRP~~~~Temporary Permit~~ may only be issued in connection with an application for title and registration or registration only, but may not be issued in

OFFICE OF THE SECRETARY OF STATE

NOTICE OF ADOPTED AMENDMENTS

connection with an application for renewal of a registration. All TRPs that are issued must be accompanied by a corresponding document number for the accompanying application for a certificate of title. All currency exchanges receiving TRPs Temporary Permits shall maintain records of their issuance reflecting the information required for completion of the receipt form for a TRP Temporary Permit plate.

ib) Authorized Superusers~~Issuers~~ of Temporary Permits must be in compliance with the following:

- 1) Within two business days after receipt of TRP Temporary Permit plates from the SOS Secretary of State the issuer shall access the SOS Secretary of State's Internet site for the registration of TRP Temporary Permit plates and acknowledge receipt of the plates.
 - A) The issuer shall store the TRP Temporary Permit plates in a secure location to prevent theft, loss or misuse of the plates. TRPs shall not be accessible to the general public or any individual who has not been issued a Superuser's, or an additional issuer's, username by the SOS.
 - B) TRP Temporary Permit plates shall be issued in numerical sequence as received from SOS the Secretary of State.
 - C) Holders of TRPs shall be limited to a 90-day inventory based on self-reported annual sales.
 - D) TRP Temporary Permit plates shall be issued only in conjunction with applications for vehicle registration and all required information regarding the TRP Temporary Permit plate shall be completed on the vehicle registration application form.
 - E) Any information that is found to be inaccurate in order to circumvent the TRP system shall result in suspension of the TRP system. A second or subsequent violation of this subsection (i)(1)(E) within 5 years shall result in denial of access to the TRP system.
- 2) Contemporaneously ~~Contemporaneous~~ with the issuance of a TRP

OFFICE OF THE SECRETARY OF STATE

NOTICE OF ADOPTED AMENDMENTS

~~Temporary Permit~~ plate, the Superuser or additional issuer shall access the SOS Secretary of State's TRP Account Login Internet site for the registration of TRP Temporary Permit plates and enter all requested information with regard to the vehicle for which the TRP Temporary Permit plate was issued and the individuals or entity to which the TRP Temporary Permit plate was issued. When accessing the SOS Secretary of State's Internet site and entering information, a Superuser or additional issuer shall comply with all protocols provided by the SOS Secretary of State, including, but not limited to, user identification procedures and passwords.

- 3) Prior to delivering a TRP Temporary Permit plate to the applicant or attaching a TRP Temporary Permit plate to a vehicle, the Superuser or additional issuer shall affix the TRP to the corresponding vehicle in the manner described on the TRP being used.
 - A) Either:
 - i) all information required on the TRP must be electronically printed on the TRP; or
 - ii) the month and year the TRP expires shall be indicated on the TRP in permanent black marker.
 - B) Under no circumstances shall the Superuser or an additional issuer provide or issue a second or subsequent TRP to the same owner and vehicle as the initial issuance. lift the clear overlay covering the expiration date area, blacken in with a permanent black marker the month and year during which the Temporary Permit will expire, making certain to blacken in the entire box including the portion of the silver hologram strip running through the box designating the month, and remove the white backing from the overlay and apply the overlay securely over the expiration date area.
- 4) TRP Temporary Permit plates issued for motor driven cycles or motorcycles shall be of the reduced size designed for motor driven cycles or motorcycles.
- 5) TRP Temporary Permit plates shall be issued only by the Superuser or

OFFICE OF THE SECRETARY OF STATE

NOTICE OF ADOPTED AMENDMENTS

additional issuer assigned by the licensed dealer, remittance agent, or currency exchange that received the TRP Temporary Permit from the SOS Secretary of State.

- 6) TRP Temporary Permit-plate receipt forms shall contain all of the information requested, where applicable. The original of the plate receipt form shall be given to the applicant and the copy shall be maintained by the Superuser or additional issuer.
- 7) TRP Temporary Permit-plate receipt forms shall bear the name of the Superuser's licensed issuing entity and the signature of the Superuser or additional issuer issuing employee.
- 8) The Superuser or additional issuer Issuers of TRPs Temporary Permits shall reimburse SOS the Secretary of State \$151\$50 per TRP Temporary Permit ~~for~~ lost, missing, stolen, or destroyed Temporary Permits. All TRPs designated as lost, missing, stolen or destroyed, or otherwise unaccounted for, shall be billed automatically on a monthly basis. The SOS Secretary of State shall have the discretion to waive this fee upon satisfactory proof that the TRPs Temporary Permits were destroyed by fire or flood, or stolen in connection ~~with to~~ a theft ~~on of~~ the premises. In the decision to waive the fee, the SOS Secretary of State shall consider whether an insurance claim or police report was filed, or other evidence suggesting that the authorized licensed entity's issuer's loss is the result of fire, flood, or theft ~~on of~~ the premises.
- 9) An authorized licensed entity Issuers shall maintain for 3 years copies of receipt forms for all TRP Temporary Permit plates issued ~~for a period of 3~~ years.
- 10) When the authorized licensed entity issuer is no longer engaged in the business of issuing TRPs Temporary Permits, the authorized licensed entity issuer shall return all unissued TRP Temporary Permit plates to SOS the Secretary of State. An authorized licensed entity Issuer shall bear risk of loss until all TRPs Temporary Permits are received by SOS the Secretary of State. All TRPs shall be returned to the following address:

Illinois Secretary of State
Attn: TRP Return Unit

OFFICE OF THE SECRETARY OF STATE

NOTICE OF ADOPTED AMENDMENTS

501 S. 2nd Street
Springfield IL 62756

- j)e) ~~SOS~~~~The Secretary of State~~ shall have free access to the offices and places of business to examine fully all ~~TRP Temporary Permit~~ books and other business records, documents, and files of the ~~licensed entity~~~~issuer~~ to determine whether ~~the licensed entity~~~~such issuer~~ is complying with ~~the provisions of~~ this Section.
- k)d) The ~~licensed entity~~~~issuer~~ is responsible for acts or omissions of ~~its Superuser or additional issuers~~~~issuer's employees~~ while engaged in the distribution of ~~TRPs~~~~Temporary Permits~~.
- l)e) Denial or Revocation of the Authority to Issue Temporary Permits
- 1) ~~SOS~~~~The Secretary of State~~ may refuse to issue ~~TRPs~~~~Temporary Permits~~ to any dealer, remittance agent or currency exchange that has committed any violation of IVC Chapter 3 or Chapter 5 or any administrative rule adopted pursuant to those statutes. ~~SOS~~~~The Secretary of State~~ may demand the return of any unused ~~TRPs~~~~Temporary Permits~~ from any dealer, remittance agent or currency exchange for any violation of IVC Chapter 3 or Chapter 5 or any administrative rule adopted pursuant to those statutes, or for any other violation relating to the use or issuance of ~~TRPs~~~~Temporary Permits~~.
 - 2) If the ~~SOS~~~~Secretary of State~~ refuses to issue or revokes unused ~~TRPs~~~~Temporary Permits~~, he or she shall so order in writing and notify the dealer, remittance agent or currency exchange by ~~U.S. Mail~~~~mail~~. A dealer, remittance agent or currency exchange may request an administrative hearing to review the order.
 - 3) If the ~~SOS~~~~Secretary of State~~ revokes unused ~~TRPs~~~~Temporary Permits~~, the affected dealer, remittance agent or currency exchange shall not be entitled to apply for or issue any ~~TRPs~~~~Temporary Permits~~ for a period of 90 days following receipt of the revocation order. A second or subsequent offense resulting in the revocation of ~~TRPs~~~~Temporary Permits~~ may result in the dealer, remittance agent or currency exchange being prohibited from receiving any ~~TRPs~~~~Temporary Permits~~ from ~~SOS~~~~the Secretary of State~~.

OFFICE OF THE SECRETARY OF STATE

NOTICE OF ADOPTED AMENDMENTS

- 4) ~~SOS Secretary of State~~ personnel may initiate an action against a dealer, remittance agent or currency exchange by filing a complaint with the ~~SOS Secretary of State's~~ Administrative Hearings Department. Thereafter, a notice of hearing shall be issued to the dealer, remittance agent or currency exchange specifying the alleged violation. The dealer, remittance agent or currency exchange shall be entitled to an administrative hearing pursuant to IVC Section 2-118 and 92 Ill. Adm. Code 1001 regulations promulgated under that Section.

m) Violations of Entering Temporary Permit Required Information

- 1) Any entity that issues a TRP without a corresponding application for a certificate of title shall be assessed the appropriate certificate of title fee for the vehicle that was issued the TRP.
- 2) The penalty for entering false, misleading or deceptive information, whether the information pertains to the vehicle owner or the vehicle, shall include a 90-day suspension of access to the TRP system.
- 3) The penalty for a lost, missing, stolen or destroyed TRP from an entity's inventory shall be \$151, the cost of a plate and registration.
- 4) The penalty for issuing a TRP without following the proper SOS procedures is as follows:

| <u>Occurrence</u> | <u>Penalty</u> |
|--|--|
| <u>1st Occurrence, single violation</u> | <u>Written warning, \$175 fine</u> |
| <u>1st Occurrence, 2-5 violations</u> | <u>7-day suspension, \$175 fine per violation</u> |
| <u>1st Occurrence, 5-10 violations</u> | <u>30-day suspension, \$175 fine per violation</u> |
| <u>1st Occurrence, 10+ violations</u> | <u>90-day suspension, \$175 fine per violation</u> |
| <u>2nd Occurrence</u> | <u>30-day suspension, \$175 fine per violation</u> |
| <u>2nd Occurrence, 10+ violations</u> | <u>90-day suspension, \$175 fine per violation</u> |
| <u>3rd Occurrence</u> | <u>90-day suspension, \$175 fine per violation</u> |

OFFICE OF THE SECRETARY OF STATE

NOTICE OF ADOPTED AMENDMENTS

[4th Occurrence](#)

[Revocation of TRP Access](#)

(Source: Amended at 44 Ill. Reg. 2014, effective December 31, 2019)

OFFICE OF THE SECRETARY OF STATE

NOTICE OF ADOPTED AMENDMENT

- 1) Heading of the Part: Dealers, Wreckers, Transporters and Rebuilders
- 2) Code Citation: 92 Ill. Adm. Code 1020
- 3) Section Number: 1020.15 Adopted Action:
New Section
- 4) Statutory Authority: Implementing Chapter 5 and authorized by Section 2-104(b) of the Illinois Vehicle Title and Registration Law of the Illinois Vehicle Code [625 ILCS 5/Ch. 5 and 2-104(b)].
- 5) Effective Date of Rule: December 31, 2019
- 6) Does this rulemaking contain an automatic repeal date? No
- 7) Does this rulemaking contain incorporations by reference? No
- 8) A copy of the adopted rule including any material incorporated is on file in the Agency's principal office and is available for public inspection.
- 9) Notice of Proposal published in the *Illinois Register*: 43 Ill. Reg. 11934; October 18, 2019
- 10) Has JCAR issued a Statement of Objection to this rulemaking? No
- 11) Differences between Proposal and Final Version: References to the leasing of vehicles were added to subsections (b) and (d).
- 12) Have all the changes agreed upon by the Agency and JCAR been made as indicated in the agreements issued by JCAR? Yes
- 13) Will this rulemaking replace an emergency rule currently in effect? No
- 14) Are there any Rulemakings pending on this Part? No
- 15) Summary and Purpose of Rulemaking: The Secretary wishes to update the Administrative Code related to Buy Here, Pay Here dealers, pursuant to Public Act 101-505.

OFFICE OF THE SECRETARY OF STATE

NOTICE OF ADOPTED AMENDMENT

- 16) Information and questions regarding this adopted rule shall be directed to:

Secretary of State
Pamela Wright
298 Howlett Building
Springfield IL 62756

217/785-3094
pwright@ilsos.gov

The full text of the Adopted Amendment begins on the next page:

OFFICE OF THE SECRETARY OF STATE

NOTICE OF ADOPTED AMENDMENT

TITLE 92: TRANSPORTATION
CHAPTER II: SECRETARY OF STATE

PART 1020

DEALERS, WRECKERS, TRANSPORTERS AND REBUILDERS

Section

| | |
|-------------------------|---|
| 1020.10 | Dealers Established Place of Business |
| 1020.15 | Buy Here, Pay Here Dealers |
| 1020.20 | Required Records Forfe Automotive Parts Recyclers Rebuilders, New Vehicle Dealers, Used Vehicle Dealers, Repairers and Out-of-State Salvage Vehicle Buyers |
| 1020.30 | Records Required Upon Removal of Dash Assemblies with Vehicle Identification Number Plate Attached |
| 1020.40 | Inspection of Licensees' Records and Premises |
| 1020.50 | Consignment Sales by Dealers |
| 1020.70 | Rebuilders Not to Engage in Retail Selling of Salvage or Rebuilt Vehicles |
| 1020.80 | Inspection of Rebuilt Vehicles |

AUTHORITY: Implementing Chapter 5 and authorized by Section 2-104(b) of the Illinois Vehicle Title and Registration Law of the Illinois Vehicle Code [625 ILCS 5].

SOURCE: Filed March 5, 1975; amended at 2 Ill. Reg. 33, p. 144, effective August 8, 1978; amended at 5 Ill. Reg. 3835, effective March 27, 1981; codified at 6 Ill. Reg. 12674; amended at 7 Ill. Reg. 5260, effective April 4, 1983; amended at 8 Ill. Reg. 14657, effective August 1, 1984; amended at 8 Ill. Reg. 22884, effective November 16, 1984; amended at 12 Ill. Reg. 13612, effective August 15, 1988; amended at 12 Ill. Reg. 17962, effective November 1, 1988; amended at 14 Ill. Reg. 8704, effective June 1, 1990; amended at 19 Ill. Reg. 11640, effective August 1, 1995; amended at 20 Ill. Reg. 11356, effective August 1, 1996; amended at 22 Ill. Reg. 11527, effective July 1, 1998; amended at 38 Ill. Reg. 2291, effective December 26, 2013; amended at 44 Ill. Reg. 2037, effective December 31, 2019.

Section 1020.15 Buy Here, Pay Here Dealers

- a) For the purposes of this Section, a Buy Here, Pay Here used vehicle dealer (BHPH) means any entity that engages in the business of selling or leasing of vehicles and finances the sale or purchase price of the vehicle to a customer without the customer using a third-party lender (IVC Sec. 5-102.8(a)). This is done by using a financial institution in which the BHPH has an ownership stake.

OFFICE OF THE SECRETARY OF STATE

NOTICE OF ADOPTED AMENDMENT

By contrast, the third-party lenders used by non-BHPH dealers licensed under the IVC are not legally or financially associated with the BHPH.

- b) A BHPH shall only be required to acquire one license under IVC Section 5-102.8, which would permit the BHPH to sell and/or lease 5 or more used vehicles per year.
- c) A BHPH may have a separate legal entity, in which the owner of the dealership has an interest, that provides financing solely for customers of the BHPH. A BHPH may sell a vehicle for cash or by other traditional financing methods. However, the promotion, advertisement, solicitation, and general engagement in the sales transactions performed by the BHPH and its associated financing entity must reflect that the customer may purchase the vehicle on a payment plan basis, within a specified period of time, from the associated financing entity in which the BHPH has a financial stake.
- d) Each BHPH is required to submit the appropriate certificate of title and registration fees and taxes to the Secretary of State (SOS) and the Department of Revenue, respectively, for each sales or lease transaction, with the application for certificate of title and registration reflecting the date of purchase the customer agreed to the terms of the sale or lease and took possession of the vehicle, regardless of how the sale was financed or the vehicle was transferred to the customer.
- e) Beginning January 1, 2020, all BHPH must electronically submit titling and registration applications to SOS, either through an ERT program or online through the SOS website: cyberdriveillinois.com/departments/vehicles/title_and_registration/pert.html.

(Source: Added at 44 Ill. Reg. 2037, effective December 31, 2019)

OFFICE OF THE SECRETARY OF STATE

NOTICE OF ADOPTED AMENDMENTS

- 1) Heading of the Part: Issuance of Licenses
- 2) Code Citation: 92 Ill. Adm. Code 1030
- 3)

| | |
|-------------------------|-------------------------|
| <u>Section Numbers:</u> | <u>Adopted Actions:</u> |
| 1030.84 | Amendment |
| 1030.150 | Amendment |
- 4) Statutory Authority: Implementing Article I of the Illinois Driver Licensing Law of the Illinois Vehicle Code [625 ILCS 5/Ch. 6, Art. I] and authorized by Section 2-104(b) of the Illinois Vehicle Title and Registration Law of the Illinois Vehicle Code [625 ILCS 5/2-104(b)].
- 5) Effective Date of Rules: December 31, 2019
- 6) Does this rulemaking contain an automatic repeal date? No
- 7) Does this rulemaking contain incorporations by reference? No
- 8) A copy of the adopted rules including any material incorporated is on file in the Agency's principal office and is available for public inspection.
- 9) Notice of Proposal published in the *Illinois Register*: 43 Ill. Reg. 11114; October 11, 2019
- 10) Has JCAR issued a Statement of Objection to this rulemaking? No
- 11) Differences between Proposal and Final Version: None
- 12) Have all the changes agreed upon by the Agency and JCAR been made as indicated in the agreements issued by JCAR? Yes
- 13) Will this rulemaking replace an emergency rule currently in effect? No
- 14) Are there any Rulemakings pending on this Part? No
- 15) Summary and Purpose of Rulemaking: The Secretary wishes to update the Administrative Code related to the most recent statutory language regarding vehicles used in a road test, pursuant to PA 100-189. In addition, PA 100-106 eliminates the

OFFICE OF THE SECRETARY OF STATE

NOTICE OF ADOPTED AMENDMENTS

requirement that an applicant for a driver's license or identification card visit the Illinois Department of Veterans Affairs prior to having a veteran designation placed on the driver's license or identification card. Makes additional changes to the verification process.

- 16) Information and questions regarding these adopted rules shall be directed to:

Secretary of State
Pamela Wright
298 Howlett Building
Springfield IL 62756

217/785-3094
pwright@ilsos.gov

The full text of the Adopted Amendments begins on the next page:

OFFICE OF THE SECRETARY OF STATE

NOTICE OF ADOPTED AMENDMENTS

TITLE 92: TRANSPORTATION
CHAPTER II: SECRETARY OF STATEPART 1030
ISSUANCE OF LICENSES

| Section | |
|---------|---|
| 1030.1 | Definitions |
| 1030.5 | Procedure for Obtaining a Standard Driver's License or Identification Card |
| 1030.6 | Procedure for Obtaining a Visa Status Temporary Visitor's Driver's License Pursuant to IVC Section 6-105.1(a) |
| 1030.7 | Procedure for Obtaining a Non-Visa Status Temporary Visitor's Driver's License Pursuant to IVC Section 6-105.1(a-5) |
| 1030.8 | Procedure for Obtaining a Real ID Compliant Driver's License or Identification Card |
| 1030.10 | What Persons Shall Not Be Licensed or Granted Permits |
| 1030.11 | Procedure for Obtaining a Driver's License/Temporary Visitor's Driver's License (Renumbered) |
| 1030.12 | Identification Cards for the Homeless |
| 1030.13 | Denial of License or Permit |
| 1030.14 | Emergency Contact Database |
| 1030.15 | Cite for Re-testing |
| 1030.16 | Physical and Mental Evaluation |
| 1030.17 | Errors in Issuance of Driver's License/Cancellation |
| 1030.18 | Medical Criteria Affecting Driver Performance |
| 1030.20 | Classification of Drivers – References (Repealed) |
| 1030.22 | Medical Examiner's Certificate – CLP or CDL Holders |
| 1030.25 | Safe Driver License Renewals |
| 1030.26 | Identification Cards for IDOC/IDJJ Applicants |
| 1030.27 | Identification Cards for Youth in Care |
| 1030.30 | Classification Standards |
| 1030.40 | Fifth Wheel Equipped Trucks |
| 1030.50 | Bus Driver's Authority, Religious Organization and Senior Citizen Transportation |
| 1030.55 | Commuter Van Driver Operating a For-Profit Ridesharing Arrangement |
| 1030.60 | Third-Party Certification Program |
| 1030.63 | Religious Exemption for Social Security Numbers (Repealed) |
| 1030.65 | Instruction Permits |
| 1030.66 | Adult Driver Education |
| 1030.70 | Driver's License Testing/Vision Screening |

OFFICE OF THE SECRETARY OF STATE

NOTICE OF ADOPTED AMENDMENTS

- 1030.75 Driver's License Testing/Vision Screening With Vision Aid Arrangements Other Than Standard Eye Glasses or Contact Lenses
- 1030.80 Driver's License Testing/Written Test
- 1030.81 Endorsements
- 1030.82 Charter Bus Driver Endorsement Requirements
- 1030.83 Hazardous Material Endorsement
- 1030.84 Vehicle Inspection
- 1030.85 Driver's License Testing/Road Test
- 1030.86 Multiple Attempts – Written and/or Road Tests
- 1030.88 Exemption of Facility Administered Road Test
- 1030.89 Temporary Driver's Licenses and Temporary Instruction Permits
- 1030.90 Requirement for Photograph and Signature of Licensee on Driver's License
- 1030.91 Person with a Disability Identification Card
- 1030.92 Restrictions
- 1030.93 Restricted Local Licenses
- 1030.94 Duplicate or Corrected Driver's License or Instruction Permit
- 1030.95 Consular Licenses (Repealed)
- 1030.96 Seasonal Restricted Commercial Driver's License
- 1030.97 Invalidation of a Driver's License, Permit and/or Driving Privilege
- 1030.98 School Bus Endorsement or Learner's Permit
- 1030.100 Anatomical Gift Donor (Repealed)
- 1030.110 Emergency Medical Information Card
- 1030.115 Change-of-Address
- 1030.120 Issuance of a Probationary License
- 1030.130 Grounds for Cancellation of a Probationary License
- 1030.140 Use of Captured Images
- 1030.150 Veteran Designation on Driver's License or Identification Card
-
- 1030.APPENDIX A Questions Asked of a Driver's License Applicant
- 1030.APPENDIX B Acceptable Identification Documents – Applicants for a Standard Identification Card, Driver's License, Instruction Permit, Visa Status Temporary Visitor's Driver's License Pursuant to IVC Section 6-105.1(a) or Visa Status Temporary Visitor's Instruction Permit (Non-Real ID)
- 1030.APPENDIX C Acceptable Identification Documents – Applicants for a Non-Visa Status Temporary Visitor's Driver's License or Non-Visa Status Temporary Visitor's Instruction Permit Pursuant to IVC Section 6-105.1(a-5)
- 1030.APPENDIX D Acceptable Identification Documents – Applicants for a Real ID

OFFICE OF THE SECRETARY OF STATE

NOTICE OF ADOPTED AMENDMENTS

Compliant Driver's License or Identification Card

AUTHORITY: Implementing Article I of the Illinois Driver Licensing Law of the Illinois Vehicle Code [625 ILCS 5/Ch. 6, Art. I] and authorized by Section 2-104(b) of the Illinois Vehicle Title and Registration Law of the Illinois Vehicle Code [625 ILCS 5].

SOURCE: Filed March 30, 1971; amended at 3 Ill. Reg. 7, p. 13, effective April 2, 1979; amended at 4 Ill. Reg. 27, p. 422, effective June 23, 1980; amended at 6 Ill. Reg. 2400, effective February 10, 1982; codified at 6 Ill. Reg. 12674; amended at 9 Ill. Reg. 2716, effective February 20, 1985; amended at 10 Ill. Reg. 303, effective December 24, 1985; amended at 10 Ill. Reg. 15130, effective September 2, 1986; amended at 10 Ill. Reg. 18182, effective October 14, 1986; amended at 11 Ill. Reg. 9331, effective April 28, 1987; amended at 11 Ill. Reg. 18292, effective October 23, 1987; amended at 12 Ill. Reg. 3027, effective January 14, 1988; amended at 12 Ill. Reg. 13221, effective August 1, 1988; amended at 12 Ill. Reg. 16915, effective October 1, 1988; amended at 12 Ill. Reg. 19777, effective November 15, 1988; amended at 13 Ill. Reg. 5192, effective April 1, 1989; amended at 13 Ill. Reg. 7808, effective June 1, 1989; amended at 13 Ill. Reg. 12880, effective July 19, 1989; amended at 13 Ill. Reg. 12978, effective July 19, 1989; amended at 13 Ill. Reg. 13898, effective August 22, 1989; amended at 13 Ill. Reg. 15112, effective September 8, 1989; amended at 13 Ill. Reg. 17095, effective October 18, 1989; amended at 14 Ill. Reg. 4570, effective March 8, 1990; amended at 14 Ill. Reg. 4908, effective March 9, 1990; amended at 14 Ill. Reg. 5183, effective March 21, 1990; amended at 14 Ill. Reg. 8707, effective May 16, 1990; amended at 14 Ill. Reg. 9246, effective May 16, 1990; amended at 14 Ill. Reg. 9498, effective May 17, 1990; amended at 14 Ill. Reg. 10111, effective June 11, 1990; amended at 14 Ill. Reg. 10510, effective June 18, 1990; amended at 14 Ill. Reg. 12077, effective July 5, 1990; amended at 14 Ill. Reg. 15487, effective September 10, 1990; amended at 15 Ill. Reg. 15783, effective October 18, 1991; amended at 16 Ill. Reg. 2182, effective January 24, 1992; emergency amendment at 16 Ill. Reg. 12228, effective July 16, 1992, for a maximum of 150 days; emergency expired on December 13, 1992; amended at 16 Ill. Reg. 18087, effective November 17, 1992; emergency amendment at 17 Ill. Reg. 1219, effective January 13, 1993, for a maximum of 150 days; amended at 17 Ill. Reg. 2025, effective February 1, 1993; amended at 17 Ill. Reg. 7065, effective May 3, 1993; amended at 17 Ill. Reg. 8275, effective May 24, 1993; amended at 17 Ill. Reg. 8522, effective May 27, 1993; amended at 17 Ill. Reg. 19315, effective October 22, 1993; amended at 18 Ill. Reg. 1591, effective January 14, 1994; amended at 18 Ill. Reg. 7478, effective May 2, 1994; amended at 18 Ill. Reg. 16457, effective October 24, 1994; amended at 19 Ill. Reg. 10159, effective June 29, 1995; amended at 20 Ill. Reg. 3891, effective February 14, 1996; emergency amendment at 20 Ill. Reg. 8358, effective June 4, 1996, for a maximum of 150 days; emergency amendment repealed in response to an objection of the Joint Committee on Administrative Rules at 20 Ill. Reg. 14279; amended at 21 Ill. Reg. 6588, effective May 19, 1997; amended at 21 Ill. Reg. 10992, effective July 29, 1997; amended at 22

OFFICE OF THE SECRETARY OF STATE

NOTICE OF ADOPTED AMENDMENTS

Ill. Reg. 1466, effective January 1, 1998; emergency amendment at 23 Ill. Reg. 9552, effective August 1, 1999, for a maximum of 150 days; amended at 23 Ill. Reg. 13947, effective November 8, 1999; amended at 24 Ill. Reg. 1259, effective January 7, 2000; emergency amendment at 24 Ill. Reg. 1686, effective January 13, 2000, for a maximum of 150 days; amended at 24 Ill. Reg. 6955, effective April 24, 2000; emergency amendment at 24 Ill. Reg. 13044, effective August 10, 2000, for a maximum of 150 days; amended at 24 Ill. Reg. 18400, effective December 4, 2000; amended at 25 Ill. Reg. 959, effective January 5, 2001; amended at 25 Ill. Reg. 7742, effective June 5, 2001; amended at 25 Ill. Reg. 12646, effective September 24, 2001; emergency amendment at 25 Ill. Reg. 12658, effective September 24, 2001, for a maximum of 150 days; emergency expired February 20, 2002; amended at 26 Ill. Reg. 9961, effective June 24, 2002; amended at 27 Ill. Reg. 855, effective January 3, 2003; emergency amendment at 27 Ill. Reg. 7340, effective April 14, 2003, for a maximum of 150 days; emergency expired September 10, 2003; emergency amendment at 27 Ill. Reg. 16968, effective October 17, 2003, for a maximum of 150 days; emergency expired March 14, 2004; emergency amendment at 28 Ill. Reg. 384, effective January 1, 2004, for a maximum of 150 days; emergency expired May 29, 2004; amended at 28 Ill. Reg. 8895, effective June 14, 2004; amended at 28 Ill. Reg. 10776, effective July 13, 2004; amended at 29 Ill. Reg. 920, effective January 1, 2005; emergency amendment at 29 Ill. Reg. 2469, effective January 31, 2005, for a maximum of 150 days; emergency expired June 29, 2005; amended at 29 Ill. Reg. 9488, effective June 17, 2005; amended at 29 Ill. Reg. 12519, effective July 28, 2005; amended at 29 Ill. Reg. 13237, effective August 11, 2005; amended at 29 Ill. Reg. 13580, effective August 16, 2005; amended at 30 Ill. Reg. 910, effective January 6, 2006; amended at 30 Ill. Reg. 5621, effective March 7, 2006; amended at 30 Ill. Reg. 11365, effective June 15, 2006; emergency amendment at 30 Ill. Reg. 11409, effective June 19, 2006, for a maximum of 150 days; emergency expired November 15, 2006; amended at 31 Ill. Reg. 4782, effective March 12, 2007; amended at 31 Ill. Reg. 5096, effective March 15, 2007; amended at 31 Ill. Reg. 5864, effective March 29, 2007; amended at 31 Ill. Reg. 6370, effective April 12, 2007; amended at 31 Ill. Reg. 7643, effective May 16, 2007; amended at 31 Ill. Reg. 11342, effective July 18, 2007; amended at 31 Ill. Reg. 14547, effective October 9, 2007; amended at 31 Ill. Reg. 14849, effective October 22, 2007; amended at 31 Ill. Reg. 16543, effective November 27, 2007; amended at 31 Ill. Reg. 16843, effective January 1, 2008; emergency amendment at 32 Ill. Reg. 208, effective January 2, 2008, for a maximum of 150 days; amended at 32 Ill. Reg. 6544, effective April 4, 2008; amended at 33 Ill. Reg. 2391, effective January 21, 2009; amended at 33 Ill. Reg. 8489, effective June 5, 2009; amended at 33 Ill. Reg. 9794, effective June 29, 2009; amended at 33 Ill. Reg. 11620, effective July 22, 2009; amended at 33 Ill. Reg. 14185, effective September 28, 2009; amended at 34 Ill. Reg. 563, effective December 22, 2009; amended at 34 Ill. Reg. 9457, effective June 23, 2010; amended at 34 Ill. Reg. 15418, effective September 22, 2010; amended at 34 Ill. Reg. 19071, effective November 22, 2010; amended at 35 Ill. Reg. 2197, effective January 21, 2011; amended at 35 Ill. Reg. 4692, effective March 3, 2011; amended at 35 Ill. Reg. 19664, effective November 23,

OFFICE OF THE SECRETARY OF STATE

NOTICE OF ADOPTED AMENDMENTS

2011; amended at 36 Ill. Reg. 3924, effective February 27, 2012; amended at 36 Ill. Reg. 7255, effective April 26, 2012; amended at 36 Ill. Reg. 14755, effective September 18, 2012; amended at 37 Ill. Reg. 7776, effective May 22, 2013; amended at 37 Ill. Reg. 14176, effective September 1, 2013; amended at 37 Ill. Reg. 19342, effective November 28, 2013; amended at 38 Ill. Reg. 7946, effective March 28, 2014; emergency amendment at 38 Ill. Reg. 8429, effective April 4, 2014, for a maximum of 150 days; amended at 38 Ill. Reg. 12515, effective July 1, 2014; amended at 38 Ill. Reg. 16366, effective July 21, 2014; amended at 38 Ill. Reg. 20039, effective October 1, 2014; amended at 39 Ill. Reg. 1182, effective January 5, 2015; amended at 39 Ill. Reg. 5083, effective March 23, 2015; amended at 39 Ill. Reg. 8028, effective May 21, 2015; amended at 39 Ill. Reg. 11531, effective July 28, 2015; amended at 39 Ill. Reg. 14930, effective October 29, 2015; amended at 40 Ill. Reg. 1882, effective January 12, 2016; amended at 40 Ill. Reg. 7330, effective May 2, 2016; amended at 40 Ill. Reg. 13637, effective September 19, 2016; amended at 40 Ill. Reg. 15397, effective October 26, 2016; amended at 41 Ill. Reg. 438, December 29, 2016; amended at 41 Ill. Reg. 3009, effective February 24, 2017; amended at 41 Ill. Reg. 13665, effective October 30, 2017; amended at 42 Ill. Reg. 1886, effective January 3, 2018; amended at 42 Ill. Reg. 2891, effective January 29, 2018; amended at 42 Ill. Reg. 4969, effective March 5, 2018; amended at 42 Ill. Reg. 11499, effective June 8, 2018; amended at 42 Ill. Reg. 20548, effective October 30, 2018; amended at 43 Ill. Reg. 3724, effective March 4, 2019; amended at 43 Ill. Reg. 5322, effective April 24, 2019; amended at 44 Ill. Reg. 2041, effective December 31, 2019.

