

Investor Statement



Illinois Secretary of State Securities Department

421 E. Capitol Ave., 2nd Floor
Springfield, IL 62701 • 217-782-2256

69 W. Washington St., Ste. 1220
Chicago, IL 60602 • 312-793-3384
800-628-7937

**By completing this statement you will be assisting the Illinois Securities Department with the inquiry of a Company, Dealer, Investment Advisor, etc.
The information received will enable the Securities Department to conduct a more accurate inquiry.**

INVESTOR INFORMATION:

Name: _____ Age: _____

Address: _____

City: _____ State: _____ ZIP: _____

Home Telephone Number: _____ Work Telephone Number: _____

Please indicate the most convenient day to be contacted:

- Monday
- Tuesday
- Wednesday
- Thursday
- Friday

Please indicate the most convenient time and place to be contacted:

- Work (indicate time): _____
- Home (indicate time): _____

INQUIRY REFERENCE TO:

Name (Company, Firm/Dealer, Investment Advisor, Operator, Driller or Individual): _____

Address: _____

City: _____ County: _____ State: _____ ZIP: _____

Name, Title/Position, Address and Telephone Number of first person you dealt with: _____

CONTACT INFORMATION:

Did you know the person who contacted you prior to the sale?

- Yes
- No

Did the salesperson come to your home?

- Yes
- No

Did you go to the firm's place of business?

- Yes
- No

Did you meet the salesperson away from the firm's place of business (such as a convention, your business, restaurant or other location)?

- Yes (indicate other location): _____
- No

Did you receive a telephone call from the firm?

- Yes
- No

Did you call the firm?

- Yes
- No

Did you receive information in the mail?

- Yes
- No

Did you respond to a radio, television or newspaper advertisement?

- Yes
- No

DESCRIBE SECURITY PURCHASED OR OFFERED:

Common Stock Name: _____

Describe Promissory Notes or Investment Contract: _____

Describe Bonds or Debentures: _____

Limited Partnership Interests:

- Yes
- No

Fractional undivided interest in oil, gas or other mineral leases:

- Yes
- No

Describe other types of securities: _____

Number of notes/shares purchased and amount paid: _____

Date of transaction or date agreement was signed: _____

To whom was payment given or sent: _____ How was payment sent (mail, courier, UPS, etc.): _____

FORM OF PAYMENT:

- Cash
- Credit Card
- Loan
- Promissory Note
- Check (indicate date check was canceled and check number): _____
- Other form of payment (please specify): _____

MISCELLANEOUS:

Have you filed an inquiry or complaint with the company, firm, dealer or investment advisor?

- Yes
- No

If yes, indicate Name, Address, and Telephone Number of Person or Agency, and Date: _____