Section 1030.84 Vehicle Inspection

- a) An applicant, who is required to take the road test, as defined in Section 1030.85, must provide a representative vehicle for the test. The vehicle will be safety inspected by an examiner prior to the road test. A vehicle that is not properly equipped or that does not have equipment in safe operating order will be rejected for use in the road test. The following equipment shall be safety inspected as required for the type of representative vehicles being used to administer the road test:
 - 1) Registration plates shall be attached or affixed to the motor vehicle pursuant to IVC Section 3-413. The owner of a vehicle who does not have registration plates and/or a registration sticker shall present proper documentation, pursuant to IVC Section 3-407, showing that proper registration has been applied for, prior to use of the vehicle for road test.
 - 2) When lighted lamps are required pursuant to IVC Section 12-201(b) for the road test, motor vehicles shall have mounted, exhibit and operate such

OFFICE OF THE SECRETARY OF STATE

NOTICE OF ADOPTED AMENDMENTS

lamps pursuant to IVC Sections 12-201, 12-202, 12-204, 12-205, 12-207, 12-208, 12-209, 12-210 and/or 12-215. A motorized pedalcycle must have mounted and display a lamp and reflector as required in IVC Section 11-1507.1.

- 3) When windshield wipers are required pursuant to IVC Section 12-503(d), they must be in proper operating condition.
- 4) The horn must be in proper working order pursuant to IVC Section 12-601. Horns do not include a siren, whistle or bell.
- 5) No person shall drive a motor vehicle with any sign, poster, window application, reflective material or nonreflective material upon the front windshield, sidewings or side windows immediately adjacent to each side of the driver that materially obstructs, obscures or impairs the view from within or without the vehicle. No person shall drive a motor vehicle with any objects placed or suspended between the driver and the front windshield or rear window that materially obstruct the driver's view. No person shall drive a motor vehicle when the windshield or side or rear windows are in such defective condition or repair as to materially impair the driver's view to the front, side or rear. A vehicle equipped with an unobstructed rearview mirror will be deemed to be in compliance in the event the rear window of the vehicle is materially obscured (see IVC Section 12-503).
- 6) No vehicle may be used for the road test if one or more tires are unsafe as defined in IVC Section 12-405. A vehicle equipped with metal studded tires may not be used for the road test.
- 7) The service brakes, foot or hand operated, must be in a condition that allows activation with one movement of the activating device. All First and Second Division vehicles must be equipped with an operable emergency brake. A Class M motorcycle shall have two methods of braking. A Class L motor-driven cycle or pedalcycle shall have at least one method of braking.
- 8) Each driver and front seat passenger of a 1965 or later model motor vehicle operated on a street or highway in this State shall wear a properly adjusted and fastened seat safety belt pursuant to IVC Section 12-603.1.

OFFICE OF THE SECRETARY OF STATE

NOTICE OF ADOPTED AMENDMENTS

Such requirements shall not apply to a driver possessing a written statement from a physician that the person is unable, for medical or physical reasons, to wear a seat safety belt, or to certain motor vehicles that are not required to be equipped with seat safety belts under 49 CFR 393.93. A retractable lap seat belt shall be provided for the driver of a school bus and must be used by the driver at all times while the bus is being operated, as required by IVC Section 12-807.

- 9) Every motor vehicle shall be equipped with a mirror so located as to reflect to the driver a view of the highway for a distance of at least 200 feet to the rear of the motor vehicle. A rectangular rearview mirror shall be located on the right and left sides of each Second Division school bus forward of the driver's seat. The mirrors shall have a minimum horizontal dimension of five inches and a minimum vertical dimension of 10 inches.
- 10) The seat for the person giving the test must be securely affixed in a location that assures the examiner's safety and allows the examiner to perform proper scoring of the road test pursuant to Section 1030.85. The seat must be free from excessive soil or grease and should have no protruding springs. Vehicles must not have loose objects on the seats or floors that could pose a danger to the driver or examiner.
- 11) The steering wheel must not be broken or have any part missing. The steering wheel when worked back and forth shall not have more than 5-10 degrees of free play (approximately 2" at the rim of a 20" steering wheel). Vehicles that have excessive free play (more than 10 degrees) in the steering mechanism shall be rejected as unsafe. Free play is the degree of movement the steering wheel must have before the front wheels move.
- 12) Both front vehicle doors must be operable from the inside and outside of the vehicle with the standard latching mechanism. Doors may not be wired or strapped shut.
- 13) Every motor vehicle of a width or design that would not allow hand signals to be adequately visible from the front and rear shall be equipped with an electric turn signal device that indicates the intention of the driver to turn to the right or to the left. The signaling device shall be in the form of flashing red or amber lights located at the rear of the vehicle on the side toward which the turn is to be made, mounted on the same level and as

OFFICE OF THE SECRETARY OF STATE

NOTICE OF ADOPTED AMENDMENTS

widely spaced laterally as practicable. Turn signal lamps must be visible from a distance of not less than 300 feet in normal sunlight.

- 14) Any motor vehicle or combination vehicle that operates with air brakes must have air brake hoses that are free from breaks, leaks or bulges that may prevent or hinder the safe operation of the vehicle braking system. Any motor vehicle or combination vehicle that operates with air brakes will not be permitted to be used for the road test if the air pressure gauge reading fails to maintain 95 pounds per square inch pressure during normal pressure buildup.
- 15) Three safety flags, flares, fuses or reflectors shall be provided in all Second Division vehicles as described in IVC Section 12-702.
- 16) An operating speedometer shall be mounted in all vehicles designated as a school bus in a manner that it is readable to the seated driver.
- 17) The emergency doors at the front and the rear of a designated school bus should open from the inside. The latch must be in operable condition. An alarm system that is visible and audible to the driver must be activated when the engine is running and the emergency door is unlatched.
- 18) One fire extinguisher shall be located in a position readily accessible to the driver of a school bus pursuant to IVC Section 12-808.
- 19) A school bus shall carry a removable and readily identifiable first aid and bodily fluid kit, mounted in full view of and readily accessible to the driver as required by IVC Section 12-809.
- 20) All school buses shall be equipped with an 8-lamp flashing signal system consisting of two alternately flashing red signal lights and two flashing yellow signal lights mounted at the front and rear of the bus as required by IVC Section 12-805. Each signal lamp shall be a sealed beam at least 5½ inches in diameter and shall have sufficient intensity to be visible at 500 feet in normal sunlight. The system shall be actuated only by means of a manual switch. There shall be a device for indicating to the driver that the system is operating properly or is inoperative.
- 21) All Second Division vehicles, as required by IVC Section 12-202, shall

OFFICE OF THE SECRETARY OF STATE

NOTICE OF ADOPTED AMENDMENTS

have mounted and properly display clearance, identification and side marker lamps. The lamps shall be illuminated for the road test, during periods when headlamps are required by IVC Section 12-201.

- 22) A stop arm shall be placed on the driver's side of each Second Division school bus and may be operated either manually or mechanically. The design of this stop arm shall comply with IVC Section 12-803.
 - 23) The tailpipes of each Second Division school bus should extend beyond the rear end of the chassis frame at least one inch, but not beyond the rear of the bumper.
 - 24) A religious organization bus or senior citizen transportation vehicle may be of any color and have any markings designating its purpose other than those required for school buses pursuant to IVC Sections 12-801, 12-802, 12-804 and 12-806. A road test, for a religious organization bus or senior citizen transportation vehicle restriction, may be administered in any vehicle of the proper representative type for the license restriction (see Section 1030.92).
 - 25) No person shall operate any motorcycle, motor-driven cycle or pedalcycle for the road test with handlebars higher than the height of the shoulders of the operator when seated in the upright driving position.
 - 26) The operator of a motorcycle, motor-driven cycle or pedalcycle used for the road test shall be protected by glasses, goggles or a transparent shield pursuant to IVC Section 11-1404.
 - 27) Second Division vehicles or medical transport vehicles shall display a certificate of safety then in effect pursuant to IVC Sections 13-111 and 13-114, except that those vehicles displaying a Department of Transportation federal census number on the side of the vehicle shall not require the certificate.
 - 28) No vehicle may be used for a road test that has any lighting or combination of lighting with a smoked or tinted lens or cover per IVC Section 12-212.
- b) Prior to taking a road test, as defined in Section 1030.85, each applicant shall

OFFICE OF THE SECRETARY OF STATE

NOTICE OF ADOPTED AMENDMENTS

execute an affirmation stating that the vehicle to be used for the road test:

- 1) Is insured pursuant to, and in compliance with, IVC Chapter 7, Article VI (the applicant shall provide proof of insurance); or
 - 2) Falls within one of the stated exempted categories.
- c) If the applicant refuses to execute or fails to comply with this Section, then no road test shall be given to the applicant in that vehicle until such time as the applicant complies.

(Source: Amended at 44 Ill. Reg. 2041, effective December 31, 2019)

Section 1030.150 Veteran Designation on Driver's License or Identification Card

- a) Definitions. For the purposes of this Section, the following terms shall have the meanings set forth in this subsection (a):

"Active duty" – means active duty under an executive order of the President of the United States, an Act of the Congress of the United States, or an order of the governor of any U.S. state or territory.

"Armed Forces" – means any of the Armed Forces of the United States, including a member of any reserve component or National Guard unit called to active duty.

"DVA" – means the Illinois Department of Veterans' Affairs.

"Veteran" – means a person who has served on active duty in the Armed Forces and was discharged or separated under honorable conditions. [15 ILCS 335/5(b)]

- b) Effective July 1, 2015, for each original or renewal driver's license or identification card (ID) application, the Secretary shall inquire as to whether the applicant is an honorably discharged veteran. If the applicant is an honorably discharged veteran, the applicant shall be informed that he or she is entitled to have the designation "Veteran" on the face of his or her driver's license or ID card.
- e) ~~In order to obtain a driver's license or ID with the "Veteran" designation, an applicant must first contact the Department by personally going to any of DVA's~~

OFFICE OF THE SECRETARY OF STATE

NOTICE OF ADOPTED AMENDMENTS

~~field offices across Illinois to request Confirmation of Status as an Honorably Discharged Veteran.~~

- d) ~~If DVA can confirm status as an honorably discharged veteran, the Department shall affix a stamp to the veteran's DD214, Certification of Military Service (NA Form 13038), identification card issued under the Federal Veterans Identification Card Act of 2015, or other official Department of Defense discharge document if a DD214 was not issued or is unavailable. If the document proving honorable discharge status cannot be stamped, DVA shall provide a certificate to the veteran to provide to the Secretary of State.~~
- ce) The Secretary shall issue an original, renewal, corrected or duplicate driver's license or ID with the "Veteran" designation to applicants who present a DD214, Certification of Military Service (NA Form 13038), Department of Defense Form DD-2 (retired), an identification card issued under the federal Veterans Identification Card Act of 2015, or U.S. Department of Veterans Affairs summary of benefits letter or other official Department of Defense discharge document that indicates the applicant was honorably discharged bearing the Department's fully executed stamp. The applicant shall pay the statutory fee (see 15 ILCS 335/12), as required by IVC Section 6-118, to have a "Veteran" designation added to a duplicate or corrected driver's license, and by Section 12 of the Illinois Identification Card Act [15 ILCS 335/625 ILCS 5/6-118] to have the designation added to a duplicate or corrected ID.
- df) ~~On a nightly basis~~No less often than once per month, the Secretary shall provide DVA with an electronic file~~a list~~ of the applicants who were issued a driver's license or ID card with the "Veteran" designation during that business days~~nee the last list was provided~~. The file~~list~~ shall contain the full name, address, driver's license or ID card number, date of birth, gender and full social security number of the applicant. DVA shall compare that file~~list~~ to its records to determine if the applicant is a honorably discharged veteran~~of veterans to whom a confirmation form has been issued~~. DVA shall notify the Secretary if it determines, after due diligence, that it cannot verify an applicant's veteran status~~the Secretary has issued a "Veteran" driver's license or ID to an applicant whose DD214, NA Form 13038 or other official Department of Defense discharge document was not certified by DVA.~~
- eg) Upon being notified by DVA that it cannot verify the applicant is~~that an applicant has been issued a "Veteran" driver's license or ID without first being confirmed as~~

OFFICE OF THE SECRETARY OF STATE

NOTICE OF ADOPTED AMENDMENTS

an honorably discharged veteran ~~by DVA~~, the Secretary shall notify the applicant in writing ~~that DVA has no record of confirming the applicant's status as an honorably discharged veteran, and shall inform the applicant~~ that he or she has 30 days to appear in person at a DVA office and provide proof of his or her ~~veteran~~ status as an honorably discharged veteran to ~~the~~ DVA. If, within that 30 day period, DVA notifies the Secretary that, upon further review, it has determined that the ~~DD214, DVA NA Form 13038 or other official Department of Defense discharge document submitted to the Secretary by the applicant~~ is an honorably discharged veteran ~~was in fact issued by DVA~~, the Secretary will take no further action regarding the matter.

- fh) If the Secretary does not receive notification from DVA within the 30 day period specified in subsection (eg) confirming the applicant's status as an honorably discharged veteran, the Secretary shall cite the applicant to appear at a Secretary of State facility within 15 days after the date of the citation to surrender the driver's license or ID card with the "Veteran" designation and be issued a new card without the "Veteran" designation. If the applicant does not appear pursuant to the citation, the applicant's driver's license or ID card shall be canceled.
- gi) An applicant whose driver's license or ID has been cancelled pursuant to this Section may apply for a driver's license or ID without the "Veteran" designation.

(Source: Amended at 44 Ill. Reg. 2041, effective December 31, 2019)

DEPARTMENT OF REVENUE

NOTICE OF EMERGENCY AMENDMENT

- 1) Heading of the Part: Retailers' Occupation Tax
- 2) Code Citation: 86 Ill. Adm. Code 130
- 3) Section Number: 130.310 Emergency Action: Amendment
- 4) Statutory Authority: 35 ILCS 120/12; 20 ILCS 2505/2505-795
- 5) Effective Date of Emergency Rule: January 13, 2020
- 6) If this Emergency Amendment is to expire before the end of the 150-day period, please specify the date on which it is to expire: The Emergency Amendment expires 180 days after the effective date. Pursuant to Section 900-5 of PA 101-27, Section 5-45 of the Illinois Administrative Procedure Act [5 ILCS 100/5-45(gg)] is amended to provide that the Department of Revenue may adopt emergency rules to implement the Cannabis Regulation and Tax Act and the rules are effective for 180 days.
- 7) Date Filed with the Index Department: January 13, 2020
- 8) A copy of the emergency amendment, including any material incorporated by reference, is on file in the Agency's principal office and is available for public inspection.
- 9) Reason for Emergency: PA 101-27 enacted the Cannabis Regulation and Tax Act. The Act permits the sale of cannabis to adults for personal use. The sale of adult cannabis is subject to the Retailers' Occupation Tax. The Emergency Amendment clarifies the correct rate of Retailers' Occupation Tax to be imposed on cannabis sold to adults by cannabis dispensaries beginning January 1, 2020. See PA 101-593, effective December 4, 2019. In order to provide for the expeditious and timely implementation of the Cannabis Regulation and Tax Act and PA 101-27, the Department of Revenue is authorized to adopt emergency rules. The adoption of emergency rules authorized by PA 101-27 is deemed to be necessary for the public interest, safety, and welfare. See Section 900-5 of PA 101-27 and [5 ILCS 100/5-45(gg)].
- 10) A Complete Description of the Subjects and Issues Involved: PA 101-593 amends Section 2-10 of the Retailers' Occupation Tax Act. The amendments to Section 2-10 clarify that that food consisting of or infused with adult use cannabis is taxed at the general merchandise rate of 6.25%, not the 1% rate imposed on food for human consumption that is to be consumed off the premises where it is sold. "Adult use

DEPARTMENT OF REVENUE

NOTICE OF EMERGENCY AMENDMENT

cannabis" is defined as cannabis subject to tax under the Cannabis Cultivation Privilege Tax Law and the Cannabis Purchaser Excise Tax Law and does not include cannabis subject to tax under the Compassionate Use of Medical Cannabis Program Act.

- 11) Are there any rulemakings to this Part pending? Yes

| <u>Section Numbers:</u> | <u>Proposed Actions:</u> | <u>Illinois Register Citations:</u> |
|-------------------------|--------------------------|---------------------------------------|
| 130.330 | Amendment | 43 Ill. Reg. 13190; November 15, 2019 |
| 130.1957 | New Section | 43 Ill. Reg. 13190; November 15, 2019 |
| 130.425 | Amendment | 44 Ill. Reg. 485; January 10, 2020 |
| 130.455 | Amendment | 44 Ill. Reg. 485; January 10, 2020 |

- 12) Statement of Statewide Policy Objective: This rulemaking neither imposes a State mandate, nor modifies an existing mandate.

- 13) Information and questions regarding this emergency amendment shall be directed to:

Richard S. Wolters
Illinois Department of Revenue
101 West Jefferson
Springfield IL 62794

217/782-2844

The full text of the Emergency Amendment begins on the next page:

DEPARTMENT OF REVENUE

NOTICE OF EMERGENCY AMENDMENT

TITLE 86: REVENUE

CHAPTER I: DEPARTMENT OF REVENUE

PART 130

RETAILERS' OCCUPATION TAX

SUBPART A: NATURE OF TAX

Section

| | |
|---------|--|
| 130.101 | Character and Rate of Tax |
| 130.105 | Responsibility of Trustees, Receivers, Executors or Administrators |
| 130.110 | Occasional Sales |
| 130.111 | Sale of Used Motor Vehicles, Aircraft, or Watercraft by Leasing or Rental Business |
| 130.115 | Habitual Sales |
| 130.120 | Nontaxable Transactions |

SUBPART B: SALE AT RETAIL

Section

| | |
|---------|---|
| 130.201 | The Test of a Sale at Retail |
| 130.205 | Sales for Transfer Incident to Service |
| 130.210 | Sales of Tangible Personal Property to Purchasers for Resale |
| 130.215 | Further Illustrations of Sales for Use or Consumption Versus Sales for Resale |
| 130.220 | Sales to Lessors of Tangible Personal Property |
| 130.225 | Drop Shipments |

SUBPART C: CERTAIN STATUTORY EXEMPTIONS

Section

| | |
|------------------|---|
| 130.305 | Farm Machinery and Equipment |
| 130.310 | Food, Soft Drinks and Candy |
| <u>EMERGENCY</u> | |
| 130.311 | Drugs, Medicines, Medical Appliances and Grooming and Hygiene Products |
| 130.315 | Fuel Sold for Use in Vessels on Rivers Bordering Illinois |
| 130.320 | Gasohol, Majority Blended Ethanol, Biodiesel Blends, and 100% Biodiesel |
| 130.321 | Fuel Used by Air Common Carriers in Flights Engaged in Foreign Trade or Engaged in Trade Between the United States and any of its Possessions |
| 130.325 | Graphic Arts Machinery and Equipment Exemption |

DEPARTMENT OF REVENUE

NOTICE OF EMERGENCY AMENDMENT

| | |
|---------|---|
| 130.330 | Manufacturing Machinery and Equipment |
| 130.331 | Manufacturer's Purchase Credit |
| 130.332 | Automatic Vending Machines |
| 130.335 | Pollution Control Facilities and Low Sulfur Dioxide Emission Coal-Fueled Devices |
| 130.340 | Rolling Stock |
| 130.341 | Commercial Distribution Fee Sales Tax Exemption |
| 130.345 | Oil Field Exploration, Drilling and Production Equipment |
| 130.350 | Coal Exploration, Mining, Off Highway Hauling, Processing, Maintenance and Reclamation Equipment |
| 130.351 | Aggregate Exploration, Mining, Off Highway Hauling, Processing, Maintenance and Reclamation Equipment |

SUBPART D: GROSS RECEIPTS

| | |
|---------|--|
| Section | |
| 130.401 | Meaning of Gross Receipts |
| 130.405 | How to Avoid Paying Tax on State or Local Tax Passed on to the Purchaser |
| 130.410 | Cost of Doing Business Not Deductible |
| 130.415 | Transportation and Delivery Charges |
| 130.420 | Finance or Interest Charges – Penalties – Discounts |
| 130.425 | Traded-In Property |
| 130.430 | Deposit or Prepayment on Purchase Price |
| 130.435 | State and Local Taxes Other Than Retailers' Occupation Tax |
| 130.440 | Penalties |
| 130.445 | Federal Taxes |
| 130.450 | Installation, Alteration and Special Service Charges |
| 130.455 | Motor Vehicle Leasing and Trade-In Allowances |

SUBPART E: RETURNS

| | |
|---------|---|
| Section | |
| 130.501 | Monthly Tax Returns – When Due – Contents |
| 130.502 | Quarterly Tax Returns |
| 130.505 | Returns and How to Prepare |
| 130.510 | Annual Tax Returns |
| 130.515 | First Return |
| 130.520 | Final Returns When Business is Discontinued |
| 130.525 | Who May Sign Returns |

DEPARTMENT OF REVENUE

NOTICE OF EMERGENCY AMENDMENT

| | |
|---------|--|
| 130.530 | Returns Covering More Than One Location Under Same Registration – Separate Returns for Separately Registered Locations |
| 130.535 | Payment of the Tax, Including Quarter Monthly Payments in Certain Instances |
| 130.540 | Returns on a Transaction by Transaction Basis |
| 130.545 | Registrants Must File a Return for Every Return Period |
| 130.550 | Filing of Returns for Retailers by Suppliers Under Certain Circumstances |
| 130.551 | Prepayment of Retailers' Occupation Tax on Motor Fuel |
| 130.552 | Alcoholic Liquor Reporting |
| 130.555 | Vending Machine Information Returns |
| 130.560 | Verification of Returns |

SUBPART F: INTERSTATE COMMERCE

| | |
|---------|---|
| Section | |
| 130.601 | Preliminary Comments (Repealed) |
| 130.605 | Sales of Property Originating in Illinois; Questions of Interstate Commerce |
| 130.610 | Sales of Property Originating in Other States (Repealed) |

SUBPART G: CERTIFICATE OF REGISTRATION

| | |
|---------|---|
| Section | |
| 130.701 | General Information on Obtaining a Certificate of Registration |
| 130.705 | Procedure in Disputed Cases Involving Financial Responsibility Requirements |
| 130.710 | Procedure When Security Must be Forfeited |
| 130.715 | Sub-Certificates of Registration |
| 130.720 | Separate Registrations for Different Places of Business of Same Taxpayer Under Some Circumstances |
| 130.725 | Display |
| 130.730 | Replacement of Certificate |
| 130.735 | Certificate Not Transferable |
| 130.740 | Certificate Required For Mobile Vending Units |
| 130.745 | Revocation of Certificate |

SUBPART H: BOOKS AND RECORDS

| | |
|---------|---|
| Section | |
| 130.801 | Books and Records – General Requirements |
| 130.805 | What Records Constitute Minimum Requirement |
| 130.810 | Records Required to Support Deductions |

DEPARTMENT OF REVENUE

NOTICE OF EMERGENCY AMENDMENT

- 130.815 Preservation and Retention of Records
- 130.820 Preservation of Books During Pendency of Assessment Proceedings
- 130.825 Department Authorization to Destroy Records Sooner Than Would Otherwise be Permissible

SUBPART I: PENALTIES AND INTEREST

Section

- 130.901 Civil Penalties
- 130.905 Interest
- 130.910 Criminal Penalties

SUBPART J: BINDING OPINIONS

Section

- 130.1001 When Opinions from the Department are Binding

SUBPART K: SELLERS LOCATED ON, OR SHIPPING TO, FEDERAL AREAS

Section

- 130.1101 Definition of Federal Area
- 130.1105 When Deliveries on Federal Areas Are Taxable
- 130.1110 No Distinction Between Deliveries on Federal Areas and Illinois Deliveries Outside Federal Areas

SUBPART L: TIMELY MAILING TREATED AS TIMELY FILING AND PAYING

Section

- 130.1201 General Information
- 130.1205 Due Date that Falls on Saturday, Sunday or a Holiday

SUBPART M: LEASED PORTIONS OF LESSOR'S BUSINESS SPACE

Section

- 130.1301 When Lessee of Premises Must File Return for Leased Department
- 130.1305 When Lessor of Premises Should File Return for Business Operated on Leased Premises
- 130.1310 Meaning of "Lessor" and "Lessee" in this Regulation

DEPARTMENT OF REVENUE

NOTICE OF EMERGENCY AMENDMENT

SUBPART N: SALES FOR RESALE

Section

- 130.1401 Seller's Responsibility to Determine the Character of the Sale at the Time of the Sale
- 130.1405 Seller's Responsibility to Obtain Certificates of Resale and Requirements for Certificates of Resale
- 130.1410 Requirements for Certificates of Resale (Repealed)
- 130.1415 Resale Number – When Required and How Obtained
- 130.1420 Blanket Certificate of Resale (Repealed)

SUBPART O: CLAIMS TO RECOVER ERRONEOUSLY PAID TAX

Section

- 130.1501 Claims for Credit – Limitations – Procedure
- 130.1505 Disposition of Credit Memoranda by Holders Thereof
- 130.1510 Refunds
- 130.1515 Interest

SUBPART P: PROCEDURE TO BE FOLLOWED UPON
SELLING OUT OR DISCONTINUING BUSINESS

Section

- 130.1601 When Returns are Required After a Business is Discontinued
- 130.1605 When Returns Are Not Required After Discontinuation of a Business
- 130.1610 Cross Reference to Bulk Sales Regulation

SUBPART Q: NOTICE OF SALES OF GOODS IN BULK

Section

- 130.1701 Bulk Sales: Notices of Sales of Business Assets

SUBPART R: POWER OF ATTORNEY

Section

- 130.1801 When Powers of Attorney May be Given
- 130.1805 Filing of Power of Attorney With Department
- 130.1810 Filing of Papers by Agent Under Power of Attorney

DEPARTMENT OF REVENUE

NOTICE OF EMERGENCY AMENDMENT

SUBPART S: SPECIFIC APPLICATIONS

Section

| | |
|----------|--|
| 130.1901 | Addition Agents to Plating Baths |
| 130.1905 | Agricultural Producers |
| 130.1910 | Antiques, Curios, Art Work, Collectors' Coins, Collectors' Postage Stamps and Like Articles |
| 130.1915 | Auctioneers and Agents |
| 130.1920 | Barbers and Beauty Shop Operators |
| 130.1925 | Blacksmiths |
| 130.1930 | Chiropodists, Osteopaths and Chiropractors |
| 130.1934 | Community Water Supply |
| 130.1935 | Computer Software |
| 130.1940 | Construction Contractors and Real Estate Developers |
| 130.1945 | Co-operative Associations |
| 130.1946 | Tangible Personal Property Used or Consumed in Graphic Arts Production within Enterprise Zones Located in a County of more than 4,000 Persons and less than 45,000 Persons |
| 130.1947 | Tangible Personal Property Used or Consumed in the Process of Manufacturing and Assembly within Enterprise Zones or by High Impact Businesses |
| 130.1948 | Tangible Personal Property Used or Consumed in the Operation of Pollution Control Facilities Located within Enterprises Zones |
| 130.1949 | Sales of Building Materials Incorporated into the South Suburban Airport |
| 130.1950 | Sales of Building Materials Incorporated into the Illiana Expressway |
| 130.1951 | Sales of Building Materials Incorporated into Real Estate within Enterprise Zones |
| 130.1952 | Sales of Building Materials to a High Impact Business |
| 130.1953 | Sales of Building Materials to be Incorporated into a Redevelopment Project Area within an Intermodal Terminal Facility Area |
| 130.1954 | Sales of Building Materials Incorporated into Real Estate within River Edge Redevelopment Zones |
| 130.1955 | Farm Chemicals |
| 130.1956 | Dentists |
| 130.1960 | Finance Companies and Other Lending Agencies – Installment Contracts – Bad Debts |
| 130.1965 | Florists and Nurserymen |
| 130.1970 | Hatcheries |
| 130.1971 | Sellers of Pets and the Like |
| 130.1975 | Operators of Games of Chance and Their Suppliers |
| 130.1980 | Optometrists and Opticians |

DEPARTMENT OF REVENUE

NOTICE OF EMERGENCY AMENDMENT

| | |
|----------|---|
| 130.1985 | Pawnbrokers |
| 130.1990 | Peddlers, Hawkers and Itinerant Vendors |
| 130.1995 | Personalizing Tangible Personal Property |
| 130.2000 | Persons Engaged in the Printing, Graphic Arts or Related Occupations, and Their Suppliers |
| 130.2004 | Sales to Nonprofit Arts or Cultural Organizations |
| 130.2005 | Persons Engaged in Nonprofit Service Enterprises and in Similar Enterprises Operated As Businesses, and Suppliers of Such Persons |
| 130.2006 | Sales by Teacher-Sponsored Student Organizations |
| 130.2007 | Exemption Identification Numbers |
| 130.2008 | Sales by Nonprofit Service Enterprises |
| 130.2009 | Personal Property Purchased Through Certain Fundraising Events for the Benefit of Certain Schools |
| 130.2010 | Persons Who Rent or Lease the Use of Tangible Personal Property to Others |
| 130.2011 | Sales to Persons Who Lease Tangible Personal Property to Exempt Hospitals |
| 130.2012 | Sales to Persons Who Lease Tangible Personal Property to Governmental Bodies |
| 130.2013 | Persons in the Business of Both Renting and Selling Tangible Personal Property – Tax Liabilities, Credit |
| 130.2015 | Persons Who Repair or Otherwise Service Tangible Personal Property |
| 130.2020 | Physicians and Surgeons |
| 130.2025 | Picture-Framers |
| 130.2030 | Public Amusement Places |
| 130.2035 | Registered Pharmacists and Druggists |
| 130.2040 | Retailers of Clothing |
| 130.2045 | Retailers on Premises of the Illinois State Fair, County Fairs, Art Shows, Flea Markets and the Like |
| 130.2050 | Sales and Gifts By Employers to Employees |
| 130.2055 | Sales by Governmental Bodies |
| 130.2060 | Sales of Alcoholic Beverages, Motor Fuel and Tobacco Products |
| 130.2065 | Sales of Automobiles for Use In Demonstration (Repealed) |
| 130.2070 | Sales of Containers, Wrapping and Packing Materials and Related Products |
| 130.2075 | Sales To Construction Contractors, Real Estate Developers and Speculative Builders |
| 130.2076 | Sales to Purchasers Performing Contracts with Governmental Bodies |
| 130.2080 | Sales to Governmental Bodies, Foreign Diplomats and Consular Personnel |
| 130.2085 | Sales to or by Banks, Savings and Loan Associations and Credit Unions |
| 130.2090 | Sales to Railroad Companies |
| 130.2095 | Sellers of Gasohol, Coal, Coke, Fuel Oil and Other Combustibles |
| 130.2100 | Sellers of Feeds and Breeding Livestock |

DEPARTMENT OF REVENUE

NOTICE OF EMERGENCY AMENDMENT

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|----------|--|
| 130.2101 | Sellers of Floor Coverings |
| 130.2105 | Sellers of Newspapers, Magazines, Books, Sheet Music and Musical Recordings, and Their Suppliers; Transfer of Data Downloaded Electronically |
| 130.2110 | Sellers of Seeds and Fertilizer |
| 130.2115 | Sellers of Machinery, Tools and Special Order Items |
| 130.2120 | Suppliers of Persons Engaged in Service Occupations and Professions |
| 130.2125 | Discount Coupons, Gift Situations, Trading Stamps, Automobile Rebates and Dealer Incentives |
| 130.2130 | Undertakers and Funeral Directors |
| 130.2135 | Vending Machines |
| 130.2140 | Vendors of Curtains, Slip Covers and Other Similar Items Made to Order |
| 130.2145 | Vendors of Meals |
| 130.2150 | Vendors of Memorial Stones and Monuments |
| 130.2155 | Tax Liability of Sign Vendors |
| 130.2156 | Vendors of Steam |
| 130.2160 | Vendors of Tangible Personal Property Employed for Premiums, Advertising, Prizes, Etc. |
| 130.2165 | Veterinarians |
| 130.2170 | Warehousemen |

SUBPART T: DIRECT PAYMENT PROGRAM

Section

| | |
|----------|--|
| 130.2500 | Direct Payment Program |
| 130.2505 | Qualifying Transactions, Non-transferability of Permit |
| 130.2510 | Permit Holder's Payment of Tax |
| 130.2515 | Application for Permit |
| 130.2520 | Qualification Process and Requirements |
| 130.2525 | Application Review |
| 130.2530 | Recordkeeping Requirements |
| 130.2535 | Revocation and Withdrawal |

| | |
|--------------------|--|
| 130.ILLUSTRATION A | Examples of Tax Exemption Cards |
| 130.ILLUSTRATION B | Example of Notice of Revocation of Certificate of Registration |
| 130.ILLUSTRATION C | Food Flow Chart |

AUTHORITY: Implementing the Illinois Retailers' Occupation Tax Act [35 ILCS 120] and authorized by Section 2505-25 of the Civil Administrative Code of Illinois [20 ILCS 2505].

DEPARTMENT OF REVENUE

NOTICE OF EMERGENCY AMENDMENT

SOURCE: Adopted July 1, 1933; amended at 2 Ill. Reg. 50, p. 71, effective December 10, 1978; amended at 3 Ill. Reg. 12, p. 4, effective March 19, 1979; amended at 3 Ill. Reg. 13, pp. 93 and 95, effective March 25, 1979; amended at 3 Ill. Reg. 23, p. 164, effective June 3, 1979; amended at 3 Ill. Reg. 25, p. 229, effective June 17, 1979; amended at 3 Ill. Reg. 44, p. 193, effective October 19, 1979; amended at 3 Ill. Reg. 46, p. 52, effective November 2, 1979; amended at 4 Ill. Reg. 24, pp. 520, 539, 564 and 571, effective June 1, 1980; amended at 5 Ill. Reg. 818, effective January 2, 1981; amended at 5 Ill. Reg. 3014, effective March 11, 1981; amended at 5 Ill. Reg. 12782, effective November 2, 1981; amended at 6 Ill. Reg. 2860, effective March 3, 1982; amended at 6 Ill. Reg. 6780, effective May 24, 1982; codified at 6 Ill. Reg. 8229; recodified at 6 Ill. Reg. 8999; amended at 6 Ill. Reg. 15225, effective December 3, 1982; amended at 7 Ill. Reg. 7990, effective June 15, 1983; amended at 8 Ill. Reg. 5319, effective April 11, 1984; amended at 8 Ill. Reg. 19062, effective September 26, 1984; amended at 10 Ill. Reg. 1937, effective January 10, 1986; amended at 10 Ill. Reg. 12067, effective July 1, 1986; amended at 10 Ill. Reg. 19538, effective November 5, 1986; amended at 10 Ill. Reg. 19772, effective November 5, 1986; amended at 11 Ill. Reg. 4325, effective March 2, 1987; amended at 11 Ill. Reg. 6252, effective March 20, 1987; amended at 11 Ill. Reg. 18284, effective October 27, 1987; amended at 11 Ill. Reg. 18767, effective October 28, 1987; amended at 11 Ill. Reg. 19138, effective October 29, 1987; amended at 11 Ill. Reg. 19696, effective November 23, 1987; amended at 12 Ill. Reg. 5652, effective March 15, 1988; emergency amendment at 12 Ill. Reg. 14401, effective September 1, 1988, for a maximum of 150 days, modified in response to an objection of the Joint Committee on Administrative Rules at 12 Ill. Reg. 19531, effective November 4, 1988, not to exceed the 150 day time limit of the original rulemaking; emergency expired January 29, 1989; amended at 13 Ill. Reg. 11824, effective June 29, 1989; amended at 14 Ill. Reg. 241, effective December 21, 1989; amended at 14 Ill. Reg. 872, effective January 1, 1990; amended at 14 Ill. Reg. 15463, effective September 10, 1990; amended at 14 Ill. Reg. 16028, effective September 18, 1990; amended at 15 Ill. Reg. 6621, effective April 17, 1991; amended at 15 Ill. Reg. 13542, effective August 30, 1991; amended at 15 Ill. Reg. 15757, effective October 15, 1991; amended at 16 Ill. Reg. 1642, effective January 13, 1992; amended at 17 Ill. Reg. 860, effective January 11, 1993; amended at 17 Ill. Reg. 18142, effective October 4, 1993; amended at 17 Ill. Reg. 19651, effective November 2, 1993; amended at 18 Ill. Reg. 1537, effective January 13, 1994; amended at 18 Ill. Reg. 16866, effective November 7, 1994; amended at 19 Ill. Reg. 13446, effective September 12, 1995; amended at 19 Ill. Reg. 13568, effective September 11, 1995; amended at 19 Ill. Reg. 13968, effective September 18, 1995; amended at 20 Ill. Reg. 4428, effective March 4, 1996; amended at 20 Ill. Reg. 5366, effective March 26, 1996; amended at 20 Ill. Reg. 6991, effective May 7, 1996; amended at 20 Ill. Reg. 9116, effective July 2, 1996; amended at 20 Ill. Reg. 15753, effective December 2, 1996; expedited correction at 21 Ill. Reg. 4052, effective December 2, 1996; amended at 20 Ill. Reg. 16200, effective December 16, 1996; amended at 21 Ill. Reg. 12211, effective August 26, 1997; amended at 22 Ill. Reg. 3097, effective January 27, 1998; amended at 22 Ill. Reg. 11874,

DEPARTMENT OF REVENUE

NOTICE OF EMERGENCY AMENDMENT

effective June 29, 1998; amended at 22 Ill. Reg. 19919, effective October 28, 1998; amended at 22 Ill. Reg. 21642, effective November 25, 1998; amended at 23 Ill. Reg. 9526, effective July 29, 1999; amended at 23 Ill. Reg. 9898, effective August 9, 1999; amended at 24 Ill. Reg. 10713, effective July 7, 2000; emergency amendment at 24 Ill. Reg. 11313, effective July 12, 2000, for a maximum of 150 days; amended at 24 Ill. Reg. 15104, effective October 2, 2000; amended at 24 Ill. Reg. 18376, effective December 1, 2000; amended at 25 Ill. Reg. 941, effective January 8, 2001; emergency amendment at 25 Ill. Reg. 1792, effective January 16, 2001, for a maximum of 150 days; amended at 25 Ill. Reg. 4674, effective March 15, 2001; amended at 25 Ill. Reg. 4950, effective March 19, 2001; amended at 25 Ill. Reg. 5398, effective April 2, 2001; amended at 25 Ill. Reg. 6515, effective May 3, 2001; expedited correction at 25 Ill. Reg. 15681, effective May 3, 2001; amended at 25 Ill. Reg. 6713, effective May 9, 2001; amended at 25 Ill. Reg. 7264, effective May 25, 2001; amended at 25 Ill. Reg. 10917, effective August 13, 2001; amended at 25 Ill. Reg. 12841, effective October 1, 2001; amended at 26 Ill. Reg. 958, effective January 15, 2002; amended at 26 Ill. Reg. 1303, effective January 17, 2002; amended at 26 Ill. Reg. 3196, effective February 13, 2002; amended at 26 Ill. Reg. 5369, effective April 1, 2002; amended at 26 Ill. Reg. 5946, effective April 15, 2002; amended at 26 Ill. Reg. 8423, effective May 24, 2002; amended at 26 Ill. Reg. 9885, effective June 24, 2002; amended at 27 Ill. Reg. 795, effective January 3, 2003; emergency amendment at 27 Ill. Reg. 11099, effective July 7, 2003, for a maximum of 150 days; emergency expired December 3, 2003; amended at 27 Ill. Reg. 17216, effective November 3, 2003; emergency amendment at 27 Ill. Reg. 18911, effective November 26, 2003, for a maximum of 150 days; emergency expired April 23, 2004; amended at 28 Ill. Reg. 9121, effective June 18, 2004; amended at 28 Ill. Reg. 11268, effective July 21, 2004; emergency amendment at 28 Ill. Reg. 15193, effective November 3, 2004, for a maximum of 150 days; emergency expired April 1, 2005; amended at 29 Ill. Reg. 7004, effective April 26, 2005; amended at 31 Ill. Reg. 3574, effective February 16, 2007; amended at 31 Ill. Reg. 5621, effective March 23, 2007; amended at 31 Ill. Reg. 13004, effective August 21, 2007; amended at 31 Ill. Reg. 14091, effective September 21, 2007; amended at 32 Ill. Reg. 4226, effective March 6, 2008; emergency amendment at 32 Ill. Reg. 8785, effective May 29, 2008, for a maximum of 150 days; emergency expired October 25, 2008; amended at 32 Ill. Reg. 10207, effective June 24, 2008; amended at 32 Ill. Reg. 17228, effective October 15, 2008; amended at 32 Ill. Reg. 17519, effective October 24, 2008; amended at 32 Ill. Reg. 19128, effective December 1, 2008; amended at 33 Ill. Reg. 1762, effective January 13, 2009; amended at 33 Ill. Reg. 2345, effective January 23, 2009; amended at 33 Ill. Reg. 3999, effective February 23, 2009; amended at 33 Ill. Reg. 15781, effective October 27, 2009; amended at 33 Ill. Reg. 16711, effective November 20, 2009; amended at 34 Ill. Reg. 9405, effective June 23, 2010; amended at 34 Ill. Reg. 12935, effective August 19, 2010; amended at 35 Ill. Reg. 2169, effective January 24, 2011; amended at 36 Ill. Reg. 6662, effective April 12, 2012; amended at 38 Ill. Reg. 12909, effective June 9, 2014; amended at 38 Ill. Reg. 17060, effective July 25, 2014; amended at 38 Ill. Reg. 17421, effective July 31, 2014; amended at 38 Ill. Reg. 17756, effective August 6, 2014; amended at 38

DEPARTMENT OF REVENUE

NOTICE OF EMERGENCY AMENDMENT

Ill. Reg. 19998, effective October 1, 2014; amended at 39 Ill. Reg. 1793, effective January 12, 2015; amended at 39 Ill. Reg. 12597, effective August 26, 2015; amended at 39 Ill. Reg. 14616, effective October 22, 2015; amended at 40 Ill. Reg. 6130, effective April 1, 2016; amended at 40 Ill. Reg. 13448, effective September 9, 2016; amended at 41 Ill. Reg. 10721, effective August 1, 2017; amended at 42 Ill. Reg. 2850, effective January 26, 2018; amended at 43 Ill. Reg. 4201, effective March 20, 2019; amended at 43 Ill. Reg. 5069, effective April 17, 2019; amended at 43 Ill. Reg. 8865, effective July 30, 2019; emergency amendment at 43 Ill. Reg. 9841, effective August 21, 2019, for a maximum of 150 days; emergency amendment at 44 Ill. Reg. 552, effective December 27, 2019, for a maximum of 150 days; emergency amendment at 44 Ill. Reg. 2055, effective January 13, 2020, for a maximum of 180 days.

SUBPART C: CERTAIN STATUTORY EXEMPTIONS

Section 130.310 Food, Soft Drinks and Candy**EMERGENCY**

- a) Food. *With respect to food for human consumption that is to be consumed off the premises where it is sold (other than alcoholic beverages, food consisting of or infused with adult use cannabis, soft drinks, candy and food that has been prepared for immediate consumption), the tax is imposed at the rate of 1%. Food for human consumption that is to be consumed off the premises where it is sold includes all food sold through a vending machine, except soft drinks, candy, and food products that are dispensed hot from a vending machine, regardless of the location of the vending machine. (Section 2-10 of the Act) Public Acts 96-34, 96-37 and 96-38 included changes to the definition of soft drinks and provided that candy is not considered "food for human consumption that is to be consumed off the premises where it is sold". For further information on the definition and taxation of soft drinks, see subsection (d)(6). For further information regarding the definition and taxation of candy, see subsection (d)(7).*
- b) The manner in which food is taxed depends upon 2 distinct factors that must both be considered in determining if food is taxed at the high rate as "food prepared for immediate consumption" or the low rate as "food prepared for consumption off the premises where sold".
 - 1) The first factor is whether the retailer selling the food provides premises for consumption of food. If so, a rebuttable presumption is created that all sales of food by that retailer are considered to be prepared for immediate consumption and subject to tax at the high rate. As a result of this

DEPARTMENT OF REVENUE

NOTICE OF EMERGENCY AMENDMENT

presumption, even bulk food could potentially be taxable at the high rate. However, this presumption is rebutted if a retailer demonstrates that:

- A) the area for on-premises consumption is physically separated or otherwise distinguishable from the area where food not for immediate consumption is sold; and
 - B) the retailer has a separate means of recording and accounting for collection of receipts from sales of both high and low rate foods. For purposes of this subsection (b)(1)(B), the phrase "separate means of recording and accounting for collection of receipts" includes cash registers that separately identify high rate and low rate sales, separate cash registers, and any other methods by which the tax on high and low rate sales are recorded at the time of collection.
- 2) The second factor is the nature of the food item being sold. As provided in subsection (c), some foods, such as hot foods, are always considered to be "food prepared for immediate consumption", and thus subject to the high rate of tax.
- 3) Numerous examples applying these factors to different types of food and food retailers are provided in subsection (d)(4)(A)-(I).
- c) Definitions
- 1) "Food". Food is any solid, liquid, powder or item intended by the seller primarily for human internal consumption, whether simple, compound or mixed, including foods such as condiments, spices, seasonings, vitamins, bottled water and ice.
 - 2) "Food Prepared for Immediate Consumption". Food prepared for immediate consumption means food that is prepared or made ready by a retailer to be eaten without substantial delay after the final stage of preparation by the retailer.
 - A) Food prepared for immediate consumption includes, but is not limited to, the following:

DEPARTMENT OF REVENUE

NOTICE OF EMERGENCY AMENDMENT

- i) all hot foods, whether sold in a restaurant, delicatessen, grocery store, discount store, concession stand, bowling alley, vending machine or any other location. At a grocery store, hot foods subject to the high rate of tax include, but are not limited to, pizza, soup, rotisserie or fried chicken and coffee; other examples of food prepared for immediate consumption include popcorn or nachos sold at a movie concession stand; hot dogs sold by a street vendor; and hot precooked meals sold to customers, such as a Thanksgiving dinner. For purposes of this Section, "hot" means any temperature that is greater than room temperature;
 - ii) sandwiches, either hot or cold, prepared by a retailer to the individual order of a customer;
 - iii) salad, olive or sushi bars offered by a retailer at which individuals prepare their own salads (hot or cold);
 - iv) all coffee, tea, cappuccino and other drinks prepared by a retailer for individual consumption, whether hot or cold, are subject to the high rate of tax;
 - v) all food sold for consumption on the premises where sold.
- B) "Food prepared for immediate consumption" does not include:
- i) doughnuts, cookies, bagels or other bakery items prepared by a retailer and sold either individually or in another quantity selected by the customer, provided they are for consumption off the premises where sold;
 - ii) whole breads, pies and cakes prepared by a retailer, even when prepared to the individual order of a customer;
 - iii) sandwiches that are prepared by a retailer and placed in a deli case or other storage unit;
 - iv) cold salads, jellos, stuffed vegetables or fruits sold by weight or by quart, pint or other quantity by a retailer;

DEPARTMENT OF REVENUE

NOTICE OF EMERGENCY AMENDMENT

- v) cheese, fruit, vegetable or meat trays prepared by a retailer, either to the individual order of a customer or premade and set out for sale;
 - vi) food items sold by a retailer that are not prepared or otherwise manufactured by that retailer, such as pre-packaged snacks or chips, unless these items will be consumed on the premises where sold (e.g., in a sandwich shop). For grocers, such items include, but are not limited to, fruits, vegetables, meats, milk, canned goods and yogurt. In addition, effective September 1, 2009, all sales of "candy", as defined in subsection (d)(7), are subject to the high rate of tax.
- C) The provisions of subsection (c)(2)(B) are subject to the rebuttable presumption described in subsection (d). That is, the items listed in subsection (c)(2)(B) are taxable at the low rate only if the retailer had a separate means of recording and accounting for high and low rate sales, and the retailer provides no on-premises facilities for consumption of the food or, if the retailer does provide such facilities, they are physically separated or otherwise distinguishable from the area where food not for immediate consumption is sold.
- 3) "Premises". Premises is that area over which the retailer exercises control, whether by lease, contract, license or otherwise, and, in addition, the area in which facilities for eating are provided, including areas designated for, or devoted to, use in conjunction with the business engaged in by the vendor. Vendor premises include eating areas provided by employers for employees and common or shared eating areas in shopping centers or public buildings if customers of food vendors adjacent to those areas are permitted to use them for consumption of food products.
- 4) "Adult use cannabis." "Adult use cannabis" means cannabis subject to tax under the Cannabis Cultivation Privilege Tax Law and the Cannabis Purchaser Excise Tax Law and does not include cannabis subject to tax under the Compassionate Use of Medical Cannabis Program Act. (Section 2-10 of the Act)

DEPARTMENT OF REVENUE

NOTICE OF EMERGENCY AMENDMENT

- d) Test to Determine Applicable Rate. The rate at which food is taxable is determined as follows:
- 1) If retailers provide seating or facilities for on-premises consumption of food, all food sales are presumed to be taxable at the high rate as "food prepared for immediate consumption". However, this presumption can be rebutted by evidence that:
 - A) the area for on-premises consumption is physically separated or otherwise distinguishable from the area where food not for immediate consumption is sold; and
 - B) the retailer utilizes a means of recording and accounting for collection of receipts from the sales of food prepared for immediate consumption (high rate) and the sales of food that are not prepared for immediate consumption (low rate).
 - 2) If a retailer does not provide seating or facilities for on-premises consumption of food, then the low rate of tax will be applied to all food items except for "food prepared for immediate consumption by the retailer" as provided in subsection (b) and soft drinks, candy and alcoholic beverages. However, in order for the low rate of tax to apply, retailers that sell both food prepared for immediate consumption and food for consumption off the premises where sold must utilize means of recording and accounting for collection of receipts from the sales of food prepared for immediate consumption (high rate) and the sales of food that are not prepared for immediate consumption (low rate). If these receipts are not maintained, all sales will be presumed to be at the high rate of tax.
 - 3) Illustration C is a decision tree to assist in making high rate/low rate determinations.
 - 4) Examples:
 - A) Grocery Store – On-premises Facilities for Consumption of Food. Provided that the requirements of subsection (d)(1) are met, examples of high rate items include, but are not limited to, hot foods (soup, pizza, rotisserie or fried chicken, stuffed potatoes, hot

DEPARTMENT OF REVENUE

NOTICE OF EMERGENCY AMENDMENT

dogs); all sandwiches, either hot or cold, that are prepared to the individual order of a customer; salads prepared by customers at a salad/olive/sushi bar; and all food sold for consumption on the premises. Also included are hot precooked meals sold to customers, such as a Thanksgiving dinner; however, if precooked meals are sold in an unheated state of preparation, they are considered to be low rate. Meal packages sold by a grocer (e.g., 2 or more pieces of fried chicken with choice of two sides and dinner rolls sold at one price) that include at least 1 hot food item are taxable at the high rate, even if some foods in the package, sold alone, would be taxable at the low rate. Low rate items would include, but are not limited to, doughnuts (regardless of quantity), bagels, rolls and whole breads or bakery items prepared by the retailer; sandwiches that are premade by the retailer and set out for sale to customers; cold pizzas prepared by the retailer and set out for sale to customers; stuffed olives or peppers prepared by the retailer and set out for sale in individual sized containers; and deli items sold by the retailer to customers by size or weight (prepared salads, e.g., potato, pasta, bean or fruit salads; jello; pudding; stuffed olives).

- B) Grocery Store – No On-premises Facilities for Consumption of Food. Provided that the requirements of subsection (d)(2) are met, examples of high rate items would include, but are not limited to, hot foods (soup, pizza, rotisserie or fried chicken, hot dogs); all sandwiches, either hot or cold, that are prepared to the individual order of a customer; and salads that are made by customers at a salad/olive/sushi bar. In addition, effective September 1, 2009, all sales of "candy", as defined in subsection (d)(7), are subject to the high rate of tax. Also included are hot precooked meals sold to customers, such as a Thanksgiving dinner. If precooked meals are sold in an unheated state of preparation, however, they are considered to be low rate. Low rate items would include, but are not limited to, doughnuts (regardless of quantity), bagels, rolls and whole breads or bakery items prepared by the retailer; sandwiches that are premade by the retailer and set out for sale to customers; cold pizzas prepared by the retailer and set out for sale to customers; stuffed olives or peppers prepared by the retailer and

DEPARTMENT OF REVENUE

NOTICE OF EMERGENCY AMENDMENT

set out for sale in individual sized containers; and deli items sold by the retailer to customers by size or weight.

- C) Restaurants and Cafeterias. All foods sold by a restaurant or a cafeteria are considered food prepared for immediate consumption. Such food can either be prepared to the individual order of a customer or premade and set out for selection by the customer. However, if a restaurant or cafeteria also sells whole pies, cakes or individual pastries for sale, these items are taxable at the low rate, as long as the requirements of subsection (d)(1) are met.
- D) Bakery. Provided that the requirements of either subsection (d)(1) or (d)(2) are met, the following items are taxable at the low rate: doughnuts, cookies or individual pastries, regardless of quantity, sold for consumption off the premises where sold, and whole cakes or pies, such as wedding or special occasion cakes. Food sold for consumption on the premises, such as doughnuts and coffee, are subject to the high rate of tax.
- E) Delicatessen. Provided that the requirements of either subsection (d)(1) or (d)(2) are met, meat, cheese and prepared salads sold by weight or volume are taxable at the low rate. Individual sandwiches prepared to the individual order of a customer are high rate, as well as other food sold for consumption on the premises.
- F) Ice Cream Store. Ice cream items in individual sizes, either prepared to the individual order of a customer or premade and offered for sale by a retailer, constitute "food prepared for immediate consumption" and are subject to the high rate of tax. These items include ice cream cones, cups of ice cream, sundaes, shakes and premade ice cream sandwiches, bars or cookies. However, provided that the requirements of either subsection (d)(1) or (d)(2) are met, ice cream cakes or rolls or ice cream packaged in premeasured containers, such as a pint, quart or gallon, are subject to tax at the low rate.
- G) Food Sold at Food Courts. All hot food and food prepared to the individual order of a customer by a retailer at a food court is subject to the high rate of tax. In addition, all other food sold for

DEPARTMENT OF REVENUE

NOTICE OF EMERGENCY AMENDMENT

consumption on the premises of a food court is subject to the high rate of tax.

- H) Convenience Stores. Provided that the requirements of either subsection (d)(1) or (d)(2) are met, prepackaged food items not prepared by a convenience store retailer are subject to the low rate of tax. These items include, but are not limited to, chips, snacks, bread products and cookies. The sale of hot food items, such as hot dogs, nachos or pretzels, are subject to the high rate of tax, as well as other food sold for consumption on the premises. In addition, effective September 1, 2009, all sales of "candy", as defined in subsection (d)(7), are subject to the high rate of tax.
- I) Coffee Shops. Provided that the requirements of either subsection (d)(1) or (d)(2) are met, coffee, latte, cappuccino and tea (prepared either hot or cold) and food sold for consumption on the premises (e.g., pastries, cookies, snacks) are subject to the high rate of tax. Bulk coffees (beans or grounds, for instance) and teas, or pastries that are not consumed on the premises, are subject to the low rate of tax.
- 5) Alcoholic Beverages. The reduced rate does not extend to alcoholic beverages. An alcoholic beverage is any beverage subject to the tax imposed under Article VIII of the Liquor Control Act of 1934 [235 ILCS 5/Art. VIII].
- 6) Soft Drinks. The reduced rate does not extend to soft drinks. Soft drinks are taxed at the State sales tax rate of 6.25%. Soft drinks are taxable at the high rate regardless of the type of establishment where they are sold, e.g., a grocery store, restaurant or vending machine.
 - A) Until September 1, 2009, the term "soft drinks" means any *complete, finished, ready-to-use, non-alcoholic drink, whether carbonated or not, including but not limited to soda water, cola, fruit juice, vegetable juice, carbonated water, and all other preparations commonly known as soft drinks of whatever kind or description that are contained in any closed or sealed bottle, can, carton, or container regardless of size. "Soft drinks" does not include coffee, tea, non-carbonated water, infant formula, milk or*

DEPARTMENT OF REVENUE

NOTICE OF EMERGENCY AMENDMENT

milk products as defined in Section 3(a)(2) and (4) of the Grade A Pasteurized Milk and Milk Products Act [410 ILCS 635], or drinks containing 50% or more natural fruit or vegetable juice. (Section 2-10 of the Act) Frozen concentrated fruit juice, dry powdered drink mixes and fruit juices that are reconstituted to natural strength are not soft drinks.

- B) *On and after September 1, 2009, the term "soft drinks" means non-alcoholic beverages that contain natural or artificial sweeteners. "Soft drinks" do not include beverages that contain milk or milk products, soy, rice or similar milk substitutes, or greater than 50% of vegetable or fruit juice by volume. (Section 2-10 of the Act)*
- C) Natural and artificial sweeteners include, but are not limited to, corn syrup, high fructose corn syrup, invert sugar, dextrose, sucrose, fructose, lactose, saccharose, fruit juice concentrates, molasses, evaporated cane juice, rice syrup, barley malt, honey, Rebaudioside A (Reb A), erythritol, xylitol, aspartame, saccharin, acesulfame K, sucralose and sorbitol. Beverages that list in the ingredient list natural and/or artificial sweeteners including, but not limited to, those listed in this subsection (d)(6)(C), meet the definition of "soft drinks". (Note, for purposes of this Section, natural and artificial sweeteners do not include natural or artificial flavors.)
- D) Examples of soft drinks include, but are not limited to:
- i) soda pop;
 - ii) carbonated and noncarbonated water that contains natural or artificial sweeteners;
 - iii) root beer;
 - iv) sport or energy drinks;
 - v) sweetened tea or coffee (without milk or milk products; see subsection (d)(6)(E));

DEPARTMENT OF REVENUE

NOTICE OF EMERGENCY AMENDMENT

- vi) non-alcoholic beer;
 - vii) fruit drinks containing 50% or less fruit juice; and
 - viii) "ready-to-use" non-alcoholic beverage mixers containing 50% or less vegetable or fruit juice by volume, e.g., ready-to-use margarita mixes.
- E) Examples of products that are not considered soft drinks include, but are not limited to:
- i) beverage powders or dry mixes;
 - ii) concentrates, e.g., frozen concentrate lemonade;
 - iii) ground or whole bean coffee and loose leaf tea or tea bags;
 - iv) carbonated and noncarbonated water that does not contain natural or artificial sweeteners;
 - v) carbonated and noncarbonated water that does not contain natural or artificial sweeteners but does contain natural or artificial flavor;
 - vi) vegetable or fruit juices containing greater than 50% vegetable or fruit juice, even if these beverages contain natural or artificial sweeteners;
 - vii) any drinks that contain milk or milk products, soy, rice or similar milk substitutes; and
 - viii) brewed unsweetened black coffee or tea. (Note, even though brewed unsweetened black coffee and tea are not considered soft drinks, hot coffee or hot tea, regardless of whether they contain natural or artificial sweeteners or milk or milk products, are subject to tax at the 6.25% rate because they are considered to be "food prepared for immediate consumption". (See subsection (c)(2)(A)(iv).))

DEPARTMENT OF REVENUE

NOTICE OF EMERGENCY AMENDMENT

- 7) Candy. On and after September 1, 2009, the reduced rate does not extend to "candy". Candy is taxed at the State sales tax rate of 6.25%.
- A) *"Candy" means a preparation of sugar, honey, or other natural or artificial sweeteners in combination with chocolate, fruits, nuts or other ingredients or flavorings in the form of bars, drops, or pieces. "Candy" does not include any preparation that contains flour or requires refrigeration. (Section 2-10 of the Act) To meet the definition of candy, the item must be analyzed by using four factors, as explained in subsections (d)(7)(B) through (E).*
- B) Flour: Products whose ingredient list contain the word "flour", regardless of the type of flour (e.g., wheat, rice) are not candy. A product does not contain flour unless the product label specifically lists flour as an ingredient. Ingredients such as soy or whey that may be used in place of, or as a substitute for, flour are not considered to be flour for purposes of determining if the item qualifies as candy unless they are specifically labeled as flour in the ingredient list.
- i) Items that are not considered candy because they list flour as one of the ingredients on the label include, but are not limited to, certain licorice, certain candy bars, cookies and chocolate covered pretzels.
- ii) Snack mixes that contain both candy and non-candy items, such as trail mix that contains products with flour or bags of individually wrapped candy bars in which some candy bars contain flour and others do not, are not candy if the ingredient list on the bag lists flour as an ingredient of any of the items.
- C) Refrigeration: Items that require refrigeration are not considered to be candy. For example, popsicles and ice cream bars are not candy. Items that otherwise qualify as candy and do not require refrigeration are candy even if they are sold refrigerated or frozen, e.g., a candy bar that has been frozen. Merely suggesting that the product be refrigerated (e.g., to ensure product quality, please keep

DEPARTMENT OF REVENUE

NOTICE OF EMERGENCY AMENDMENT

this package stored in a cool place, at or below 65°F) is insufficient to meet the refrigeration requirement.

- D) Sweeteners: Candy is limited to products that contain sugar, honey or other natural or artificial sweeteners. Examples of natural or artificial sweeteners include, but are not limited to, corn syrup, high fructose corn syrup, invert sugar, dextrose, sucrose, fructose, lactose, saccharose, fruit juice concentrates, molasses, evaporated cane juice, rice syrup, barley malt, honey, Rebaudioside A (Reb A), erythritol, xylitol, aspartame, saccharin, acesulfame K, sucralose, sorbitol.
- E) Bars, drops or pieces: Items must be in the form of bars, drops or pieces to be considered candy.
- i) Examples of items that are not in the form of bars, drops or pieces and are not candy include, but are not limited to, jars of honey, syrups, peanut butter, preserves or jams, cans of fruit in syrup, cans or tubes of cake frosting and cereals.
- ii) Examples of items that are in the form of bars, drops or pieces and are candy include, but are not limited to, sweetened cooking or baking bars or chips, sweetened coconut flakes, honey glazed peanuts, baking sprinkles, caramel-coated popcorn (does not include un-popped popcorn), artificially flavored candy mints, caramel or candied apples and almond bark.
- F) Examples of items that are considered candy (provided that they meet all the requirements of subsections (d)(7)(B) through (D)) include, but are not limited to:
- i) chocolate bars, including sweet or semi-sweet bars or bits;
- ii) chocolate molded items (e.g., bunny, snowman);
- iii) chocolate covered or dipped strawberries, chocolate or carob covered raisins or nuts;

DEPARTMENT OF REVENUE

NOTICE OF EMERGENCY AMENDMENT

- iv) chocolate covered potato chips;
 - v) chocolate covered bacon;
 - vi) caramel-coated popcorn (does not include un-popped popcorn), caramel apples, caramel corn or rice cakes;
 - vii) almond bark, peanut brittle;
 - viii) marshmallows;
 - ix) breath mints;
 - x) chewing gum;
 - xi) fruit roll-ups;
 - xii) glazed dried apricots;
 - xiii) trail mixes that contain candy ingredients, e.g., sweetened nuts;
 - xiv) granola bars;
 - xv) any type of nut that is sweetened with any natural or artificial sweetener, e.g., if the ingredient list contains any natural or artificial sweetener.
- G) Examples of items that are not considered candy because they do not meet the requirements of subsections (d)(7)(B) through (D) include, but are not limited to (note, if some of the items listed below, such as popcorn, are covered or dipped in chocolate, caramel or other candy coating, they may be considered candy):
- i) cakes, pies, cookies, pastry;
 - ii) ice cream, ice cream bars, frozen yogurt, popsicles, hot fudge ice cream topping;

DEPARTMENT OF REVENUE

NOTICE OF EMERGENCY AMENDMENT

- iii) pretzels;
- iv) corn chips, potato chips, popcorn and beef jerky;
- v) chocolate milk, strawberry milk, fruit juice, soft drinks;
- vi) powdered hot chocolate cocoa mix and other drink mixes;
- vii) food coloring;
- viii) unsweetened chocolate;
- ix) cereals; and
- x) licorice and candy bars that contain flour as an ingredient.

8) Adult Use Cannabis. The reduced rate does not extend to adult use cannabis. All adult use cannabis (e.g., cannabis flower, concentrate, cannabis-infused products) is taxed at the State rate of 6.25%.

e) Reporting

- 1) The retailer must keep an actual record of all sales and must report tax at the applicable rates, based on sales as reflected in the retailer's records. Books and records must be maintained in sufficient detail so that all receipts reported with respect to food can be supported.
- 2) A retailer who finds it difficult to maintain detailed records of receipts from sales of food at the reduced rate, as well as detailed records of receipts from all other sales of tangible personal property at the full rate, may request the use of a formula. The request must be made to the Department in writing, must state the reasons that a formula method is necessary, and must outline the proposed formula in detail. Included in the request must be a description of how the method can be audited by the Department. Upon a finding that the formula can be audited and will produce results that will reasonably approximate the actual taxable receipts in each category, the Department may issue its approval for use of the formula. If approval is granted, the Department reserves the right to withdraw approval or require a change in procedure at any time.

DEPARTMENT OF REVENUE

NOTICE OF EMERGENCY AMENDMENT

(Source: Amended by emergency rulemaking at 44 Ill. Reg. 2055, effective January 13, 2020, for a maximum of 180 days)

JOINT COMMITTEE ON ADMINISTRATIVE RULES

SECOND NOTICES RECEIVED

The following second notices were received during the period of January 7, 2020 through January 13, 2020. The rulemakings are scheduled for the February 18, 2020 meeting. Other items not contained in this published list may also be considered. Members of the public wishing to express their views with respect to a rulemaking should submit written comments to the Committee at the following address: Joint Committee on Administrative Rules, 700 Stratton Bldg., Springfield IL 62706.

| Second Notice Expires | Agency and Rule | Start of First Notice | JCAR Meeting |
|-----------------------|---|--------------------------------------|--------------|
| 2/20/20 | <u>Public Health</u> , Hospice Programs (77 Ill. Adm. Code 280) | 10/18/19 43 Ill. Reg. 11908 | 2/18/20 |
| 2/21/20 | <u>Teachers' Retirement System</u> , The Administration and Operation of the Teachers' Retirement System (80 Ill. Adm. Code 1650) | 11/22/19 43 Ill. Reg. 13394 | 2/18/20 |
| 2/21/20 | <u>Insurance</u> , Variable Contracts (50 Ill. Adm. Code 1551) | 10/25/19 43 Ill. Reg. 12054 | 2/18/20 |
| 2/21/20 | <u>Insurance</u> , Pre-Licensing and Continuing Education (50 Ill. Adm. Code 3119) | 10/11/19 43 Ill. Reg. 11067 | 2/18/20 |
| 2/21/20 | <u>Human Services</u> , Sexually Violent Persons (59 Ill. Adm. Code 299) | 10/18/19 43 Ill. Reg. 11815 | 2/18/20 |
| 2/23/20 | <u>Insurance</u> , Licensing and Suitability Requirements for the Solicitation of | 10/25/19 43 Ill. Adm. | 2/18/20 |

JOINT COMMITTEE ON ADMINISTRATIVE RULES

SECOND NOTICES RECEIVED

| | |
|---|--------------|
| Variable Contracts (Repealer) (50 Ill. Adm. Code 3117) | Code 3117 |
|---|--------------|

DEPARTMENT OF INSURANCE

AGENCY RESPONSE TO JOINT COMMITTEE STATEMENT
OF RECOMMENDATION TO PROPOSED RULES

- 1) Heading of the Part: Mistake in Benefit under Article 3 and Article 4 Pensions
- 2) Code Citation: 50 Ill. Adm. Code 4450
- 3)

| <u>Section Numbers</u> : | <u>Proposed Actions</u> : |
|--------------------------|---------------------------|
| 4450.10 | New Section |
| 4450.20 | New Section |
| 4450.30 | New Section |
| 4450.40 | New Section |
- 4) Date Notice of Proposed Rules published in the *Illinois Register*: 43 Ill. Reg. 6242; May 31, 2019
- 5) Date JCAR Statement of Recommendation published in the *Illinois Register*: 44 Ill. Reg. 401; January 3, 2020
- 6) Summary of Action Taken by the Agency: At its meeting on December 17, 2019, the Joint Committee on Administrative Rules (JCAR) considered the above-cited rulemaking and recommended that the Illinois Department of Insurance be more timely in reflecting statutory changes in its rules.

In the future, the Department will strive to ensure that all statutory changes be completed in a timely manner.

ILLINOIS COMMUNITY COLLEGE BOARD

JANUARY 2020 REGULATORY AGENDA

- a) Part (Heading and Code Citations): Administration of the Illinois Public Community College Act, 23 Ill. Adm. Code 1501
- 1) Rulemaking:
- A) Description: The Board proposes to amend its rules for approval of state-funded capital projects and capital reporting requirements. At the time these rules were adopted, capital funding for community colleges was approved by the General Assembly each year and projects were regularly moved off the Board's priority list. Over the past twenty years, capital appropriations have become irregular and projects have remained on the Board's priority list for years even as college priorities and facility needs change. The Board intends to develop a more dynamic capital process that recognizes the inherently dynamic nature of capital project development and moves away from a static priority list.
- B) Statutory Authority: Public Community College Act [110 ILCS 805]
- C) Scheduled meeting/hearing dates: None have been scheduled.
- D) Date Agency anticipates First Notice: April 2020
- E) Affect on small businesses, small municipalities or not-for-profit corporations: The Board believes this rulemaking will not affect small business, small municipalities, and not-for-profit corporations.
- F) Agency contact person for information:
- Matt Berry
Chief of Staff
Illinois Community College Board
401 East Capitol Avenue
Springfield IL 62701
- 217/785-7411
fax: 217/524-4981
- G) Related rulemakings and other pertinent information: None

ILLINOIS COMMUNITY COLLEGE BOARD

JANUARY 2020 REGULATORY AGENDA

2) Rulemaking:

- A) Description: The Board proposes to amend its rules to include new statutory reporting requirements and deadlines pursuant to recently enacted laws as follows: PA 99-462 (Business Enterprise Program) and PA 101-534 (Native American Employment Plan).
- B) Statutory Authority: Public Community College Act [110 ILCS 805]
- C) Scheduled meeting/hearing dates: None have been scheduled.
- D) Date Agency anticipates First Notice: April 2020
- E) Effect on small businesses, small municipalities or not-for-profit corporations: The Board believes this rulemaking will not affect small business, small municipalities, and not-for-profit corporations.
- F) Agency contact person for information:

Matt Berry
Chief of Staff
Illinois Community College Board
401 East Capitol Avenue
Springfield IL 62701

217/785-7411
fax: 217/524-4981

- G) Related rulemakings and other pertinent information: None

3) Rulemaking:

- A) Description: PA 100-824 requires the ICCB, subject to appropriation, to establish and administer a 21st Century Employment grant program. To qualify for a grant, a community college district and a public high school located in that district must jointly establish a collaborative regional partnership with workforce development organizations, regional economic development organizations, and economic development officials in the district, along with manufacturers, healthcare service providers, and

ILLINOIS COMMUNITY COLLEGE BOARD

JANUARY 2020 REGULATORY AGENDA

technology businesses that have a presence in the district, to provide a manufacturing training program. The ICCB may adopt rules necessary to implement the grant program.

- B) Statutory Authority: Public Community College Act [110 ILCS 805/2-26]
- C) Scheduled meeting/hearing dates: None have been scheduled.
- D) Date Agency anticipates First Notice: Fall 2020
- E) Effect on small businesses, small municipalities or not-for-profit corporations: The Board believes this rulemaking will not affect small business, small municipalities, and not-for-profit corporations.
- F) Agency contact person for information:

Matt Berry
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Illinois Community College Board
401 East Capitol Avenue
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217/785-7411
fax: 217/524-4981

- G) Related rulemakings and other pertinent information: None

DEPARTMENT OF CORRECTIONS

JANUARY 2020 REGULATORY AGENDA

- a) Part (Heading and Code Citation): Public Information, Rulemaking and Organization, (2 Ill. Adm. Code 850)

1) Rulemaking:

- A) Description: This amendment is necessary to reflect the current organizational structure of the Department.
- B) Statutory Authority: 730 ILCS 3-2-2 and 3-2-5
- C) Schedule meeting/hearing date: The Department will accept written comments at any time in accordance with 2 Ill. Adm. Code 850 or during the First Notice Period per instructions that will be indicated on the Notice.
- D) Date Agency anticipates First Notice: On or before July 1, 2020
- E) Effect on small businesses, small municipalities or not-for-profit corporations: None
- F) Agency contact person for information:

Echo Beekman, Rules Coordinator
Illinois Department of Corrections
1301 Concordia Court
P. O. Box 19277
Springfield IL 62794-9277

217/558-2200, extension 6507

- G) Related rulemakings and other pertinent information: None

- b) Part (Heading and Code Citation): Records of Offenders, (20 Ill. Adm. Code 107)

1) Rulemaking:

- A) Description: This amendment is necessary to provide for required civic responsibility courses for additional programming PA 101-41.

DEPARTMENT OF CORRECTIONS

JANUARY 2020 REGULATORY AGENDA

- B) Statutory Authority: 730 ILCS 5/3-7-1 and 735 ILCS 5/8-802
- C) Schedule meeting/hearing date: The Department will accept written public comments at any time in accordance with 2 Ill. Adm. Code 850 or during the First Notice Period per instructions that will be indicated on the Notice.
- D) Date Agency anticipates First Notice: On or before July 1, 2020
- E) Effect on small businesses, small municipalities or not-for-profit corporations: None
- F) Agency contact person for information:
- Echo Beekman, Rules Coordinator
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1301 Concordia Court
P. O. Box 19277
Springfield IL62794-9277
- 217/558-2200, extension 6507
- G) Related rulemakings and other pertinent information: None
- c) Part (Heading and Code Citation): Reimbursement for Expenses, (20 Ill. Adm. Code 110)
- 1) Rulemaking:
- A) Description: This amendment is necessary to provide corrected language for sentence credit as set forth by PA 99-938.
- B) Statutory Authority: 730 ILCS 5/3-7-6 and 3-2-2
- C) Schedule meeting/hearing date: The Department will accept written lic comments at any time in accordance with 2 Ill. Adm. Code 850 or during the First Notice Period per instructions that will be indicated on the Notice.

DEPARTMENT OF CORRECTIONS

JANUARY 2020 REGULATORY AGENDA

- D) Date Agency anticipates First Notice: On or before July 1, 2020
- E) Effect on small businesses, small municipalities or not-for-profit rations:
None
- F) Agency contact person for information:

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217/558-2200, extension 6507
- G) Related rulemakings and other pertinent information: None
- d) Part (Heading and Code Citation): Rules of Conduct, (20 Ill. Adm. Code 120)
- 1) Rulemaking:
- A) Description: This amendment is necessary correct the language requiring an employee authorized to carry a firearm, who has been admitted as an inpatient in a mental health hospital, to produce a waiver from lifting the prohibition to possess a firearm or ammunition in accordance with 430 ILCS 65/10(c).
- B) Statutory Authority: 730 ILCS 5/3-2-2 and 3-7-1, 5 ILCS 430/5-15, 10-10, 10-15, and 20-70, 18 USC 922 and 720 ILCS 5/24-3.1(4)
- C) Schedule meeting/hearing date: The Department will accept written public comments at any time in accordance with 2 Ill. Adm. Code 850 or during the First Notice Period per instructions that will be indicated on the Notice.
- D) Date Agency anticipates First Notice: On or before July 1, 2020
- E) Effect on small businesses, small municipalities or not-for-profit corporations: None

DEPARTMENT OF CORRECTIONS

JANUARY 2020 REGULATORY AGENDA

F) Agency contact person for information:

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G) Related rulemakings and other pertinent information: Nonee) Part (Heading and Code Citation): School District #428, (20 Ill. Adm. Code 405)1) Rulemaking:

- A) Description: Amendments are required to update the Rulemaking in accordance with the division between IDOC and IDJJ as IDOC is governed by the regulations of the Illinois Community College Board (ICCB), not the Illinois State Board of Education (ISBE).
- B) Statutory Authority: Implementing 730 ILCS 5/3-2-2, 3-6-2, 3-6-3, 3-8-3, 3-9-1, 3-10-2, and 3-12-3] and 105 ILCS 5/13-40 through 13-45 and authorized by 730 ILCS 5/3-2-2 and 3-7-1
- C) Schedule meeting/hearing date: The Department will accept written public comments at any time in accordance with 2 Ill. Adm. Code 850 or during the First Notice Period per instructions that will be indicated on the Notice.
- D) Date Agency anticipates First Notice: On or before July 1, 2020
- E) Effect on small businesses, small municipalities or not-for-profit corporations: None
- F) Agency contact person for information:

Echo Beekman, Rules Coordinator

DEPARTMENT OF CORRECTIONS

JANUARY 2020 REGULATORY AGENDA

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217/558-2200, extension 6507

G) Related rulemakings and other pertinent information: None

f) Part (Heading and Code Citation): Health Care, (20 Ill. Adm. Code 415)

1) Rulemaking:

A) Description: This amendment is necessary to comply with PA 97-323

B) Statutory Authority: 730 ILCS 5/3-2-2, 3-6-2, 3-7-2, 3-8-2, 3-10-2, 3-10-3, and 5-2-6

C) Schedule meeting/hearing date: The Department will accept written public comments at any time in accordance with 2 Ill. Adm. Code 850 or during the First Notice Period per instructions that will be indicated on the Notice.

D) Date Agency anticipates First Notice: On or before July 1, 2020

E) Effect on small businesses, small municipalities or not-for-profit corporations: None

F) Agency contact person for information:

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217/558-2200, extension 6507

G) Related rulemakings and other pertinent information: None

DEPARTMENT OF CORRECTIONS

JANUARY 2020 REGULATORY AGENDA

- g) Part (Heading and Code Citation): Assignment of Committed Persons, (20 Ill. Adm. Code 420)
- 1) Rulemaking:
- A) Description: This rulemaking is necessary to provide corrected language for sentence credit as set forth by PA 99-938 and to ensure proper awards for successful completion of programs and assignments.
- B) Statutory Authority: 730 ILCS 5/3-2-2, 3-6-3, 3-8-3, and 3-10-3
- C) Schedule meeting/hearing date: The Department will accept written public comments at any time in accordance with 2 Ill. Adm. Code 850 or during the First Notice Period per instructions that will be indicated on the Notice.
- D) Date Agency anticipates First Notice: On or before July 1, 2020
- E) Effect on small businesses, small municipalities or not-for-profit corporations: None
- F) Agency contact person for information:
- Echo Beekman, Rules Coordinator
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- 217/558-2200, extension 6507
- G) Related rulemakings and other pertinent information: None
- h) Part (Heading and Code Citation): Chaplaincy Services and Religious Practices, (20 Ill. Adm. Code 425)
- 1) Rulemaking:

DEPARTMENT OF CORRECTIONS

JANUARY 2020 REGULATORY AGENDA

- A) Description: This rulemaking is required to comply with current law and practice as it relates to accommodations for religious diets.
- B) Statutory Authority: 730 ILCS 5/3-7-1
- C) Schedule meeting/hearing date: The Department will accept written public comments at any time in accordance with 2 Ill. Adm. Code 850 or during the First Notice Period per instructions that will be indicated on the Notice.
- D) Date Agency anticipates First Notice: On or before July 1, 2020
- E) Effect on small businesses, small municipalities or not-for-profit corporations: None
- F) Agency contact person for information:

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217/558-2200, extension 6507

- G) Related rulemakings and other pertinent information: None

i) Part (Heading and Code Citation): Work Release Programs, (20 Ill. Adm. Code 455)

1) Rulemaking:

- A) Description: This rulemaking is necessary to provide that, for permanent party residents assigned as cooks, a food service sanitation certificate is preferred but not required.
- B) Statutory Authority: 730 ILCS 5/3-7-1
- C) Schedule meeting/hearing date: The Department will accept written public comments at any time in accordance with 2 Ill. Adm. Code 850 or during

DEPARTMENT OF CORRECTIONS

JANUARY 2020 REGULATORY AGENDA

the First Notice Period per instructions that will be indicated on the Notice.

D) Date Agency anticipates First Notice: On or before July 1, 2020

E) Effect on small businesses, small municipalities or not-for-profit corporations: None

F) Agency contact person for information:

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217/558-2200, extension 6507

G) Related rulemakings and other pertinent information: None

j) Part (Heading and Code Citation): Release of Committed Persons, (20 Ill. Adm. Code 470)

1) Rulemaking:

A) Description: This rulemaking is necessary to include the requirement for notification of no less than 14 days prior to release of any offender released early due to an award of earned discretionary sentence credit.

B) Statutory Authority: 730 ILCS 5/3-2-2, 3-14-1, 3-14-2, and 3-14-3

C) Schedule meeting/hearing date: The Department will accept written public comments at any time in accordance with 2 Ill. Adm. Code 850 or during the First Notice Period per instructions that will be indicated on the Notice.

D) Date Agency anticipates First Notice: On or before July 1, 2020

DEPARTMENT OF CORRECTIONS

JANUARY 2020 REGULATORY AGENDA

- E) Effect on small businesses, small municipalities or not-for-profit corporations: None
- F) Agency contact person for information:

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217/558-2200, extension 6507
- G) Related rulemakings and other pertinent information: None
- k) Part (Heading and Code Citation): Security, (20 Ill. Adm. Code 501)
- 1) Rulemaking:
- A) Description: This amendment is necessary to implement the Department's practice that only persons of the same gender as the offender may perform or observe strip searches of offenders and to clarify that canine searches can not be performed on humans.
- B) Statutory Authority: 720 ILCS 5/7-1, 7-3, 7-9, and 31A-1.1; 725 ILCS 5/103-1 et seq.; and 730 ILCS 5/3-2-2, 3-4-3, 3-6-2, 3-6-4, 3-7-2, 3-7-4, 3-8-1, 3-8-7, 3-8-8, and 3-10-8
- C) Schedule meeting/hearing date: The Department will accept written public comments at any time in accordance with 2 Ill. Adm. Code 850 or during the First Notice Period per instructions that will be indicated on the Notice.
- D) Date Agency anticipates First Notice: On or before July 1, 2020
- E) Effect on small businesses, small municipalities or not-for-profit corporations: None
- F) Agency contact person for information:

DEPARTMENT OF CORRECTIONS

JANUARY 2020 REGULATORY AGENDA

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G) Related rulemakings and other pertinent information: None

l) Part (Heading and Code Citation): Discipline and Grievances, (20 Ill. Adm. Code 504)

1) Rulemaking:

- A) Description: This amendment is necessary to provide for the adoption of a receipt process for offender grievances.
- B) Statutory Authority: 730 ILCS 5/3-2-2, 3-5-2, 3-6-3, 3-8-7, 3-8-8, 3-10-8, and 3-10-9
- C) Schedule meeting/hearing date: The Department will accept written public comments at any time in accordance with 2 Ill. Adm. Code 850 or during the First Notice Period per instructions that will be indicated on the Notice.
- D) Date Agency anticipates First Notice: On or before July 1, 2020
- E) Effect on small businesses, small municipalities or not-for-profit corporations: None
- F) Agency contact person for information:

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DEPARTMENT OF CORRECTIONS

JANUARY 2020 REGULATORY AGENDA

217/558-2200, extension 6507

G) Related rulemakings and other pertinent information: Nonem) Part (Heading and Code Citation): Closed Maximum Security Facility, (20 Ill. Adm. Code 505)1) Rulemaking:A) Description: The Department intends to repeal this Part as it no longer operates facilities under this designation.B) Statutory Authority: 730 ILCS 5/3-2-2C) Schedule meeting/hearing date: The Department will accept written public comments at any time in accordance with 2 Ill. Adm. Code 850 or during the First Notice Period per instructions that will be indicated on the Notice.D) Date Agency anticipates First Notice: On or before July 1, 2020E) Effect on small businesses, small municipalities or not-for-profit corporations: NoneF) Agency contact person for information:

Echo Beekman, Rules Coordinator
Illinois Department of Corrections
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Springfield IL 62794-9277

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G) Related rulemakings and other pertinent information: Nonen) Part (Heading and Code Citation): Rights and Privileges, (20 Ill. Adm. Code 525)1) Rulemaking:

DEPARTMENT OF CORRECTIONS

JANUARY 2020 REGULATORY AGENDA

- A) Description: This rulemaking is necessary to comply with PA 96-1513, codify rules for implementation of video visitation, revise the process under which the Department reviews incoming publications, and to revise mail procedures with regard to intrastate facility correspondence.
- B) Statutory Authority: 730 ILCS 5/3-2-2, 3-7-1, 3-7-2, 3-8-7, 3-8-8, 3-10-8, and 3-10-9
- C) Schedule meeting/hearing date: The Department will accept written public comments at any time in accordance with 2 Ill. Adm. Code 850 or during the First Notice Period per instructions that will be indicated on the Notice.
- D) Date Agency anticipates First Notice: On or before July 1, 2020
- E) Effect on small businesses, small municipalities or not-for-profit corporations: None
- F) Agency contact person for information:
- Echo Beekman, Rules Coordinator
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Springfield IL 62794-9277
- 217/558-2200, extension 6507
- G) Related rulemakings and other pertinent information: None

ILLINOIS STATE BOARD OF EDUCATION

JANUARY 2020 REGULATORY AGENDA

- a) Part (Heading and Code Citation): Student Records (23 Ill. Adm. Code 375)
- 1) Rulemaking:
- A) Description: This Part is being modified to align with the enactment of PA 101-515, effective August 23, 2019, and PA 101-161, effective January 1, 2020. PA 101-0515 modifies the definition of "Student Temporary Record" to include information contained in service logs maintained by a Local Education Agency under Section 14-8.02f(d) of the School Code. PA 101-161 provides for different methods a school district may use to notify a parent or student before any school student record is destroyed or information is deleted from that record. This Part must be amended to conform with the changes made by these Public Acts. Other cleanup changes, including School Code and administrative rule references, are made as well.
- B) Statutory Authority: 105 ILCS 5/2-3.6
- C) Scheduled meeting/hearing date: To be announced
- D) Date Agency anticipates First Notice: February 2020
- E) Effect on small businesses, small municipalities, or not-for-profit corporations: None
- F) Agency contact person for information:
- Azita Kakvand
Agency Rules Coordinator
Illinois State Board of Education
100 North First Street
Springfield IL 62777
- 217/782-6510
rules@isbe.net
- G) Related rulemakings and other pertinent information: None
- b) Part (Heading and Code Citation): Charter Schools (23 Ill. Adm. Code 650)

ILLINOIS STATE BOARD OF EDUCATION

JANUARY 2020 REGULATORY AGENDA

1) Rulemaking:

- A) Description: PA 101-543, signed by Governor Pritzker on Aug. 23, 2019, abolishes the Commission effective July 1, 2020. Those charter schools authorized by the Commission will become the responsibility of ISBE, which must thereafter perform all functions under the Charter Schools Law otherwise performed by the Commission, including making decisions on whether each school should have its charter contract renewed at the end of its current contract term. Moreover, the new legislation requires ISBE to appoint and utilize a hearing officer for charter appeals, which is not addressed in the current Part 650 rules. Part 650 rules need to be updated to remove all obsolete references to the Commission. The proposed rules also define the process and timeline that must be followed to appeal a local school board's decision to revoke or not renew a charter school and ISBE's procedures for processing such appeals. Finally, the proposed rules clarify the circumstances under which charter schools authorized by a local school board may have their authorization transferred to the Board.
- B) Statutory Authority: 105 ILCS 5/2-3.6
- C) Scheduled meeting/hearing date: To be announced
- D) Date Agency anticipates First Notice: February 2020
- E) Effect on small businesses, small municipalities, or not-for-profit corporations: None
- F) Agency contact person for information:

Azita Kakvand
Agency Rules Coordinator
Illinois State Board of Education
100 North First Street
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ILLINOIS STATE BOARD OF EDUCATION

JANUARY 2020 REGULATORY AGENDA

- G) Related rulemakings and other pertinent information: None
- c) Part (Heading and Code Citation): Agricultural Education Program (23 Ill. Adm. Code 75)
- 1) Rulemaking:
- A) Description: This Part is being modified to align with the enactment of P A 101-554, effective August 23, 2019. PA 101-554 requires the State Board of Education, in consultation with the Board of Higher Education, to develop an Agricultural Education Pre-Service Teacher Internship Program, beginning at the secondary education level, for pre-service teaching students. The internship program aims to increase the content knowledge of future agriculture teachers, a field that requires a broad breath of knowledge. Through the internship experience, pre-service teachers would be engaging in real-world, practical application of concepts and theory through pre-service university coursework. The funds allocated for the program would be provided to an individual or entity awarded the grant through a competitive RFP process to be the grant program coordinator.
- B) Statutory Authority: 105 ILCS 5/2-3.80d
- C) Scheduled meeting/hearing date: To be announced
- D) Date Agency anticipates First Notice: March 2020
- E) Effect on small businesses, small municipalities, or not-for-profit corporations: None
- F) Agency contact person for information:
- Azita Kakvand
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ILLINOIS STATE BOARD OF EDUCATION

JANUARY 2020 REGULATORY AGENDA

rules@isbe.net

G) Related rulemakings and other pertinent information: Noned) Part (Heading and Code Citation): Public Schools Evaluation, Recognition and Supervision (23 Ill. Ad. Code 1)1) Rulemaking:A) Description: PA 101-12 modifies the e-learning days program and makes changes to daily pupil attendance calculation. Conforming changes need to be made in Part 1.B) Statutory Authority: 105 ILCS 5/10-19.05 and 10-20.56C) Scheduled meeting/hearing date: To be announcedD) Date Agency anticipates First Notice: April 2020E) Effect on small businesses, small municipalities, or not-for-profit corporations: NoneF) Agency contact person for information:

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Illinois State Board of Education
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G) Related rulemakings and other pertinent information: Nonee) Part (Heading and Code Citation): Educator Licensure (23 Ill. Adm. Code 25)1) Rulemaking:

ILLINOIS STATE BOARD OF EDUCATION

JANUARY 2020 REGULATORY AGENDA

- A) Description: Multiple changes need to be made to Part 25 due to the enactment of various Public Acts signed in to law in 2019, including dual credit endorsements, timeline procedures for transition from specific approvals to licenses, and the registration of professional development providers with the State Board of Education. Some general cleanup is made as well.
- B) Statutory Authority: 105 ILCS 5/2-3.6
- C) Scheduled meeting/hearing date: To be announced
- D) Date Agency anticipates First Notice: June 2020
- E) Effect on small businesses, small municipalities, or not-for-profit corporations: None
- F) Agency contact person for information:
- Azita Kakvand
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Illinois State Board of Education
100 North First Street
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- 217/782-6510
rules@isbe.net
- G) Related rulemakings and other pertinent information: None

f) Part (Heading and Code Citation): Registered Apprenticeship Program (23 Ill. Adm. Code 255)

1) Rulemaking:

Description: Part 255 (Registered Apprenticeship Program) is being created to align with the enactment of PA 100-0992, effective August 20, 2018. PA 100-0992 requires the State Board of Education to adopt rules to allow students of any high school in this State who are 16 years of age or older to participate in registered apprenticeship programs. The PA requires the rules to include a waiver

ILLINOIS STATE BOARD OF EDUCATION

JANUARY 2020 REGULATORY AGENDA

of all non-academic requirements mandated for graduation from a high school under the School Code that would otherwise prohibit or prevent a student from participating in the program.

- B) Statutory Authority: 105 ILCS 5/2-3.173
- C) Scheduled meeting/hearing date: To be announced
- D) Date Agency anticipates First Notice: March 2020
- E) Effect on small businesses, small municipalities, or not-for-profit corporations:
None
- F) Agency contact person for information:

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rules@isbe.net
- G) Related rulemakings and other pertinent information: None

ENVIRONMENTAL PROTECTION AGENCY

JANUARY 2020 REGULATORY AGENDA

a) Part (Heading and Code Citation): Annual Emissions Report (35 Ill. Adm. Code 254)

1) Rulemaking:

- A) Description: The Illinois Environmental Protection Agency (Agency) plans to propose amendments removing reporting requirements related to the Emissions Reduction Market System ("ERMS") from the Annual Emissions Report rule, and to clarify and eliminate other unnecessary reporting requirements in the rule, such as provisions for annual throughput and tanks.
- B) Statutory Authority: Implementing and authorized by Section 4(b) of the Environmental Protection Act [415 ILCS 5/4(b)].
- C) Scheduled meeting/hearing dates: The Agency has not yet scheduled meetings or hearings on this proposal.
- D) Date Agency anticipates First Notice: Spring/Summer 2020
- E) Effect on small businesses, small municipalities or not-for-profit corporations: Small businesses, small municipalities, or not-for-profit corporations subject to reporting obligations under Part 254 could be impacted.
- F) Agency contact person for information: Address written comments concerning the substance of the rulemaking as follows:

Annet Godiksen
Division of Legal Counsel
Illinois Environmental Protection Agency
1021 North Grand Avenue East
P. O. Box 19276
Springfield IL 62794-9276

217/782-5544
Annet.Godiksen@Illinois.gov

- G) Related rulemakings and other pertinent information: The Annual Emissions Report rule requires permitted sources to report air pollution

ENVIRONMENTAL PROTECTION AGENCY

JANUARY 2020 REGULATORY AGENDA

emissions data of regulated air pollutants. The Illinois Pollution Control Board has sunsetted the ERMS program, as it no longer effectively provides environmental benefit. With said sunset, sources that participated in ERMS are no longer required to submit to the Agency seasonal emissions data under Part 254.

b) Part (Heading and Code Citations): Procedures for Issuing Loans from the Water Pollution Control Program (35 Ill. Adm. Code 365);

1) Rulemaking:

- A) Description: The Agency is proposing to modify 35 Ill. Adm. Code Part 65 to keep the long-term capacity of the wastewater loan programs near current funding levels, and to also offer loan recipients serving any population base the ability to choose a loan term of up to 30 years. The loan programs will continue to offer below market interest rates saving the citizens of Illinois money over the other infrastructure financing alternatives.
- B) Statutory Authority: Implementing and authorized by Sections 19.1 through 19.10 of the Environmental Protection Act (415 ILCS 5/19.1-19.10)
- C) Scheduled meeting/hearing dates: The Agency has not scheduled any meetings or hearings on this proposal.
- D) Date Agency anticipates First Notice: Spring/Summer 2020
- E) Effect on small businesses, small municipalities or not-for-profit corporations: None
- F) Agency contact person for information:

Sara Terranova
Illinois Environmental Protection Agency
Division of Legal Counsel
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P.O. Box 19276
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ENVIRONMENTAL PROTECTION AGENCY

JANUARY 2020 REGULATORY AGENDA

217/782-5544
sara.terrano@illinois.gov

G) Related rulemakings and other pertinent information: None.

c) Part (Heading and Code Citation): Introduction and Definitions (35 Ill. Adm. Code 651)

1) Rulemaking:

- A) Description: In 2017 the Agency proposed comprehensive rules governing community water supplies to the Illinois Pollution Control Board. The Illinois Pollution Control Board adopted the rules on July 26, 2019, so the Agency plans to repeal its rules for public water supplies in Parts 651, 653 and 654.
- B) Statutory Authority: Implementing and authorized by Sections 14 through 19 of the Illinois Environmental Protection Act [415 ILCS 5/Title IV].
- C) Scheduled meeting/hearing dates: The Agency has not yet scheduled meetings or hearings on this proposal.
- D) Date Agency anticipates First Notice: Spring/Summer 2020
- E) Effect on small businesses, small municipalities or not-for-profit corporations: Small businesses, small municipalities, or not-for-profit corporations that are, or operate, a community water supply will not be affected.
- F) Agency contact person for information: Address written comments concerning the substance of the rulemaking as follows:

Sara G. Terranova
Division of Legal Counsel
Illinois Environmental Protection Agency
1021 North Grand Avenue East
P.O. Box 19276
Springfield IL 62794-9276

ENVIRONMENTAL PROTECTION AGENCY

JANUARY 2020 REGULATORY AGENDA

217/782-5544

Sara.Terranova@Illinois.gov

G) Related rulemakings and other pertinent information: None

d) Part (Heading and Code Citation): Design, Operation, and Maintenance Criteria (35 Ill. Adm. Code 653)

1) Rulemaking:

- A) Description: In 2017 the Agency proposed comprehensive rules governing community water supplies to the Illinois Pollution Control Board. The Illinois Pollution Control Board adopted the rules on July 26, 2019, so the Agency plans to repeal its rules for public water supplies in Parts 651, 653 and 654.
- B) Statutory Authority: Implementing and authorized by Sections 14 through 19 of the Illinois Environmental Protection Act [415 ILCS 5/Title IV].
- C) Scheduled meeting/hearing dates: The Agency has not yet scheduled meetings or hearings on this proposal.
- D) Date Agency anticipates First Notice: Spring/Summer 2020
- E) Effect on small businesses, small municipalities or not- for-profit corporations: Small businesses, small municipalities, or not-for-profit corporations that are, or operate, a community water supply will not be affected.
- F) Agency contact person for information: Address written comments concerning the substance of the rulemaking as follows:

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JANUARY 2020 REGULATORY AGENDA

217/782-5544

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- G) Related rulemakings and other pertinent information: None
- e) Part (Heading and Code Citation): Raw and Finished Water Quality and Quantity (35 Ill. Adm. Code 654)
- 1) Rulemaking:
- A) Description: In 2017 the Agency proposed comprehensive rules overning community water supplies to the Illinois Pollution Control Board. The Illinois Pollution Control Board adopted the rules on July 26, 2019, so the Agency plans to repeal its rules for public water supplies in Parts 651, 653 and 654.
- B) Statutory Authority: Implementing and authorized by Sections 14 through 19 of the Illinois Environmental Protection Act [415 ILCS 5/Title IV].
- C) Scheduled meeting/hearing dates: The Agency has not yet scheduled meetings or hearings on this proposal.
- D) Date Agency anticipates First Notice: Spring/Summer 2020
- E) Effect on small businesses, small municipalities or not-for-profit corporations: Small businesses, small municipalities, or not-for-profit corporations that are, or operate, a community water supply will not be affected.
- F) Agency contact person for information: Address written comments concerning the substance of the rulemaking as follows:

Sara G. Terranova
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ENVIRONMENTAL PROTECTION AGENCY

JANUARY 2020 REGULATORY AGENDA

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G) Related rulemakings and other pertinent information: Nonef) Part (Heading and Code Citation): Procedures for Issuing Loans from the Public Water Supply Loan Program (35 Ill. Adm. Code 662)1) Rulemaking:

A) Description: The Agency is proposing to modify 35 Ill. Adm. Code Part 662 to keep the long-term capacity of the drinking water loan programs near current funding levels, and to also offer loan recipients serving any population base the ability to choose a loan term of up to 30 years. The loan programs will continue to offer below market interest rates saving the citizens of Illinois money over the other infrastructure financing alternatives.

B) Statutory Authority: Implementing and authorized by Sections 19.1 through 19.10 of the Environmental Protection Act [415 ILCS 5/19.1-19.10]

C) Scheduled meeting/hearing dates: The Agency has not scheduled any meetings or hearings on this proposal.

D) Date Agency anticipates First Notice: Spring/Summer 2020

E) Effect on small businesses, small municipalities or not-for-profit corporations: None

F) Agency contact person for information:

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ENVIRONMENTAL PROTECTION AGENCY

JANUARY 2020 REGULATORY AGENDA

217/782-5544

sara.terranova@illinois.gov

G) Related rulemakings and other pertinent information: Noneg) Part (Heading and Code Citation): Water Supply Operator Certification (35 Ill. Adm. Code 681)1) Rulemaking:A) Description: The Agency plans to propose amendments updating rules pertaining to the certification of drinking water supply operators.B) Statutory Authority: Implementing and authorized by Section 10 of the Public Water Supply Operations Act [415 ILCS 45/10].C) Scheduled meeting/hearing dates: The Agency has not yet scheduled meetings or hearings on this proposal.D) Date Agency anticipates First Notice: Spring/Summer 2020E) Effect on small businesses, small municipalities or not-for-profit corporations: Small businesses, small municipalities, or not-for-profit corporations employing public water supply operators could be affected.F) Agency contact person for information: Address written comments concerning the substance of the rulemaking as follows:

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Illinois Environmental Protection Agency
1021 North Grand Avenue East
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217/782-5544

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G) Related rulemakings and other pertinent information: None

DEPARTMENT OF FINANCIAL AND PROFESSIONAL REGULATION

JANUARY 2020 REGULATORY AGENDA

I. DIVISION OF BANKING

- a) Part (Heading and Code Citation): Student Loan Servicing Rights Act (38 Ill. Adm. Code 101)
- 1) Rulemaking:
- A) Description: This new Part will implement the Student Loan Servicing Rights Act [110 ILCS 992]. It will be comprised of three Subparts consisting of definitions, fees and records; audit and examination; and hearings. The Definitions, Fees and Records Subpart will contain definitions; fees; and other requirements. The Subpart concerning audits and examinations will set forth the requirements of annual auditing and a description of the examinations to be performed by the Division. The hearings Subpart will set forth the complete rules for the conduct of hearings for parties wishing to contest an order of the Division.
- B) Statutory Authority: Student Loan Servicing Rights Act [110 ILCS 992]
- C) Schedule meeting/hearing date: No meetings or hearings have been scheduled.
- D) Date Agency anticipates First Notice: January 2020
- E) Effect on small businesses, small municipalities or not-for-profit corporations: Businesses engaged in student loan servicing.
- F) Agency contact person for information:

Department of Financial and Professional Regulation
Attention: Craig Cellini
320 West Washington, 3rd Floor
Springfield IL 62786

217/785-0813
fax: 217/557-4451

DEPARTMENT OF FINANCIAL AND PROFESSIONAL REGULATION

JANUARY 2020 REGULATORY AGENDA

- G) Related rulemakings and other pertinent information: Rules for this new Part were originally filed on November 16, 2018 (42 Ill. Reg. 20189) but were later withdrawn on October 21, 2019 (43 Ill. Reg. 12935).

II. DIVISION OF FINANCIAL INSTITUTIONS

- a) Part (Heading and Code Citation): The Formulation and Issuance of Schedules of Maximum Rates for Check Cashing and the Writing of Money Orders of Community and Ambulatory Currency Exchanges (38 Ill. Adm. Code 125)

1) Rulemaking:

- A) Description: PA 100-704 amended the Currency Exchange Act to include two new factors to be considered when the maximum rate for check cashing would be increased. The two criteria were the impact the rate increase would have on consumers and whether the rate schedule will disproportionately impact anyone on the basis of a protected characteristic or category listed in section 1-103 of the Illinois Human Rights Act.
- B) Statutory Authority: Currency Exchange Act [205 ILCS 405]
- C) Schedule meeting/hearing date: No hearings or meetings have been scheduled.
- D) Date Agency anticipates First Notice: February 2020
- E) Effect on small businesses, small municipalities or not-for-profit corporations: State-licensed Currency Exchanges could be affected.
- F) Agency contact person for information:

Department of Financial and Professional Regulation
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DEPARTMENT OF FINANCIAL AND PROFESSIONAL REGULATION

JANUARY 2020 REGULATORY AGENDA

- G) Related rulemakings and other pertinent information: None

III. DIVISION OF PROFESSIONAL REGULATION

- a) Part (Heading and Code Citation): Cannabis Regulation and Tax Act (68 Ill. Adm. Code 1291)
- 1) Rulemaking:
- A) Description: PA 101-27 created the Cannabis Regulation and Tax Act which provides that it is lawful for persons 21 years of age or older to possess, use, and purchase limited amounts of cannabis for personal use in accordance with the Act. It also provides for the regulation and licensing of various entities and occupations engaged in cultivation, dispensing, processing, transportation, and other activities regarding cannabis for adult use. The new Act sets forth duties of an Illinois Cannabis Regulation Oversight Officer, the Department of State Police, the Department of Agriculture, the Department of Financial and Professional Regulation, the Department of Public Health, the Department of Commerce and Economic Opportunity, the Department of Human Services, the Department of Revenue, the State Treasurer, the Illinois Criminal Justice Information Authority, and other governmental entities. Therefore, a new Part with new rules will need to be promulgated for implementation for the Adult Use Cannabis Program.
- B) Statutory Authority: Cannabis Regulation and Tax Act [410 ILCS 705]
- C) Schedule meeting/hearing date: No hearings or meetings have been scheduled.
- D) Date Agency anticipates First Notice: May 2020
- E) Effect on small businesses, small municipalities or not-for-profit corporations: Licensed cannabis dispensaries will be affected.
- F) Agency contact person for information:

Department of Financial and Professional Regulation
Attention: Craig Cellini

DEPARTMENT OF FINANCIAL AND PROFESSIONAL REGULATION

JANUARY 2020 REGULATORY AGENDA

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- G) Related rulemakings and other pertinent information: PA 101-27 required the Department to submit emergency rulemaking to implement some of the changes made by the Act. Therefore, emergency rules were filed in December 2019 to address the lottery process if there are ties for the last remaining licenses in a particular U.S. Bureau of Labor Statistics (BLS) region, and also clarify that unsuccessful applicants who wish to file suit against the Department should do so in court rather than first going through the administrative process before going on to court.
- b) Part (Heading and Code Citation): Boxing and Full-Contact Martial Arts Act (68 Ill. Adm. Code 1370)
- 1) Rulemaking:
- A) Description: Clarification is needed of the Section 13 requirement in the Act that requires promoters to timely pay a percentage of broadcast tax receipts to ensure proper documentation is produced to the Department. Additional clarification of the municipal exemption outlined in Section 6(a)(3) of the Act which has been a point of confusion for the public as highlighted by recent legislation and industry efforts is also needed. The purpose of these changes would be to clarify the necessary documentation promoters must produce in order to provide guidance to licensees and to municipalities seeking an exemption under the Act so that they may properly conduct events.
- B) Statutory Authority: Boxing and Full-contact Martial Arts Act [225 ILCS 105]
- C) Schedule meeting/hearing date: No hearings or meetings have been scheduled.
- D) Date Agency anticipates First Notice: Unknown

DEPARTMENT OF FINANCIAL AND PROFESSIONAL REGULATION

JANUARY 2020 REGULATORY AGENDA

- E) Effect on small businesses, small municipalities or not-for-profit corporations: Individuals and/or entities licensed by the Division under the provisions of the Professional Boxing Act
- F) Agency contact person for information:
- Department of Financial and Professional Regulation
Attention: Craig Cellini
320 West Washington, 3rd Floor
Springfield IL 62786
- 217/785-0813
fax: 217/557-4451
- G) Related rulemakings and other pertinent information: None
- c) Part (Heading and Code Citation): Collection Agency Act (68 Ill. Adm. Code 1210)
- 1) Rulemaking:
- A) Description: Various sections may be amended to address changes as a result of the sunset reauthorization of the Act.
- B) Statutory Authority: Collection Agency Act [225 ILCS 425]
- C) Schedule meeting/hearing date: No hearings or meetings have been scheduled.
- D) Date Agency anticipates First Notice: Unknown
- E) Effect on small businesses, small municipalities or not-for-profit corporations: Licensed collection agencies may be affected.
- F) Agency contact person for information:
- Department of Financial and Professional Regulation
Attention: Craig Cellini
320 West Washington, 3rd Floor
Springfield IL 62786

DEPARTMENT OF FINANCIAL AND PROFESSIONAL REGULATION

JANUARY 2020 REGULATORY AGENDA

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G) Related rulemakings and other pertinent information: None

d) Part (Heading and Code Citation): Illinois Controlled Substances Act (77 Ill. Adm.Code 3100)

1) Rulemaking:

A) Description: An amendment to this Part needed to include prescribing psychologists as well as visiting professors and opioid prescribing. Additional technical changes and updates are needed.

B) Statutory Authority: Illinois Controlled Substances Act [720 ILCS 570]

C) Schedule meeting/hearing date: No hearings or meetings have been scheduled.

D) Date Agency anticipates First Notice: Unknown

E) Effect on small businesses, small municipalities or not-for-profit corporations: Licensed medical professionals may be affected.

F) Agency contact person for information:

Department of Financial and Professional Regulation
Attention: Craig Cellini
320 West Washington, 3rd Floor
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G) Related rulemakings and other pertinent information: Clinical Psychologist Licensing Act (68 Ill. Adm. Code 1400)

e) Part (Heading and Code Citation): Environmental Health Practitioner Licensing Act (68 Ill. Adm. Code 1247)

DEPARTMENT OF FINANCIAL AND PROFESSIONAL REGULATION

JANUARY 2020 REGULATORY AGENDA

- 1) Rulemaking:
 - A) Description: Certain sections may be amended as a result of the sunset reauthorization of the Act, which has been sent to the Governor. Technical clean up changes/updates may also be made.
 - B) Statutory Authority: Environmental Health Practitioner Licensing Act [225 ILCS 37]
 - C) Schedule meeting/hearing date: No hearings or meetings have been scheduled.
 - D) Date Agency anticipates First Notice: April 2020
 - E) Effect on small businesses, small municipalities or not-for-profit corporations: Licensed environmental health practitioners may be affected.
 - F) Agency contact person for information:

Department of Financial and Professional Regulation
Attention: Craig Cellini
320 West Washington, 3rd Floor
Springfield IL 62786

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fax: 217/557-4451
 - G) Related rulemakings and other pertinent information: None
- f) Part (Heading and Code Citation): Home Medical Equipment and Services Provider License Act (68 Ill. Adm. Code 1253)
 - 1) Rulemaking:
 - A) Description: Various sections may be amended to address changes as a result of the sunset reauthorization of the Act. Technical clean up

DEPARTMENT OF FINANCIAL AND PROFESSIONAL REGULATION

JANUARY 2020 REGULATORY AGENDA

language including changes reflecting the consolidation of the Department of Financial and Professional Regulation will also be needed.

- B) Statutory Authority: Home Medical Equipment and Services Provider Act [225 ILCS 51]
- C) Schedule meeting/hearing date: No hearings have been scheduled.
- D) Date Agency anticipates First Notice: Unknown
- E) Effect on small businesses, small municipalities or not-for-profit corporations: Licensed home medical equipment providers may be affected.
- F) Agency contact person for information:

Department of Financial and Professional Regulation
Attention: Craig Cellini
320 West Washington, 3rd Floor
Springfield IL 62786

217/785-0813
fax: 217/557-4451

- G) Related rulemakings and other pertinent information: None

g) Part (Heading and Code Citation): Nursing Home Administrators Licensing and Disciplinary Act (68 Ill. Adm. Code 1310)

1) Rulemaking:

- A) Description: Various sections may be amended to address changes as a result of the sunset reauthorization of the Act. Technical clean up language including changes reflecting the consolidation of the Department of Financial and Professional Regulation will also be needed.
- B) Statutory Authority: Nursing Home Administrators Licensing and Disciplinary Act [225 ILCS 70]

DEPARTMENT OF FINANCIAL AND PROFESSIONAL REGULATION

JANUARY 2020 REGULATORY AGENDA

- C) Schedule meeting/hearing date: No hearings or meetings have been scheduled.
- D) Date Agency anticipates First Notice: Unknown
- E) Effect on small businesses, small municipalities or not-for-profit corporations: Nursing home administrators may be affected.
- F) Agency contact person for information:

Department of Financial and Professional Regulation
Attention: Craig Cellini
320 West Washington, 3rd Floor
Springfield IL 62786

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fax: 217/557-4451

- G) Related rulemakings and other pertinent information: None

h) Part (Heading and Code Citation): Pharmacy Practice Act (68 Ill. Adm. Code 1330)

1) Rulemaking:

- A) Description: Various sections may be amended to address changes as a result of the sunset reauthorization of the Act.
- B) Statutory Authority: Pharmacy Practice Act [225 ILCS 85]
- C) Schedule meeting/hearing date: No hearings have been scheduled.
- D) Date Agency anticipates First Notice: June 2020
- E) Effect on small businesses, small municipalities or not-for-profit corporations: Licensed pharmacists, pharmacy technicians, and pharmacies will be affected.
- F) Agency contact person for information:

DEPARTMENT OF FINANCIAL AND PROFESSIONAL REGULATION

JANUARY 2020 REGULATORY AGENDA

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G) Related rulemakings and other pertinent information: None

i) Part (Heading and Code Citation): Podiatric Medical Practice Act of 1987 (68 Ill. Adm. Code 1360)

1) Rulemaking:

A) Description: Various sections may be amended to address changes as a result of the sunset reauthorization of the Act.

B) Statutory Authority: Podiatric Medical Practice Act of 1987 [225 ILCS 100]

C) Schedule meeting/hearing date: No hearings have been scheduled.

D) Date Agency anticipates First Notice: Unknown

E) Effect on small businesses, small municipalities or not-for-profit corporations: Licensed podiatrists may be affected.

F) Agency contact person for information:

Department of Financial and Professional Regulation
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G) Related rulemakings and other pertinent information: None

DEPARTMENT OF FINANCIAL AND PROFESSIONAL REGULATION

JANUARY 2020 REGULATORY AGENDA

IV. DIVISION OF REAL ESTATE

- a) Part (Heading and Code Citation): Community Association Manager Licensing and Disciplinary Act (68 Ill. Adm. Code 1445)
- 1) Rulemaking:
- A) Description: Various sections may be amended to address changes as a result of the sunset reauthorization of the Act.
- B) Statutory Authority: Community Association Manager Licensing and Disciplinary Act [225 ILCS 427]
- C) Schedule meeting/hearing date: No meetings or hearings have been scheduled.
- D) Date Agency anticipates First Notice: June 2020
- E) Effect on small businesses, small municipalities or not-for-profit corporations: These amendments are anticipated to have no effect on municipalities. There will be some impact on private business, as these amendments will implement new supervisory CAM, as well as CAM Firm licensure requirements.
- F) Agency contact person for information:
- Department of Financial and Professional Regulation
Attention: Craig Cellini
320 West Washington, 3rd Floor
Springfield IL 62786
- 217/785-0813
fax: 217/557-4451
- G) Related rulemakings and other pertinent information: None
- b) Part (Heading and Code Citation): Real Estate License Act of 2000 (68 Ill. Adm. Code 1450)

DEPARTMENT OF FINANCIAL AND PROFESSIONAL REGULATION

JANUARY 2020 REGULATORY AGENDA

1) Rulemaking:

- A) Description: The Division of Real Estate began initiating significant amendatory changes to implement PA 100-188, which amended the Real Estate License Act of 2000 to make various changes to the licensing and education criteria affecting Illinois real estate professionals, among numerous other technical revisions. Most of those were submitted at the end of 2018. The remaining technical revisions should be submitted by early 2020.
- B) Statutory Authority: Real Estate License Act of 2000 [225 ILCS 454]
- C) Schedule meeting/hearing date: No hearings or meetings have been scheduled.
- D) Date Agency anticipates First Notice: May 2020
- E) Effect on small businesses, small municipalities or not-for-profit corporations: Those that operate pursuant to the Real Estate License Act of 2000 may be affected.
- F) Agency contact person for information:

Department of Financial and Professional Regulation
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- G) Related rulemakings and other pertinent information: None

DEPARTMENT OF HEALTHCARE AND FAMILY SERVICES

JANUARY 2020 REGULATORY AGENDA

- a) Part (Heading and Code Citation): Child Support Services (89 Ill. Adm. Code 160)
- 1) Rulemaking:
- A) Description: Proposed amendments may be necessary as the result of recent legislative action.
- B) Statutory Authority: Authorized by 750 ILCS 5/505 et seq. and 305 ILCS 5/4-1.6 of the Public Aid Code; and 750 ILCS 46/1 et seq. of the Illinois Parentage Act of 2015.
- C) Scheduled meeting/hearing dates: The Department has not established a schedule of dates for hearings, meetings or other opportunities for public participation in the above referenced rulemaking.
- D) Date Agency anticipates First Notice: The Department has not determined when Notices of Proposed Rulemaking will be submitted for publication in the *Illinois Register*.
- E) Effect on small businesses, small municipalities or not-for-profit corporations: The Department is unaware of any affect rulemaking may have on small businesses, small municipalities or not-for-profit corporations. The Department will accept and consider any written comments concerning such effects that may be submitted in response to this regulatory agenda.
- F) Agency contact person for information:
- Steffanie Garrett
General Counsel
Illinois Department of Healthcare and Family Services
201 South Grand Avenue East, Third Floor
Springfield IL 62763-0002
- HFS.Rules@Illinois.gov
- G) Related rulemakings and other pertinent information: None

DEPARTMENT OF HEALTHCARE AND FAMILY SERVICES

JANUARY 2020 REGULATORY AGENDA

- b) Parts (Heading and Code Citations): Medical Assistance Programs (89 Ill. Adm. Code 120), Covering All Kids Health Insurance Program (89 Ill. Adm. Code 123), Children's Health Insurance Program (89 Ill. Adm. Code 125), Children's Behavioral Health (89 Ill. Adm. Code 139), Specialized Health Care Delivery Systems (89 Ill. Adm. Code 146), Reimbursement for Nursing Costs for Geriatric Facilities (89 Ill. Adm. Code 147), Hospital Services (89 Ill. Adm. Code 148), Diagnosis Related Grouping (DRG) Prospective Payment System (PPS) (89 Ill. Adm. Code 149), Hospital Reimbursement Changes (89 Ill. Adm. Code 152), and Long Term Care Reimbursement Changes (89 Ill. Adm. Code 153).
- 1) Rulemaking:
- A) Description: Proposed amendments may be necessary as the result of legislative action. The Department's rulemaking may include, but not be limited to, changes in eligibility, services, programs, quality or reimbursement rates. Depending on the timing of the legislative mandates or budget agreements, emergency rules may be necessary.
- B) Statutory Authority: Authorized by 305 ILCS 5/12-13, 305 ILCS 5/5-5, and 305 ILCS 5/5b of the Public Aid Code.
- C) Scheduled meeting/hearing dates: The Department has not established a schedule of dates for hearings, meetings or other opportunities for public participation in the above referenced rulemaking.
- D) Date Agency anticipates First Notice: The Department has not determined when Notices of Proposed Rulemaking will be submitted for publication in the *Illinois Register*.
- E) Effect on small businesses, small municipalities or not-for-profit corporations: The Department is unaware of any affect rulemaking may have on small businesses, small municipalities or not-for-profit corporations. The Department will accept and consider any written comments concerning such effects that may be submitted in response to this regulatory agenda.
- F) Agency contact person for information:

Steffanie Garrett

DEPARTMENT OF HEALTHCARE AND FAMILY SERVICES

JANUARY 2020 REGULATORY AGENDA

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201 South Grand Avenue East, Third Floor
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- G) Related rulemakings and other pertinent information: None
- c) Part (Heading and Code Citation): Medical Payment (89 Ill. Adm. Code 140)
- 1) Rulemaking:
- A) Description: Proposed amendments may be necessary as the result of legislative action. The Department's rulemaking may include, but not be limited to, changes in eligibility, services, programs, quality or reimbursement rates. Depending on the timing of the legislative mandates or budget agreements, emergency rules may be necessary.
- B) Statutory Authority: Authorized by 305 ILCS 5/12-13, 305 ILCS 5/5-5, and 305 ILCS 5/5b of the Public Aid Code.
- C) Scheduled meeting/hearing dates: The Department has not established a schedule of dates for hearings, meetings or other opportunities for public participation in the above referenced rulemaking.
- D) Date Agency anticipates First Notice: The Department has not determined when Notices of Proposed Rulemaking will be submitted for publication in the *Illinois Register*.
- E) Affect on small businesses, small municipalities or not-for-profit corporations: The Department is unaware of any affect rulemaking may have on small businesses, small municipalities or not-for-profit corporations. The Department will accept and consider any written comments concerning such effects that may be submitted in response to this regulatory agenda.
- F) Agency contact person for information:

DEPARTMENT OF HEALTHCARE AND FAMILY SERVICES

JANUARY 2020 REGULATORY AGENDA

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- G) Related rulemakings and other pertinent information: None

ILLINOIS HOUSING DEVELOPMENT AUTHORITY

JANUARY 2020 REGULATORY AGENDA

- a) Part (Heading and Code Citation): Rental Housing Support Program (47 Ill. Adm. Code 380)
- 1) Rulemaking:
- A) Description: Amend various sections to conform with recently passed legislation and other administrative changes.
- B) Statutory Authority: Rental Housing Support Act [310 ILCS 105] and Illinois Housing Development Act [20 ILCS 3805/12].
- C) Scheduled meeting/hearing dates: None
- D) Date Agency anticipates First Notice: February 2020
- E) Effect on small businesses, small municipalities or not-for-profit corporations: None
- F) Agency contact person for information:
- Karri Kartes
Illinois Housing Development Authority
11 E. Wacker Drive, Suite 1000
Chicago IL 60601
- 312/836-5313
- G) Related rulemakings and other pertinent information: Rental Housing Support Program 47 Ill. Adm. Code 380
- b) Part (Heading and Code Citation): Multifamily Rental Housing Mortgage Loan Program (47 Ill. Adm. Code 310)
- 1) Rulemaking:
- A) Description: Amend various sections to conform with administrative changes.
- B) Statutory Authority: Illinois Housing Development Act [20 ILCS 3805].

ILLINOIS HOUSING DEVELOPMENT AUTHORITY

JANUARY 2020 REGULATORY AGENDA

- C) Scheduled meeting/hearing dates: None
- D) Date Agency anticipates First Notice: April 2020
- E) Effect on small businesses, small municipalities or not-for-profit corporations: None
- F) Agency contact person for information:

Karri Kartes
Illinois Housing Development Authority
111 E. Wacker Drive, Suite 1000
Chicago IL 60601

312/836-5313

- G) Related rulemakings and other pertinent information: Multifamily Rental Housing Mortgage Loan Program 47 Ill. Adm. Code 310

c) Part (Heading and Code Citation): Affordable Housing Program (47 Ill. Adm. Code 360)

1) Rulemaking:

- A) Description: Amend various sections to conform with administrative changes.
- B) Statutory Authority: Illinois Housing Development Act [20 ILCS 3805].
- C) Scheduled meeting/hearing dates: None
- D) Date Agency anticipates First Notice: June 2020
- E) Effect on small businesses, small municipalities or not-for-profit corporations: None
- F) Agency contact person for information:

Karri Kartes

ILLINOIS HOUSING DEVELOPMENT AUTHORITY

JANUARY 2020 REGULATORY AGENDA

Illinois Housing Development Authority
111 E. Wacker Drive, Suite 1000
Chicago IL 60601

312/836-5313

G) Related rulemakings and other pertinent information: None

d) Part (Heading and Code Citation): Homeowner Mortgage Revenue Bond Program (47 Ill. Adm. Code 260)

1) Rulemaking:

A) Description: Amend various sections to conform with administrative changes.

B) Statutory Authority: Illinois Housing Development Act [20 ILCS 3805].

C) Scheduled meeting/hearing dates: None

D) Date Agency anticipates First Notice: August 2020

E) Effect on small businesses, small municipalities or not-for-profit corporations: None

F) Agency contact person for information:

Karri Kartes
Illinois Housing Development Authority
111 E. Wacker Drive, Suite 1000
Chicago IL 60601

312/836-5313

G) Related rulemakings and other pertinent information: None

DEPARTMENT OF NATURAL RESOURCES

JANUARY 2020 REGULATORY AGENDA

- a) Part (Heading and Code Citation): Public Use of State Parks and Other Properties of the Department of Natural Resources (17 Ill. Adm. Code 110)
- 1) Rulemaking:
- A) Description: This Part will be amended to update regulations.
- B) Statutory Authority: Implementing and authorized by Section 8 of the State Forest Act [525 ILCS 40/8] and by Sections 1, 2, 4 and 6 of the State Parks Act [20 ILCS 835/1, 2, 4 and 6] and by Section 5 of the State Parks Designation Act [20 ILCS 840/5] and by Sections 805-10, 805-520, 805-525, 805-330, 805-335 and 805-515 of the Civil Administrative Code of Illinois [20 ILCS 805/805-10, 805-520, 805-525, 805-330, 805-335 and 805-515].
- C) Scheduled meeting/hearing dates: None
- D) Date Agency anticipates First Notice: January
- E) Effect on small businesses, small municipalities or not-for-profit corporations: None
- F) Agency contact person for information:
- John Heidinger, Legal Counsel
One Natural Resources Way
Springfield IL 62702-1271
- 217/782-1809
- G) Related rulemakings and other pertinent information: None
- b) Part (Heading and Code Citations): General Hunting and Trapping on Department-Owned or -Managed Sites (17 Ill. Adm. Code 510)
- 1) Rulemaking:

DEPARTMENT OF NATURAL RESOURCES

JANUARY 2020 REGULATORY AGENDA

- A) Description: This Part is being amended to add language that will allow the Department to implement a pilot project to test a new electronic reporting system to check in, check out, and report harvest at state sites.
- B) Statutory Authority: Implementing and authorized by Sections 1.2, 1.3, 1.4, 1.13, 1.20, 2.1, 2.2, 2.6, 2.7, 2.9, 2.13, 2.18, 2.20, 2.24, 2.25, 2.26, 2.27, 2.28, 2.30, 2.33 and 3.5 of the Wildlife Code [520 ILCS 5/1.2, 1.3, 1.4, 1.13, 1.20, 2.1, 2.2, 2.6, 2.7, 2.9, 2.13, 2.18, 2.20, 2.24, 2.25, 2.26, 2.27, 2.28, 2.30, 2.33 and 3.5] and by Section 805-515 of the Civil Administrative Code of Illinois [20 ILCS 805/805-515].
- C) Scheduled meeting/hearing dates: None
- D) Date Agency anticipates First Notice: January
- E) Effect on small businesses, small municipalities or not-for-profit corporations: None
- F) Agency contact person for information:
- John Heidinger, Legal Counsel
One Natural Resources Way
Springfield IL 62702-1271
- 217/782-1809
- G) Related rulemakings and other pertinent information: None
- c) Part (Heading and Code Citation): Nuisance Wildlife Control Permits (17 Ill. Adm. Code 525)
- 1) Rulemaking:
- A) Description: This Part will be amended to clarify the activities related to bat exclusion that require a permit.
- B) Statutory Authority: 520 ILCS 5/2.37
- C) Scheduled meeting/hearing dates: None

DEPARTMENT OF NATURAL RESOURCES

JANUARY 2020 REGULATORY AGENDA

- D) Date Agency anticipates First Notice: January
- E) Effect on small businesses, small municipalities or not-for-profit corporations: This rule has an impact on nuisance wildlife control permittees, which include for-hire cooperators and municipalities that have Class C permits. The specific effect that this change would have would not impact the status of current permittees.
- F) Agency contact person for information:
- John Heidinger, Legal Counsel
One Natural Resources Way
Springfield IL 62702-1271
- 217/782-1809
- G) Related rulemakings and other pertinent information: None
- d) Part (Heading and Code Citation): Cock Pheasant, Hungarian Partridge, Bobwhite Quail and Rabbit Hunting (17 Ill. Adm. Code 530)
- 1) Rulemaking:
- A) Description: This Part will be amended to make statewide program changes.
- B) Statutory Authority: Sections 1.3, 1.4, 1.13, 2.1, 2.2, 2.6, 2.7, 2.13, 2.27, 2.30, 2.33, 3.5, 3.27, 3.28 and 3.29 of the Wildlife Code [520 ILCS 5/1.3, 1.4, 1.13, 2.1, 2.2, 2.6, 2.7, 2.13, 2.27, 2.30, 2.33, 3.5, 3.27, 3.28 and 3.29]
- C) Scheduled meeting/hearing dates: None
- D) Date Agency anticipates First Notice: January
- E) Effect on small businesses, small municipalities or not-for-profit corporations: None
- F) Agency contact person for information:

DEPARTMENT OF NATURAL RESOURCES

JANUARY 2020 REGULATORY AGENDA

John Heidinger, Legal Counsel
One Natural Resources Way
Springfield IL 62702-1271

217/782-1809

G) Related rulemakings and other pertinent information: None

e) Part (Heading and Code Citation): Raccoon, Opossum, Striped Skunk, Red Fox, Gray Fox, Coyote, Bobcat, and Woodchuck (Groundhog) Hunting (17 Ill. Adm. Code 550)

1) Rulemaking:

A) Description: This Part will be amended to make statewide program changes.

B) Statutory Authority: Sections 1.3, 1.4, 1.10, 2.1, 2.2, 2.30, 2.30b, 2.33 and 3.5 of the Wildlife Code [520 ILCS 5/1.3, 1.4, 1.10, 2.1, 2.2, 2.30, 2.30b, 2.33 and 3.5]

C) Scheduled meeting/hearing dates: None

D) Date Agency anticipates First Notice: January

E) Effect on small businesses, small municipalities or not-for-profit corporations: None

F) Agency contact person for information:

John Heidinger, Legal Counsel
One Natural Resources Way
Springfield IL 62702-1271

217/782-1809

G) Related rulemakings and other pertinent information: None

DEPARTMENT OF NATURAL RESOURCES

JANUARY 2020 REGULATORY AGENDA

f) Part (Heading and Code Citation): Muskrat, Mink, Raccoon, Opossum, Striped Skunk, Weasel, Red Fox, Gray Fox, Coyote, Badger, River Otter, Beaver, Bobcat, and Woodchuck (Groundhog) Trapping (17 Ill. Adm. Code 570)

1) Rulemaking:

- A) Description: This Part will be amended to make statewide program changes.
- B) Statutory Authority: Sections 1.2, 1.3, 1.4, 1.10, 2.1, 2.2, 2.30, 2.30b, 2.33, 2.33a and 3.5 of the Wildlife Code [520 ILCS 5/1.2, 1.3, 1.4, 1.10, 2.1, 2.2, 2.30, 2.30b, 2.33, 2.33a and 3.5]
- C) Scheduled meeting/hearing dates: None
- D) Date Agency anticipates First Notice: January
- E) Effect on small businesses, small municipalities or not-for-profit corporations: None
- F) Agency contact person for information:
- John Heidinger, Legal Counsel
One Natural Resources Way
Springfield IL 62702-1271

217/782-1809
- G) Related rulemakings and other pertinent information: None

g) Part (Heading and Code Citation): Duck, Goose and Coot Hunting (17 Ill. Adm. Code 90)

1) Rulemaking:

- A) Description: This Part will be amended to make statewide program changes.

DEPARTMENT OF NATURAL RESOURCES

JANUARY 2020 REGULATORY AGENDA

- B) Statutory Authority: Sections 1.3, 1.4, 1.13, 2.1, 2.2, 2.18, 2.19, 2.20, 2.23, 2.33, 3.5, 3.6, 3.7 and 3.8 of the Wildlife Code [520 ILCS 5/1.3, 1.4, 1.13, 2.1, 2.2, 2.18, 2.19, 2.20, 2.23, 2.33, 3.5, 3.6, 3.7 and 3.8] and Migratory Bird Hunting (50 CFR 20)
- C) Scheduled meeting/hearing dates: None
- D) Date Agency anticipates First Notice: January
- E) Effect on small businesses, small municipalities or not-for-profit corporations: None
- F) Agency contact person for information:
- John Heidinger, Legal Counsel
One Natural Resources Way
Springfield IL 62702-1271
- 217/782-1809
- G) Related rulemakings and other pertinent information: None
- h) Part (Heading and Code Citation): White-Tailed Deer Hunting By Use of Firearms (17 Ill. Adm. Code 650)
- 1) Rulemaking:
- A) Description: This Part will be amended to make statewide program changes.
- B) Statutory Authority: Sections 1.3, 1.4, 1.13, 2.20, 2.24, 2.25, 2.26, 2.33 and 3.36 of the Wildlife Code [520 ILCS 5/1.3, 1.4, 1.13, 2.20, 2.24, 2.25, 2.26, 2.33 and 3.36]
- C) Scheduled meeting/hearing dates: None
- D) Date Agency anticipates First Notice: January

DEPARTMENT OF NATURAL RESOURCES

JANUARY 2020 REGULATORY AGENDA

- E) Effect on small businesses, small municipalities or not-for-profit corporations: None
- F) Agency contact person for information:
- John Heidinger, Legal Counsel
One Natural Resources Way
Springfield IL 62702-1271
- 217/782-1809
- G) Related rulemakings and other pertinent information: None
- i) Part (Heading and Code Citation): White-Tailed Deer Hunting By Use of Muzzleloading Rifles (17 Ill. Adm. Code 660)
- 1) Rulemaking:
- A) Description: This Part will be amended to make statewide program changes.
- B) Statutory Authority: Sections 1.3, 1.4, 1.13, 2.20, 2.24, 2.25, 2.26, 2.33 and 3.36 of the Wildlife Code [520 ILCS 5/1.3, 1.4, 1.13, 2.20, 2.24, 2.25, 2.26, 2.33 and 3.36]
- C) Scheduled meeting/hearing dates: None
- D) Date Agency anticipates First Notice: January
- E) Effect on small businesses, small municipalities or not-for-profit corporations: None
- F) Agency contact person for information:
- John Heidinger, Legal Counsel
One Natural Resources Way
Springfield IL 62702-1271
- 217/782-1809

DEPARTMENT OF NATURAL RESOURCES

JANUARY 2020 REGULATORY AGENDA

- G) Related rulemakings and other pertinent information: None
- j) Part (Heading and Code Citation): White-Tailed Deer Hunting By Use of Bow and Arrow (17 Ill. Adm. Code 670)
- 1) Rulemaking:
- A) Description: This Part will be amended to make statewide program changes.
- B) Statutory Authority: Sections 1.2, 1.3, 1.4, 2.1, 2.2, 2.5, 2.20, 2.24, 2.25, 2.26, 2.33, 3.5 and 3.36 of the Wildlife Code [520 ILCS 5/1.2, 1.3, 1.4, 2.1, 2.2, 2.5, 2.20, 2.24, 2.25, 2.26, 2.33, 3.5 and 3.36]
- C) Scheduled meeting/hearing dates: None
- D) Date Agency anticipates First Notice: January
- E) Effect on small businesses, small municipalities or not-for-profit corporations: None
- F) Agency contact person for information:
- John Heidinger, Legal Counsel
One Natural Resources Way
Springfield IL 62702-1271
- 217/782-1809
- G) Related rulemakings and other pertinent information: None
- k) Part (Heading and Code Citation): Special White-Tailed Deer Season for Disease Control (17 Ill. Adm. Code 675)
- 1) Rulemaking:
- A) Description: This Part will be amended to make statewide program changes.

DEPARTMENT OF NATURAL RESOURCES

JANUARY 2020 REGULATORY AGENDA

- B) Statutory Authority: Sections 1.3, 1.4, 1.13, 2.20, 2.24, 2.25, 2.26 and 3.36 of the Wildlife Code [520 ILCS 5/1.3, 1.4, 1.13, 2.20, 2.24, 2.25, 2.26 and 3.36]
- C) Scheduled meeting/hearing dates: None
- D) Date Agency anticipates First Notice: January
- E) Effect on small businesses, small municipalities or not-for-profit corporations: None
- F) Agency contact person for information:

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One Natural Resources Way
Springfield IL 62702-1271

217/782-1809

- G) Related rulemakings and other pertinent information: None

1) Part (Heading and Code Citation): Late-Winter Deer Hunting Season (17 Ill. Adm. Code 680)

1) Rulemaking:

- A) Description: This Part will be amended to make statewide program changes.
- B) Statutory Authority: Sections 1.3, 1.4, 1.13, 2.20, 2.24, 2.25, 2.26 and 3.36 of the Wildlife Code [520 ILCS 5/1.3, 1.4, 1.13, 2.20, 2.24, 2.25, 2.26 and 3.36]
- C) Scheduled meeting/hearing dates: None
- D) Date Agency anticipates First Notice: January

DEPARTMENT OF NATURAL RESOURCES

JANUARY 2020 REGULATORY AGENDA

- E) Effect on small businesses, small municipalities or not-for-profit corporations: None
- F) Agency contact person for information:

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One Natural Resources Way
Springfield IL 62702-1271

217/782-1809
- G) Related rulemakings and other pertinent information: None
- m) Part (Heading and Code Citation): Youth Hunting Seasons (17 Ill. Adm. Code 685)
- 1) Rulemaking:
- A) Description: This Part will be amended to make statewide program changes.
- B) Statutory Authority: Sections 1.3, 1.4, 2.24, 2.25, 2.26 and 3.36 of the Wildlife Code [520 ILCS 5/1.3, 1.4, 2.24, 2.25, 2.26 and 3.36]
- C) Scheduled meeting/hearing dates: None
- D) Date Agency anticipates First Notice: January
- E) Effect on small businesses, small municipalities or not-for-profit corporations: None
- F) Agency contact person for information:

John Heidinger, Legal Counsel
One Natural Resources Way
Springfield IL 62702-1271

217/782-1809
- G) Related rulemakings and other pertinent information: None

DEPARTMENT OF NATURAL RESOURCES

JANUARY 2020 REGULATORY AGENDA

- n) Part (Heading and Code Citation): Squirrel Hunting (17 Ill. Adm. Code 690)
- 1) Rulemaking:
- A) Description: This Part will be amended to make statewide program changes.
- B) Statutory Authority: Sections 1.2, 1.3, 1.4, 2.1, 2.2, 2.20, 2.28 and 3.5 of the Wildlife Code [520 ILCS 5/1.2, 1.3, 1.4, 2.1, 2.2, 2.20, 2.28 and 3.5]
- C) Scheduled meeting/hearing dates: None
- D) Date Agency anticipates First Notice: January
- E) Effect on small businesses, small municipalities or not-for-profit corporations: None
- F) Agency contact person for information:
- John Heidinger, Legal Counsel
One Natural Resources Way
Springfield IL 62702-1271
- 217/782-1809
- G) Related rulemakings and other pertinent information: None
- o) Part (Heading and Code Citation): The Taking of Wild Turkeys – Spring Season (17 Ill. Adm. Code 710)
- 1) Rulemaking:
- A) Description: This Part will be amended to make statewide program changes.
- B) Statutory Authority: Sections 1.3, 1.4, 1.20, 2.9, 2.10, 2.11 and 2.20 of the Wildlife Code [520 ILCS 5/1.3, 1.4, 1.20, 2.9, 2.10, 2.11 and 2.20]

DEPARTMENT OF NATURAL RESOURCES

JANUARY 2020 REGULATORY AGENDA

- C) Scheduled meeting/hearing dates: None
- D) Date Agency anticipates First Notice: January
- E) Effect on small businesses, small municipalities or not-for-profit corporations: None
- F) Agency contact person for information:

John Heidinger, Legal Counsel
One Natural Resources Way
Springfield IL 62702-1271

217/782-1809

- G) Related rulemakings and other pertinent information: None

p) Part (Heading and Code Citation): The Taking of Wild Turkeys – Fall Gun Season (17 Ill. Adm. Code 715)

1) Rulemaking:

- A) Description: This Part will be amended to make statewide program changes.
- B) Statutory Authority: Sections 1.3, 1.4, 1.20, 2.9, 2.10, 2.11 and 2.20 of the Wildlife Code [520 ILCS 5/1.3, 1.4, 1.20, 2.9, 2.10, 2.11 and 2.20]
- C) Scheduled meeting/hearing dates: None
- D) Date Agency anticipates First Notice: January
- E) Effect on small businesses, small municipalities or not-for-profit corporations: None
- F) Agency contact person for information:

John Heidinger, Legal Counsel
One Natural Resources Way

DEPARTMENT OF NATURAL RESOURCES

JANUARY 2020 REGULATORY AGENDA

Springfield IL 62702-1271

217/782-1809

G) Related rulemakings and other pertinent information: None

q) Part (Heading and Code Citation): The Taking of Wild Turkeys – Fall Archery Season (17 Ill. Adm. Code 720)

1) Rulemaking:

A) Description: This Part will be amended to make statewide program changes.

B) Statutory Authority: Sections 1.3, 1.4, 2.9, 2.10, 2.11 and 2.20 of the Wildlife Code [520 ILCS 5/1.3, 1.4, 2.9, 2.10, 2.11 and 2.20]

C) Scheduled meeting/hearing dates: None

D) Date Agency anticipates First Notice: January

E) Effect on small businesses, small municipalities or not-for-profit corporations: None

F) Agency contact person for information:

John Heidinger, Legal Counsel
One Natural Resources Way
Springfield IL 62702-1271

217/782-1809

G) Related rulemakings and other pertinent information: None

r) Part (Heading and Code Citation): Dove Hunting (17 Ill. Adm. Code 730)

1) Rulemaking:

DEPARTMENT OF NATURAL RESOURCES

JANUARY 2020 REGULATORY AGENDA

- A) Description: This Part will be amended to make statewide program changes.
- B) Statutory Authority: Sections 1.3 and 1.4 of the Wildlife Code [520 ILCS 5/1.3 and 1.4]
- C) Scheduled meeting/hearing dates: None
- D) Date Agency anticipates First Notice: January
- E) Effect on small businesses, small municipalities or not-for-profit corporations: None
- F) Agency contact person for information:
- John Heidinger, Legal Counsel
One Natural Resources Way
Springfield IL 62702-1271
- 217/782-1809
- G) Related rulemakings and other pertinent information: None
- s) Part (Heading and Code Citation): Crow, Woodcock, Snipe, Rail and Teal Hunting (17 Ill. Adm. Code 740)
- 1) Rulemaking:
- A) Description: This Part will be amended to make statewide program changes.
- B) Statutory Authority: Sections 1.2, 1.3, 1.4, 2.1, 2.2, 2.18, 2.26, 2.33 and 3.5 of the Wildlife Code [520 ILCS 5/1.2, 1.3, 1.4, 2.1, 2.2, 2.18, 2.26, 2.33 and 3.5] and Migratory Bird Hunting (50 CFR 20, August 25, 1987)
- C) Scheduled meeting/hearing dates: None
- D) Date Agency anticipates First Notice: January

DEPARTMENT OF NATURAL RESOURCES

JANUARY 2020 REGULATORY AGENDA

- E) Effect on small businesses, small municipalities or not-for-profit corporations: None
- F) Agency contact person for information:

John Heidinger, Legal Counsel
One Natural Resources Way
Springfield IL 62702-1271

217/782-1809
- G) Related rulemakings and other pertinent information: None
- t) Part (Heading and Code Citation): Illinois List of Endangered and Threatened Fauna (17 Ill. Adm. Code 1010)
- 1) Rulemaking:
- A) Description: This Part is being amended to update the Endangered and Threatened Fauna for the state of Illinois.
- B) Statutory Authority: Implementing and authorized by Section 7 of the Illinois Endangered Species Protection Act [520 ILCS 10/7].
- C) Scheduled meeting/hearing dates: None
- D) Date Agency anticipates First Notice: January
- E) Effect on small businesses, small municipalities or not-for-profit corporations: None
- F) Agency contact person for information:

Nicole Thomas, Legal Counsel
One Natural Resources Way
Springfield IL 62702-1271

217/782-1809

DEPARTMENT OF NATURAL RESOURCES

JANUARY 2020 REGULATORY AGENDA

- G) Related rulemakings and other pertinent information: None
- u) Part (Heading and Code Citation): Illinois List of Endangered and Threatened Flora (17 Ill. Adm. Code 1050)
- 1) Rulemaking:
- A) Description: This Part is being amended to update the Endangered and Threatened Flora for the state of Illinois.
- B) Statutory Authority: Implementing and authorized by Section 7 of the Illinois Endangered Species Protection Act [520 ILCS 10/7].
- C) Scheduled meeting/hearing dates: None
- D) Date Agency anticipates First Notice: January
- E) Effect on small businesses, small municipalities or not-for-profit corporations: None
- F) Agency contact person for information:
- Nicole Thomas, Legal Counsel
One Natural Resources Way
Springfield IL 62702-1271
- 217/782-1809
- G) Related rulemakings and other pertinent information: None
- v) Part (Heading and Code Citation): Conservation Reserve Enhancement Program (17 Ill. Adm. Code 1515)
- 1) Rulemaking:
- A) Description:

DEPARTMENT OF NATURAL RESOURCES

JANUARY 2020 REGULATORY AGENDA

- B) Statutory Authority: Implementing and authorized by the Intergovernmental Cooperation Act [5 ILCS 220], the Soil and Water Conservation Districts Act [70 ILCS 405], the Fish and Aquatic Life Code [515 ILCS 5], the Wildlife Code [520 ILCS 5], the Real Property Conservation Rights Act [765 ILCS 120], and the Civil Administrative Code of Illinois [20 ILCS 805/Part 13.5].
- C) Scheduled meeting/hearing dates: None
- D) Date Agency anticipates First Notice: March
- E) Effect on small businesses, small municipalities or not-for-profit corporations: None
- F) Agency contact person for information:
- Nicole Thomas, Legal Counsel
One Natural Resources Way
Springfield IL 62702-1271
- 217/782-1809
- G) Related rulemakings and other pertinent information: None
- w) Part (Heading and Code Citation): Forestry Development Cost-Share Program (17 Ill. Adm. Code 1536)
- 1) Rulemaking:
- A) Description: This Part is being amended to update and revise current language.
- B) Statutory Authority: Implementing and authorized by the Illinois Forestry Development Act [525 ILCS 15]
- C) Scheduled meeting/hearing dates: None
- D) Date Agency anticipates First Notice: March

DEPARTMENT OF NATURAL RESOURCES

JANUARY 2020 REGULATORY AGENDA

- E) Effect on small businesses, small municipalities or not-for-profit corporations: None
- F) Agency contact person for information:

John Heidinger, Legal Counsel
One Natural Resources Way
Springfield IL 62702-1271

217/782-1809
- G) Related rulemakings and other pertinent information: None
- x) Part (Heading and Code Citation): Forest Management Plan (17 Ill. Adm. Code 1537)
- 1) Rulemaking:
- A) Description: This Part is being amended to update and revise current language.
- B) Statutory Authority: Implementing and authorized by the Illinois Forestry Development Act [525 ILCS 15]
- C) Scheduled meeting/hearing dates: None
- D) Date Agency anticipates First Notice: March
- E) Effect on small businesses, small municipalities or not-for-profit corporations: None
- F) Agency contact person for information:

John Heidinger, Legal Counsel
One Natural Resources Way
Springfield IL 62702-1271

217/782-1809
- G) Related rulemakings and other pertinent information: None

DEPARTMENT OF NATURAL RESOURCES

JANUARY 2020 REGULATORY AGENDA

- y) Part (Heading and Code Citation): Construction in Floodways of Rivers, Lakes and Streams (17 Ill. Adm. Code 3700)
- 1) Rulemaking:
- A) Description: Pursuant to Executive Order 2016-13 and the 2014 House Joint Resolution HJR0095, this Part will be amended to update, clarify or simplify the current rules. The proposed amendments will add in General Provisions, Standard Permit Conditions, Emergency Permit Conditions, and eliminate the Statewide Permits section to expedite state approvals for several minor floodway construction activities that would otherwise require full environmental review processing and instead exempt such minor activities when appropriate. These proposed amendments would ensure consistent regulatory standards across the State of Illinois and allow for similar levee standards along interstate waterways. To further reduce permit application review costs and associated permit application review fees, the proposed amendments create several new General Permits for common floodplain construction activities or public body of water uses.
- B) Statutory Authority: Sections 23, 29a, 30 and 35 of the Rivers, Lakes and Streams Act [615 ILCS 5/23, 26a, 29a, 30 and 35]
- C) Scheduled meeting/hearing dates: None
- D) Date Agency anticipates First Notice: January
- E) Effect on small businesses, small municipalities or not-for-profit corporations: None
- F) Agency contact person for information:

Robert G. Mool, Legal Counsel
One Natural Resources Way
Springfield IL 62702-1271

217/782-1809

DEPARTMENT OF NATURAL RESOURCES

JANUARY 2020 REGULATORY AGENDA

- G) Related rulemakings and other pertinent information: None
- z) Part (Heading and Code Citation): Regulation of Public Waters (17 Ill. Adm. Code 3704)
- 1) Rulemaking:
- A) Description: Pursuant to Executive Order 2016-13, this Part will be amended to update, clarify or simplify the current rules. The proposed amendments would ensure consistent regulatory standards across the State of Illinois.
- B) Statutory Authority: Sections 5/18g and 35 of the Rivers, Lakes and Streams Act [615 ILCS 5/18g and 35]
- C) Scheduled meeting/hearing dates: None
- D) Date Agency anticipates First Notice: January
- E) Effect on small businesses, small municipalities or not-for-profit corporations: None
- F) Agency contact person for information:
- Robert G. Mool, Legal Counsel
One Natural Resources Way
Springfield IL 62702-1271
- 217/782-1809
- G) Related rulemakings and other pertinent information: None
- aa) Part (Heading and Code Citation): The Illinois Explosives Act (62 Ill. Adm. Code 200)
- 1) Rulemaking:
- A) Description: This Part is being amended to update, revise and clarify current language.

DEPARTMENT OF NATURAL RESOURCES

JANUARY 2020 REGULATORY AGENDA

- B) Statutory Authority: Implementing and authorized by the Illinois Explosives Act [225 ILCS 210] and 49 CFR 173.50, 27 CFR 555.219, 26 CFR 181.109 and 49 CFR 170 through 189.
- C) Scheduled meeting/hearing dates: None
- D) Date Agency anticipates First Notice: March
- E) Effect on small businesses, small municipalities or not-for-profit corporations: Little to no effect to the regulated community concerning the proposed changes is anticipated.
- F) Agency contact person for information:

Amy Wolff Oakes, Legal Counsel
One Natural Resources Way
Springfield IL 62702-1271

217/782-1809

- G) Related rulemakings and other pertinent information: None

bb) Part (Heading and Code Citation): Abandoned Mined Lands Reclamation (62 Ill. Adm. Code 2501)

1) Rulemaking:

- A) Description: This Part is being amended to clean up revisions and a new reference to the renamed federal inventory system, as well as reference the Department's ability to enter into cost share agreements with local government entities for the repair of public utilities damaged by mine subsidence.
- B) Statutory Authority: Implementing and authorized by the Abandoned Mined Lands and Water Reclamation Act [20 ILCS 1920].
- C) Scheduled meeting/hearing dates: None
- D) Date Agency anticipates First Notice: March

DEPARTMENT OF NATURAL RESOURCES

JANUARY 2020 REGULATORY AGENDA

- E) Effect on small businesses, small municipalities or not-for-profit corporations: None

- F) Agency contact person for information:
 - Amy Wolff Oakes, Legal Counsel
 - One Natural Resources Way
 - Springfield IL 62702-1271

 - 217/782-1809

- G) Related rulemakings and other pertinent information: None

OFFICE OF THE STATE FIRE MARSHAL

JANUARY 2020 REGULATORY AGENDA

- a) Part (Heading and Code Citation): Fire Sprinkler Contractor Licensing Rules (41 Ill. Adm. Code 109)
- 1) Rulemaking:
- A) Description: The OSFM is proposing an agency bill that would amend the Fire Sprinkler Contractor Licensing Act in order to address recently identified enforcement issues and other operational concerns. A rulemaking would then follow to implement the statutory changes.
- B) Statutory Authority: Implementing and authorized by Section 55 of the Fire Sprinkler Contractor Licensing Act [225 ILCS 317/55].
- C) Scheduled meeting/hearing dates: The Agency has not yet scheduled meetings or hearings on this proposal
- D) Date Agency anticipates First Notice: Unknown
- E) Effect on small businesses, small municipalities or not-for-profit corporations: Unknown but could impact any small business that installs or repairs fire sprinkler systems.
- F) Agency contact person for information: Address written comments concerning the substance of the rulemaking as follows:
- Larry Eaton
Manager
Division of Fire Prevention
Office of the State Fire Marshal
1035 Stevenson Drive
Springfield IL 62704
- 217/558-0639
- G) Related rulemakings and other pertinent information: None
- b) Part (Heading and Code Citation): Policy and Procedures Manual for Fire Protection Personnel (41 Ill. Adm. Code 141)

OFFICE OF THE STATE FIRE MARSHAL

JANUARY 2020 REGULATORY AGENDA

- 1) Rulemaking:
- A) Description: These proposed changes would involve updates to the fire fighter training certification and recertification requirements, including updates to Subpart B (Training Facilities) and Subpart C (Examination), as approved by the certification subcommittees established by the Fire Advisory Commission. It will also include corrections or clarifications needed for certification requirements in Subpart D. This rulemaking will also make other minor edits to achieve consistency with changes to Section 8 of the Illinois Fire Protection Training Act [50 ILCS 740/8] made by PA 99-480, regarding Opioid Antagonists Training, and by PA 101-375, regarding Mental Health First Aid Training.
- B) Statutory Authority: Implementing and authorized by Sections 8 and 11 of the Fire Protection Training Act [50 ILCS 740/8] and the Peace Officer Fire Investigation Act [20 ILCS 2910].
- C) Scheduled meeting/hearing dates: None scheduled
- D) Date Agency anticipates First Notice: Spring 2020
- E) Effect on small businesses, small municipalities or not-for-profit corporations: This rulemaking may impact small municipalities or fire protection districts that elect to participate in the Office of the State Fire Marshal's voluntary certification program and seek reimbursement for training expenditures from funds appropriated to the Office for these reimbursements. Streamlined procedural rules are expected to speed the curriculum approval time and decrease administrative burdens on participants.
- F) Agency contact person for information:
- Mitzi Woodson
Manager
Division of Personnel Standards and Education
Office of the State Fire Marshal
1035 Stevenson Drive
Springfield IL 62703

OFFICE OF THE STATE FIRE MARSHAL

JANUARY 2020 REGULATORY AGENDA

217/785-1003

- G) Related rulemakings and other pertinent information: The first phase of amendments to this Part was published for First Notice on April 1, 2016 (40 Ill. Reg. 5366), received a Certification of No Objection from JCAR and was adopted, effective March 27, 2017. The second phase was published for First Notice on November 18, 2016 (40 Ill. Reg. 15482), received a Certification of No Objection from JCAR and was adopted, effective August 1, 2017.
- c) Parts (Heading and Code Citations): Storage, Transportation, Sale and Use of Gasoline and Volatile Oils: Rules and Regulations\ Relating to General Storage (41 Ill. Adm. Code 160); Storage, Transportation, Sale and Use of Gasoline and Volatile Oils (41 Ill. Adm. Code 180)
- 1) Rulemaking:
- A) Description: This rulemaking will update the agency's rules applicable to aboveground storage tanks (ASTs). This includes two primary rule Parts: 41 Ill. Adm. Code 160 and 41 Ill. Adm. Code 180 that are applicable to ASTs used for both dispensing and non-dispensing purposes. Part 160 rules primarily address the use of ASTs for bulk storage of flammable or combustible liquids (storage for other than dispensing purposes). Part 180 rules primarily address the use of ASTs for flammable or combustible liquids used to dispense fuel into vehicles or portable containers. The primary focus of the rules will be to replace the currently outdated rules with references to nationally recognized standards for ASTs and the storage and handling of flammable and combustible liquids.
- B) Statutory Authority: Implementing and authorized by Section 2 of the Gasoline Storage Act [430 ILCS 15/2].
- C) Scheduled meeting/hearing dates: The Agency has not yet scheduled meetings or hearings on this proposal.
- D) Date Agency anticipates First Notice: Unknown
- E) Effect on small businesses, small municipalities or not-for-profit corporations: The rules will impact any small business, municipality or

OFFICE OF THE STATE FIRE MARSHAL

JANUARY 2020 REGULATORY AGENDA

not-for-profit corporations that install or relocate an AST containing flammable or combustible liquids. The proposed rules are not anticipated to impose further restrictions upon ASTs that are already in-place. It is estimated these changes will make the storage and handling of flammable and combustible liquids, including ASTs, less expensive, more cost effective and safer.

F) Agency contact person for information:

Cathy Stashak
Section Chief
Technical Services Division
Office of the State Fire Marshal
100 W. Randolph St., Suite 4-600
Chicago IL 60601

312/814-2425

G) Related rulemakings and other pertinent information: The proposed changes to 41 Ill. Adm. Code 160 are related to the proposed changes to 41 Ill. Adm. Code 180.d) Parts (Heading and Code Citations): Storage, Transportation, Sale and Use of Gasoline and Volatile Oils: Rules and Regulations\Relating to General Storage (41 Ill. Adm. Code 160); Storage, Transportation, Sale and Use of Gasoline and Volatile Oils (41 Ill. Adm. Code 180)1) Rulemaking:

A) Description: This rulemaking may be filed separately from the one described in paragraph c) above and will amend the agency's rules applicable to aboveground storage tanks (ASTs) in two primary rule Parts: 41 Ill. Adm. Code 160 and 41 Ill. Adm. Code 180. The primary focus of the rulemaking will be to amend the provisions pertaining to the maximum number of storage or dispensing ASTs and maximum allowable capacity for storage or dispensing ASTs at facilities used for fleet dispensing as described in Section 180.20(a)(2).

B) Statutory Authority: Implementing and authorized by Section 2 of the

OFFICE OF THE STATE FIRE MARSHAL

JANUARY 2020 REGULATORY AGENDA

Gasoline Storage Act [430 ILCS 15/2].

- C) Scheduled meeting/hearing dates: The Agency has not yet scheduled meetings or hearings on this proposal.
- D) Date Agency anticipates First Notice: Unknown
- E) Effect on small businesses, small municipalities or not-for-profit corporations: The rules will impact any small business, municipality or not-for-profit corporations that install or relocate an AST containing flammable or combustible liquids. The proposed rules are not expected to create more stringent restrictions upon ASTs. It is estimated that these changes will make the dispensing of flammable and combustible liquids using ASTs less expensive, more cost effective and safer.

- F) Agency contact person for information:

Cathy Stashak
Section Chief
Technical Services Division
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312/814-2425

- G) Related rulemakings and other pertinent information: The proposed changes to 41 Ill. Adm. Code 160 are related to the proposed changes to 41 Ill. Adm. Code 180.

- e) Part (Heading and Code Citation): Petroleum Equipment Contractor Licensing (41 Ill. Adm. Code 172)

- 1) Rulemaking:

- A) Description: This rulemaking will update certification and licensure rules for petroleum equipment contractors doing work on underground storage tanks as a result of changes to the program made by PA 97-428. The rulemaking will address inconsistencies between the rules and the statute

OFFICE OF THE STATE FIRE MARSHAL

JANUARY 2020 REGULATORY AGENDA

raised in internal audit findings. It will also update cross-references to OSFM rules for which the numbering has changed from Part 170 to Parts 174, 175 and 176.

- B) Statutory Authority: Petroleum Equipment Contractor Licensing Act [225 ILCS 729/25, 35(a)(4), and 35(b)(7)].
- C) Scheduled meeting/hearing dates: None scheduled yet.
- D) Date Agency anticipates First Notice: Unknown
- E) Effect on small businesses, small municipalities or not-for-profit corporations: This rulemaking will have a minimal impact on small businesses that conduct permitted work on underground storage tanks.
- F) Agency contact person for information:

James Bentley
Manager
Division of Petroleum and Chemical Safety
Office of the State Fire Marshal
1035 Stevenson Drive
Springfield IL 62603

217/557-3131

- G) Related rulemakings and other pertinent information: None

f) Part (Heading and Code Citation): Storage, Transportation, Sale and Use of Liquefied Petroleum Gas (41 Ill. Adm. Code 200)

1) Rulemaking:

- A) Description: This rulemaking will update the agency's rules applicable to liquefied petroleum gas (LPG) tanks. The primary focus of the rules will be to update the reference to a national standard: NFPA 58 Liquefied Petroleum Gas Code. The rule currently references to the 2011 edition of NFPA 58 and the OSFM intends to update that reference to the latest published edition of NFPA 58 (2017 edition) in order to remain current

OFFICE OF THE STATE FIRE MARSHAL

JANUARY 2020 REGULATORY AGENDA

with industry practices. The statute requires that OSFM rules on this topic be in substantial conformity with the national codes published by the National Fire Protection Association (NFPA).

- B) Statutory Authority: Liquefied Petroleum Gas Regulation Act [430 ILCS 5/3].
- C) Scheduled meeting/hearing dates: None scheduled
- D) Date Agency anticipates First Notice: Unknown
- E) Effect on small businesses, small municipalities or not-for-profit corporations: The rules will impact any small business, municipality or not-for-profit corporation that installs or relocates a LPG (including propane) storage tank. The proposed rules are not anticipated to impose further restrictions upon existing LPG tanks.
- F) Agency contact person for information:

Cathy Stashak
Section Chief
Technical Services Division
Office of the State Fire Marshal
100 W. Randolph St., Suite 4-600
Chicago IL 60601

312/814-2425

- G) Related rulemakings and other pertinent information: None

g) Part (Heading and Code Citation): Appeals and Enforcement Proceedings (41 Ill. Adm. Code 210)

1) Rulemaking:

- A) Description: This Part will be amended to delineate and clarify the appeal process utilized during certain OSFM administrative enforcement proceedings. Amendments will include, among other things, clarification concerning the duties of the respective parties, the initiation of contested

OFFICE OF THE STATE FIRE MARSHAL

JANUARY 2020 REGULATORY AGENDA

hearings, pleadings, motions, discovery, the burden and standard of proof, the applicable rules of evidence, the consequences of failing to appear, and default procedures.

- B) Statutory Authority: Implemented and authorized by Section 10-5 of the Illinois Administrative Procedures Act [5 ILCS 100/10-5].
- C) Scheduled meeting/hearing dates: The Agency has not yet scheduled meetings or hearings on this proposal.
- D) Date Agency anticipates First Notice: Unknown
- E) Effect on small businesses, small municipalities or not-for-profit corporations: None Anticipated.
- F) Agency contact person for information:

Matt Taksin
General Counsel--Legal Division
Office of the State Fire Marshal
1035 Stevenson Drive
Springfield IL 62703

312/814-6322

- G) Related rulemakings and other pertinent information: None

h) Part (Heading and Code Citation): Pyrotechnic Distributor and Operator Licensing Rules (41 Ill. Adm. Code 230)

1) Rulemaking:

- A) Description: This rulemaking will update referenced industry technical standards and incorporate recent federal requirements relating to OSFM Pyrotechnic Distributor and Operator Licensing Rules. It will also update or delete references to the Music Entertainment Task Force because its work has concluded. It will also develop an expedited licensing protocol for out-of-state production companies with an appropriate fee schedule and require cover license operators to identify out-of-state touring

OFFICE OF THE STATE FIRE MARSHAL

JANUARY 2020 REGULATORY AGENDA

pyrotechnic participants on the display report that summarizes the pyrotechnic event. This will also require that distributors identify certain business information on the regulatory application, for example, any assumed name being used as well as the full legal name for the legal entity submitting the application. This will add a fee of \$30 per pyrotechnic assistant at time of registration renewal (every three years). This rulemaking will also make other updates to reflect current procedures.

- B) Statutory Authority: Implemented and authorized by Section 30 of Pyrotechnic Distributor and Operator Licensing Act [225 ILCS 227/30].
- C) Scheduled meeting/hearing dates: None scheduled yet.
- D) Date Agency anticipates First Notice: Unknown
- E) Effect on small businesses, small municipalities or not-for-profit corporations: A new fee (\$30) would be required for an assistant's registration renewal. Other aspects of the rules may impact any small business, municipality or not-for-profit corporation that possesses or applies for a State Pyrotechnic license.
- F) Agency contact person for information:

Larry Eaton
Manager
Division of Fire Prevention
Office of the State Fire Marshal
1035 Stevenson Drive
Springfield IL 62703

217/558-0639

- G) Related rulemakings and other pertinent information: None
- i) Part (Heading and Code Citation): Pyrotechnic and Consumer Display Permitting Rules (41 Ill. Adm. Code 235)
 - 1) Rulemaking:

OFFICE OF THE STATE FIRE MARSHAL

JANUARY 2020 REGULATORY AGENDA

- A) Description: This rulemaking will update referenced industry technical standards and incorporate recent federal requirements relating to OSFM Pyrotechnic Distributor and Operator Licensing Rules. It will also require local permitting authorities to maintain certain minimum records.
- B) Statutory Authority: Implementing and authorized by Section 4.1 of the Fireworks Use Act [425 ILCS 35/5].
- C) Scheduled meeting/hearing dates: None scheduled yet.
- D) Date Agency anticipates First Notice: Unknown
- E) Effect on small businesses, small municipalities or not-for-profit corporations: The rules may impact any small business, municipality or not-for-profit corporation that possesses or applies for a Pyrotechnic or Consumer Display permit.
- F) Agency contact person for information:
- Larry Eaton
Manager
Division of Fire Prevention
Office of the State Fire Marshal
1035 Stevenson Drive
Springfield IL 62703
- 217/558-0639
- G) Related rulemakings and other pertinent information: Proposed revisions to 41 Ill. Adm. Code 230's requirements for Flame Effect Licensure and Permit requirements.
- j) Parts (Heading and Code Citations): Fire Equipment Distributor and Employee standards (41 Ill. Adm. Code 251); Fire Equipment Administrative Procedures (41 Ill. Adm. Code 280)
- 1) Rulemaking:

OFFICE OF THE STATE FIRE MARSHAL

JANUARY 2020 REGULATORY AGENDA

- A) Description: This proposed rulemaking will update the OSFM's rules applicable to fire equipment distributor and employee licensing, and will consider combining the Part 251 and Part 280 rules into one document to address procedures for administering the fire equipment contractor and employee licensing programs. This proposed rulemaking will require a photo or electronic copy of a driver's license or State identification for each employee being licensed. This proposed rulemaking will update rule references to cite the updated statutory authority which is now known as the Fire Equipment Distributor and Employee Regulation Act of 2011 [225 ILCS 217]. This proposed rulemaking will also define the procedures for the citation authority provided in Section 83 of this Act [225 ILCS 217/83]. Finally, this rulemaking will implement statutory changes that eliminated the Fire Equipment Distributor and Employee Advisory Board and divided Class II employees into separate classes for pre-engineered industrial fire suppression systems versus kitchen hood fire suppression systems.
- B) Statutory Authority: Implementing and authorized by the Fire Equipment Distributor and Employee Regulation Act of 2011 [225 ILCS 217].
- C) Scheduled meeting/hearing dates: The agency has not yet scheduled meetings or hearings on this proposal.
- D) Date Agency anticipates First Notice: Unknown
- E) Effect on small businesses, small municipalities or not-for-profit corporations: The rules may impact any small business, small municipality or not-for-profit corporation that may be licensed by the OSFM as a fire equipment distributor or may be involved in the servicing of portable fire extinguishers and/or fixed fire suppression systems other than water-based systems. By clarifying standards and procedures and providing an enforcement mechanism authorized by statute, this will make compliance simpler and more efficient and reliable for the regulated community, including small businesses, small municipalities, and not-for-profit entities. Fire equipment companies will need to ensure their employees have the correct National Association of Fire Equipment Distributors (NAFED) certification to work on and service these systems, and the correct National Institute for Certification in Engineering Technologies (NICET) certification to design the appropriate systems.

OFFICE OF THE STATE FIRE MARSHAL

JANUARY 2020 REGULATORY AGENDA

F) Agency contact person for information:

Larry Eaton
Manager
Division of Fire Prevention
Office of the State Fire Marshal
1035 Stevenson Drive
Springfield IL 62703

217/558-0639

G) Related rulemakings and other pertinent information: Nonek) Part (Heading and Code Citation): Fire Truck Revolving Loan Program (41 Ill. Adm. Code 290)1) Rulemaking:

A) Description: The Office of the Illinois State Fire Marshal (OSFM) and the Illinois Finance Authority (IFA) have authority to jointly administer a program to provide zero-interest loans or low-interest loans to finance or reimburse the purchase of fire trucks or brush trucks by a unit of local government (fire department, fire protection district or township fire department). This rulemaking would update language regarding the work of the review committee in reviewing and scoring loan applications. This would include the deletion of obsolete language about voting and quorums. Makes changes that would allow applicants to use loan proceeds to pay off a loan received within one year of the loan application deadline rather than one year from the receipt of loan proceeds. The rulemaking would also clarify that a function of the Fire Marshal's designee is to have the ability to review applications that may be submitted by a department or district that one of the members of the review committee is employed by.

B) Statutory Authority: Implementing and authorized by Section 825-80 of the Illinois Finance Authority Act [20 ILCS 3501/825-80].

C) Scheduled meeting/hearing dates: None

OFFICE OF THE STATE FIRE MARSHAL

JANUARY 2020 REGULATORY AGENDA

- D) Date Agency anticipates First Notice: Spring/Summer 2020
- E) Effect on small businesses, small municipalities or not-for-profit corporations: Changing these references would merely clarify the rules and would not have any fiscal or other impacts.
- F) Agency contact person for information:

Ronny J. Wickenhauser
Chief Fiscal Officer
Office of the Illinois State Fire Marshal
1035 Stevenson Drive
Springfield IL 62703

217/558-0577

- G) Related rulemakings and other pertinent information: None

- 1) Part (Heading and Code Citation): Small Equipment Grant Program (41 Ill. Adm. Code 291)

1) Rulemaking:

- A) Description: The Office of the Illinois State Fire Marshal (OSFM) administers a program to provide grants for the purchase of small equipment by a not-for-profit ambulance service or a unit of local government (fire department, fire protection district or township fire department) in Illinois that provides emergency medical service within a geographical area. This rulemaking would update language regarding the work of the review committee in reviewing and scoring loan applications. This would include the deletion of obsolete language about voting and quorums. The rulemaking would also clarify that a function of the Fire Marshal's designee is to have the ability to review applications that may be submitted by a department or district that one of the members of the review committee is employed by.
- B) Statutory Authority: Implementing and authorized by Section 2.7 of the State Fire Marshal Act [20 ILCS 2905/2.7].

OFFICE OF THE STATE FIRE MARSHAL

JANUARY 2020 REGULATORY AGENDA

- C) Scheduled meeting/hearing dates: None
- D) Date Agency anticipates First Notice: Spring/Summer 2020
- E) Effect on small businesses, small municipalities or not-for-profit corporations: Changing these references would merely clarify the rules and would not have any fiscal or other impacts.
- F) Agency contact person for information:
- Ronny J. Wickenhauser
Chief Fiscal Officer
Office of the Illinois State Fire Marshal
1035 Stevenson Drive
Springfield IL 62703
- 217/558-0577
- G) Related rulemakings and other pertinent information: None
- m) Part (Heading and Code Citation): Ambulance Revolving Loan Program (41 Ill. Adm. Code 292)
- 1) Rulemaking:
- A) Description: The Office of the Illinois State Fire Marshal (OSFM) and the Illinois Finance Authority (IFA) have authority to jointly administer a program to provide zero-interest and low-interest loans for the purchase of an ambulance or ambulances by a not-for-profit ambulance service or a unit of local government (fire department, fire protection district or township fire department) in Illinois that provides emergency medical service within a geographical area. This rulemaking would update language regarding the work of the review committee in reviewing and scoring loan applications. This would include the deletion of obsolete language about voting and quorums. Makes changes that would allow applicants to use loan proceeds to pay off a loan received within one year of the loan application deadline rather than one year from the receipt of loan proceeds. The rulemaking would also clarify that a function of the

OFFICE OF THE STATE FIRE MARSHAL

JANUARY 2020 REGULATORY AGENDA

Fire Marshal's designee is to have the ability to review applications that may be submitted by a department or district that one of the members of the review committee is employed by.

- B) Statutory Authority: Implementing and authorized by Section 825-85 of the Illinois Finance Authority Act [20 ILCS 3501/825-85].
- C) Scheduled meeting/hearing dates: None
- D) Date Agency anticipates First Notice: Spring/Summer 2020
- E) Effect on small businesses, small municipalities or not-for-profit corporations: Changing these references would merely clarify the rules and would not have any fiscal or other impacts.
- F) Agency contact person for information:

Ronny J. Wickenhauser
Chief Fiscal Officer
Office of the Illinois State Fire Marshal
1035 Stevenson Drive
Springfield IL 62703

217/558-0577

- G) Related rulemakings and other pertinent information: None

n) Part (Heading and Code Citation): Fire Sprinkler Dormitory Revolving Loan Program (41 Ill. Adm. Code 293)

1) Rulemaking:

- A) Description: The Office of the Illinois State Fire Marshal (OSFM) and the Illinois Finance Authority (IFA) have authority to jointly administer a program to provide low-interest loans to post-secondary educational institutions for the planning, purchasing, installing, upgrading, altering, modifying, fixing or repairing of a fire sprinkler system or fire sprinkler systems located in such institutions' on-campus housing. This rulemaking would update language regarding the work of the review committee in

OFFICE OF THE STATE FIRE MARSHAL

JANUARY 2020 REGULATORY AGENDA

reviewing and scoring loan applications. This would include the deletion of obsolete language about voting and quorums. Makes changes that would allow applicants to use loan proceeds to pay off a loan received within one year of the loan application deadline rather than one year from the receipt of loan proceeds. The rulemaking would also clarify that a function of the Fire Marshal's designee is to have the ability to review applications that may be submitted by a department or district that one of the members of the review committee is employed by.

- B) Statutory Authority: Implementing and authorized by Section 15 of the Fire Sprinkler Dormitory Act [110 ILCS 47/15].
- C) Scheduled meeting/hearing dates: None
- D) Date Agency anticipates First Notice: Spring/Summer 2020
- E) Effect on small businesses, small municipalities or not-for-profit corporations: Changing these references would merely clarify the rules and would not have any fiscal or other impacts.
- F) Agency contact person for information:

Ronny J. Wickenhauser
Chief Fiscal Officer
Office of the Illinois State Fire Marshal
1035 Stevenson Drive
Springfield IL 62703

217/558-0577

- G) Related rulemakings and other pertinent information: None
- o) Part (Heading and Code Citation): Fire Station Revolving Loan Program (41 Ill. Adm. Code 294)
 - 1) Rulemaking:
 - A) Description: The Office of the Illinois State Fire Marshal (OSFM) and the Illinois Finance Authority (IFA) have authority to jointly administer a

OFFICE OF THE STATE FIRE MARSHAL

JANUARY 2020 REGULATORY AGENDA

program to provide zero-interest loans or low-interest loans to finance all or any portion of the costs associated with the construction, rehabilitation, remodeling or expansion of a fire station by a unit of local government (fire department, fire protection district or township fire department). This rulemaking would update language regarding the work of the review committee in reviewing and scoring loan applications. This would include the deletion of obsolete language about voting and quorums. Makes changes that would allow applicants to use loan proceeds to pay off a loan received within one year of the loan application deadline rather than one year from the receipt of loan proceeds. The rulemaking would also clarify that a function of the Fire Marshal's designee is to have the ability to review applications that may be submitted by a department or district that one of the members of the review committee is employed by.

- B) Statutory Authority: Implementing and authorized by Section 825-81 of the Illinois Finance Authority Act [20 ILCS 3501/825-81].
- C) Scheduled meeting/hearing dates: None
- D) Date Agency anticipates First Notice: Spring/Summer 2020
- E) Effect on small businesses, small municipalities or not-for-profit corporations: Changing these references would merely clarify the rules and would not have any fiscal or other impacts.
- F) Agency contact person for information:

Ronny J. Wickenhauser
Chief Fiscal Officer
Office of the Illinois State Fire Marshal
1035 Stevenson Drive
Springfield IL 62703

217/558-0577

- G) Related rulemakings and other pertinent information: None
- p) Part (Heading and Code Citation): Furniture Fire Safety Regulations (41 Ill. Adm. Code 300)

OFFICE OF THE STATE FIRE MARSHAL

JANUARY 2020 REGULATORY AGENDA

- 1) Rulemaking:
- A) Description: As required by statute [425 ILCS 45], this rulemaking will update referenced standards to the current "bulletins" (standards) used by the State of California's Department of Consumer Affairs: Bureau of Home Furnishings and Thermal Insulation for descriptions of the tests that are required to be performed on upholstered furniture components.
- B) Statutory Authority: Implementing and authorized by Section 15 of the Furniture Fire Safety Act [425 ILCS 45/15].
- C) Scheduled meeting/hearing dates: The Agency has not yet scheduled meetings or hearings on this proposal.
- D) Date Agency anticipates First Notice: Unknown
- E) Effect on small businesses, small municipalities or not-for-profit corporations: Any small business or not-for-profit corporation that manufactures upholstered seating furniture used in public occupancies or public assembly areas, or any small business, small municipality or not-for-profit that owns or maintains a public occupancy or public assembly area that contains stuffed or upholstered furniture for specified occupancies. These include assembly occupancies; day care centers; health care occupancies; detention and correctional facilities; and public assembly areas of hotel and motels that contain seating for more than 10 (individual guest rooms are not included). These proposed rules are not anticipated to impose further restriction upon regulated furniture.
- F) Agency contact person for information:

Cathy Stashak
Section Chief
Technical Services Division
Office of the State Fire Marshal
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312/814-2425

OFFICE OF THE STATE FIRE MARSHAL

JANUARY 2020 REGULATORY AGENDA

- G) Related rulemakings and other pertinent information: None
- q) Part (Heading and Code Citation): Boiler and Pressure Vessel Safety (41 Ill. Adm. Code 2120)
- 1) Rulemaking:
- A) Description: Incorporate nationally recognized safety codes which were recently published and are required by the Boiler and Pressure Vessel Safety Act to be incorporated into the rules promulgated under that Act.
- B) Statutory Authority: Section 2 of the Boiler and Pressure Vessel Safety Act [430 ILCS 75/2]
- C) Scheduled meeting/hearing dates: None scheduled yet.
- D) Date Agency anticipates First Notice: Winter 2020
- E) Effect on small businesses, small municipalities or not-for-profit corporations: No significant impact anticipated.
- F) Agency contact person for information:
- Patrick Polick
Acting Chief Inspector
Division of Boiler and Pressure Vessel Safety
Office of the State Fire Marshal
1035 Stevenson Drive
Springfield IL 62703
- 217/836-0821
- G) Related rulemakings and other pertinent information: None

DEPARTMENT OF REVENUE

JANUARY 2020 REGULATORY AGENDA

a) Part (Heading and Code Citation): Income Tax, (86 Ill. Adm. Code 100)1) Rulemaking:

- A) Description: New rules will be added to Part 100 concerning the tax credit for Tech Prep Youth Vocational Programs (IITA Section 209); the reallocation of items under IITA Section 404; pass-through of investment credits from partnerships and Subchapter S corporations to their partners and shareholders; filing of refund claims and other collection matters, and interest computations.

Part 100 will be amended by adding rules and amending existing rules governing the computation of base income and net income under Article 2 of the IITA, the allocation and apportionment of base income under Article 3 of the IITA, and the filing of returns and payment of taxes under Articles 5 and 6 of the IITA.

Part 100 will be amended to update the provisions defining unitary business groups and computing the combined tax liability of unitary business groups.

Part 100 will be amended by adding rules providing guidance on the addition and subtraction modifications allowed in IITA Section 203, on the credit for residential property taxes paid in IITA Section 208, on the acceptance of substitute W-2s, electronic filing of returns, and rounding amounts on returns to the nearest dollar.

Part 100 will be amended to clarify definitions of terms in IITA Section 1501(a).

Part 100 will be amended to implement legislation enacted, including EDGE credits, limits on exemptions and credits for taxpayers with high adjusted gross incomes, the historic preservation and hospital credits, the foreign tax credit, the education credit, the research and development credit, the angel investment credit, the credit for instructional materials and supplies, the earned income credit, the adoption credit, the historic preservation credit, the River Edge Redevelopment zone historic property rehabilitation credit, the credits and subtractions allowed with respect to enterprise zones, credits for hiring veterans and ex-felons, bonus

DEPARTMENT OF REVENUE

JANUARY 2020 REGULATORY AGENDA

depreciation adjustments, withholding by employers, partnerships, Subchapter S corporations and trusts, changes to apportionment formulas and taxation of real estate investment trusts and their investors, the minimum wage credit, the data center investment credit, the surcharge on gaming licensees, the apprenticeship education expense credit, the enterprise zone and high impact business construction jobs credits, appeals to the Tax Tribunal, and the Illinois Secure Choice Savings Program Act.

Part 100 will be amended to provide additional guidance on nexus and on the Illinois income tax consequences of changes in federal income tax laws.

Finally, the Department will continue the updating and correction of Part 100.

- B) Statutory Authority: 35 ILCS 5/101 and 35 ILCS 5/1401
- C) Scheduled meeting/hearing dates: No schedule has been established at this time.
- D) Date Agency anticipates First Notice: As noted above, there will be a number of rulemakings proposed with respect to Part 100 over the next six months. We anticipate filing rulemakings amending Part 100 on a regular basis during the next six months of this year.
- E) Effect on small business, small municipalities or not-for-profit corporations: These rulemakings will affect any business that incurs an income tax filing obligation.
- F) Agency contact person for information:

Brian Stocker
Associate Counsel, Income Tax
Illinois Department of Revenue
101 W. Jefferson, MC 5-500
Springfield IL 62794

217/782-2844

DEPARTMENT OF REVENUE

JANUARY 2020 REGULATORY AGENDA

- G) Related rulemakings and other pertinent information: None
- b) Part (Heading and Code Citation): Retailers' Occupation Tax, (86 Ill. Adm. Code 130)
- 1) Rulemaking:
- A) Description: Amendments will be made to update the Retailers' Occupation Tax regulations to reflect new statutory developments, decisional law and Department policies. Rulemakings will also be promulgated as part of the Department's continuing effort to codify policies contained in various letter rulings and to delete outdated provisions. Included are changes to Section 130.340 governing the rolling stock exemption to reflect the provisions of PA 100-321 and changes to Sections 130.455 and 130.2013 to implement the provisions of PA 98-628 and PA 98-1080, which change the method of determining the "selling price" for first division and certain types of second division motor vehicles that are leased for defined periods in excess of one year. Changes will also be made to implement the provisions of PA 101-9 (expansion of the machinery and equipment exemption to include production-related tangible personal property), PA 101-31 (changes to the trade-in exemption; a new exemption for tangible personal property used in data centers; the addition of new tax obligations imposed on certain out-of-State retailers), and PA 101-593 (taxation of food infused with adult use cannabis).
- B) Statutory Authority: 35 ILCS 120/12
- C) Scheduled meetings/hearing dates: No schedule has been established at this time.
- D) Date Agency anticipates First Notice: As noted above, there will be a number of rulemakings proposed with respect to Part 130 over the next six months. We anticipate filing rulemakings amending Part 130 on a regular basis during the next six months of this year.
- E) Effect on small business, small municipalities or not-for-profit corporations: Small businesses that sell tangible personal property at retail will be affected by these regulations.

DEPARTMENT OF REVENUE

JANUARY 2020 REGULATORY AGENDA

F) Agency contact person for information:

Jerilynn Troxell Gorden
Deputy General Counsel, Sales and Excise Tax
Illinois Department of Revenue
101 W. Jefferson, MC 5-500
Springfield IL 62794

217/782-2844

G) Related rulemakings and other pertinent information: Nonec) Part (Heading and Code Citation): Use Tax, (86 Ill. Adm. Code 150)1) Rulemaking:

A) Description: Amendments will be made to update the Use Tax regulations to reflect new statutory developments, decisional law and Department policies. Regulations will be proposed to implement the provisions of PA 101-9, which imposes tax collection obligations upon marketplace facilitators; regulations will be amended to reflect the changes in the definition of "retailer maintaining a place of business" in Illinois under PA 101-9; related nexus regulations will be amended as necessary.

B) Statutory Authority: 35 ILCS 105/12

C) Scheduled meetings/hearing dates: No schedule has been established at this time.

D) Date Agency anticipates First Notice: We anticipate filing rulemakings amending Part 150 during the next six months of this year.

E) Effect on small business, small municipalities or not-for-profit corporations: These amendments will affect persons subject to the Use Tax.

F) Agency contact person for information:

Jerilynn Troxell Gorden

DEPARTMENT OF REVENUE

JANUARY 2020 REGULATORY AGENDA

Deputy General Counsel, Sales and Excise Tax
Illinois Department of Revenue
101 W. Jefferson, MC 5-500
Springfield IL 62794

217/782-2844

G) Related rulemakings and other pertinent information: None

d) Part (Heading and Code Citation): Bingo License and Tax Act, (86 Ill. Adm. Code 430)

1) Rulemaking:

A) Description: Regulations will be updated to reflect the provisions of PA 93-742, which authorizes the Department to issue 3-year bingo licenses, including regular licenses, limited licenses or senior citizen restricted licenses; the provisions of PA 95-228, dealing with licensing; and the provisions of PA 97-1150, implementing the Criminal Code of 2012. The regulations will also be amended to clarify definitions; record keeping requirements; the documentation required for a license application; the provisions of PA 99-177 regarding special permits, and the provisions of other recent legislation.

B) Statutory Authority: 230 ILCS 25/1

C) Scheduled meeting/hearing dates: No schedule has been established at this time.

D) Date Agency anticipates First Notice: We anticipate filing rulemakings amending Part 430 during the next six months of this year.

E) Effect on small business, small municipalities or not-for-profit corporations: Entities eligible for bingo licenses will be affected by these rulemakings.

F) Agency contact person for information:

Brian Stocker
Associate Counsel, Income Tax

DEPARTMENT OF REVENUE

JANUARY 2020 REGULATORY AGENDA

Illinois Department of Revenue
101 W. Jefferson, MC 5-500
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217/782-2844

- G) Related rulemakings and other pertinent information: There are no related rulemakings.
- e) Part (Heading and Code Citation): Pull Tabs and Jar Games Act, (86 Ill. Adm. Code 432)
- 1) Rulemaking:
- A) Description: Regulations will be amended to implement the amendments in PA 95-228 dealing with licensing and to clarify record keeping requirements and the documentation required for a license application, the amendments in PA 97-1150, implementing the Criminal Code of 2012, and other recent legislation.
- B) Statutory Authority: 230 ILCS 20/1
- C) Scheduled meeting/hearing dates: No schedule has been established at this time.
- D) Date Agency anticipates First Notice: We anticipate filing rulemakings amending Part 432 during the next six months of this year.
- E) Effect on small business, small municipalities or not-for-profit corporations: Entities eligible for pull tabs and jar games licenses will be affected by these rulemakings.
- F) Agency contact person for information:

Brian Stocker
Associate Counsel, Income Tax
Illinois Department of Revenue
101 W. Jefferson, MC 5-500
Springfield IL 62794

DEPARTMENT OF REVENUE

JANUARY 2020 REGULATORY AGENDA

217/782-2844

- G) Related rulemakings and other pertinent information: There are no related rulemakings.
- f) Part (Heading and Code Citation): Uniform Penalty and Interest Act, (86 Ill. Adm. Code 700)
- 1) Rulemaking:
- A) Description: The Department will amend the regulations in Part 700 to reflect recent amendments to the Uniform Penalty and Interest Act and Department policies.
- B) Statutory Authority: 20 ILCS 2505/2505-795
- C) Scheduled meeting/hearing dates: No schedule has been established at this time.
- D) Date Agency anticipates First Notice: We anticipate filing rulemakings amending Part 700 during the next six months of this year.
- E) Effect on small business, small municipalities and not-for-profit corporations: These rulemakings will provide guidance for any business or not-for-profit corporation that incurs tax liabilities potentially subject to penalty or interest obligations under the Uniform Penalty and Interest Act.
- F) Agency contact person for information:
- Brian Stocker
Associate Counsel, Income Tax
Illinois Department of Revenue
101 W. Jefferson, MC 5-500
Springfield IL 62794
- 217/782-2844
- G) Related rulemakings and other pertinent information: None

DEPARTMENT OF REVENUE

JANUARY 2020 REGULATORY AGENDA

g) Part (Heading and Code Citation): Home Rule Municipal Retailers' Occupation Tax, (86 Ill. Adm. Code 270)

1) Rulemaking:

- A) Description: Rules will be amended to reflect new statutory developments, decisional law and Department policies.
- B) Statutory Authority: 35 ILCS 120/2-12
- C) Scheduled meeting/hearing dates: No schedule has been established at this time.
- D) Date Agency anticipates First Notice: We anticipate filing rulemakings amending Part 270 during the next six months of this year.
- E) Effect on small business, small municipalities or not-for-profit corporations: These rulemakings will affect any small business that operates at retail in a jurisdiction imposing a local occupation tax.
- F) Agency contact person for information:

Jerilynn Troxell Gorden
Deputy General Counsel, Sales and Excise Tax
Illinois Department of Revenue
101 W. Jefferson Street, MC 5-500
Springfield IL 62794

217/782-2844

- G) Related rulemakings and other pertinent information: None

h) Part (Heading and Code Citation): Cigarette Tax Act, (86 Ill. Adm. Code 440)

1) Rulemaking:

- A) Description: Amendments will be made to update the Cigarette Tax Act regulations to reflect new statutory developments, decisional law and

DEPARTMENT OF REVENUE

JANUARY 2020 REGULATORY AGENDA

Department policies. Changes will be made to reflect the increase in the tax rate imposed by PA 101-31.

- B) Statutory Authority: 35 ILCS 130/8
- C) Scheduled meetings/hearing dates: No schedule has been established at this time.
- D) Date Agency anticipates First Notice: We anticipate filing rulemakings amending Part 440 during the next six months of this year.
- E) Effect on small business, small municipalities or not-for-profit corporations: These amendments will affect persons subject to the Cigarette Tax Act and retailers selling cigarettes.
- F) Agency contact person for information:

Jerilynn Troxell Gorden
Deputy General Counsel, Sales and Excise Tax
Illinois Department of Revenue
101 W. Jefferson, MC 5-500
Springfield IL 62794

217/782-2844

- G) Related rulemakings and other pertinent information: None

i) Part (Heading and Code Citation): Cigarette Use Tax Act, (86 Ill. Adm. Code 450)

1) Rulemaking:

- A) Description: Amendments will be made to update the Cigarette Use Tax Act regulations to reflect new statutory developments, decisional law and Department policies. Changes will also be made to reflect the tax increase imposed by PA 101-31.
- B) Statutory Authority: 35 ILCS 135/21

DEPARTMENT OF REVENUE

JANUARY 2020 REGULATORY AGENDA

- C) Scheduled meetings/hearing dates: No schedule has been established at this time.
- D) Date Agency anticipates First Notice: We anticipate filing rulemakings amending Part 450 during the next six months of this year.
- E) Effect on small business, small municipalities or not-for-profit corporations: These amendments will affect persons subject to the Cigarette Use Tax Act.
- F) Agency contact person for information:
- Jerilynn Troxell Gorden
Deputy General Counsel, Sales and Excise Tax
Illinois Department of Revenue
101 W. Jefferson, MC 5-500
Springfield IL 62794
- 217/782-2844
- G) Related rulemakings and other pertinent information: None
- j) Part (Heading and Code Citation): Hotel Operators' Occupation Tax, (86 Ill. Adm. Code 480)
- 1) Rulemaking:
- A) Description: Amendments will be made to update the Hotel Operators' Occupation Tax Act regulations to reflect new statutory developments, decisional law and Department policies. Included are changes made in response to PA 100-213, which added new exemptions.
- B) Statutory Authority: 35 ILCS 145/7
- C) Scheduled meetings/hearing dates: No schedule has been established at this time.
- D) Date Agency anticipates First Notice: We anticipate filing rulemakings amending Part 480 during the next six months of this year.

DEPARTMENT OF REVENUE

JANUARY 2020 REGULATORY AGENDA

- E) Effect on small business, small municipalities or not-for-profit corporations: These amendments will affect hotel operators and their guests.
- F) Agency contact person for information:
- Jerilynn Troxell Gorden
Deputy General Counsel, Sales and Excise Tax
Illinois Department of Revenue
101 W. Jefferson, MC 5-500
Springfield IL 62794
- 217/782-2844
- G) Related rulemakings and other pertinent information: None
- k) Part (Heading and Code Citation): State Tax Lien Registration Act, (86 Ill. Adm. Code 715)
- 1) Rulemaking:
- A) Description: A new part will be added to implement the State Tax Lien Registration Act.
- B) Statutory Authority: 35 ILCS 750/1-35
- C) Scheduled meetings/hearing dates: No schedule has been established at this time.
- D) Date Agency anticipates First Notice: We anticipate filing rulemakings amending Part 715 during the next six months of this year.
- E) Effect on small business, small municipalities or not-for-profit corporations: These amendments will affect persons utilizing titling information or services.
- F) Agency contact person for information:

DEPARTMENT OF REVENUE

JANUARY 2020 REGULATORY AGENDA

Brian Stocker
Associate Counsel, Income Tax
Illinois Department of Revenue
101 W. Jefferson, MC 5-500
Springfield IL 62794

217/782-2844

G) Related rulemakings and other pertinent information: None

l) Part (Heading and Code Citation): Rental Purchase Agreement Occupation and Use Tax Act, (86 Ill. Adm. Code 125)

1) Rulemaking:

A) Description: A new part will be added to implement the Rental Purchase Agreement Occupation and Use Tax Act.

B) Statutory Authority: 35 ILCS 180/40

C) Scheduled meetings/hearing dates: No schedule has been established at this time.

D) Date Agency anticipates First Notice: We anticipate filing rulemakings amending Part 125 during the next six months of this year.

E) Effect on small business, small municipalities or not-for-profit corporations: These amendments will affect businesses that lease merchandise under rental purchase agreements and their customers.

F) Agency contact person for information:

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Springfield IL 62794

217/782-2844

DEPARTMENT OF REVENUE

JANUARY 2020 REGULATORY AGENDA

G) Related rulemakings and other pertinent information: None

m) Part (Heading and Code Citation): County Water Commission Retailers' Occupation Tax, (86 Ill. Adm. Code 630)

1) Rulemaking:

A) Description: This rulemaking will repeal rules due to the statutory termination of the tax on June 1, 2016.

B) Statutory Authority: County Water Commission Act of 1985 [70 ILCS 3720/4]

C) Scheduled meetings/hearing dates: No schedule has been established at this time.

D) Date Agency anticipates First Notice: We anticipate filing rulemakings amending Part 630 during the next six months of this year.

E) Effect on small business, small municipalities or not-for-profit corporations: None

F) Agency contact person for information:

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Springfield IL 62794

217/782-2844

G) Related rulemakings and other pertinent information: None

n) Part (Heading and Code Citation): County Water Commission Service Occupation Tax, (86 Ill. Adm. Code 640)

1) Rulemaking:

DEPARTMENT OF REVENUE

JANUARY 2020 REGULATORY AGENDA

- A) Description: This rulemaking will repeal rules due to the statutory termination of the tax on June 1, 2016.
- B) Statutory Authority: County Water Commission Act of 1985 [70 ILCS 3720/4]
- C) Scheduled meetings/hearing dates: No schedule has been established at this time.
- D) Date Agency anticipates First Notice: We anticipate filing rulemakings amending Part 640 during the next six months of this year.
- E) Effect on small business, small municipalities or not-for-profit corporations: None
- F) Agency contact person for information:
- Jerilynn Troxell Gorden
Deputy General Counsel, Sales & Excise Tax
Illinois Department of Revenue
101 W. Jefferson, MC 5-500
Springfield IL 62794
- 217/782-2844
- G) Related rulemakings and other pertinent information: None
- o) Part (Heading and Code Citation): County Water Commission Use Tax, (86 Ill. Adm. Code 650)
- 1) Rulemaking:
- A) Description: This rulemaking will repeal rules due to the statutory termination of the tax on June 1, 2016.
- B) Statutory Authority: County Water Commission Act of 1985 [70 ILCS 3720/4]

DEPARTMENT OF REVENUE

JANUARY 2020 REGULATORY AGENDA

- C) Scheduled meetings/hearing dates: No schedule has been established at this time.
- D) Date Agency anticipates First Notice: We anticipate filing rulemakings amending Part 650 during the next six months of this year.
- E) Effect on small business, small municipalities or not-for-profit corporations: None
- F) Agency contact person for information:
- Jerilynn Troxell Gorden
Deputy General Counsel, Sales & Excise Tax
Illinois Department of Revenue
101 W. Jefferson, MC 5-500
Springfield IL 62794
- 217/782-2844
- G) Related rulemakings and other pertinent information: None
- p) Part (Heading and Code Citation): Tobacco Products Act of 1995, (86 Ill. Adm. Code 660)
- 1) Rulemaking:
- A) Description: Rules will be amended to reflect new statutory developments, Department policy and decisional law.
- B) Statutory Authority: 35 ILCS 143/10-45; 35 ILCS 120/12
- C) Scheduled meetings/hearing dates: No schedule has been established at this time.
- D) Date Agency anticipates First Notice: We anticipate filing rulemakings amending Part 660 during the next six months of this year.

DEPARTMENT OF REVENUE

JANUARY 2020 REGULATORY AGENDA

E) Effect on small business, small municipalities or not-for-profit corporations: The technical changes and deletion of obsolete provisions in these amendments will clarify the rules for small businesses.

F) Agency contact person for information:

Jerilynn Troxell Gorden
Deputy General Counsel, Sales & Excise Tax
Illinois Department of Revenue
101 W. Jefferson, MC 5-500
Springfield IL 62794

217/782-2844

G) Related rulemakings and other pertinent information: None

q) Part (Heading and Code Citation): Property Tax Code, (86 Ill. Adm. Code 110)

1) Rulemaking:

A) Description: Section 110.115 will be amended to clarify, update or remove old language in non-homestead exemption proceedings in Section 110.115., and to correct a statutory timeframe for filing for administrative review of non-homestead exemptions in Section 110.145 from 20 days to 60 days. Neither rule has been changed since 1996. The rulemaking would remove mention of both the non-existent Board of Appeals and exemption field auditors, update exemption form numbers and examples, and remove several outdated filing requirements.

Section 110.116 will be amended to clarify that all prospective tenants are to receive a copy of the project's non-eviction policy and that the owners must have a written statement on file from all current tenants that they have received a copy of the non-eviction policy. It also changes a seven-line sentence into three easier to understand sentences, corrects a misspelled word and removes an unnecessary "The" in two headings.

Section 110.125 will be amended to eliminate the now non-existent Board of Appeals from the rule Section 110.155. This rulemaking is designed to update this 2000 version of Section 110.155 by eliminating references to

DEPARTMENT OF REVENUE

JANUARY 2020 REGULATORY AGENDA

the 1999 PA and by changing "handicapped individual" to "person with disabilities."

Section 110.135 will be amended to update this 1996 version of Section 110.135 by eliminating references to the now nonexistent Board of Appeals (now Board of Review), "photostatic" copy and the year 1996, to correct real "estate" to real "property," and to clarify by adding the words "affected" to taxing bodies and change the words "at fault" to "in error."

Section 110.145 will be amended to clarify, update or remove old language in non-homestead exemption proceedings in Section 110.115., and to correct a statutory timeframe for filing for administrative review of non-homestead exemptions in Section 110.145 from 20 days to 60 days. Neither rule has been changed since 1996. The rulemaking would remove mention of both the non-existent Board of Appeals and exemption field auditors, update exemption form numbers and examples, and remove several outdated filing requirements.

Section 110.155 will be amended to update this 2000 version of Section 110.155 by eliminating references to the 1999 PA and by changing "handicapped individual" to "person with disabilities."

Section 110.180 will be amended to eliminate the Department's requirement of having two signatures rather than one on the Department's grade request slip. It also upgrades language from "handicapped individuals" to "persons with disabilities."

- B) Statutory Authority: Implementing the Property Tax Code [35 ILCS 200] and authorized by Section 2505-625 of the Civil Administrative Code of Illinois [20 ILCS 2505/2505-625].
- C) Scheduled meeting/hearing dates: No schedule has been established.
- D) Date Agency anticipates First Notice: We anticipate filing rulemakings amending Part 110 during the next six months of this year.
- E) Effect on small business, small municipalities or not-for-profit corporations: None

DEPARTMENT OF REVENUE

JANUARY 2020 REGULATORY AGENDA

F) Agency contact person for information:

Terry Shafer
Associate Counsel, Property Tax
Illinois Department of Revenue
101 W. Jefferson, MC 5-500
Springfield IL 62794

217/557-0965

G) Related rulemakings and other pertinent information: Noner) Part (Heading and Code Citation): Real Estate Transfer Tax, (86 Ill. Adm. Code 120)1) Rulemaking:

- A) Description: Section 120.5 will be amended to eliminate language discussing requirements prior to 2004 in 120.5(a) and to add the notification that electronic signatures affixed to electronically transmitted transfer declarations are as legally binding as handwritten signatures. It also eliminates unnecessary language describing forms for transfers that occurred prior to 2000 and corrects the term "Web site" to "website." The rulemaking also updates the rule to comport with the Department's technology in allowing recorders of deeds to utilize an approved interface to electronically transfer declarations and order transfer stamps from the Department for recording in the county offices. This rulemaking also requires county assessment offices utilizing the Department's electronic transfer declaration system provide a file layout to both validate the information transmitted and for any other purpose as determined by the Department.
- B) Statutory Authority: Implementing the Property Tax Code [35 ILCS 200] and authorized by Section 2505-625 of the Civil Administrative Code of Illinois [20 ILCS 2505/2505-625].
- C) Scheduled meeting/hearing dates: No schedule has been established.
- D) Date Agency anticipates First Notice: We anticipate filing rulemakings amending Part 120 during the next six months of this year.

DEPARTMENT OF REVENUE

JANUARY 2020 REGULATORY AGENDA

- E) Effect on small business, small municipalities or not-for-profit corporations: None
- F) Agency contact person for information:
- Terry Shafer
Associate Counsel, Property Tax
Illinois Department of Revenue
101 W. Jefferson, MC 5-500
Springfield IL 62794
- 217/557-0965
- G) Related rulemakings and other pertinent information: None
- s) Part (Heading and Code Citation): Motor Fuel Tax for Municipalities within Cook County (86 Ill. Adm. Code 499)
- 1) Rulemaking:
- A) Description: A new part will be added to implement the provisions of PA 101-604. The part will provide provisions for implementation of the new tax on motor fuel authorized for municipalities in Cook County. Initially, emergency regulations are anticipated.
- B) Statutory Authority: PA 101-604, adding new Section 8-11-2.3 to the Illinois Municipal Code, 65 ILCS 5//8-11-2.3).
- C) Scheduled meeting/hearing dates: No schedule has been established at this time.
- D) Date Agency anticipates First Notice: We anticipate filing such rulemakings amending Part 499 within the next six months of this year.
- E) Effect on small business, small municipalities or not-for-profit corporations: These rulemakings will affect municipalities imposing this new tax and any retailers selling motor fuel tax in such municipalities.

DEPARTMENT OF REVENUE

JANUARY 2020 REGULATORY AGENDA

F) Agency contact person for information:

Jerilynn Troxell Gorden
Deputy General Counsel, Sales & Excise Tax
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Springfield IL 62794

217/782-2844

G) Related rulemakings and other pertinent information: Nonet) Part (Heading and Code Citation): Medical Cannabis Cultivation Privilege Tax Law, (86 Ill. Adm. Code 429)1) Rulemaking:

A) Description: Amendments will be made to conform current regulations to the provisions of PA 101-27 and PA 101-593 implementing the Cannabis Regulation and Tax Act.

B) Statutory Authority: PA 101-27 and PA 101-593, which enact the Cannabis Regulation and Tax Act.

C) Scheduled meeting/hearing dates: No schedule has been established at this time.

D) Date Agency anticipates First Notice: We anticipate filing such rulemakings amending Part 495 within the next six months of this year.

E) Effect on small business, small municipalities or not-for-profit corporations: These rulemakings will affect persons currently subject to the Medical Cannabis Cultivation Privilege Tax Law.

F) Agency contact person for information:

Jerilynn Troxell Gorden
Deputy General Counsel, Sales & Excise Tax
Illinois Department of Revenue

DEPARTMENT OF REVENUE

JANUARY 2020 REGULATORY AGENDA

101 West Jefferson, MC 5-550
Springfield IL 62794

217/782-2844

G) Related rulemakings and other pertinent information: None

u) Part (Heading and Code Citation): Cannabis Cultivation Privilege Tax, (86 Ill. Adm. Code 422)

1) Rulemaking:

A) Description: This new part implements the Cannabis Cultivation Privilege Tax imposed under PA 101-27, which is a tax upon the privilege of cultivating cannabis at the rate of 7% of the gross receipts from the first sale of cannabis by a cultivator (including a craft grower).

B) Statutory Authority: PA 101-27, creating Article 60 of the Cannabis Regulation and Tax Act, entitled "Cannabis Cultivation Privilege Tax".

C) Scheduled meeting/hearing dates: No schedule has been established at this time.

D) Date Agency anticipates First Notice: We anticipate filing such rulemakings amending Part 422 within the next six months of this year.

E) Effect on small business, small municipalities or not-for-profit corporations: This rulemaking will affect cannabis cultivators and craft growers who obtain licenses for adult-use cannabis cultivation.

F) Agency contact person for information:

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Deputy General Counsel, Sales & Excise Tax
Illinois Department of Revenue
101 West Jefferson, MC 5-550
Springfield IL 62794

217/782-2844

DEPARTMENT OF REVENUE

JANUARY 2020 REGULATORY AGENDA

- G) Related rulemakings and other pertinent information: None
- v) Part (Heading and Code Citation): Cannabis Purchaser Excise Tax, (86 Ill. Adm. Code 423)
- 1) Rulemaking:
- A) Description: This new part implements the Cannabis Purchaser Excise Tax imposed under PA 101-27, which is a tax upon purchasers for the privilege of using cannabis at the rate of 10% for cannabis with THC levels at or below 35%; 20% for cannabis-infused products; and 25% for cannabis with a THC level above 35%.
- B) Statutory Authority: PA 101-27, creating Article 65 of the Cannabis Regulation and Tax Act, entitled "Cannabis Purchaser Excise Tax".
- C) Scheduled meeting/hearing dates: No schedule has been established at this time.
- D) Date Agency anticipates First Notice: We anticipate filing such rulemakings amending Part 423 within the next six months of this year.
- E) Effect on small business, small municipalities or not-for-profit corporations: This rulemaking will affect cannabis dispensaries licensed to sell adult-use cannabis in Illinois.
- F) Agency contact person for information:
- Jerilynn Troxell Gorden
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Illinois Department of Revenue
101 West Jefferson, MC 5-550
Springfield IL 62794
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- G) Related rulemakings and other pertinent information: None

DEPARTMENT OF REVENUE

JANUARY 2020 REGULATORY AGENDA

- w) Part (Heading and Code Citation): County Cannabis Retailers' Occupation Tax, (86 Ill. Adm. Code 424)
- 1) Rulemaking:
- A) Description: This new part provides guidance for the County Cannabis Retailers' Occupation Tax Law created under PA 101-27 and PA 101-593, which authorize counties to impose, by ordinance, a tax on the gross receipts from sales of cannabis (other than cannabis purchased under the Compassionate Use of Medical Cannabis Pilot Program).
- B) Statutory Authority: PA 101-27 and PA 101.593, creating 55 ILCS 5/5-1006.8.
- C) Scheduled meeting/hearing dates: No schedule has been established at this time.
- D) Date Agency anticipates First Notice: We anticipate filing such rulemakings amending Part 424 within the next six months of this year.
- E) Effect on small business, small municipalities or not-for-profit corporations: This rulemaking will affect adult-use cannabis dispensaries in counties that impose the tax.
- F) Agency contact person for information:
- Jerilynn Troxell Gorden
Deputy General Counsel, Sales & Excise Tax
Illinois Department of Revenue
101 West Jefferson, MC 5-550
Springfield IL 62794
- 217/782-2844
- G) Related rulemakings and other pertinent information: None
- x) Part (Heading and Code Citation): Municipal Cannabis Retailers' Occupation Tax, (86 Ill. Adm. Code 425)

DEPARTMENT OF REVENUE

JANUARY 2020 REGULATORY AGENDA

1) Rulemaking:

- A) Description: This new part provides guidance for the Municipal Cannabis Retailers' Occupation Tax Law created under PA 101-27 and PA 101-593, which authorize municipalities to impose, by ordinance, a tax on the gross receipts from sales of cannabis (other than cannabis purchased under the Compassionate Use of Medical Cannabis Pilot Program).
- B) Statutory Authority: PA 101-27 and PA 101-593, adding Section 8-11-22 to the Illinois Municipal Code (65 ILCS 5/8-11-22).
- C) Scheduled meeting/hearing dates: No schedule has been established at this time.
- D) Date Agency anticipates First Notice: We anticipate filing such rulemakings amending Part 425 within the next six months of this year.
- E) Effect on small business, small municipalities or not-for-profit corporations: This rulemaking will affect adult-use cannabis dispensaries in municipalities that impose the tax and any small municipality in which the adult-use cannabis dispensary is located.
- F) Agency contact person for information:
- Jerilynn Troxell Gorden
Deputy General Counsel, Sales & Excise Tax
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Springfield IL 62794
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- G) Related rulemakings and other pertinent information: None

ILLINOIS RACING BOARD

JANUARY 2020 REGULATORY AGENDA

a) Part (Heading and Code Citation): Racing Rules (11 Ill. Adm. Code 1318)1) Rulemaking:

- A) Description: The Board anticipates amending Section 90, Use of the Whip, to further restrict the use of the whip by harness drivers in competition.
- B) Statutory Authority: Section 9(b) of the Illinois Horse Racing Act of 1975 [230 ILCS 5].
- C) Scheduled Meeting/Hearing Dates: Interested persons may send specific criticisms, suggestions, and/or comments to the Illinois Racing Board in writing during the First Notice Period.
- D) Date Agency anticipates First Notice: Not yet scheduled
- E) Effect on small businesses, small municipalities or not-for-profit corporations: None
- F) Agency contact person for information:

Mickey Ezzo
Illinois Racing Board
100 W. Randolph Street
Suite 5-700
Chicago IL 60601

312/814-5017
fax: 312/814-5062
mickey.ezzo@illinois.gov

- G) Related rulemakings and other pertinent information: None

b) Part (Heading and Code Citation): Jockeys, Apprentices, Jockey Agents, and Valets (11 Ill. Adm. Code 1411)1) Rulemaking:

ILLINOIS RACING BOARD

JANUARY 2020 REGULATORY AGENDA

- A) Description: The Board anticipates amending Section 100, Riding Crops and Other Equipment, to further restrict the use of the riding crop by thoroughbred jockeys in competition.
- B) Statutory Authority: Section 9(b) of the Illinois Horse Racing Act of 1975 [230 ILCS 5].
- C) Scheduled Meeting/Hearing Dates: Interested persons may send specific criticisms, suggestions, and/or comments to the Illinois Racing Board in writing during the First Notice Period.
- D) Date Agency anticipates First Notice: Not yet scheduled
- E) Effect on small businesses, small municipalities or not-for-profit corporations: None
- F) Agency contact person for information:
- Mickey Ezzo
Illinois Racing Board
100 W. Randolph Street
Suite 5-700
Chicago IL 60601
- 312/814-5017
fax: 312/814-5062
mickey.ezzo@illinois.gov
- G) Related rulemakings and other pertinent information: None

STATE UNIVERSITIES RETIREMENT SYSTEM

JANUARY 2020 REGULATORY AGENDA

a) Part (Heading and Code Citation): Universities Retirement (80 Ill. Adm. Code 1600)

1) Rulemaking:

A) Description: The System anticipates rulemaking affecting the following:

Add Section 1600.200 Definition of Employee to implement Public Act 99-0897, effective January 1, 2017, regarding the definition of "employee" under Section 15-107 of the Illinois Pension Code.

Amend Section 1600.300 Effective Beneficiary Designations to address electronic execution and submission of beneficiary designation forms.

Amend Section 1600.305 – Full-Time Student Survivors Insurance Beneficiaries. Amendments will clarify that a student may qualify as being a “full-time” student if the combination of credit hours earned by the student at one or more accredited educational institutions, during the same time frame, equals a full-time workload as defined by either of the accredited educational institutions attended.

Amend Section 1600.420 Making Preliminary Estimated Payments to include procedures for holding payments when the member has not responded to informational requests, and to terminate the benefit after a period of non-compliance with the request.

Amend Section 1600.450 Overpayment Recovery to address de minimis revisions to benefit calculations and deductions for overpayments of less than \$100.

Promulgate rules to establish acceptable documentary evidence for demographic information such as birth dates and marital status.

Promulgate rules to provide specific definitions and procedures to implement 40 ILCS 5/15-168 (concerning information requests necessary for the proper administration of the System and suspensions for non-compliance by members and penalties for non-compliance by employers).

B) Statutory Authority: Article 15 of the Illinois Pension Code, 40 ILCS 5/15-177.

STATE UNIVERSITIES RETIREMENT SYSTEM

JANUARY 2020 REGULATORY AGENDA

- C) Scheduled meeting/hearing dates: Written comments may be submitted during the 45-day public comment period following publication of the proposed rule in the Illinois Register. No public hearings are anticipated.
- D) Date Agency anticipates First Notice: Spring through Fall 2020
- E) Effect on small businesses, small municipalities or not-for-profit corporations: None
- F) Agency contact person for information:
- Bianca T. Green, General Counsel
State Universities Retirement System
1901 Fox Drive
Champaign IL 61820
- 217/378-8825
bgreen@surs.org
fax: 217/378-9801
- G) Related rulemakings and other pertinent information: Other Amendments may be necessary based on emergent issues.

ILLINOIS ADMINISTRATIVE CODE
Issue Index - With Effective Dates

Rules acted upon in Volume 44, Issue 4 are listed in the Issues Index by Title number, Part number, Volume and Issue. Inquiries about the Issue Index may be directed to the Administrative Code Division at (217) 782-7017/18.

PROPOSED RULES

| | | |
|-----------|-------|------|
| 89 - 240 | | 1724 |
| 8 - 25 | | 1745 |
| 86 - 3000 | | 1752 |
| 35 - 1420 | | 1768 |
| 77 - 665 | | 1779 |
| 86 - 100 | | 1785 |
| 86 - 130 | | 1811 |
| 92 - 107 | | 1814 |

ADOPTED RULES

| | | |
|-----------|------------------|------|
| 80 - 310 | 1/1/2020 | 1819 |
| 23 - 1 | 1/13/2020 | 1929 |
| 23 - 235 | 1/10/2020 | 1942 |
| 89 - 900 | 1/8/2020 | 1954 |
| 11 - 1800 | 12/31/2019 | 1961 |
| 59 - 310 | 12/31/2019 | 1976 |
| 2 - 552 | 12/31/2019 | 1981 |
| 23 - 3020 | 1/7/2020 | 1986 |
| 23 - 3035 | 1/7/2020 | 1990 |
| 23 - 3050 | 1/7/2020 | 2010 |
| 92 - 1010 | 12/31/2019 | 2014 |
| 92 - 1020 | 12/31/2019 | 2037 |
| 92 - 1030 | 12/31/2019 | 2041 |

EMERGENCY RULES

| | | |
|----------|-----------------|------|
| 86 - 130 | 1/13/2020 | 2055 |
|----------|-----------------|------|

**OTHER INFORMATION REQUIRED BY
LAW TO BE PUBLISHED IN THE
ILLINOIS REGISTER**

| | | |
|-----------|-------|------|
| 50 - 4450 | | 2084 |
|-----------|-------|------|

REGULATORY AGENDA

| | | |
|-----------|-------|------|
| 23 - 1501 | | 2085 |
| 2 - 850 | | 2088 |
| 23 - 375 | | 2100 |
| 35 - 254 | | 2106 |
| 38 - 101 | | 2113 |
| 89 - 160 | | 2125 |
| 47 - 380 | | 2129 |
| 17 - 110 | | 2132 |
| 41 - 109 | | 2154 |
| 86 - 100 | | 2173 |

| | | |
|-----------|-------|------|
| 11 - 1318 | | 2197 |
| 80 - 1600 | | 2199 |